

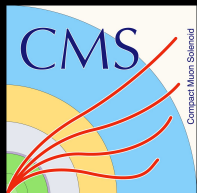
Jet substructure with iterative declustering in CMS

Cristian Baldenegro

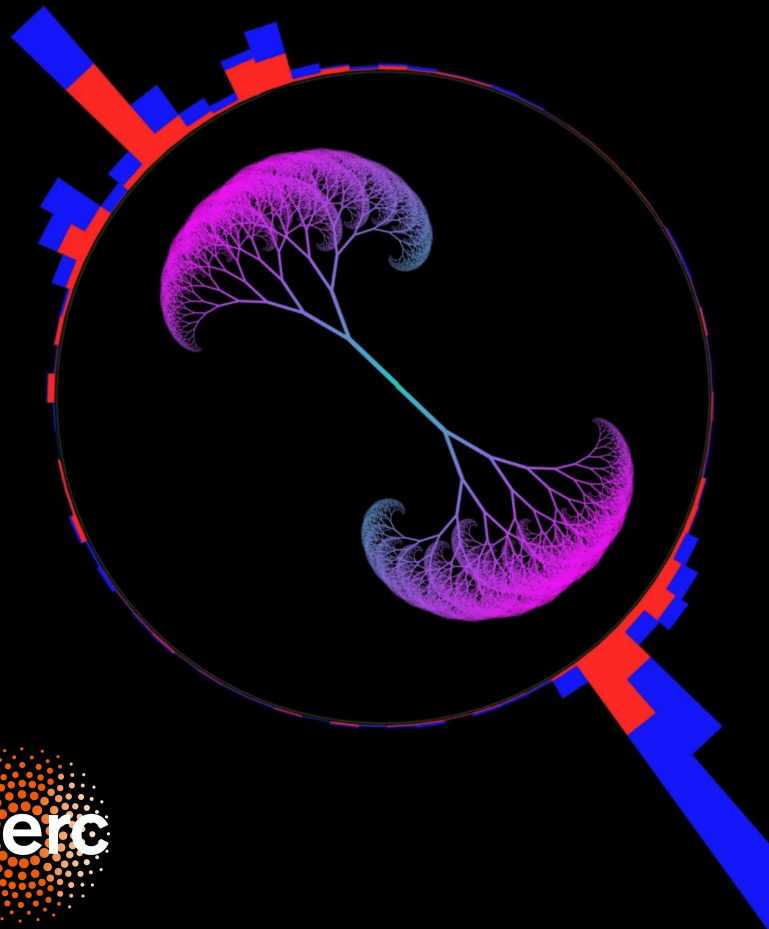
Laboratoire Leprince-Ringuet

BOOST 2023 at LBNL

July 31st – August 4th



European Research Council



Focus on two recent CMS preliminary results:

CMS primary Lund jet plane density at 13 TeV

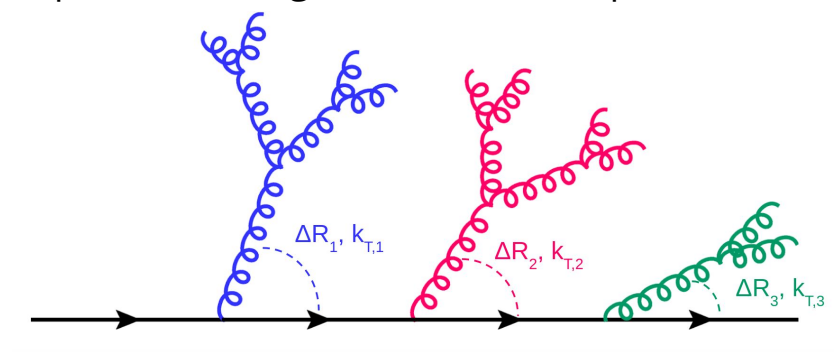
([CMS-PAS-SMP-22-007](#))

Jet substructure with photon-tagged jets in PbPb and pp at 5.02 TeV

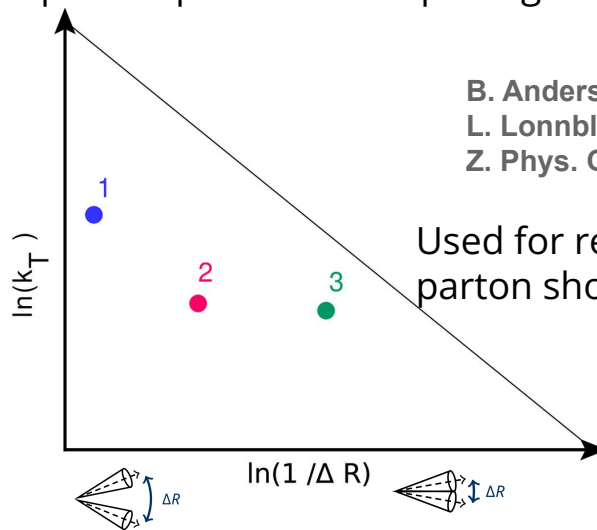
(**CMS-PAS-HIN-23-001**, *link soon on CDS and CMS public page*)

Phase-space of QCD branchings in the Lund plane

Lund planes (or diagrams) are a 2D representation of the phase-space of $1 \rightarrow 2$ splittings:



k_T : relative transverse momentum of emission
 ΔR : angular opening of emission and core



B. Andersson, G. Gustafson,
 L. Lonnblad, and U. Pettersson,
 Z. Phys. C43 (1989) 625

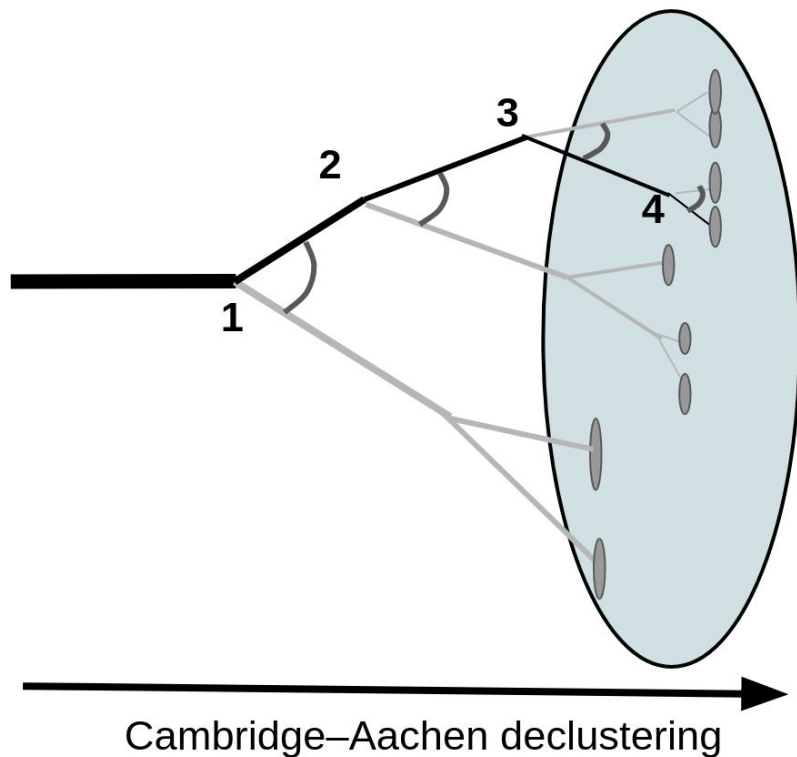
Used for resummation and
 parton shower development

In soft & collinear limit of QCD, emissions fill the double-logarithmic plane of k_T and ΔR uniformly

$$\mathcal{P} \propto \alpha_s \frac{dk_T}{k_T} \frac{d\Delta R}{\Delta R} = \alpha_s d \ln(k_T) d \ln(\Delta R) \leftarrow \text{approximate self-similarity of QCD}$$

Constructing the primary Lund jet plane

F. Dreyer, G. Salam, G. Soyez, JHEP12(2018)064



1. Jet constituents are reclustered with the CA algorithm.
2. Follow clustering tree in reverse (large \rightarrow small angles), **along the hardest branch**
3. k_T and ΔR of the softer subjet relative to the harder subjet is registered at each step

$$\Delta R = \sqrt{(y^{\text{softer}} - y^{\text{harder}})^2 + (\phi^{\text{softer}} - \phi^{\text{harder}})^2}$$

$$k_T = p_T^{\text{softer}} \Delta R$$

4. Repeat until hard branch has a single constituent

Previously measured by ATLAS [PRL 124, 222002 \(2020\)](#)
and ALICE [ALICE-PUBLIC-2021-002](#)

Angular ordering privileges QCD collinear divergence & mimics color coherence effects

Primary Lund jet plane density

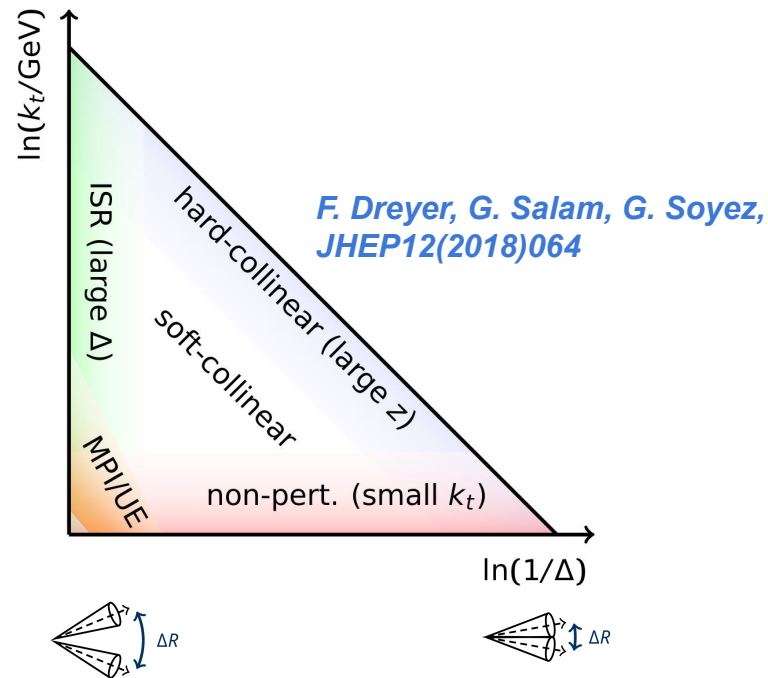
We measure the jet-averaged density of emissions:

$$\frac{1}{N^{\text{jets}}} \frac{d^2 N_{\text{emissions}}}{d \ln(k_T) d \ln(R/\Delta R)} \simeq \frac{2}{\pi} C_R \alpha_s(k_T)$$

soft & collinear limit

$(C_R = C_A = 3 \text{ for } g \rightarrow gg, C_F = 4/3 \text{ for } q \rightarrow qg)$

Various mechanisms are separated



Can use Lund plane density to improve and test calculations in a “factorized” way

measured by ATLAS [PRL 124, 222002 \(2020\)](#)
and ALICE [ALICE-PUBLIC-2021-002](#)

Primary Lund jet plane density

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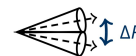
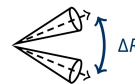
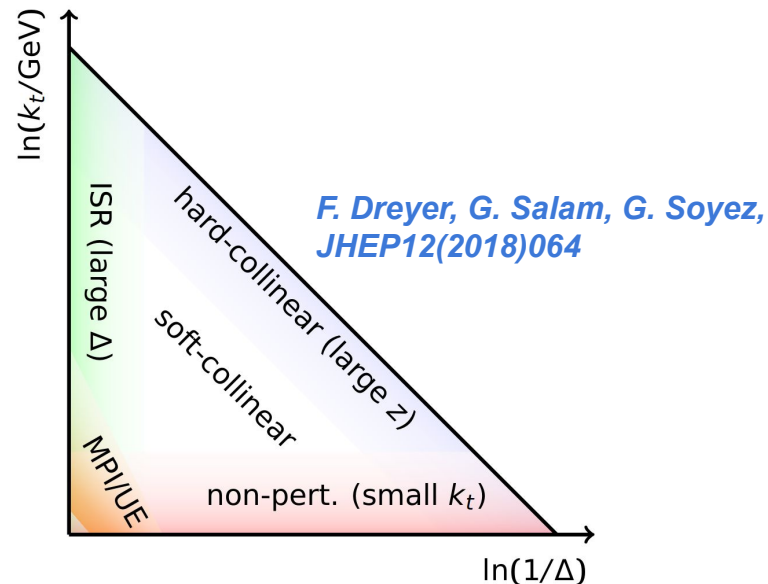
soft & collinear limit

($C_R = C_A = 3$ for $g \rightarrow gg$, $C_F = 4/3$ for $q \rightarrow qg$)

CMS full Run-2 setup [CMS-PAS-SMP-22-007](#) :

- **Inclusive jet selection:**
 $p_T^{\text{jet}} > 700 \text{ GeV}$, $|y^{\text{jet}}| < 1.7$,
 anti- k_T with small $R = 0.4$ and large $R = 0.8$
- Charged-particles of the jet used for LJP
- Unfolding with D'Agostini to particle-level
 $(p_T^{\text{jet}}, k_T, \Delta R)$
 # of iterations optimized based on χ^2 tests
 in smeared space

Various mechanisms are separated



Can use Lund plane density to improve and test calculations in a “factorized” way

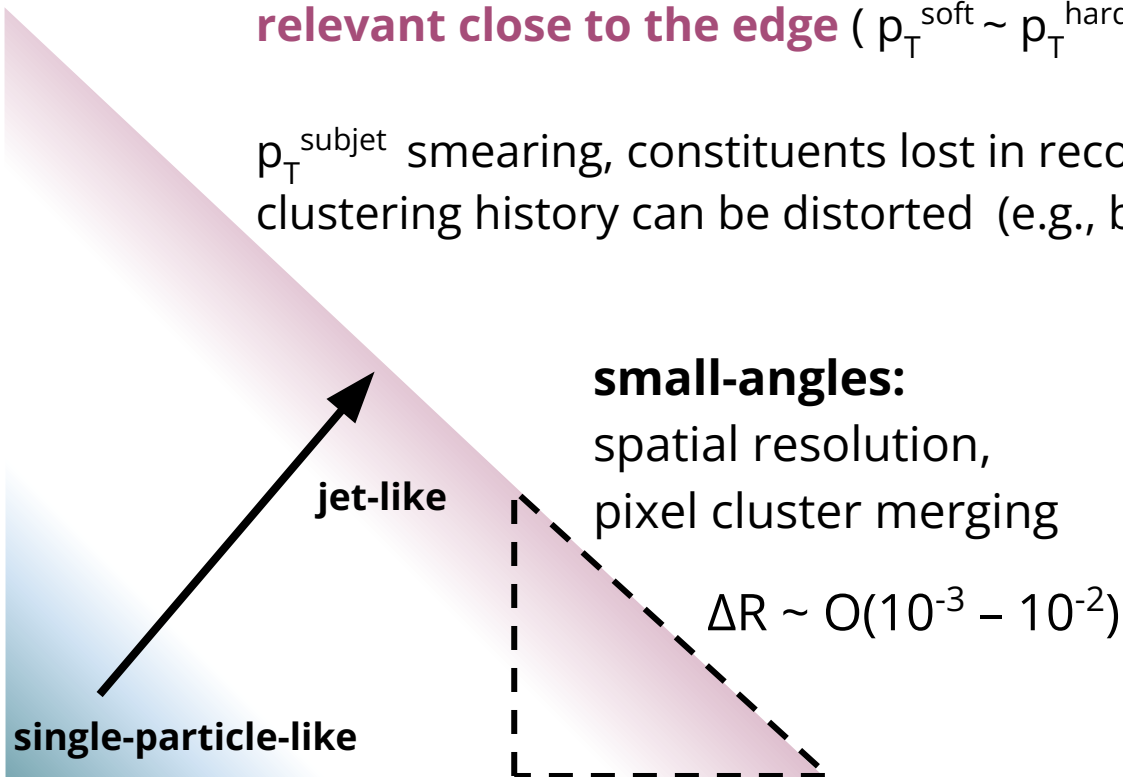
measured by ATLAS [PRL 124, 222002 \(2020\)](#)
 and ALICE [ALICE-PUBLIC-2021-002](#)

selected detector effects

relevant close to the edge ($p_T^{\text{soft}} \sim p_T^{\text{hard}}$):

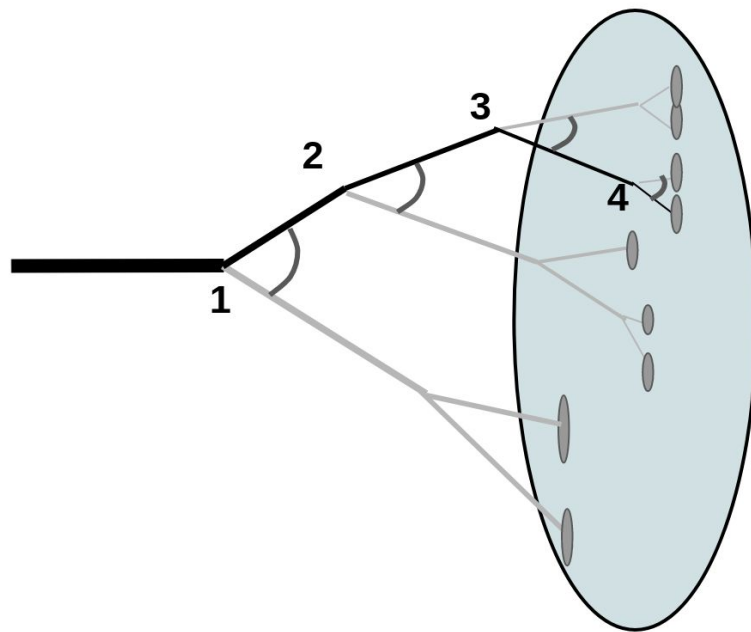
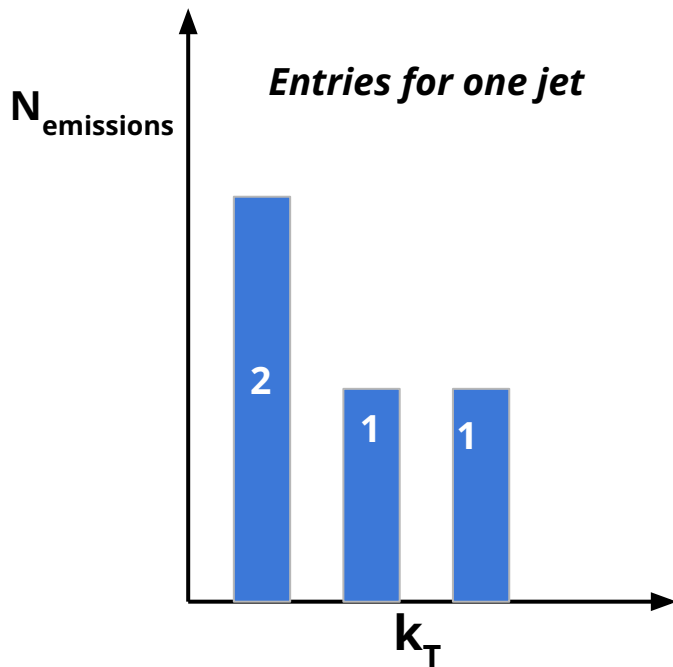
p_T^{subject} smearing, constituents lost in reconstruction, clustering history can be distorted (e.g., branch swaps)

residual PU contributions
(large ΔR ,
low k_T)



detector-level statistical correlations

LJP is a multicount observable (i.e., multiple entries per jet) → bins are statistically correlated at det level



bin-to-bin correlations of up to ~5–10%, measured covariance matrix used in unfolding

(can be important for other observables, e.g. Lund multiplicities, energy correlators, ...)

Systematic uncertainties

Shower & hadronization model uncertainty
(2–7% in the bulk, 10% at kinematical edge)

decorrelated into prior bias \otimes response pieces

Tracking reco. efficiency model uncertainty,
1-2% in bulk, dominates at 10-20% at edge

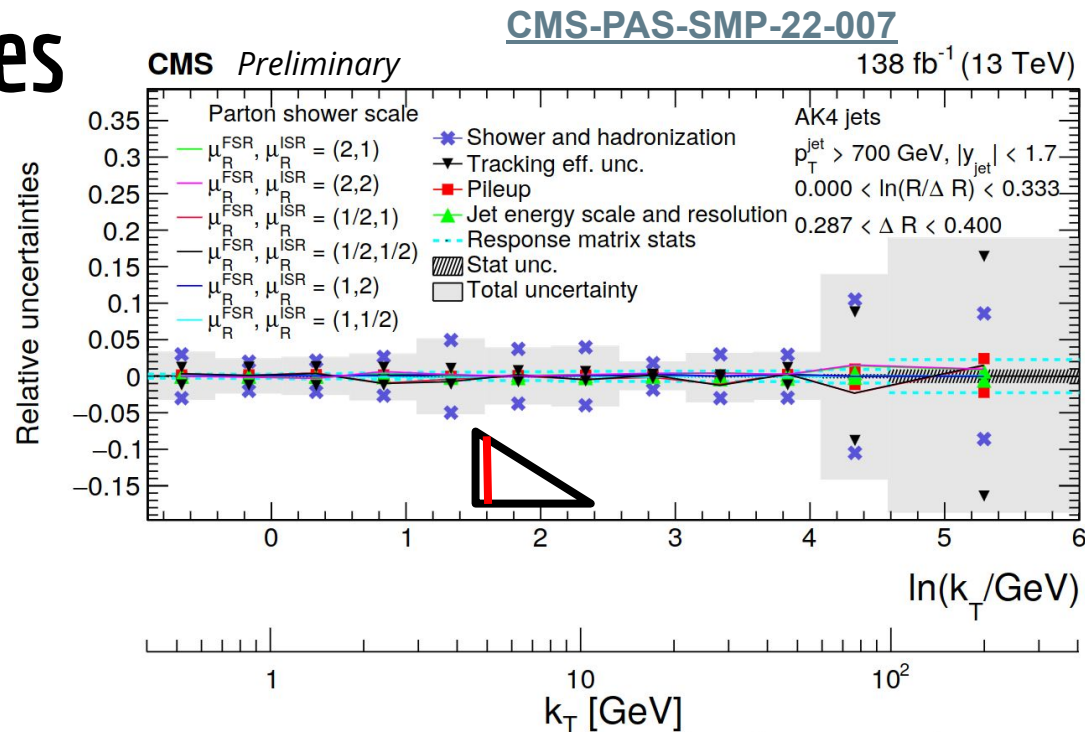
Subleading components ($< \sim 1\%$):

Parton shower scale

Response matrix stats

Jet energy scale and resolution uncertainties

Pileup modeling

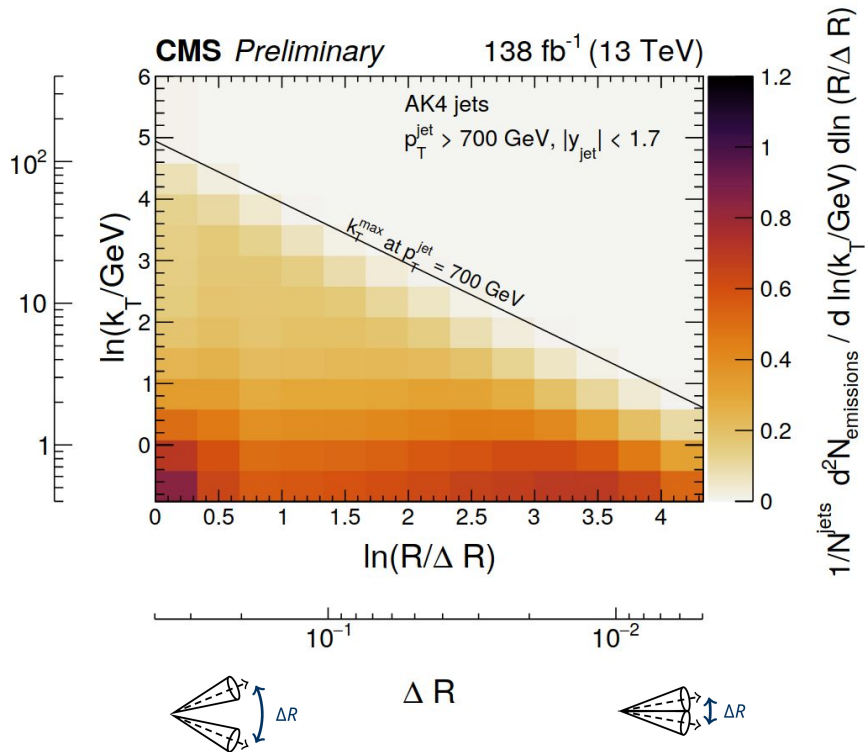


Dominated by **shower & hadronization modeling** in bulk of Lund plane & by **tracking efficiency** at high k_T

Unfolded primary Lund jet plane densities

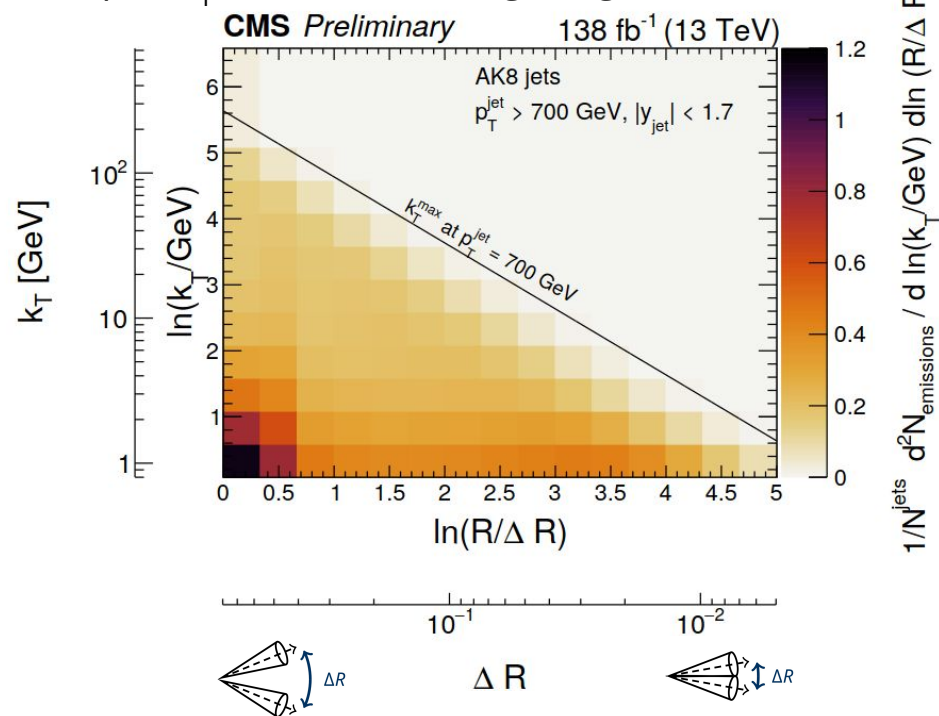
CMS-PAS-SMP-22-007

R=0.4 (standard R in Run-2)



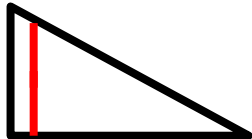
R=0.8 (wider & harder emissions)

Up to $k_T \sim 700 \text{ GeV}$ at large angles.



LJP density approximately flat for hard & collinear emissions due to $\alpha_s(k_T) \sim 1/\ln(k_T)$

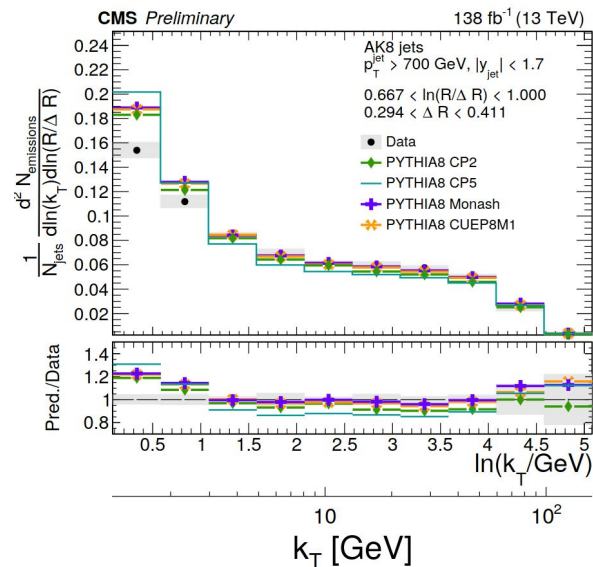
Large angle emissions



$R = 0.8$

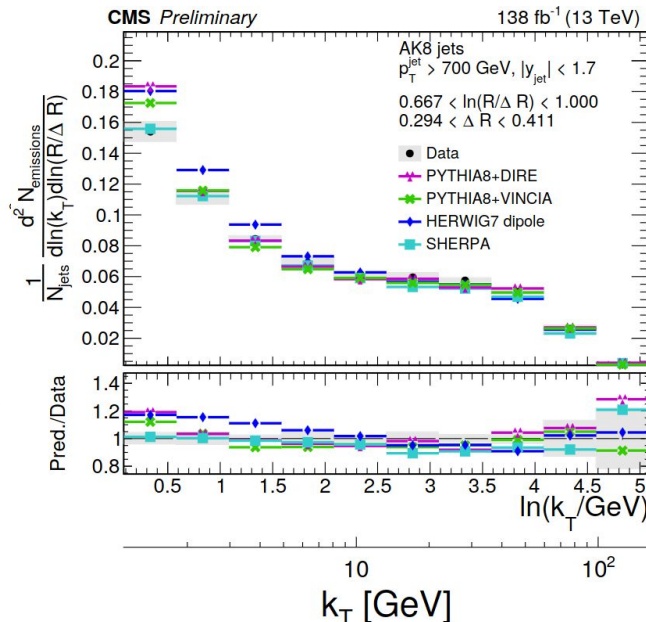
Comparison to parton showers & tunes

CMS-PAS-SMP-22-007



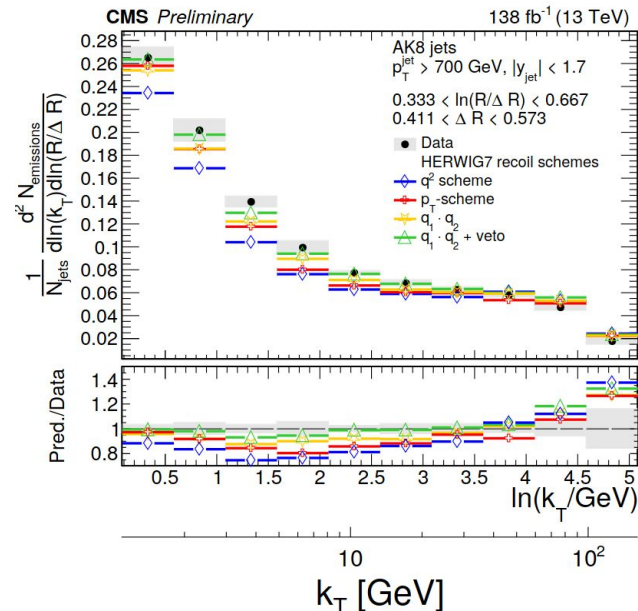
PYTHIA8 tunes

(CP2, CP5, Monash, CUEP8m1)



Dipole showers

(Vincia, Dire, Herwig7 dipole, Sherpa)



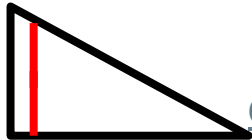
Herwig7 recoil schemes,

(angle-ordered shower)

approx. NLL accurate

Differences between data & MC of the order of 10–20%. “Factorization” of effects can be used for MC tuning

Large angle emissions



[CMS-PAS-SMP-22-007](#)

Most important difference between PY8 tunes is α_s^{FSR}

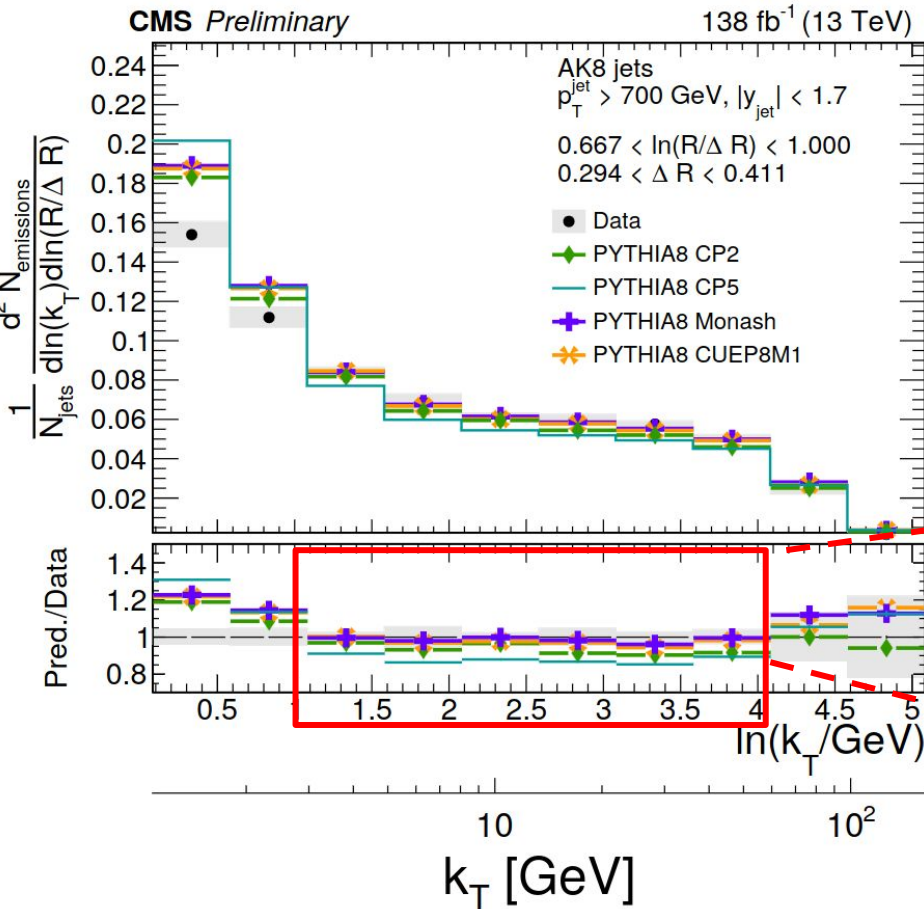
$$\frac{1}{N_{\text{jets}}} \frac{d^2 N_{\text{emissions}}}{d \ln(k_T) d \ln(R/\Delta R)} \simeq \frac{2}{\pi} C_R \alpha_s(k_T)$$

Monash/CUEP8M1: $\alpha_s^{\text{FSR}}(m_Z) = 0.1365$
(best description)

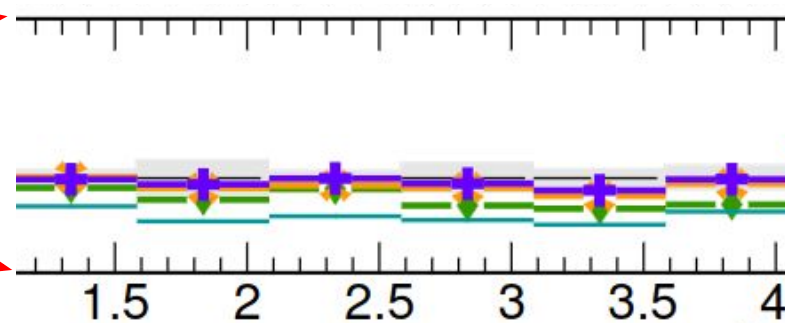
CP2: $\alpha_s^{\text{FSR}}(m_Z) = 0.130$

CP5: $\alpha_s^{\text{FSR}}(m_Z) = 0.118$

LJP data can be used to constrain $\alpha_s^{\text{FSR}}(m_Z)$
in MC tuning campaigns



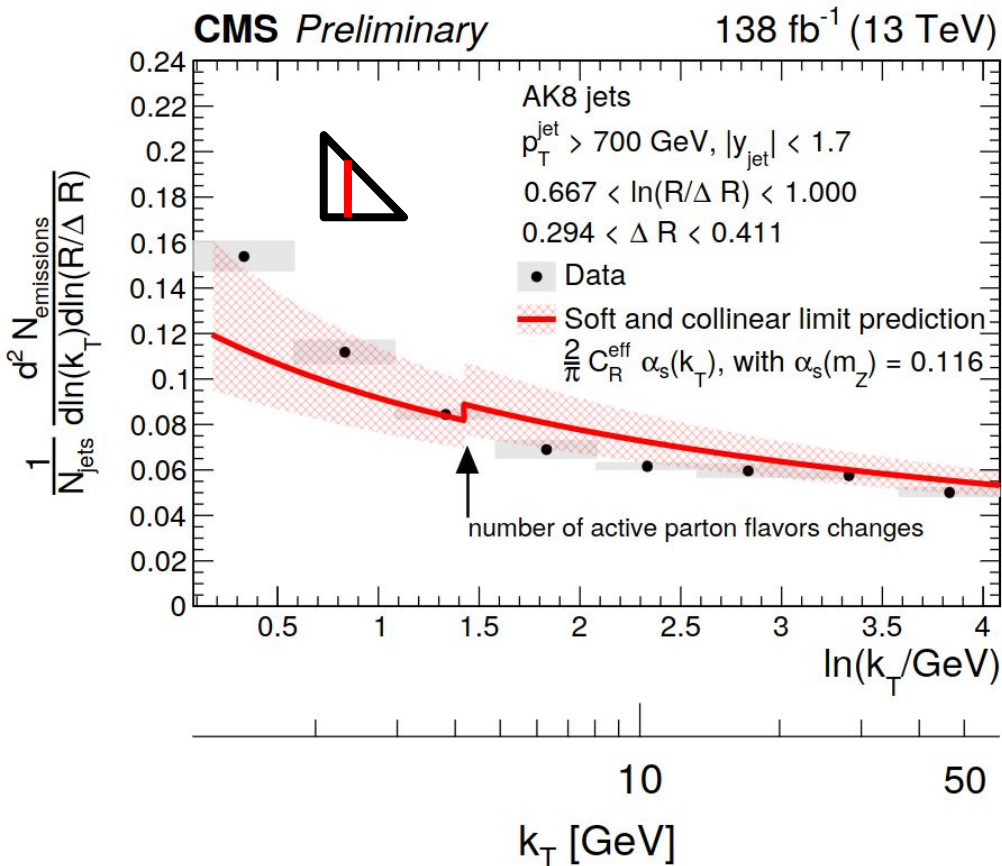
~15%



k_T between 3 – 50 GeV

LJP data qualitatively described by running of $\alpha_s \sim 1/\ln(k_T)$

CMS-PAS-SMP-22-007

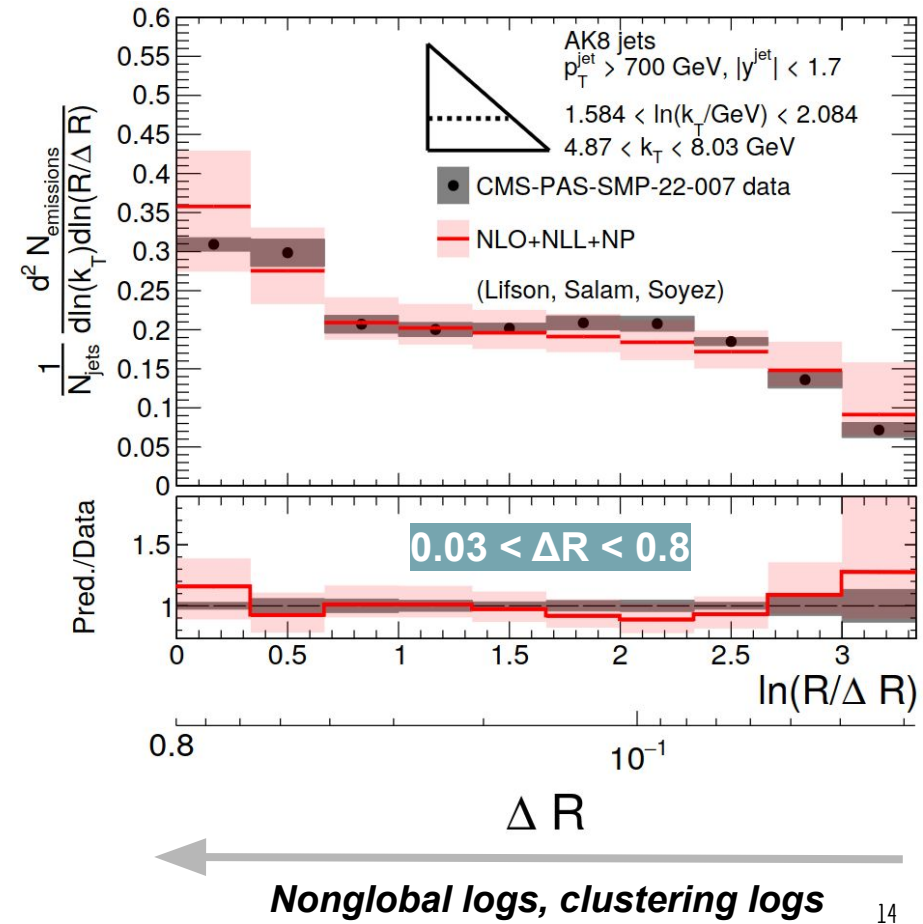
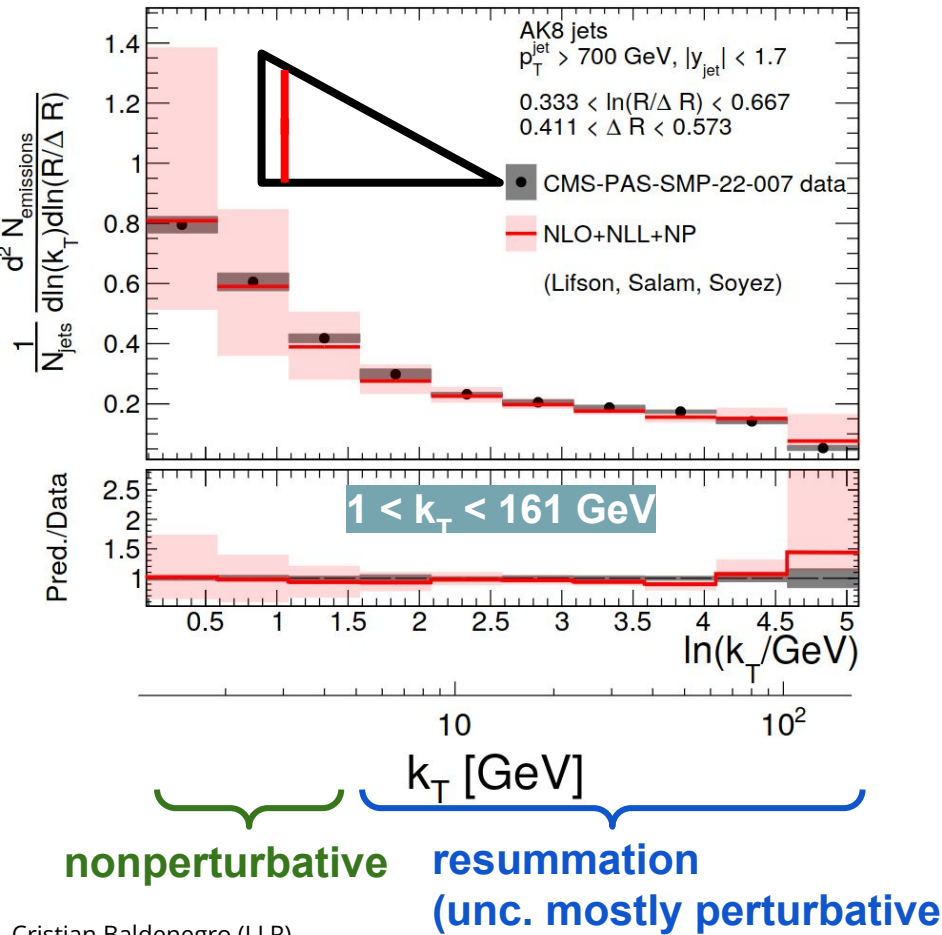


naïve pocket formula IRC limit

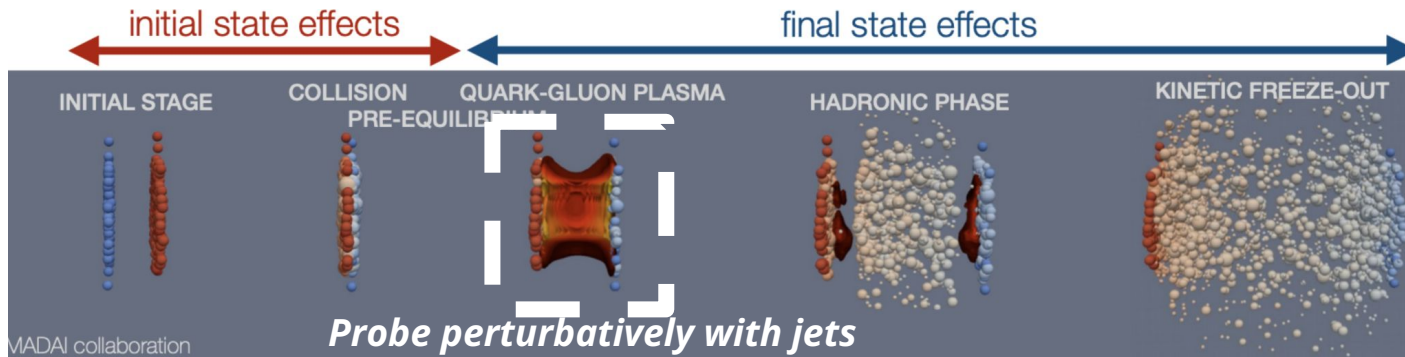
$$\frac{1}{N_{\text{jets}}} \frac{d^2 N_{\text{emissions}}}{d \ln(k_T) d \ln(R/\Delta R)} \simeq \frac{2}{\pi} C_R \alpha_s(k_T)$$

pQCD analytical calculations (NLO+NLL+NP)

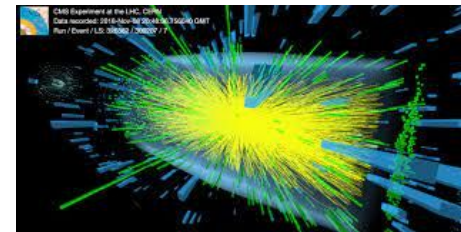
based on A. Lifson, G. Salam, G. Soyez [JHEP10\(2020\)170](#)



Probing QCD at high densities & high temperatures



What we see



The diagram illustrates the evolution of a heavy-ion collision system through five stages, with a timeline at the top indicating the duration of initial and final state effects.

- INITIAL STAGE:** Two colliding nuclei, represented by vertical columns of blue and orange spheres.
- COLLISION PRE-EQUILIBRIUM:** The nuclei are shown overlapping, with a central region of high energy density.
- QUARK-GLUON PLASMA:** A central region of high energy density, colored red and orange, surrounded by a blue and white shell.
- HADRONIC PHASE:** The system transitions to a state of hadrons, represented by a dense collection of small spheres.
- KINETIC FREEZE-OUT:** The final stage where the system has reached a state of kinetic freeze-out, with particles no longer interacting.

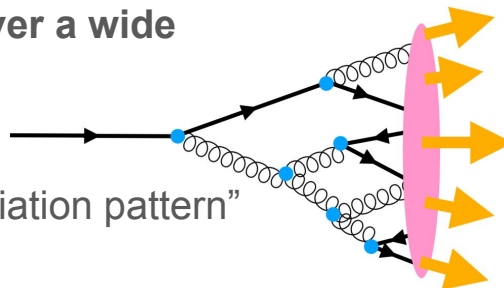
At the bottom, the text "MADAL collaboration" is on the left, and "Probe perturbatively with jets" is in the center, indicating the experimental approach.

CMS Experiments at the LHC, CERN
 Data recorded: 2016-Mar-Mar 20:44:36.330000 GMT
 Run: 358671 Lb: 358682 Luminosity: 3.5

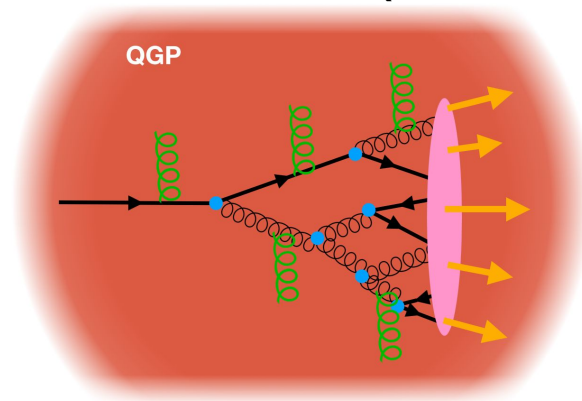
Jet quenching == “modification of jet radiation pattern”

More general introduction by [J. Mulligan](#)

pp ("vacuum")



PbPb (in medium)



sketches from Rey Cruz

Medium-induced modifications in the Lund plane

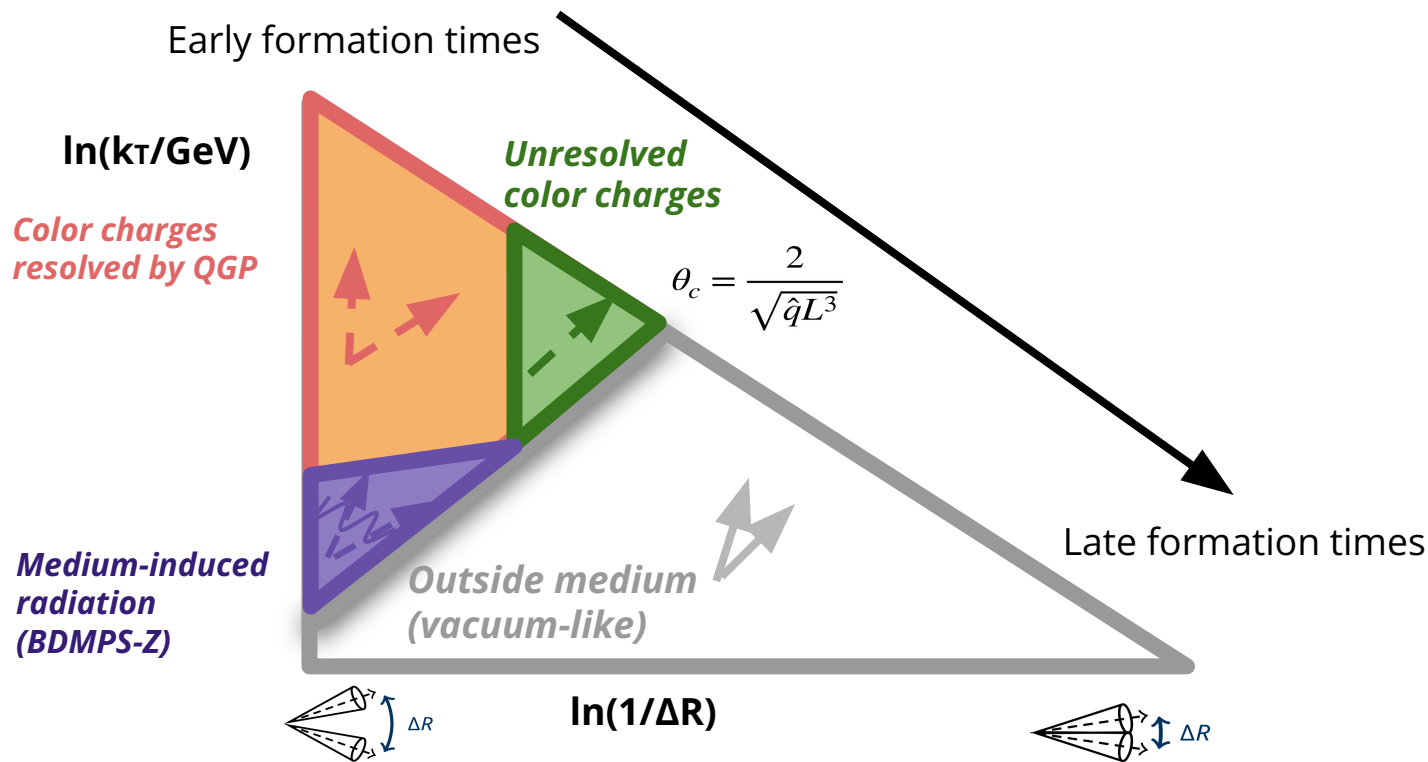
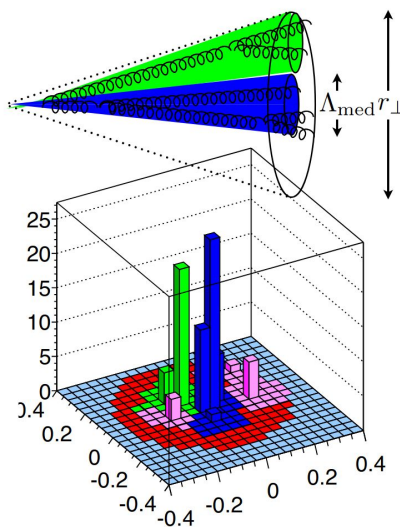


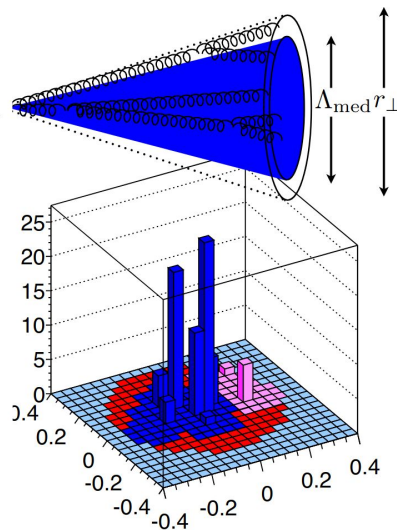
diagram inspired on regions from [P. Caucal, A. Soto, A. Takacs, PRD 105, 114046 \(2022\)](#)

Medium resolution length (color decoherence)

Resolved as two charges
→ *more quenching*

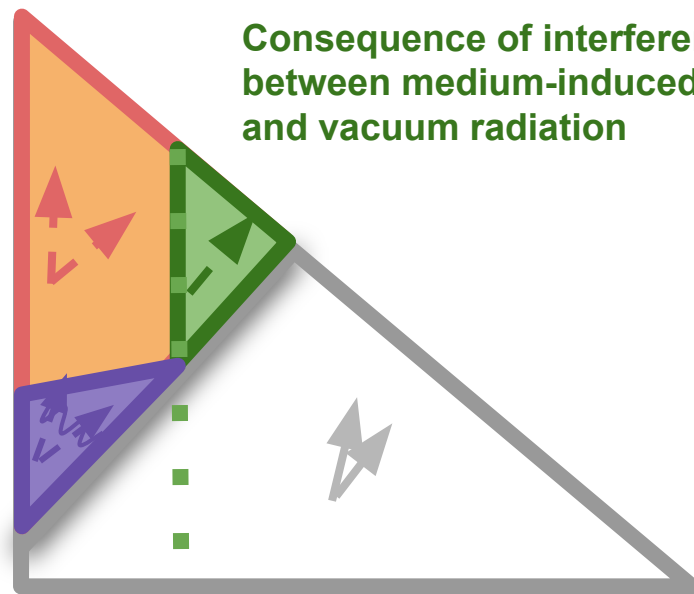


Resolved as a single charge
→ *less quenching*



Diagrams from [J. Casalderrey-Solana, Y. Mehtar-Tani, C. A. Salgado, K. Tywoniuk, arXiv:1210.7765](#)

Consequence of interference
between medium-induced
and vacuum radiation



Is the critical angle large enough?

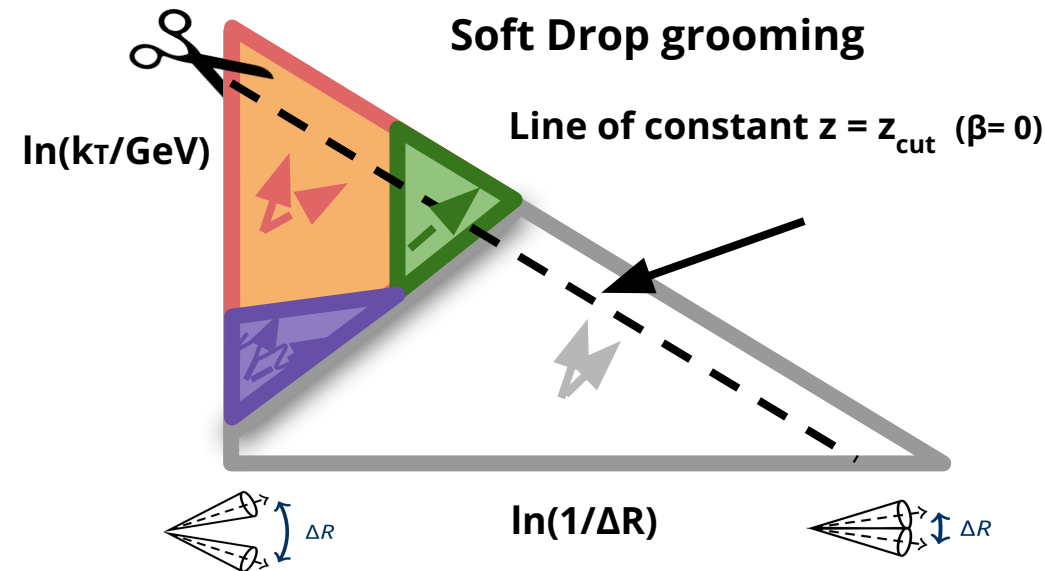
$$\vartheta_c \sim O(10^{-2} - 10^{-1})?$$

Soft-drop grooming

M. Dasgupta, A. Fregoso, S. Marzani, G. P. Salam, JHEP09 (2013) 029
A. J. Larkoski, S. Marzani, G. Soyez, J. Thaler, JHEP 1405 (2014) 146

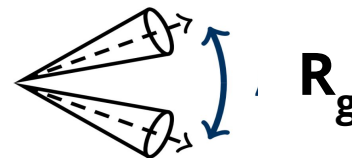
Soft Drop grooming to control
large UE contribution:

$$z_g = \frac{\min(p_T^{(1)}, p_T^{(2)})}{p_T^{(1)} + p_T^{(2)}} > z_{\text{cut}} \left(\frac{\Delta R_{12}}{R} \right)^{\beta_{\text{sd}}},$$



(typical choice in heavy-ions is $\beta_{\text{SD}} = 0$, $z_{\text{cut}} = 0.2$)

Hard two-prong structure is exposed

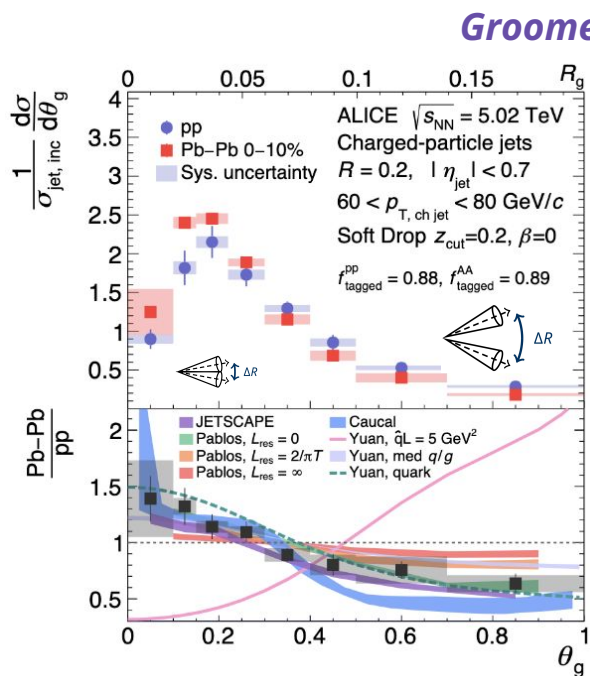


R_g is expected to be sensitive to color decoherence effects

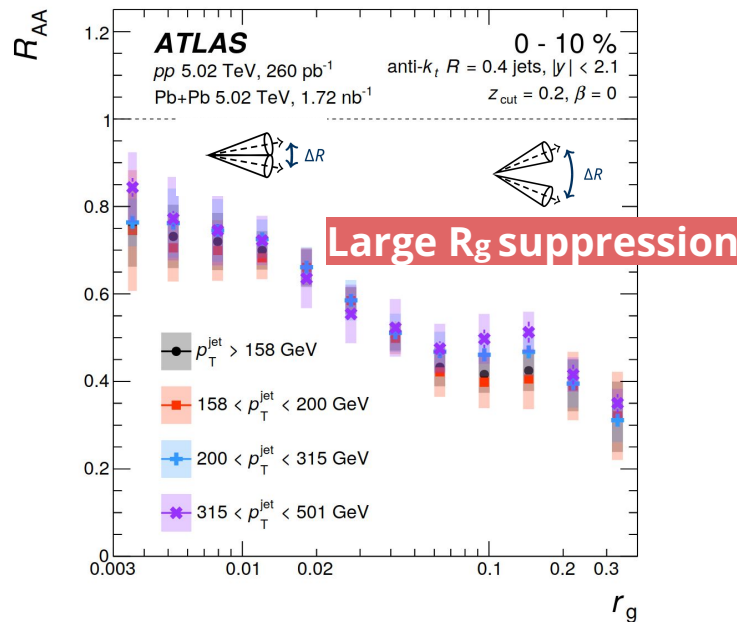
Y. Mehtar-Tani, K. Tywoniuk, JHEP04(2017)125

1-splitting per jet

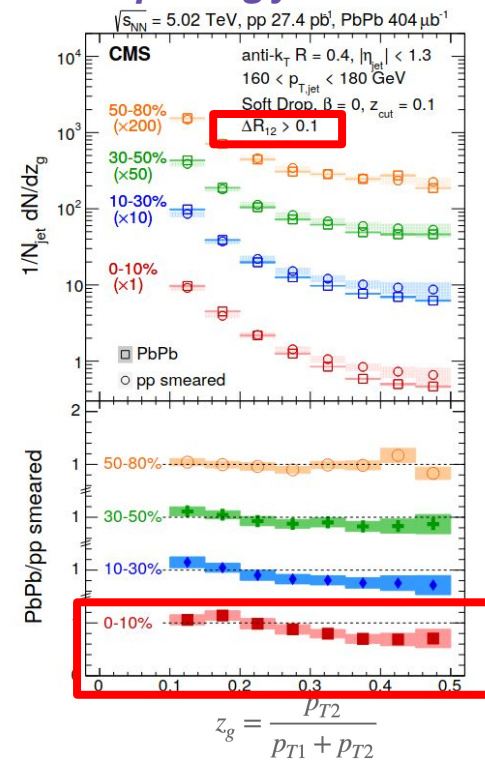
Previous measurements in inclusive jet events



ALICE, PRL 128.102001



ATLAS, PRC 107, 054909



CMS, PRL 120, 142302 (2018)

Broad angular structures are more suppressed in PbPb.

Consequence of color decoherence?

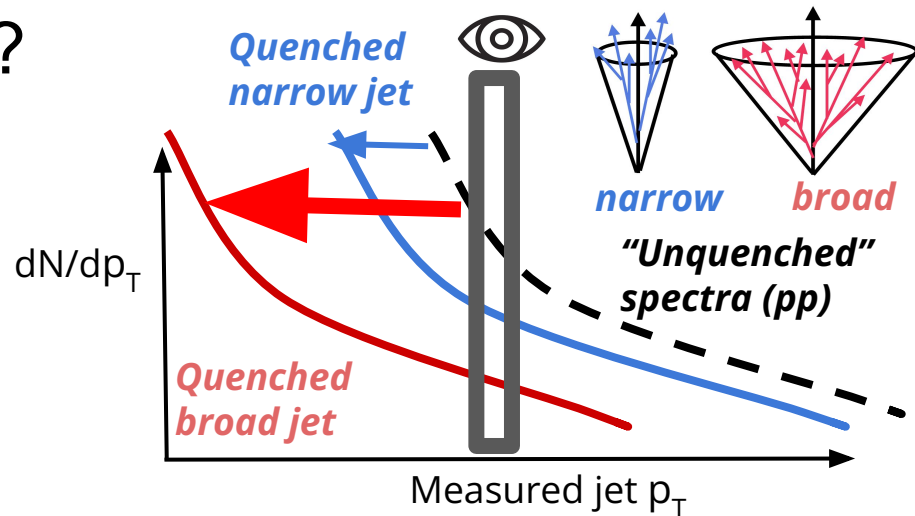
Is a finite critical angle necessary to describe jet quenching?

Selection bias in inclusive jets?

Jets with a **broad** early vacuum shower are expected to be more quenched

Gluon jets (which are broad) are quenched more strongly than **quark jets** (which are narrow)

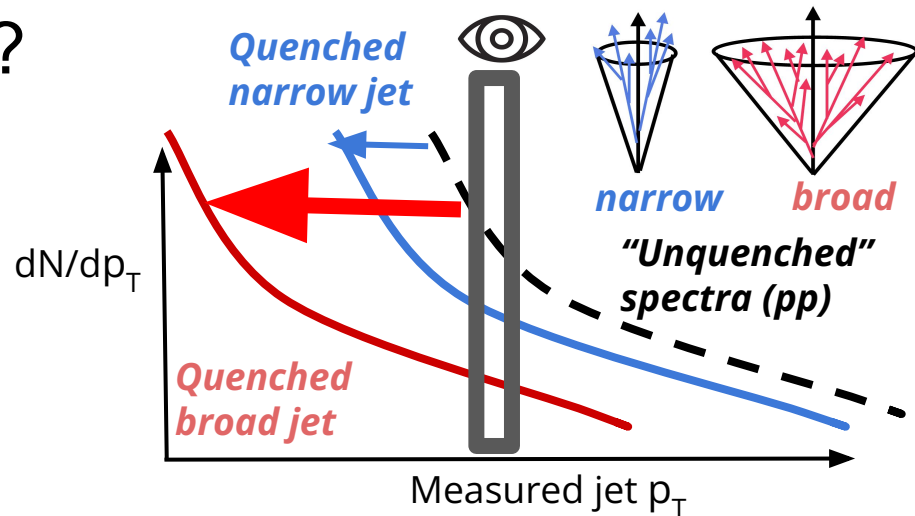
Potential effect in a jet p_T bin: *a narrowing effect*



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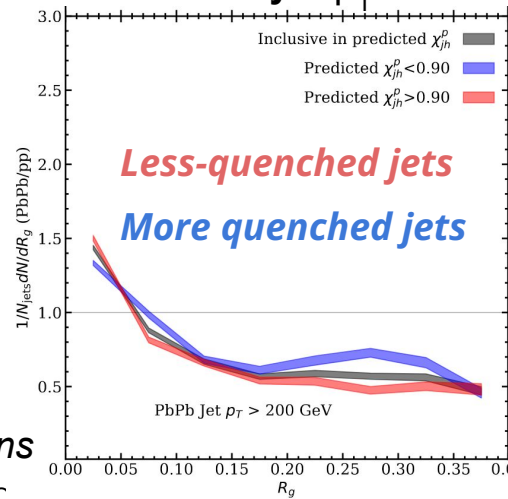
Potential effect in a jet p_T bin: **a narrowing effect**

One way of controlling this effect is by selecting jets according to their **unquenched** p_T

[J. Brewer, Q. Brodsky, K. Rajagopal, JHEP02\(2022\)175](#)

[J. Brewer, J. Milhano, J. Thaler PRL 122, 222301 \(2019\)](#)

Final-state jet p_T cut



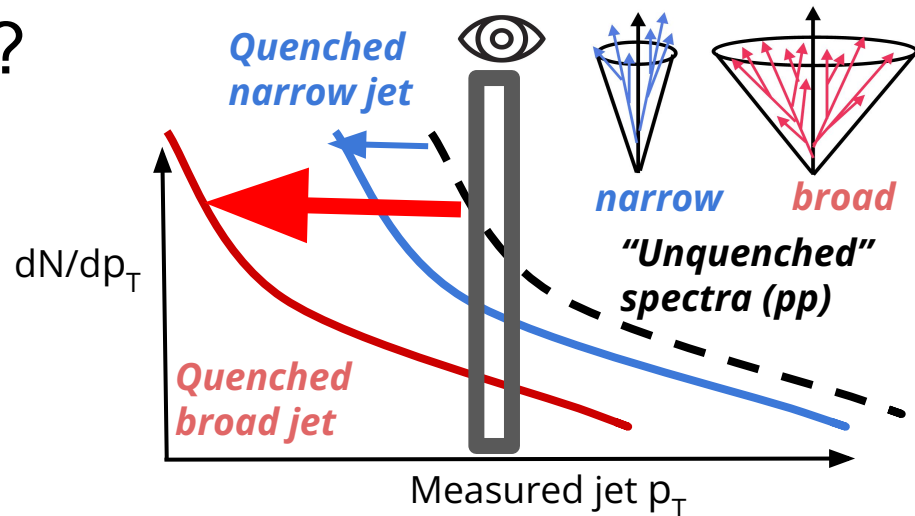
[Y.L. Du, D. Pablos, K. Tywoniuk, JHEP 21 \(2020\), 206](#)

Hybrid model calculations

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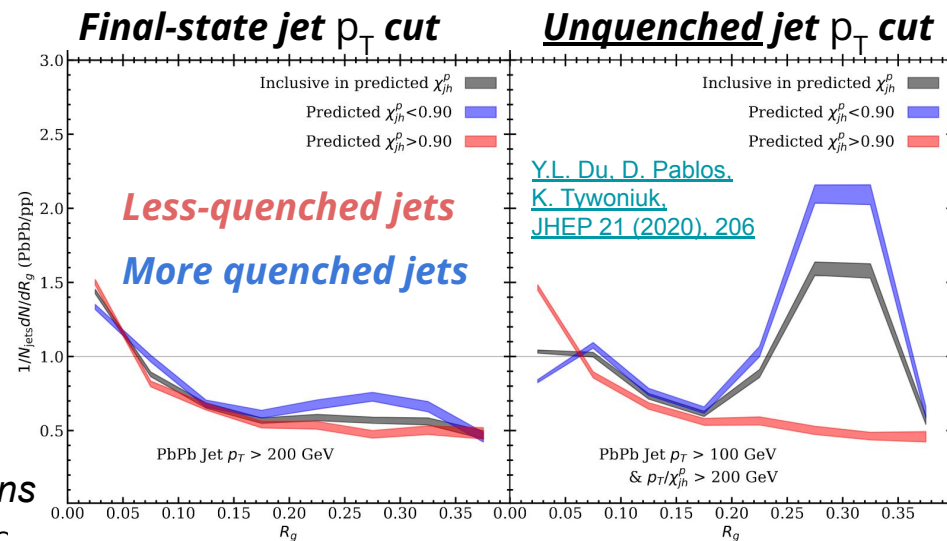
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[J. Brewer, J. Milhano, J. Thaler PRL 122, 222301 \(2019\)](#)

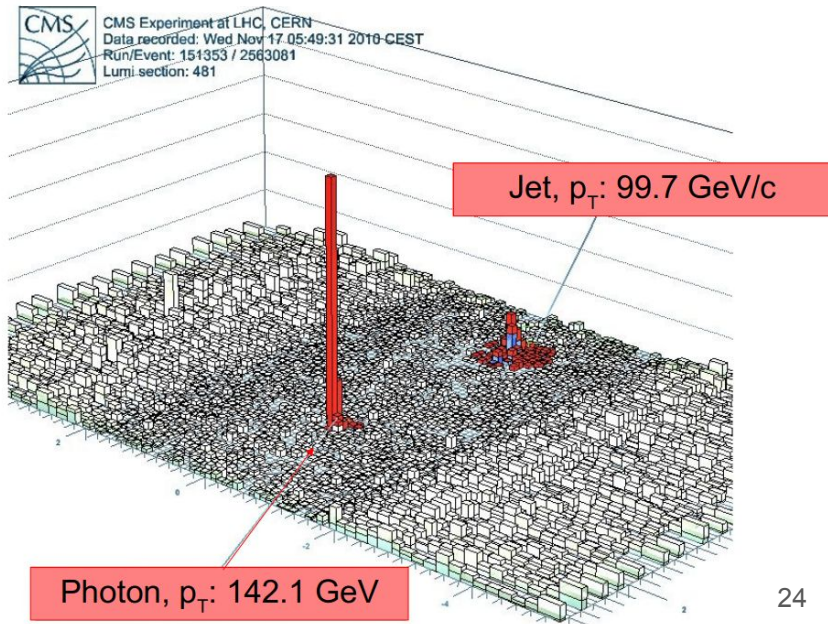
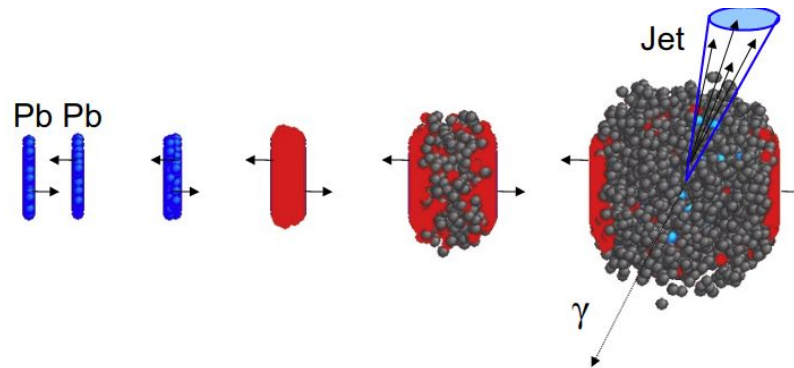
Hybrid model calculations



Jet substructure using photon-tagged jets

Photon p_T^γ can be used as a proxy for unquenched p_T^{jet}

Compare pp and PbPb with the same p_T^γ



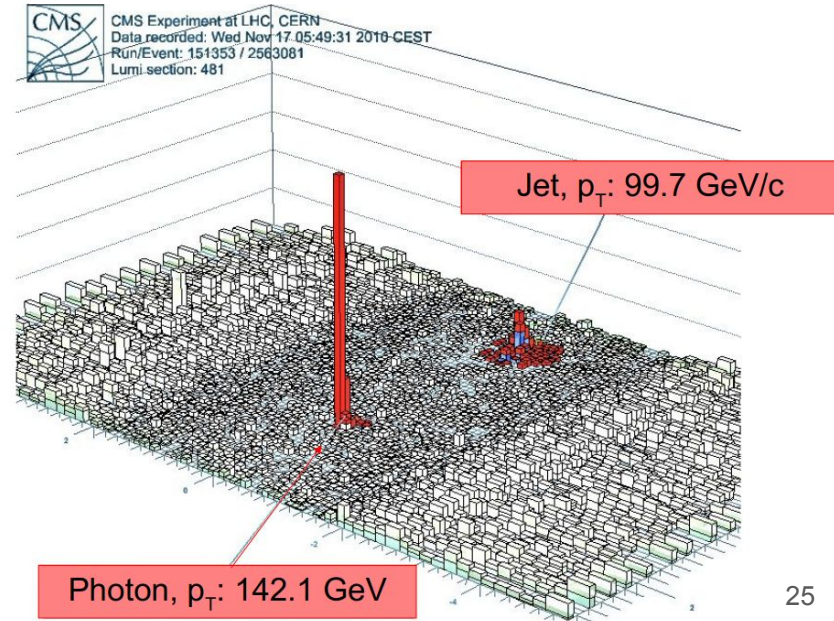
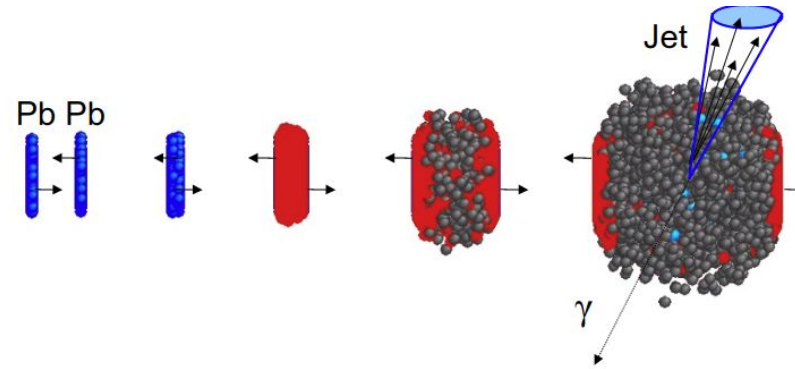
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Measurement setup:

- Isolated photon with $p_T^\gamma > 100$ GeV with $|\eta^\gamma| < 1.44$
- anti- k_T jets with $R = 0.2$, $\Delta\phi_{\gamma,\text{jet}} > \frac{2}{3}\pi$ and $|\eta^{\text{jet}}| < 2$
- $R_g(z_{\text{cut}} = 0.2, \beta = 0)$ and jet girth $g = \frac{1}{p_T^{\text{jet}}} \sum_i p_T^i \Delta R_{i,\text{jet}}$



Jet substructure using photon-tagged jets

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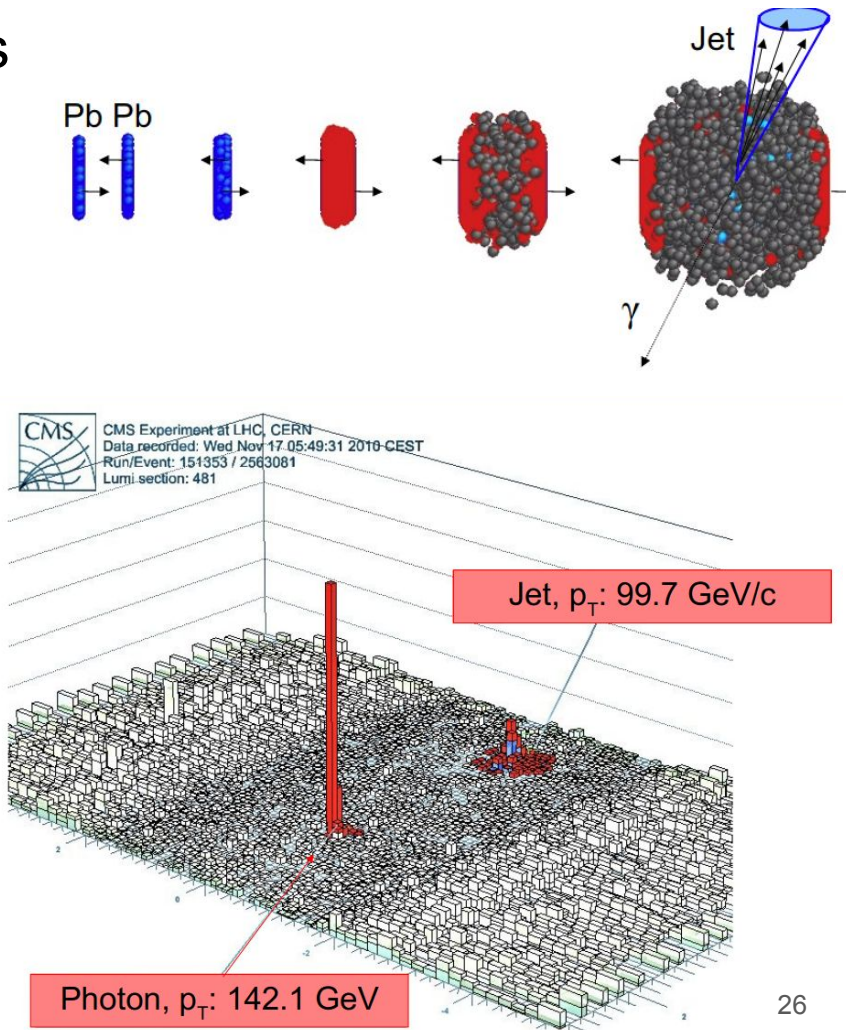
Two categories for measurement:

$p_T^{\text{jet}}/p_T^{\text{photon}} > 0.4$ (quenched and nonquenched jets)

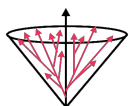
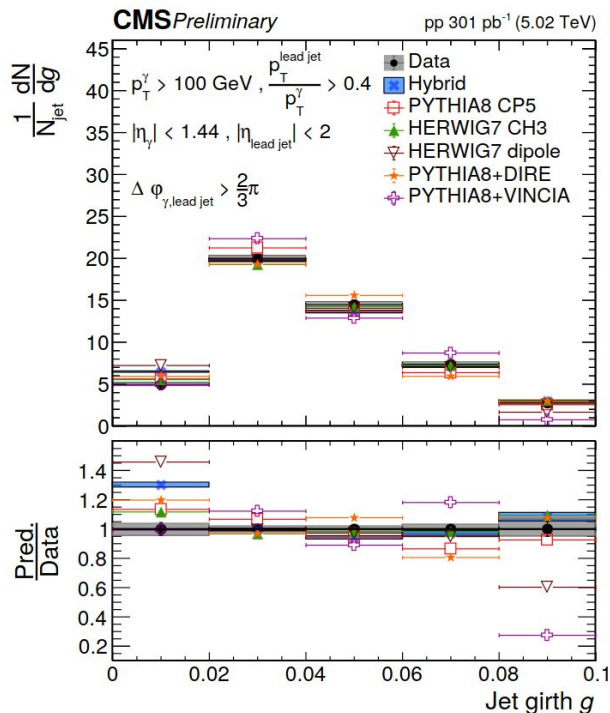
$p_T^{\text{jet}}/p_T^{\text{photon}} > 0.8$ (less quenched jets)

Bkg from neutral meson diphoton decays
subtracted with template fits and ABCD method

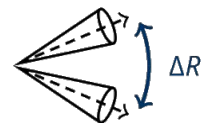
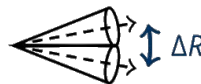
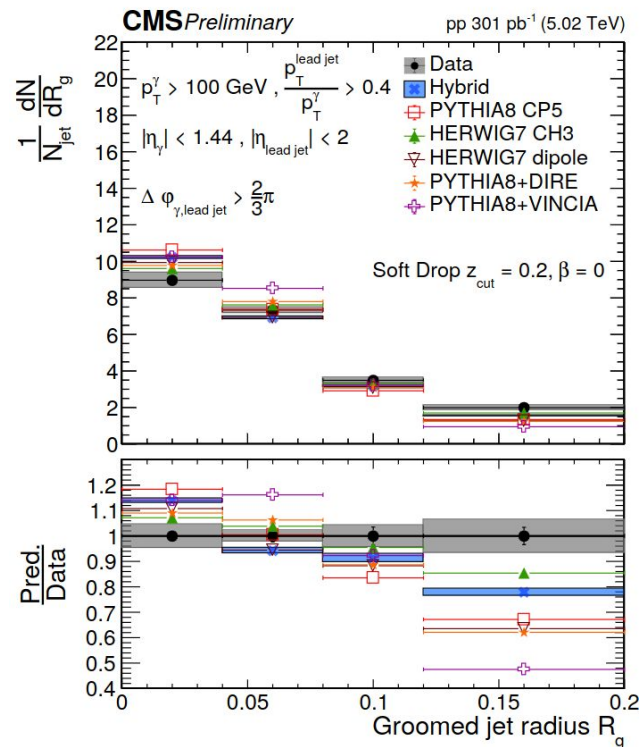
corrections with D'Agostini unfolding



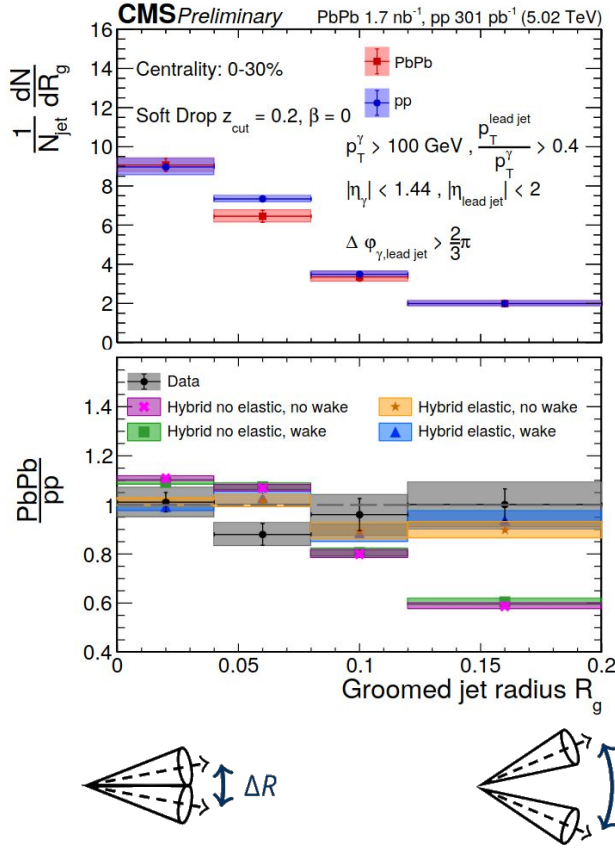
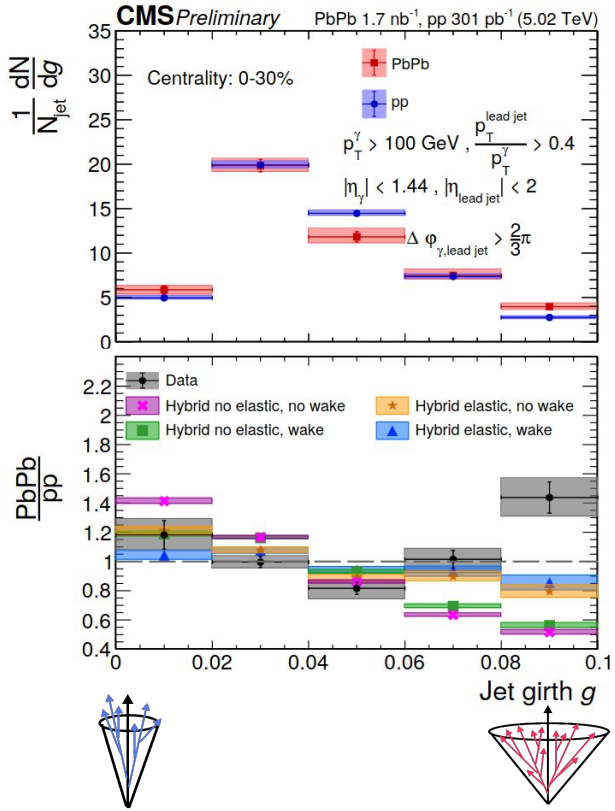
Photon-tagged jets with $p_T^{\text{jet}}/p_T^\gamma > 0.4$ (proton-proton) **CMS-PAS-HIN-23-001, link soon**



Differences of data and MC simulation of factors of up to 2
 best global description by **HERWIG7 angular-ordered (CH3)**



Photon-tagged jets with $p_T^{\text{jet}}/p_T^\gamma > 0.4$ in **PbPb** and **pp** (selecting quenched and less quenched jets)

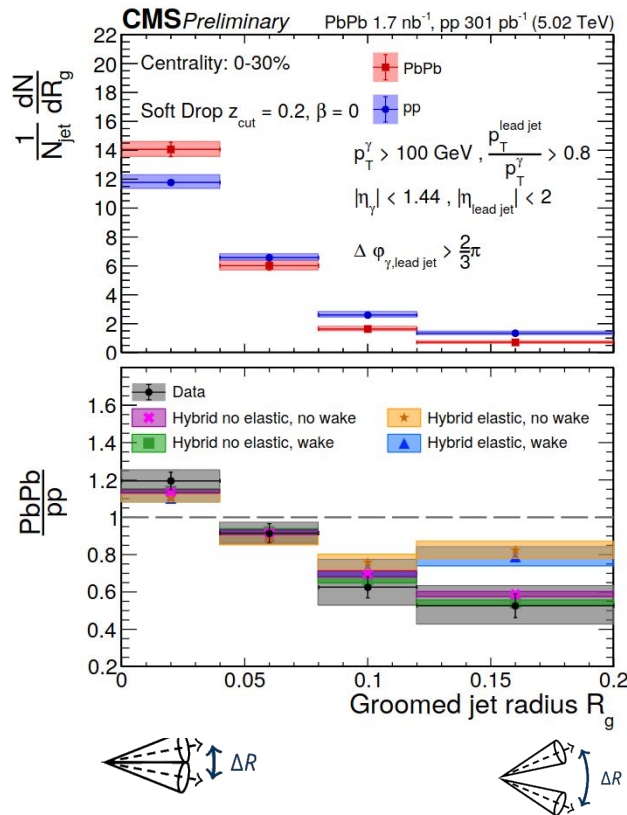
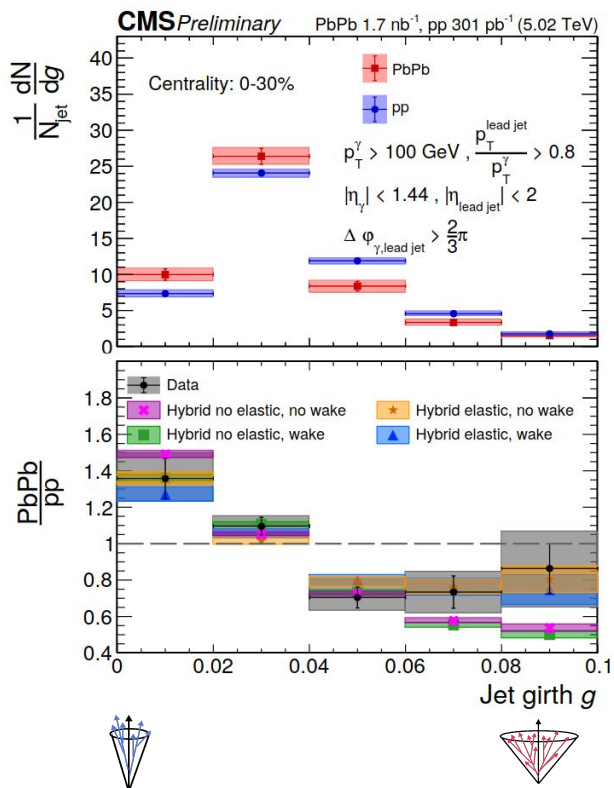


No narrowing

No angular narrowing observed in γ +jet events in contrast to inclusive jets

With stronger selection bias $p_T^{\text{jet}}/p_T^\gamma > 0.8$

CMS-PAS-HIN-23-001, [link soon](#)



Narrowing

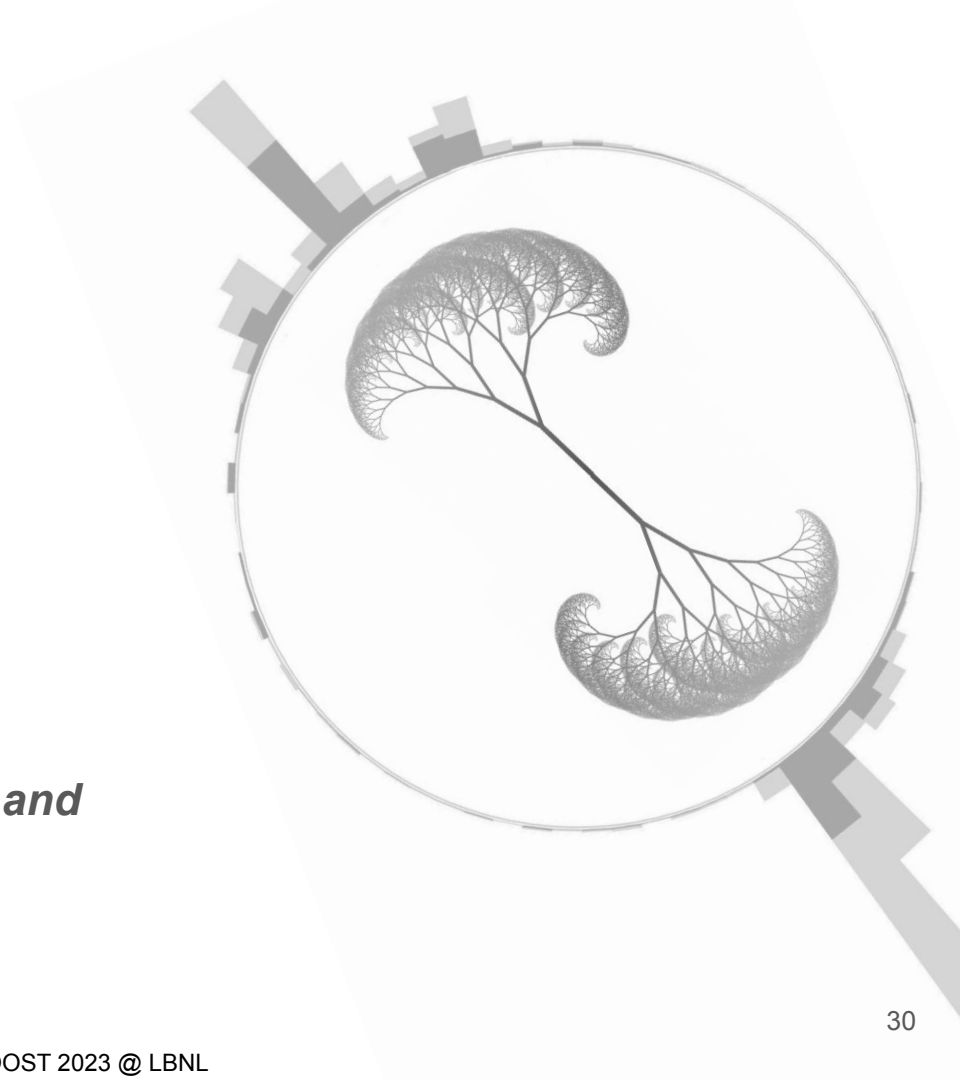
Predictions w/ Molière scatterings (large-angle deflections) give best global description ($\vartheta_c = 0$). No sensitivity to wake effect. [J. Casalderrey-Solana et al, JHEP01\(2020\)044](#)

Summary

- Accessing internal dynamics of jets via the **primary Lund jet plane** ([CMS-PAS-SMP-22-007](#))
- For substructure in heavy ions, it's crucial to mitigate selection biases

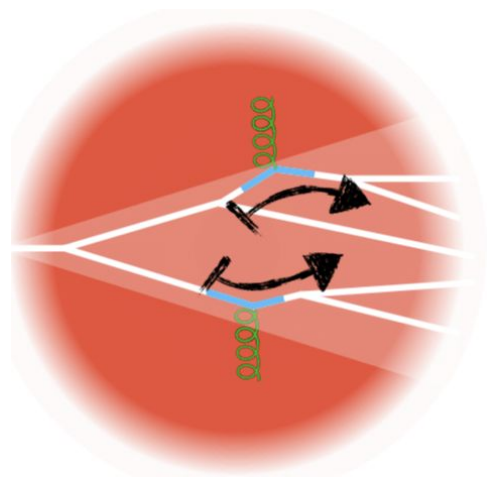
One path is via photon-tagged jets

([CMS-PAS-HIN-23-001](#), *link soon on CDS and CMS public pages*)

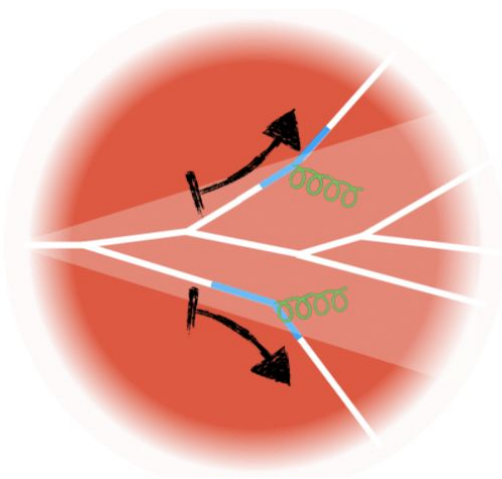


Looking deeper into the jet shower itself

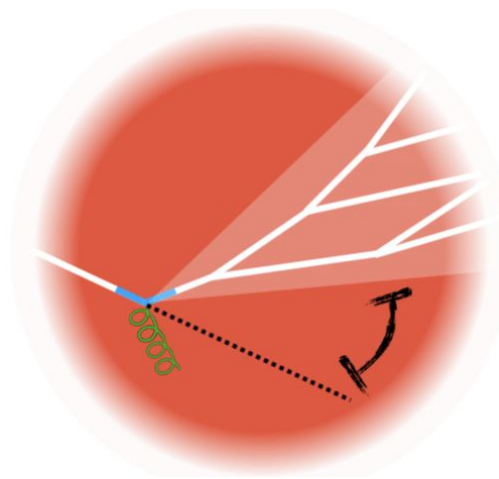
Medium induced radiation



Energy redistribution ("loss")



Point-like scatterers
in the QGP (Quasi-particles)



sketch from Rey Cruz

jet substructure techniques can be used to expose these and other effects

Systematic uncertainties in photon-tagged events

Dominant:

**Shower & hadronization
model uncertainty**

**PF scale uncertainties (using all PF
candidates)**

Subdominant:

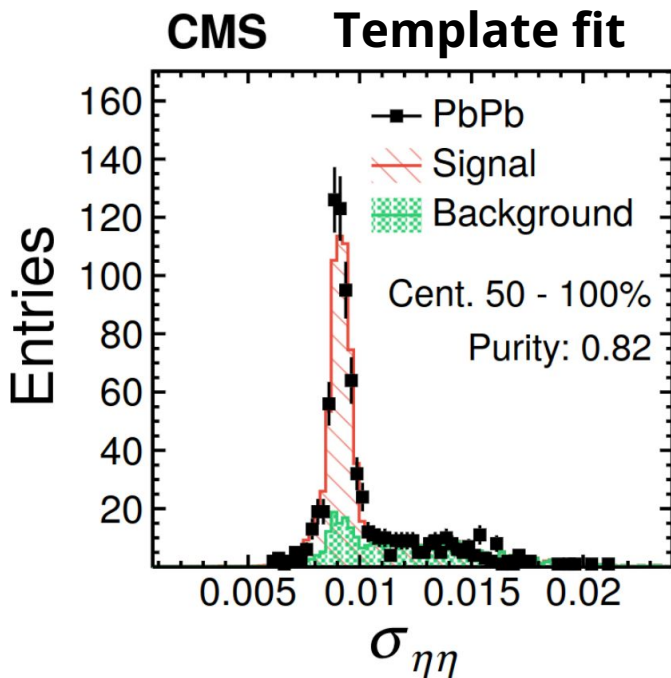
Decay photons bkg subtraction

Response matrix stats

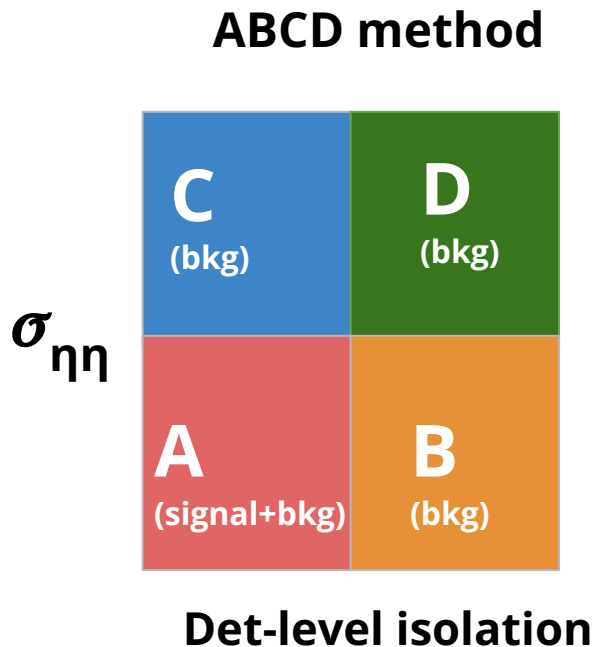
**Jet energy scale and resolution
uncertainties**

UE modeling (PbPb)

Photon bkg. subtraction ($h^0 \rightarrow \gamma\gamma$)



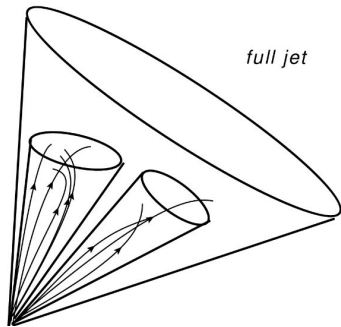
[Plot from Phys. Lett. B 785 \(2018\) 14](#)



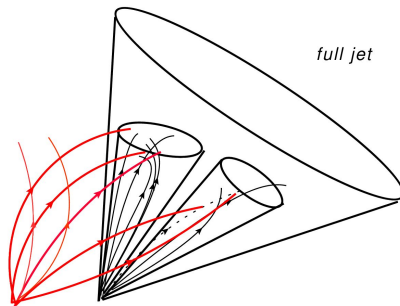
$|\mathbf{p}^{\text{part}}| < 5 \text{ GeV}$, $|\mathbf{p}^{\text{part}}|$ scalar sum of p_T in a cone of 0.4 with respect to the photon

Primary emissions in PbPb collisions

Subjets w/o UE (no embedding)



Subjets w/ UE (embedded)



Large UE in PbPb reduces purity of hard splittings at large ΔR

→ harder to interpret and to correct

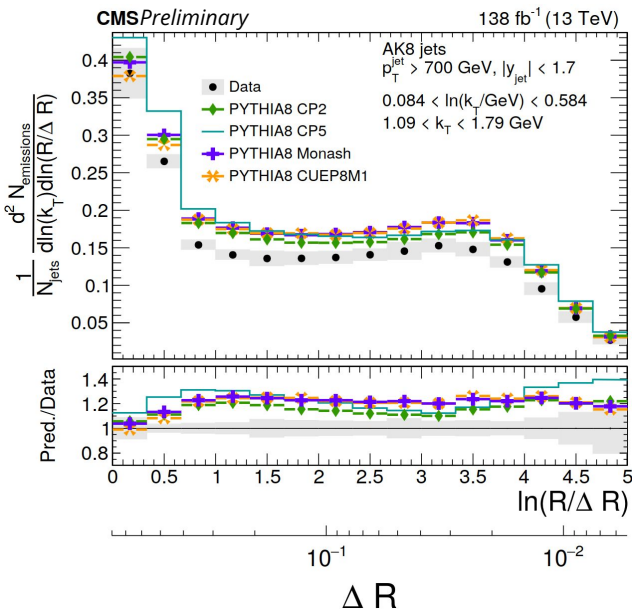
In PbPb, one strategy is to measure one-splitting observables and use small R jets (or higher p_T^{jet})



$R=0.8$

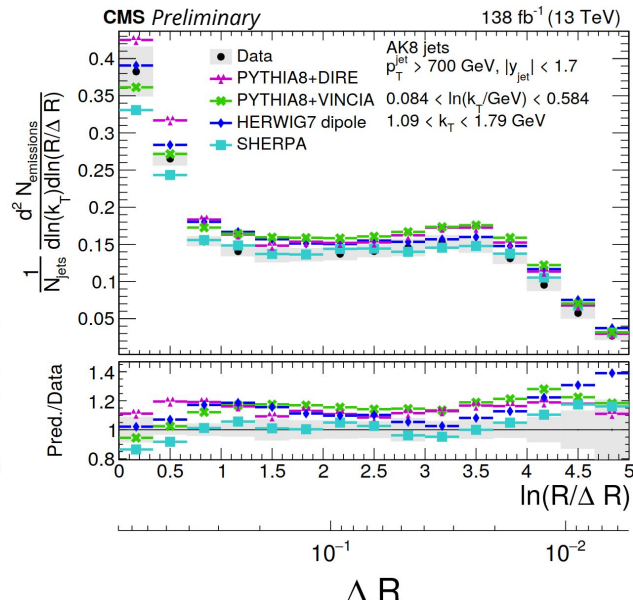
Low- k_T (hadronization + MPI)

CMS-PAS-SMP-22-007



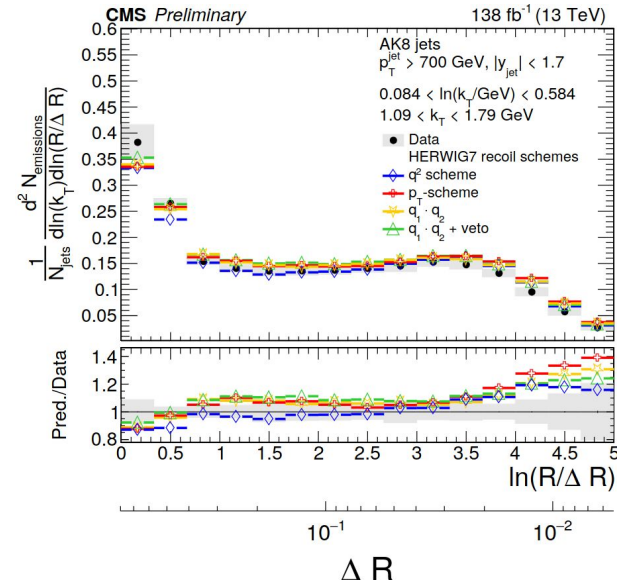
PYTHIA8 tunes

(CP2, CP5, Monash, CUep8m1)



Dipole showers

(Vincia, Dire, Herwig7Dipole, Sherpa)



Herwig7.2 recoil schemes
 (angle-ordered)

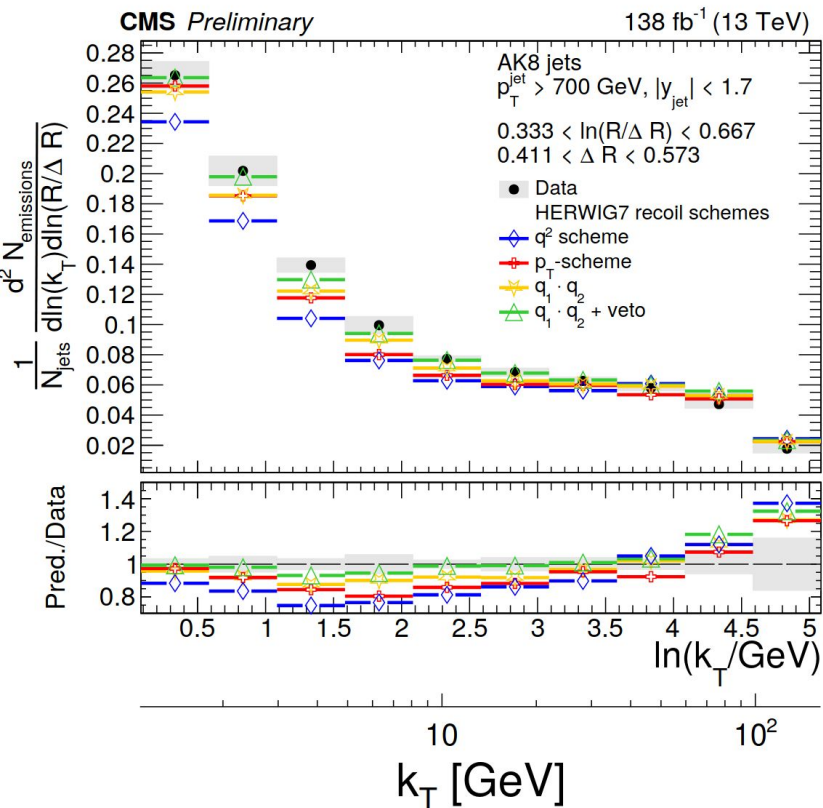
PYTHIA8 systematically overshoots LJP at low k_T by 15-20%, regardless of tune or parton shower option

HERWIG7 & Sherpa generally do better. Cluster vs string fragmentation?

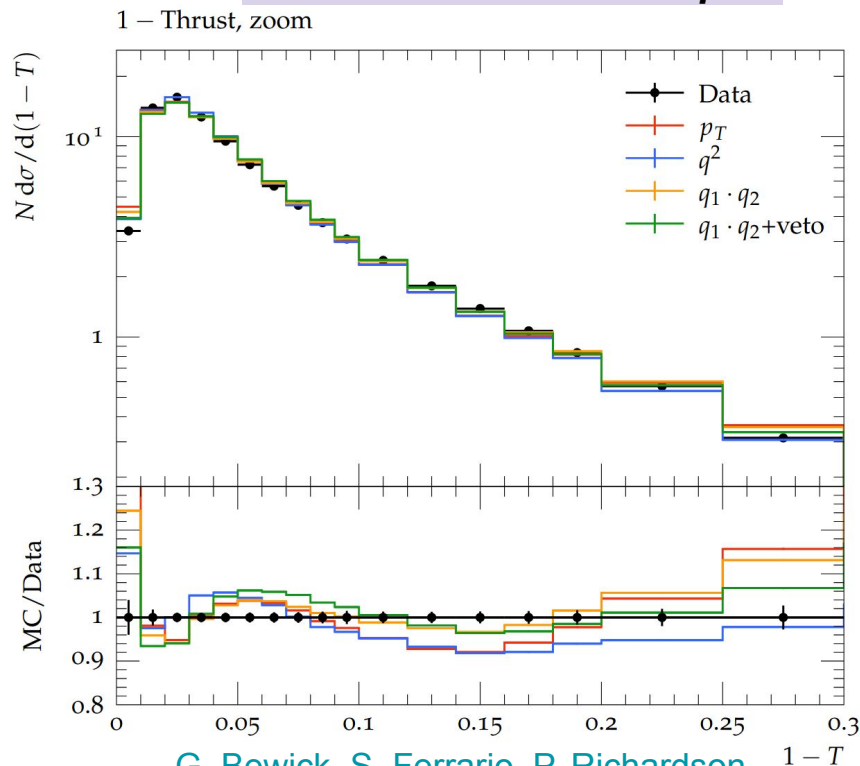
NB: different $p_{\text{FSR, cutoff}}$ between MCs

Sensitivity to [recoil scheme](#) choice, important ingredient to reach NLL accurate showers

high- p_T quark and gluon jets



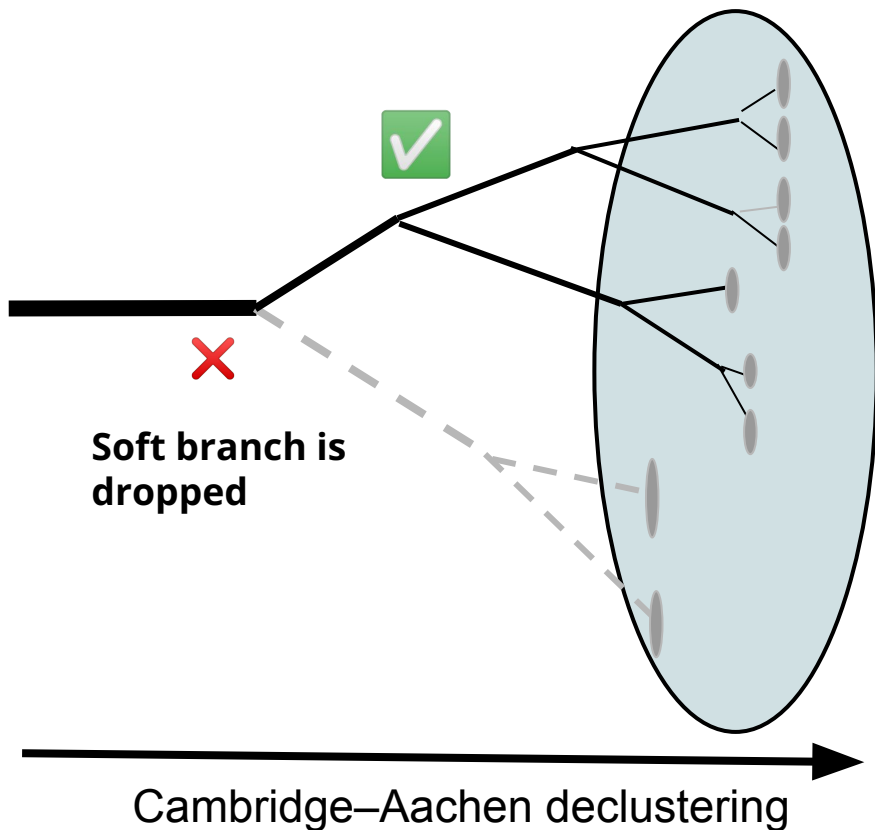
Thrust in e^+e^- at Z mass pole



[G. Bewick, S. Ferrario, P. Richardson,
 M. H. Seymour, arXiv:1904.11866](#)

LJP data favors $q_1 q_2 + \text{veto}$ scheme, consistent with trends in event shape variables at LEP

(Intermezzo) soft-drop grooming algorithm



1. Jet is reclustered with Cambridge–Aachen (CA), which clusters particles with **angular ordering**
2. Follow the CA clustering history in reverse. Check if the branch satisfies the soft-drop condition:

$$z = p_{\text{T}}^{\text{softer}} / (p_{\text{T}}^{\text{softer}} + p_{\text{T}}^{\text{harder}}) > z_{\text{cut}} (\Delta R/R)^{\beta}$$

(a typical choice is $z_{\text{cut}} = 0.1, \beta = 0$)

If the splitting fails the SD condition, the branch is removed

3. Repeat 2 until SD condition is satisfied, which yields a **soft-drop groomed jet**

CMS-PAS-SMP-22-007

CMS Preliminary

- 138 fb⁻¹ (13 TeV)

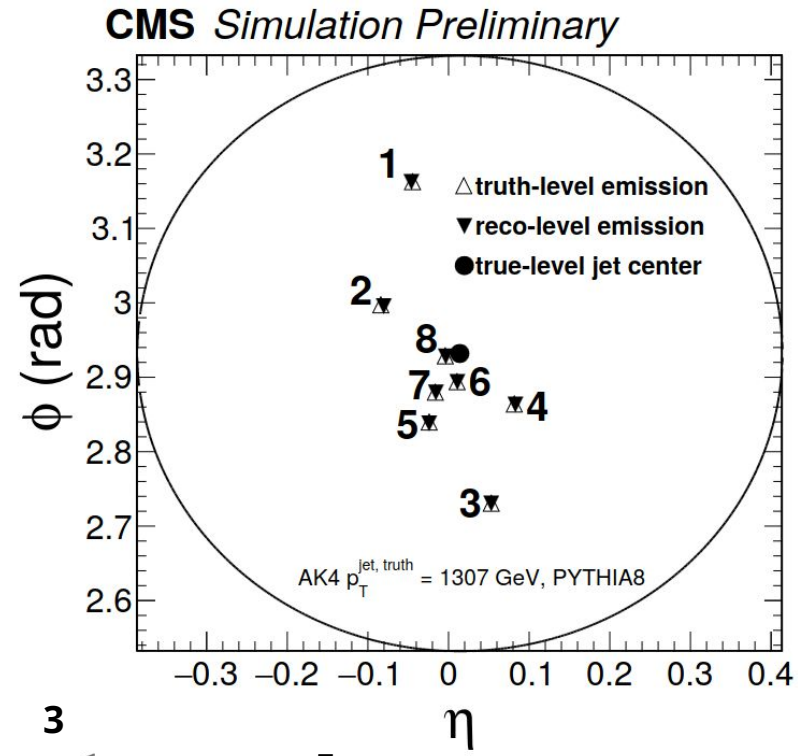
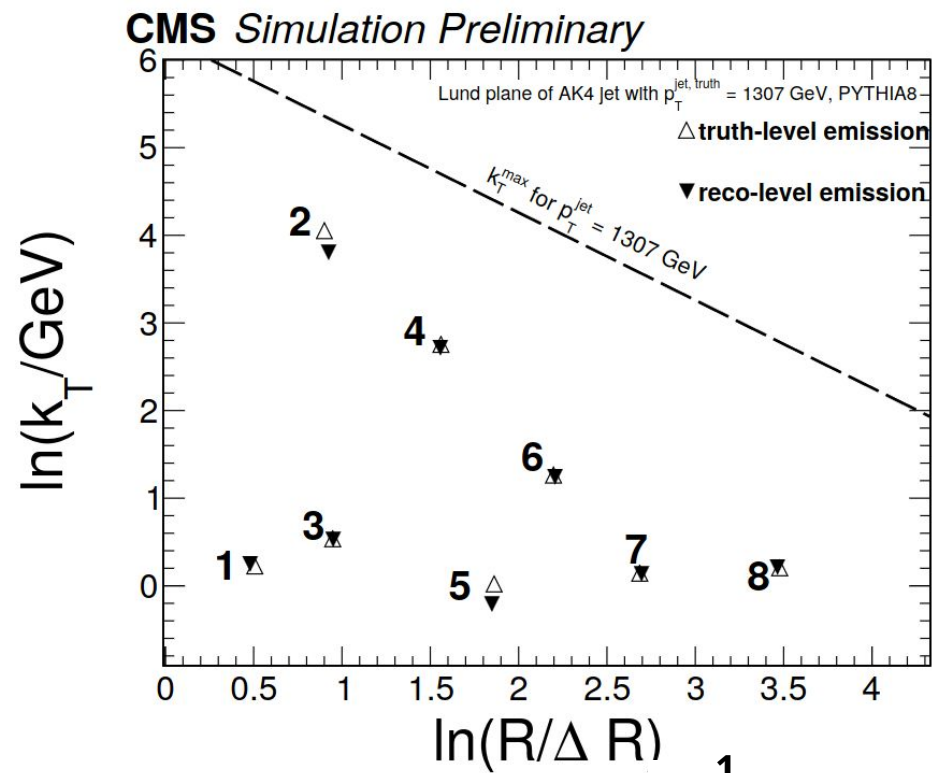


smearing becomes more important at high k_T

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Matching emissions at detector level and particle level

Migration matrix and other MC-based corrections derived from matched part-level and det-level splittings.



CMS-PAS-SMP-22-007

