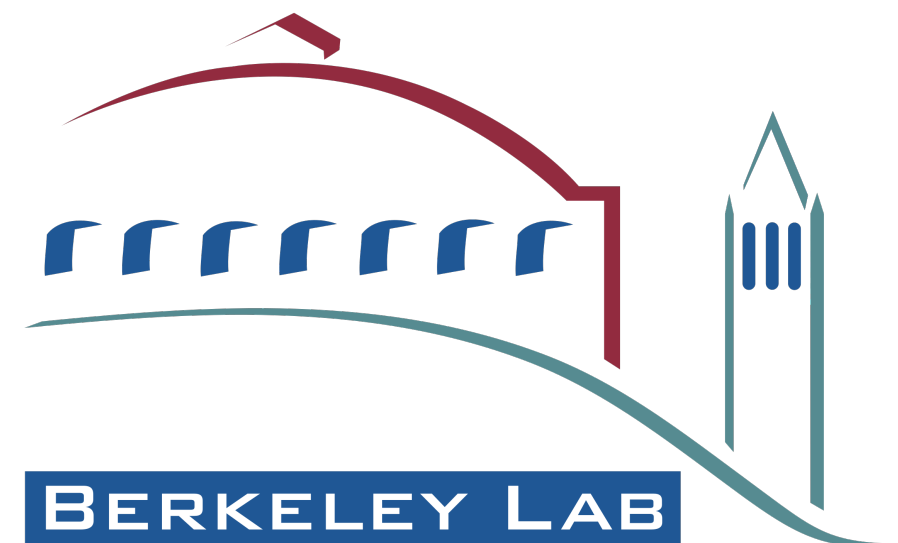


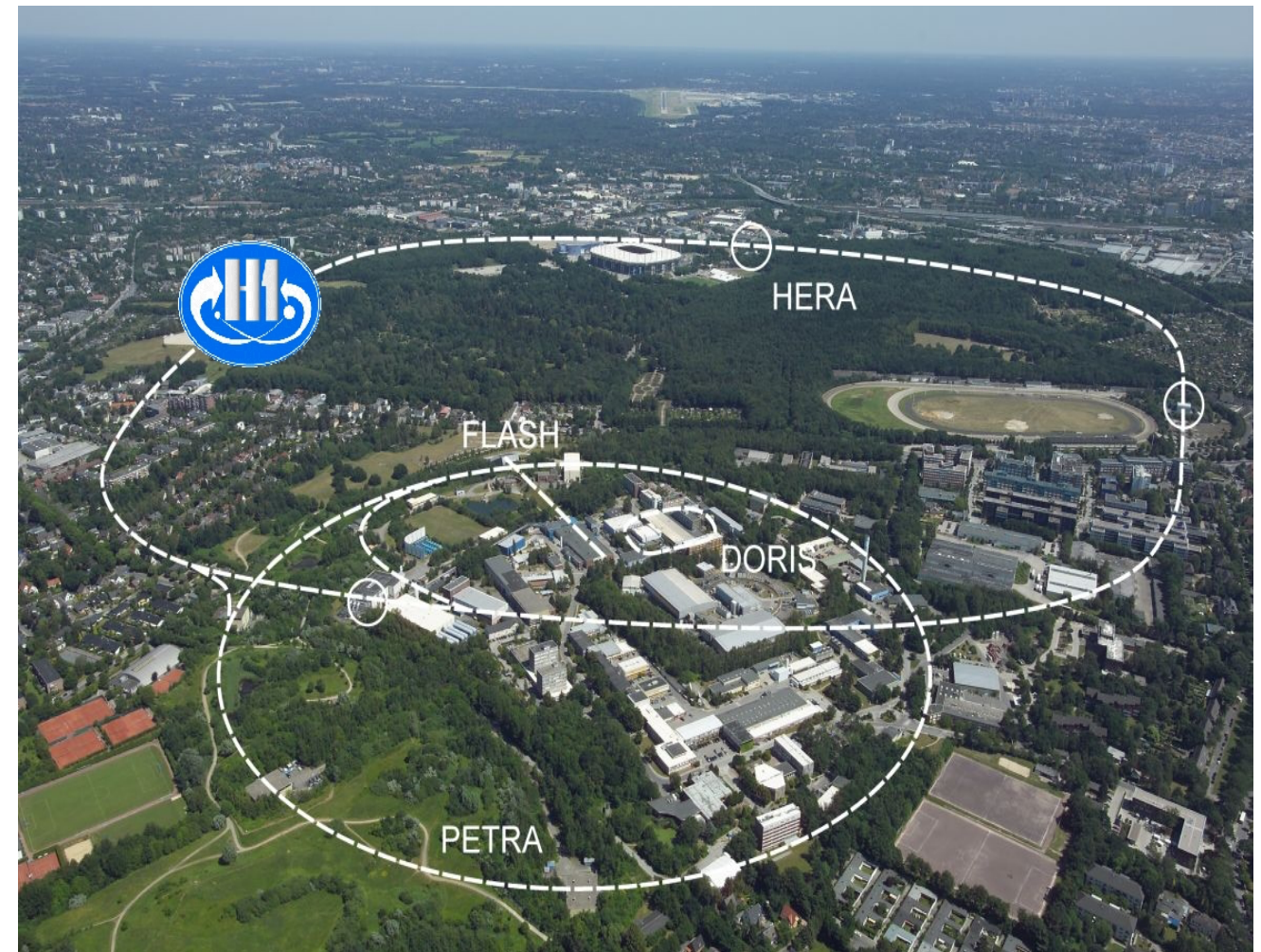
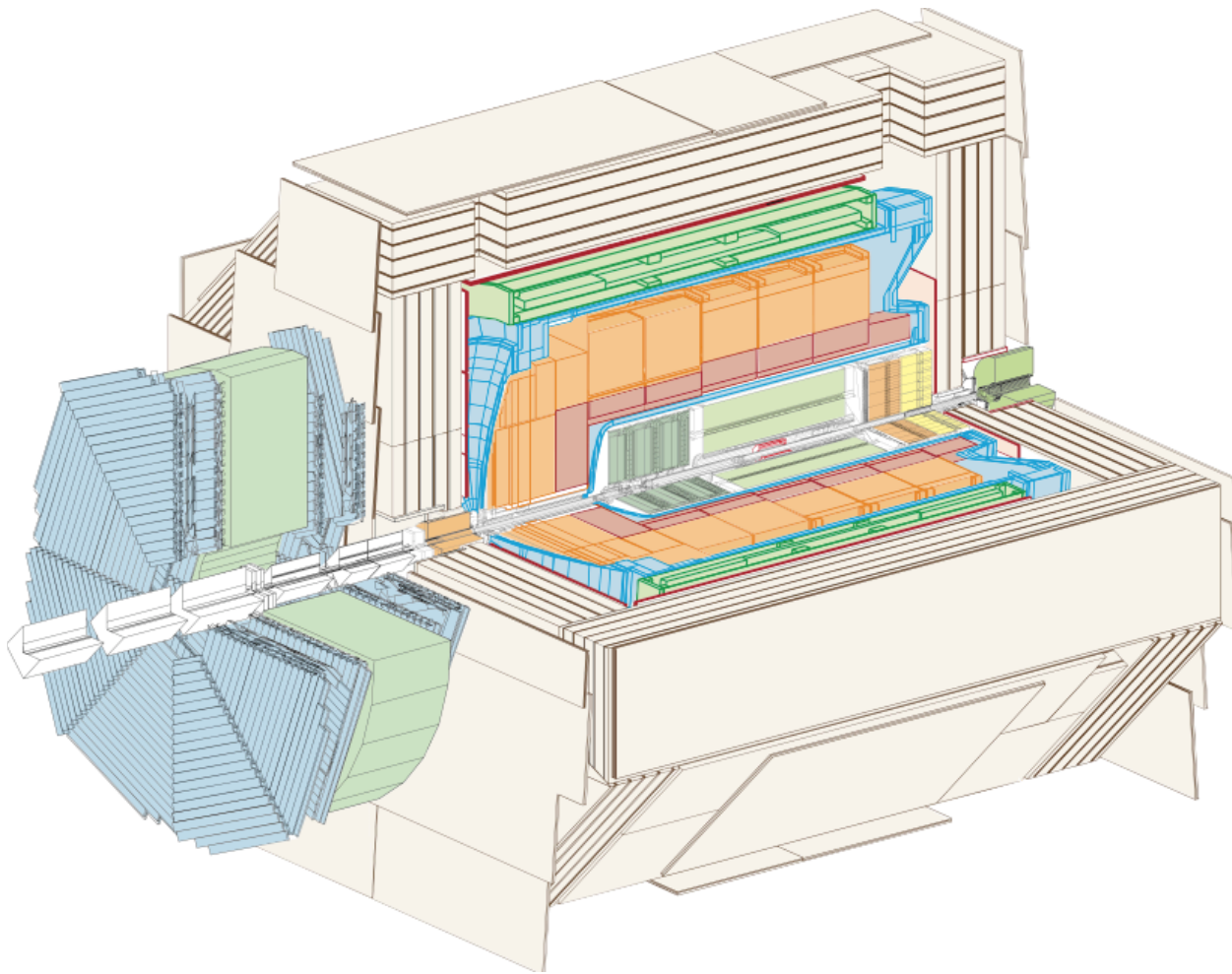
Lepton-Jet Azimuthal Asymmetry in H1 using MultiFold

Fernando Torales Acosta
Benjamin Nachman

on behalf of the H1 Collaboration



H1 at HERA



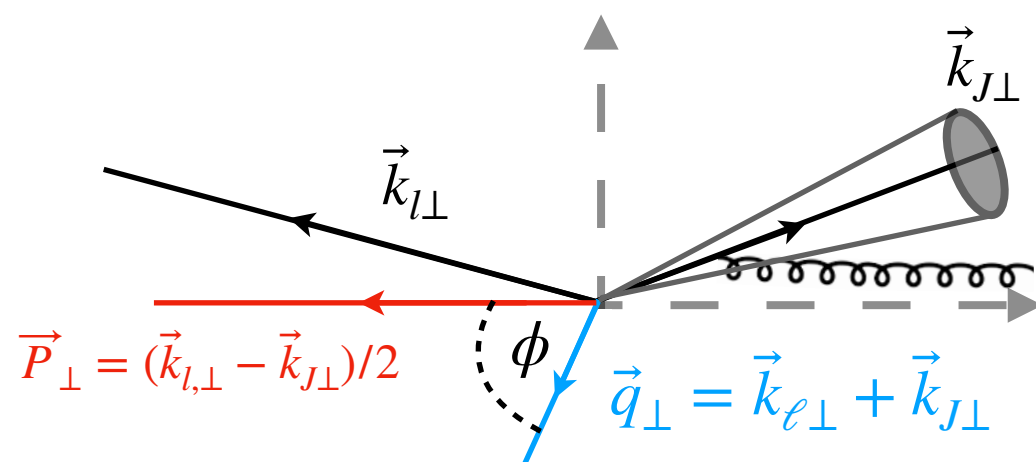
- **H1 Detector at the positron-proton collider, HERA. Hosted in Hamburg Germany**
- **Major goal was to study internal structure of the proton through deep inelastic scattering**

$$e(k) + q(p_1) \rightarrow e'(k_\ell) + jet(k_J) + X$$

Lepton Jet Asymmetry

Key Ingredients:

- $q_{\perp} = \textbf{Total}$ transverse momentum
- $P_{\perp} = \text{Transverse momentum difference}$
- $\phi = \text{Angle between } q_{\perp} \text{ and } P_{\perp}$



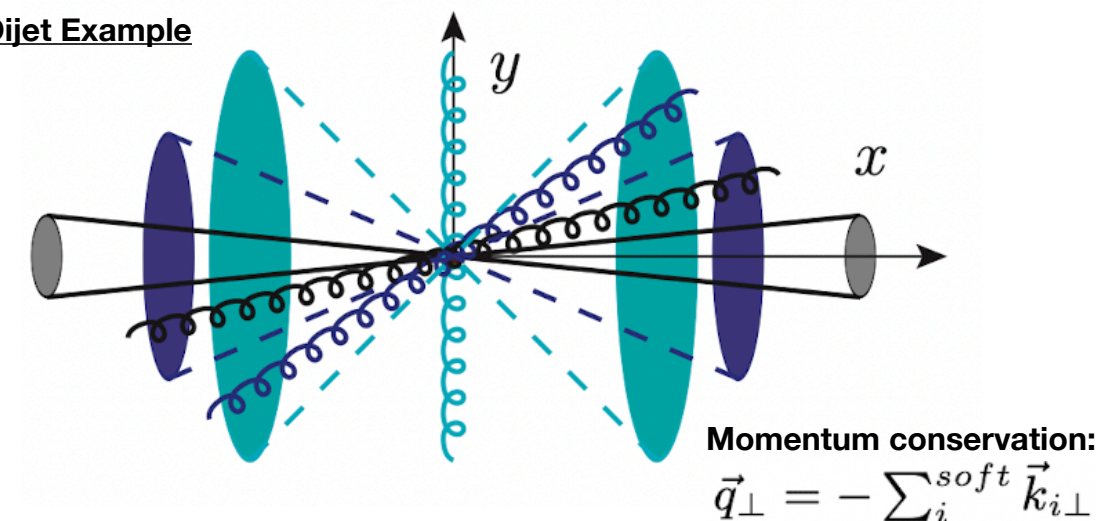
$$\vec{q}_{\perp} = \vec{k}_{\ell,\perp} + \vec{k}_{J,\perp}$$

$$\vec{P}_{\perp} = (\vec{k}_{\ell,\perp} - \vec{k}_{J,\perp}) / 2$$

$$\phi = \text{acos}[(\vec{q}_{\perp} \cdot \vec{P}_{\perp}) / |\vec{q}_{\perp}| |\vec{P}_{\perp}|]$$

$$\cos(\phi) = (\vec{q}_{\perp} \cdot \vec{P}_{\perp}) / |\vec{q}_{\perp}| |\vec{P}_{\perp}|$$

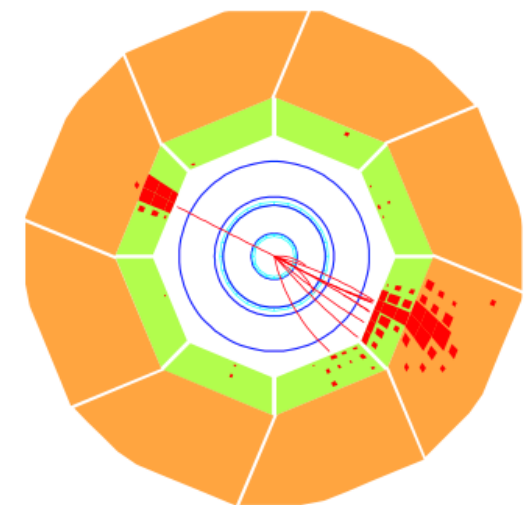
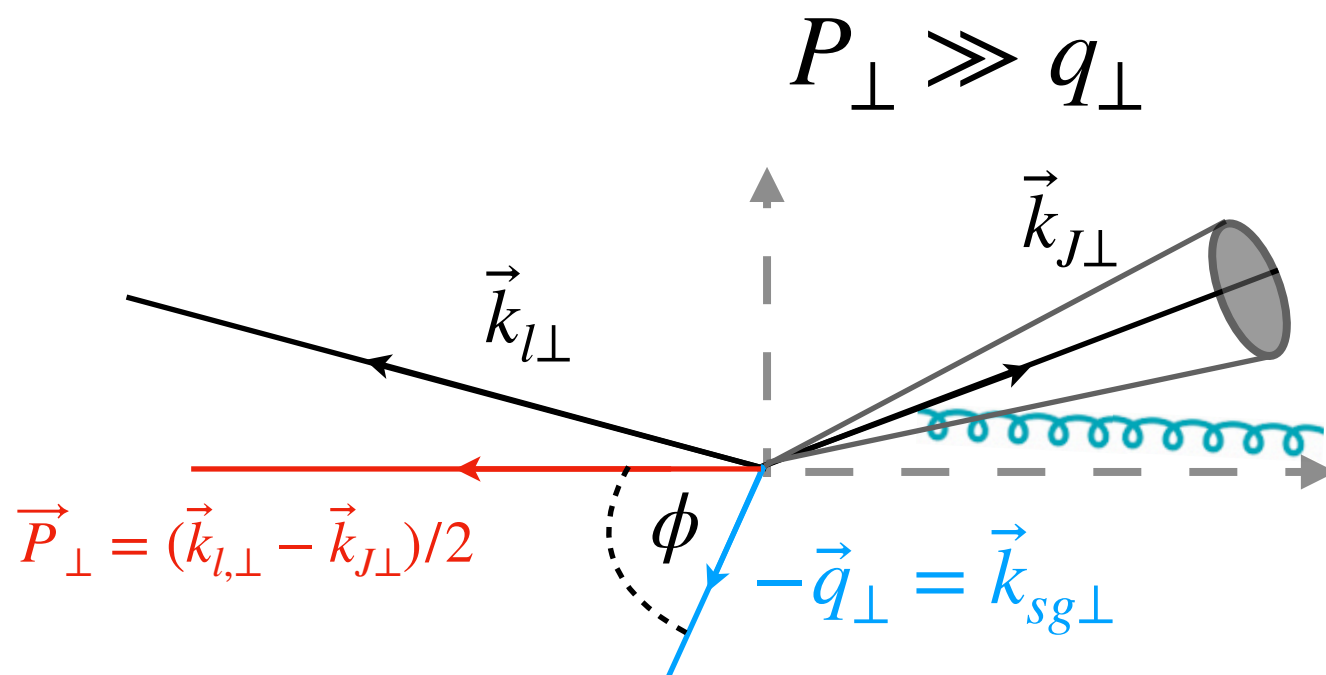
Dijet Example



k_i , and therefore q_{\perp} will tend to point in the direction of the jet
Darker colors indicate probability of gluon emission

Lepton Jet Measurement

- Total transverse momentum of the outgoing system $\vec{q}_\perp = \vec{k}_{\ell\perp} + \vec{k}_{J\perp}$, is typically *small but nonzero*
 - Significant interest in studying transverse momentum dependent (TMD) parton distributions
- Imbalance can come from soft gluon radiation
 - soft gluon with momentum $k_{\perp g}$
 - unrelated to TMDs or intrinsic transverse momentum of target gluons
- Depending on kinematics, soft gluon radiation can dominate
 - Radiative corrections enhanced approximately as $(\alpha_s \ln^2 P_\perp^2 / q_\perp^2)^n$



$$e(k) + q(p_1) \rightarrow e'(k_\ell) + jet(k_J) + X$$

Observable Motivation

1. Probes soft gluon radiation $S(g)$
 - Soft gluon radiation can be the primary contribution to asymmetry for certain kinematics
 - Asymmetry is Perturbative, test pQCD calculations
2. May represent a vital reference for other signals, in particular TMD PDF measurements
 - Large interest in Lepton-Jet Correlations to probe TMDs
 - In TMD factorization framework, one can factorize contributions from transverse momentum dependent (TMD) PDFs and Soft gluon radiation
3. Observable is sensitive to gluon saturation phenomena, potentially measurable at the EIC

$$\langle \cos(n\phi) \rangle \text{ for } n = 1, 2, 3$$

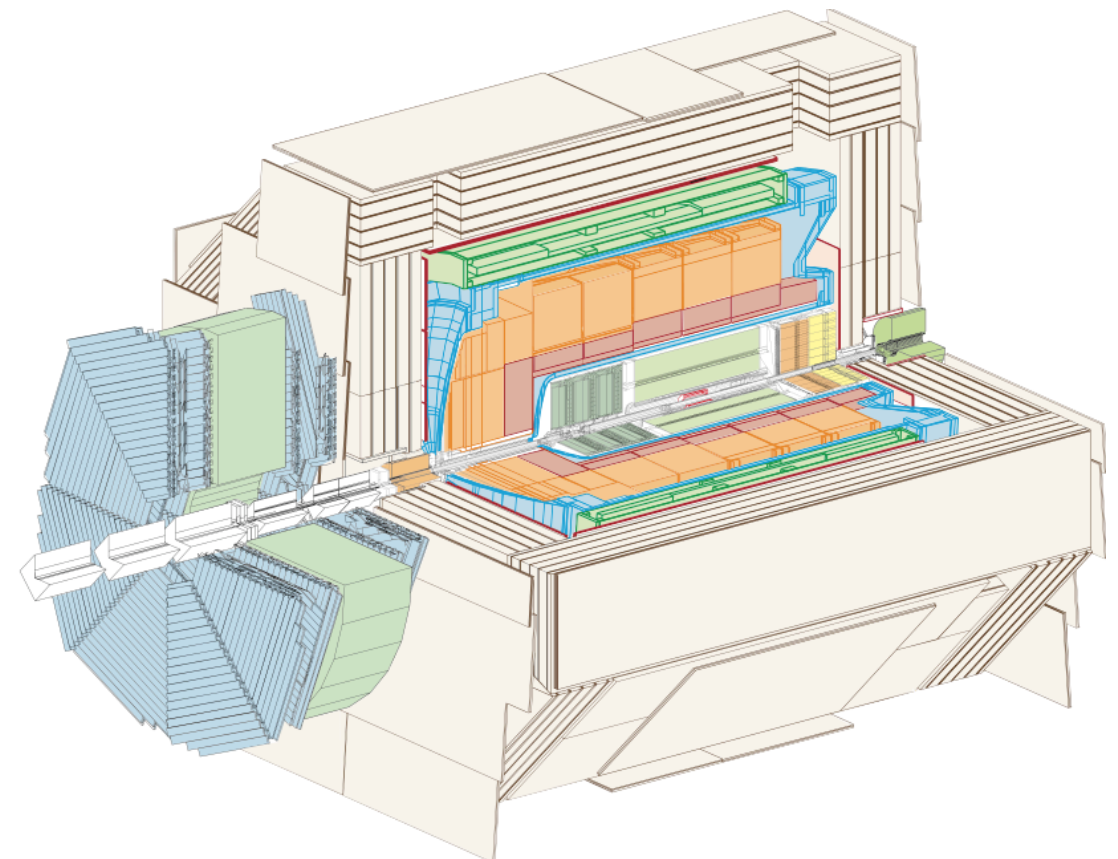
H1 Data

- Same data / selection / *unfolding* as [arXiv:2108.12376](https://arxiv.org/abs/2108.12376)
 - “Measurement of lepton-jet correlation in deep-inelastic scattering with the H1 detector using machine learning for unfolding”
- H1 Data from 2006 and 2007 periods at 130 pb^{-1}
 - Positron-proton collisions
- Fiducial Cuts:
 - $-1 < \eta_{\text{lab}} < 2.5$
 - $0.2 < y < 0.7$
 - $Q^2 > 150 \text{ GeV}^2$
 - $p_T^{\text{jet}} > 10 \text{ GeV}$
 - $k_T, R = 1.0$
 - $q_{\perp}/Q < 0.25$
 - $q_{\perp}/p_{T,\text{jet}} < 0.3$

Taking the *leading jet*

Cut on $q_{\perp}/p_{T,\text{jet}}$ to satisfy $P_{\perp} \gg q_{\perp}$:

$$p_{T,\text{jet}} \approx P_{\perp}/2$$

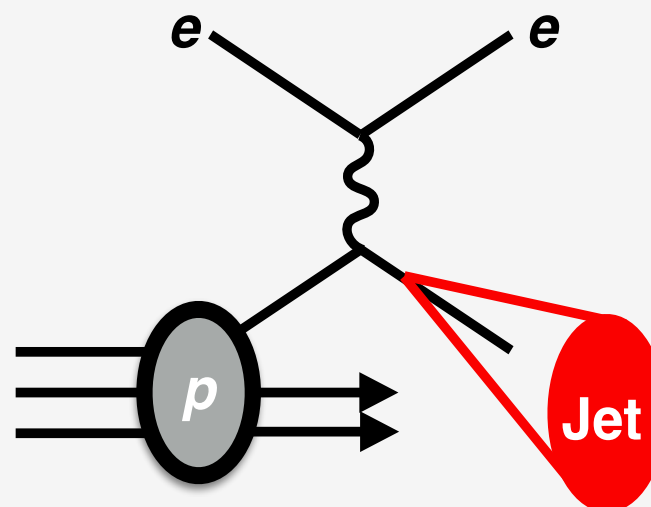
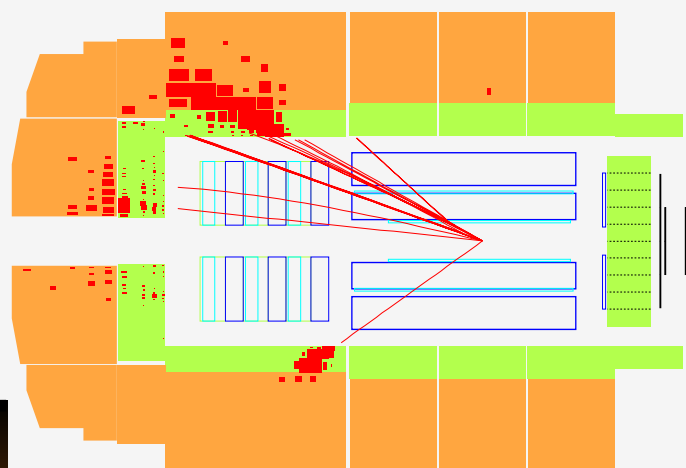


MultiFold

Detector-level

Particle-level

Nature



Step 1:

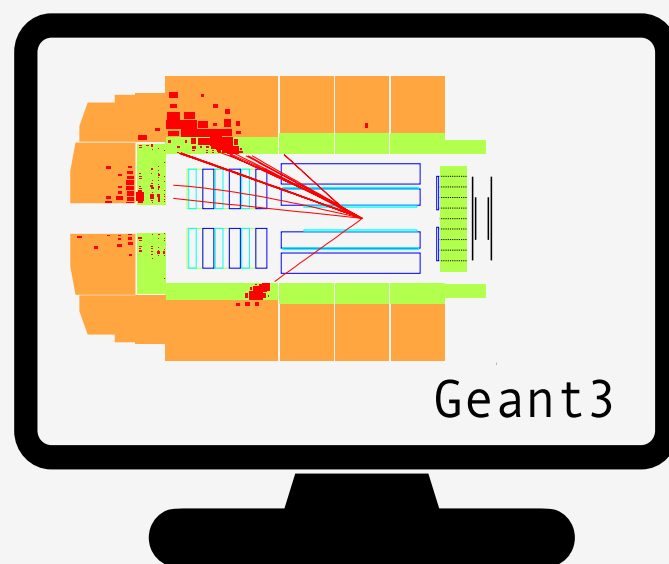
Reweight Sim. to Data

Pull
Weights

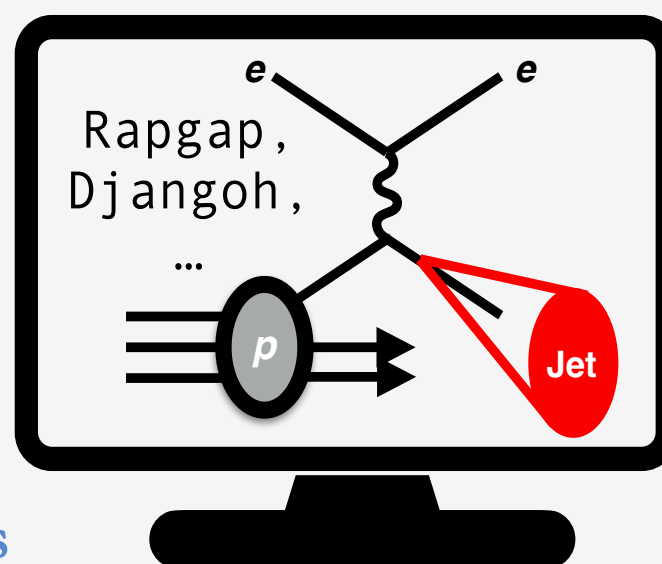
Step 2:

Reweight Gen.

Simulation



Push
Weights



2 step iterative approach

- Simulated events after detector interaction are re-weighted to match the data
- Create a “new simulation” by transforming weights to a proper function of the generated events

Machine learning is used to approximate 2 likelihood functions:

Reco MC to Data
reweighting

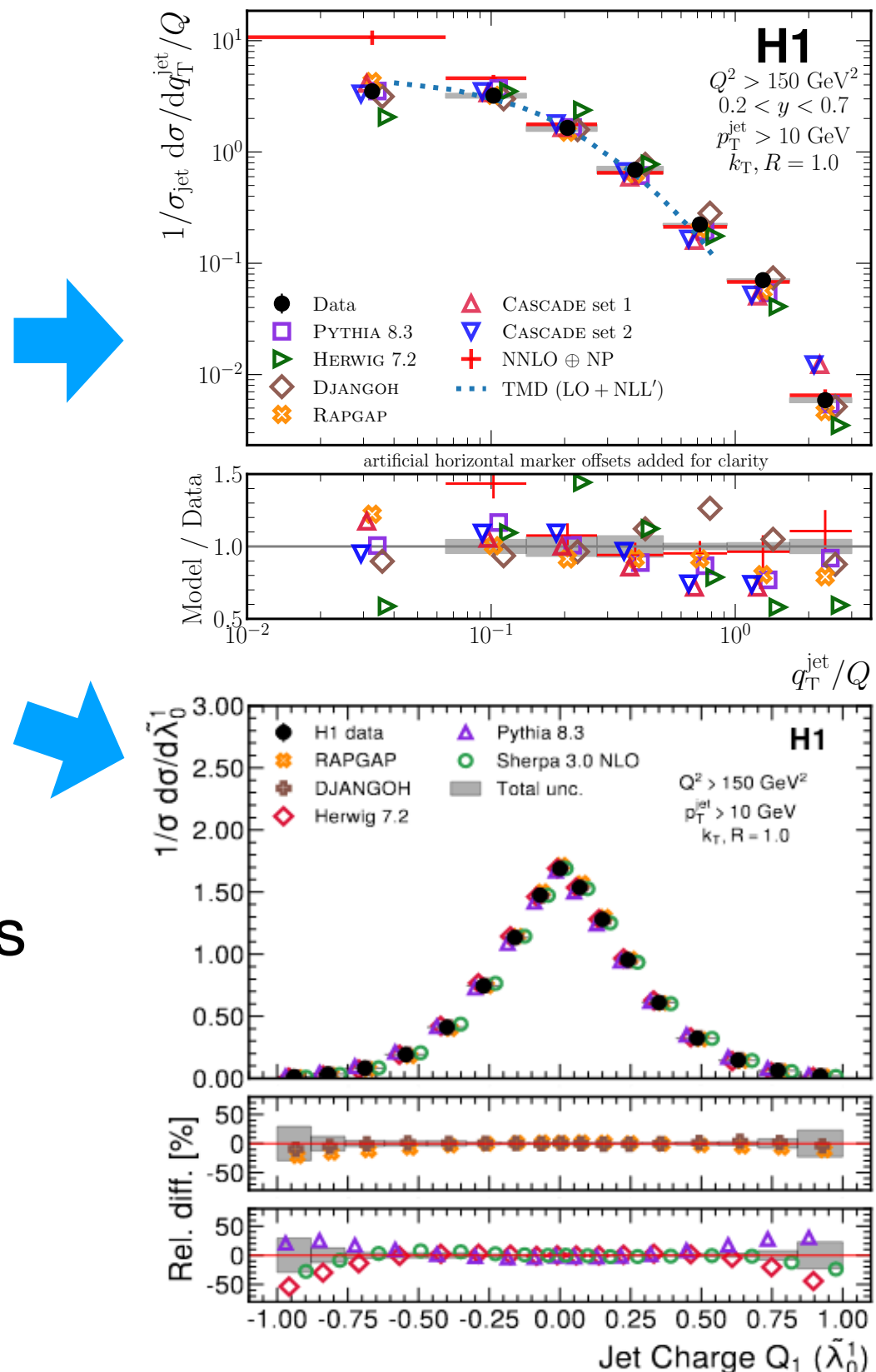
Previous and new Gen
reweighting

MultiFold Overview

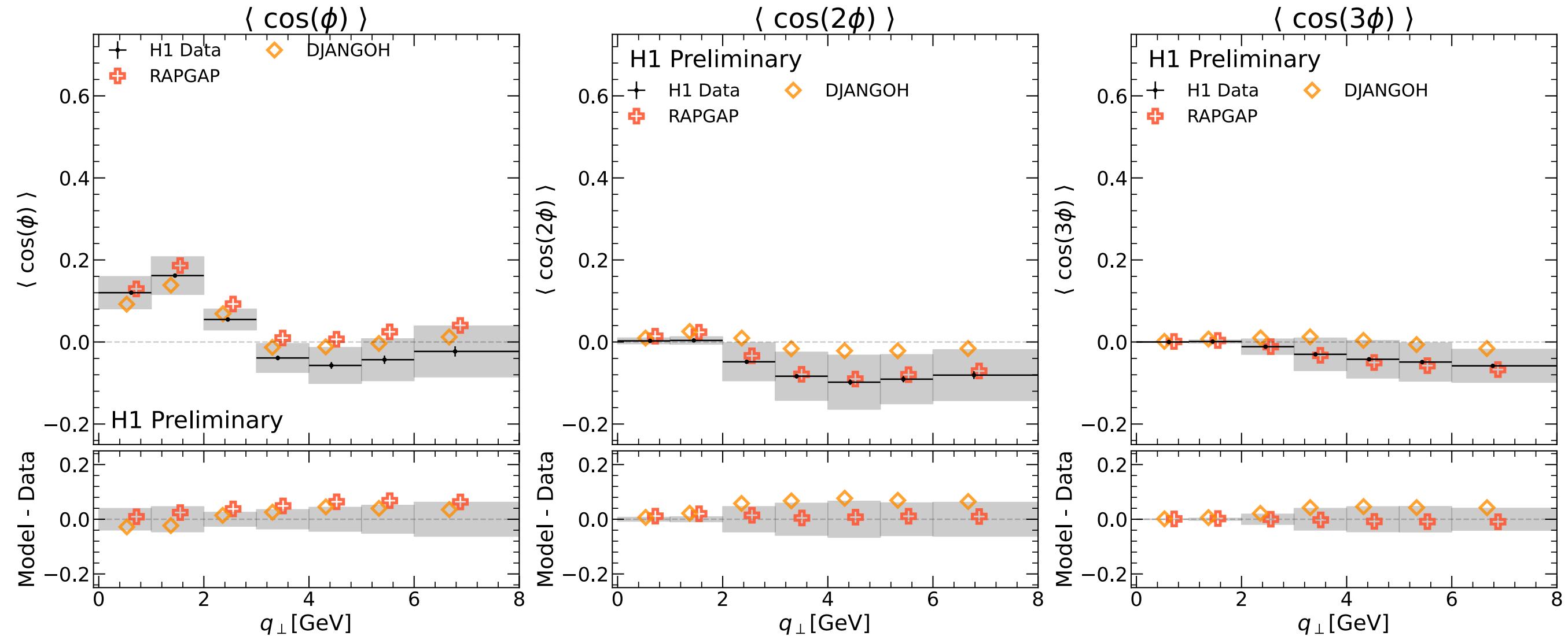
- Multi-dimensional, un-binned unfolding result
 - Lepton-Proton momentum imbalance
 - PhysRevLett.128.132002
- Jet constituent-level unfolding
 - Un-binned Deep Learning unfolding of Jet Substructure
 - [arXiv 2303.13620](https://arxiv.org/abs/2303.13620)
- Recycling of unfolded event weights
 - And measure *moments*

Multifold previously used to unfold:

$$p_x^e, p_y^e, p_z^e, p_T^{\text{jet}}, \eta^{\text{jet}}, \phi^{\text{jet}}, \Delta\phi^{\text{jet}}, q_T^{\text{jet}}/Q$$

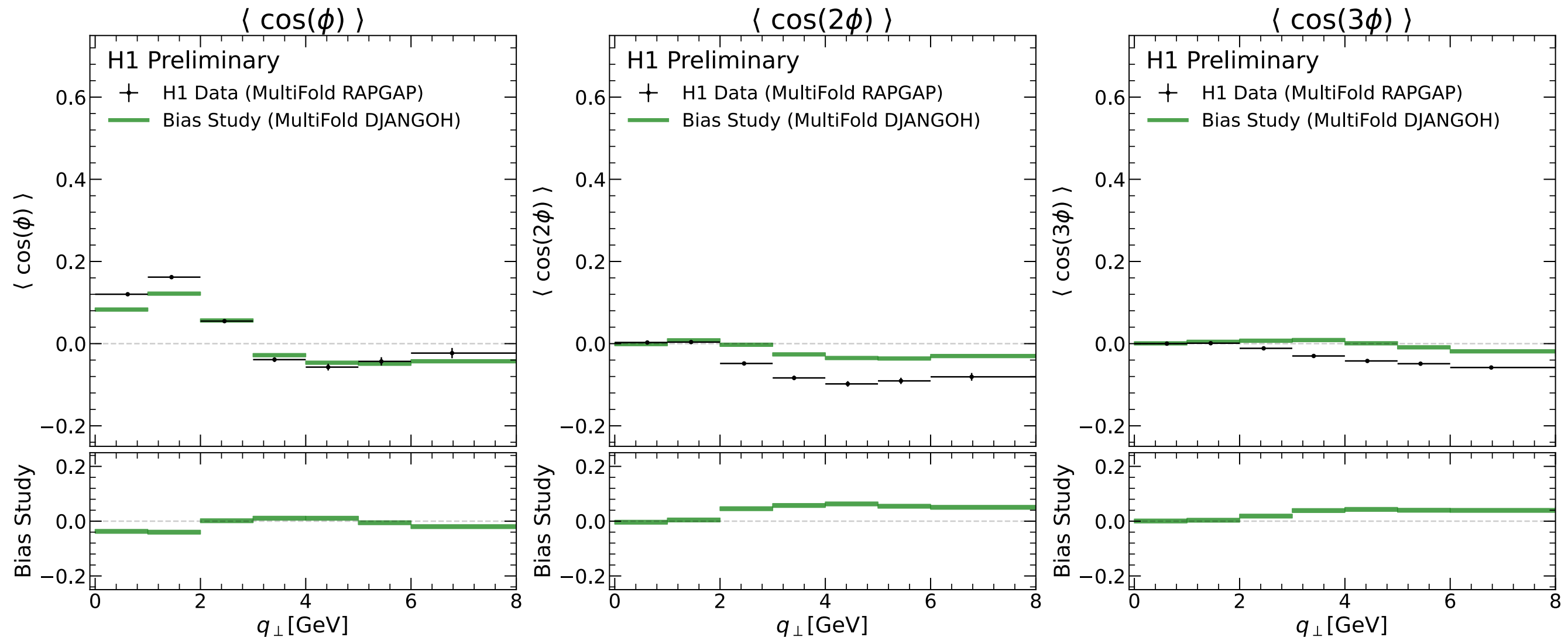


H1 Unfolded Data



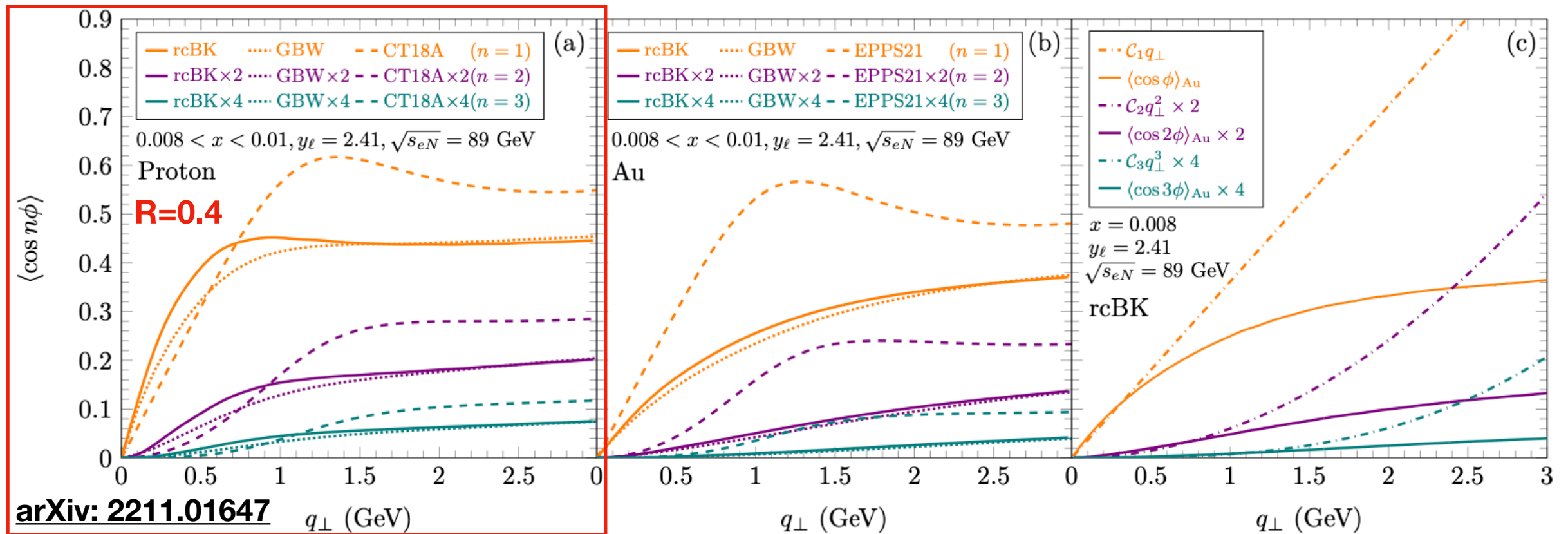
- Leading moment is $\langle \cos(\phi) \rangle$, expected in lepton-jet events
- All harmonics approach 0.0 at higher q_{\perp} , *may* compromise $P_{\perp} \gg q_{\perp}$
- Rapgap and Django, tuned to HERA II data, exhibit good agreement
- Note *small absolute* value of central values

Investigation of Model Bias vs. q_{\perp} [GeV]



- Leading uncertainty is model bias in the unfolding for $\cos(2\phi)$ and $\cos(3\phi)$
- Difference in the result when unfolding using RAPGAP and DJANGO
- Reporting Abs. Errors; central values are very close to 0.0
- The Total Uncertainty is quite stable between harmonics

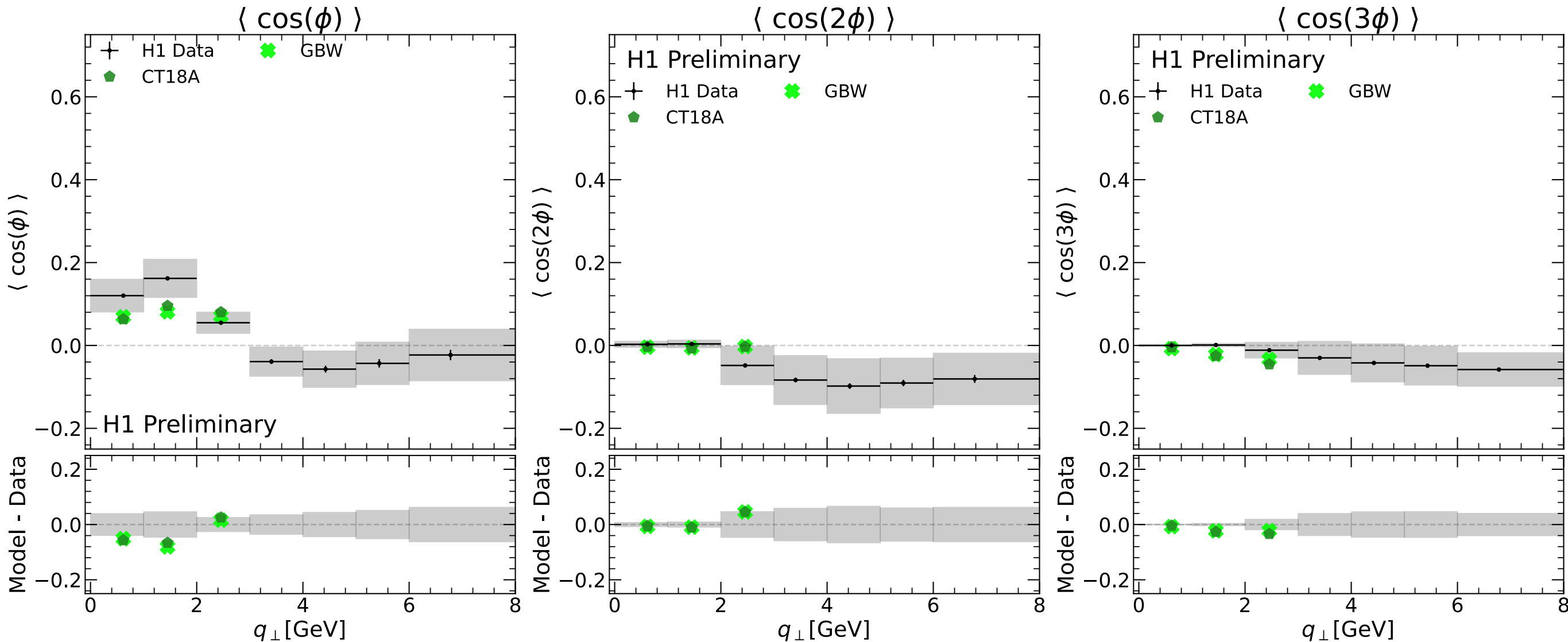
Even more interesting at EIC!



$$\vec{q}_\perp = \vec{k}_{\ell\perp} + \vec{k}_{J\perp} \quad \vec{P}_\perp = (\vec{k}_{\ell\perp} - \vec{k}_{J\perp}) / 2$$

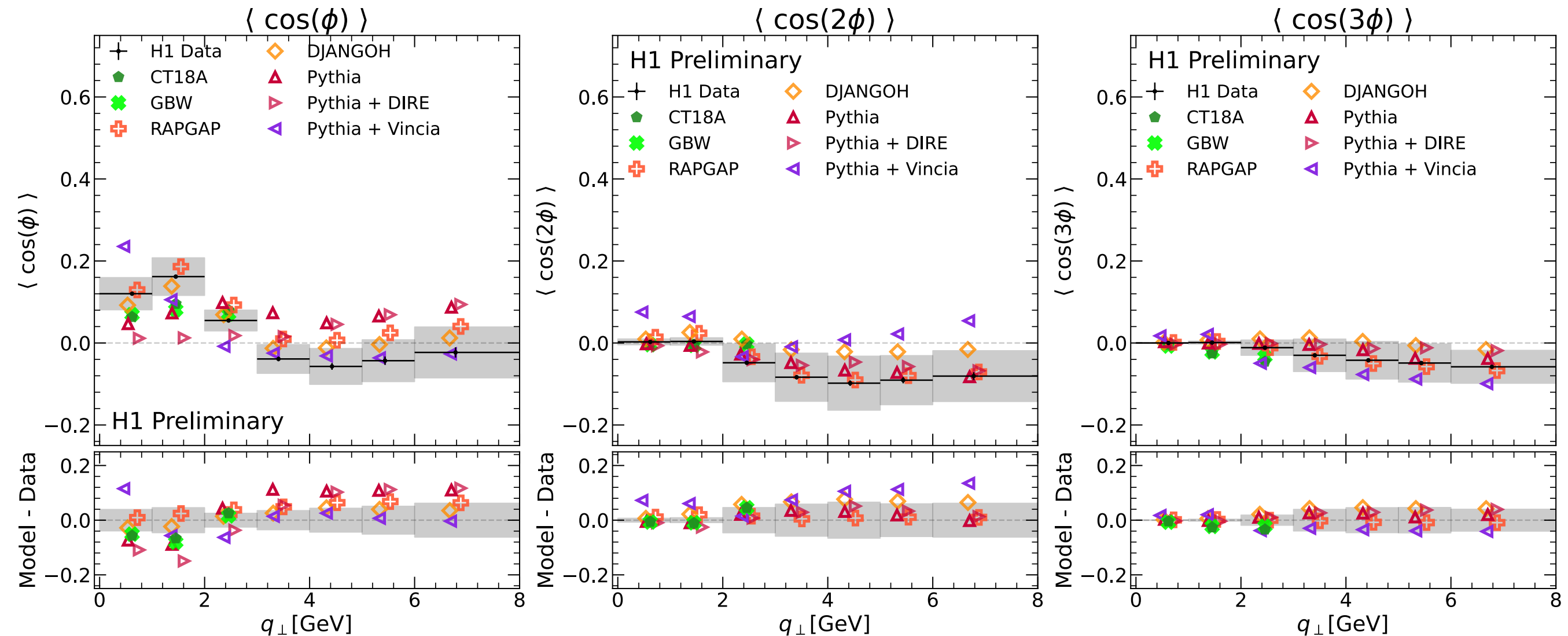
- **Asymmetry may be sensitive to Parton saturation effects (EIC)**
- GBW — Three parameter model fit to HERA data, input to $f(b, x)$
- Calculation in TMD framework with CT18A PDF
- **Recalculated to match HERA kinematics, with jet $R=1.0$**

H1 Unfolded Data



- **Note: Calculations done $q_{\perp} \leq 3.0$ GeV**
- **Differences could be due to sample bin average within the fiducial cuts**
- **CT18A is also a TMD calculation, disagreement could also be in kinematics constraints**

H1 Unfolded Data



- Three harmonics of the azimuthal angular asymmetry between the lepton and leading jet as a function of q_{\perp} .
- Predictions from multiple simulations as well as a pQCD calculation are shown for comparison.
- **PYTHIA**, not tuned to HERA II, performs inconsistently

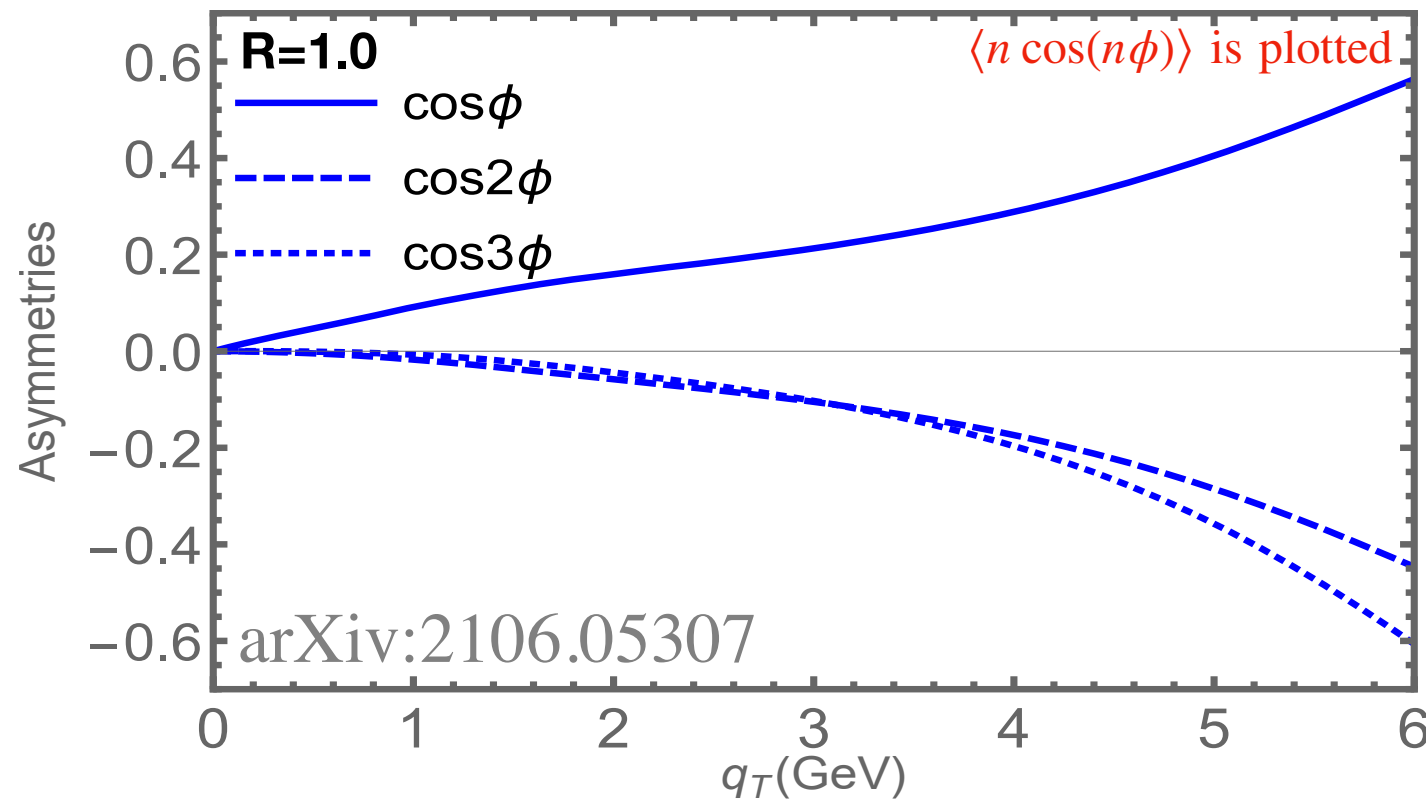
Conclusions

- Promising measurement probing soft gluon radiation
 - Test of pQCD calculations
 - Important reference for lepton-jet DIS measurements
 - Reasonable agreement with Rapgap + Djangoh
- MultiFold
 - First recycling of unfolded event weights! Reusability is key
 - measurement of *moments*, requiring the *unbinned unfolding*!
- Outlook:
 - Because of H1's data + simulation conservation, we can use recent insights and advances in methodology to analyze ~15 year old data
 - Important Implications for studies at EIC, both in observable and methods

END

Backup

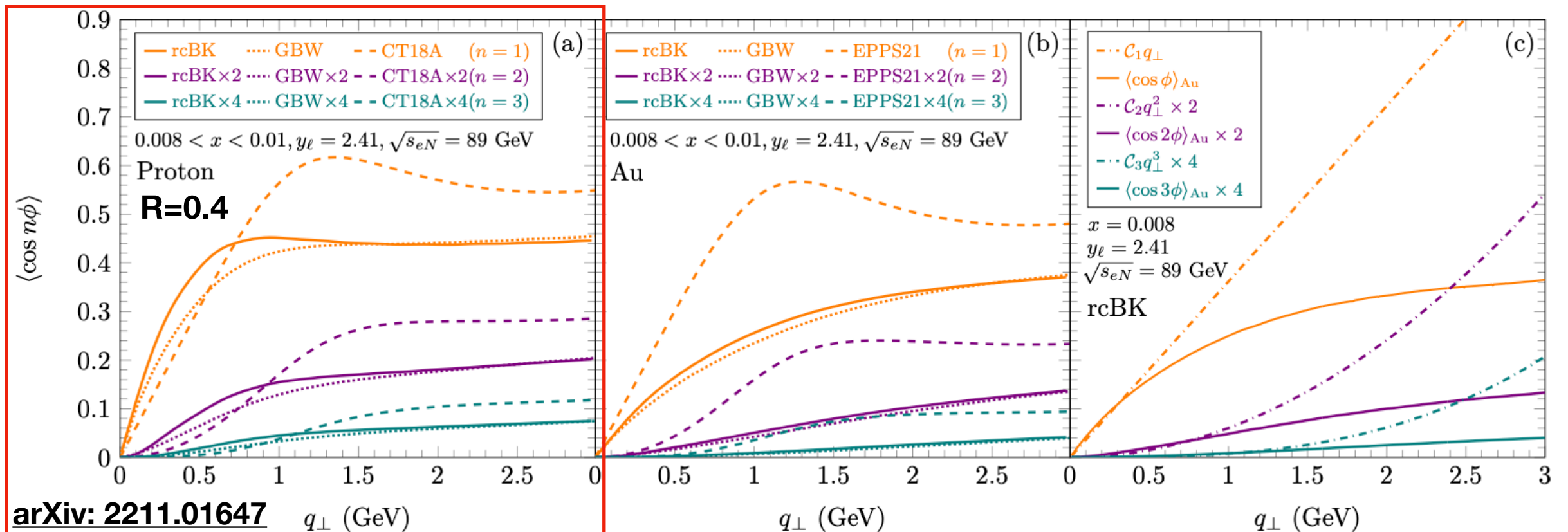
Two Sets of Calculations (Compare 2nd)



$$\vec{q}_\perp = \vec{k}_{\ell\perp} + \vec{k}_{J\perp}$$

$$\vec{P}_\perp = (\vec{k}_{\ell\perp} - \vec{k}_{J\perp}) / 2$$

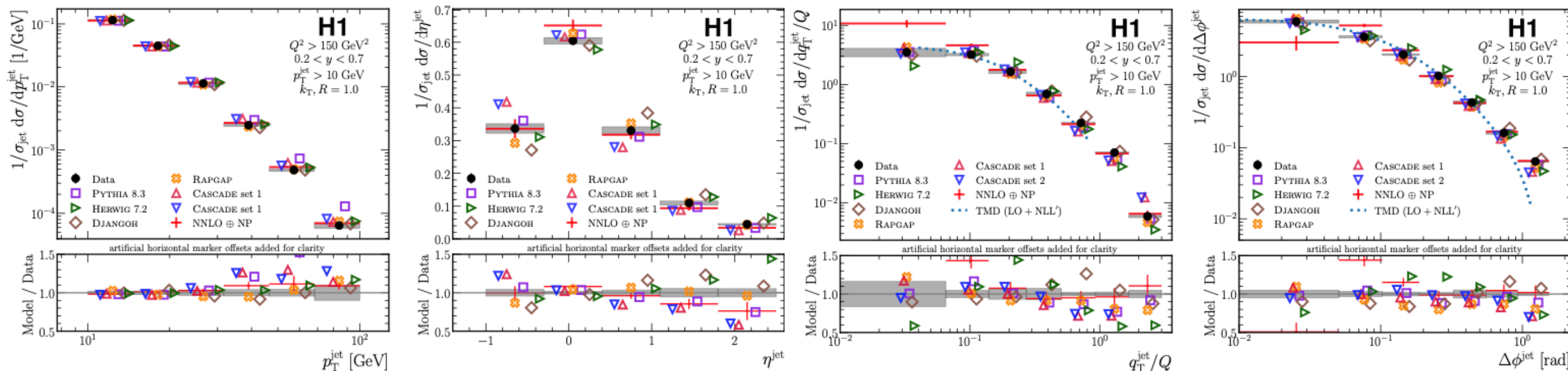
$\sqrt{s} = 140 \text{ GeV}, P_\perp = 20 \text{ GeV},$
 $y_l = 1.5, Q = 25 \text{ GeV}$
Radiative corrections
enhanced $\propto (\alpha_s \ln^2 P_\perp^2 / q_\perp^2)^n$
Soft Gluon Resummation



Harmonics of saturation with inputs from GBW model and CT18A PDF

Backup Further Background

- Machine learning (OmniFold) is used to perform an 8-dimensional, unbinned unfolding.
- Use the 8-dimensional result to explore the Q^2 dependence and any other observables that can be computed from the electron-jet kinematics



**Extracted from the same phase-space as Yao's analysis,
but reporting a different observable**

OmniFold

$$1. \quad \omega_n(m) = \nu_{n-1}^{\text{push}}(m) L[(1, \text{Data}), (\nu_{n-1}^{\text{push}}, \text{Sim.})](m)$$

$$\nu_{n-1}(t) = \nu_n^{\text{push}}(m)$$

- Detector level simulation is weighted to match the data
- $L[(1, \text{Data}), (\nu_{n-1}^{\text{push}}, \text{Sim.})](m)$ approximated by classifier trained to distinguish the *Data* and *Sim.*

$$2. \quad \nu_n(t) = \nu_0(t) L[(\omega_n^{\text{pull}}, \text{Gen.}), (\nu_0, \text{Gen.})](t)$$

$$\omega_n^{\text{pull}}(t) = \omega_n(m)$$

- Transform weights to a proper function of the generated events to create a new simulation
- $L[(\omega_n^{\text{pull}}, \text{Gen.}), (\nu_{n-1}, \text{Gen.})](t)$ approximated by classifier trained to distinguish Gen. with *pulled* weights from Gen. using $\text{weights}_{\text{old}} / \text{weights}_{\text{new}}$

Each iteration of step 2 learns the correction from the original ν_0 weights

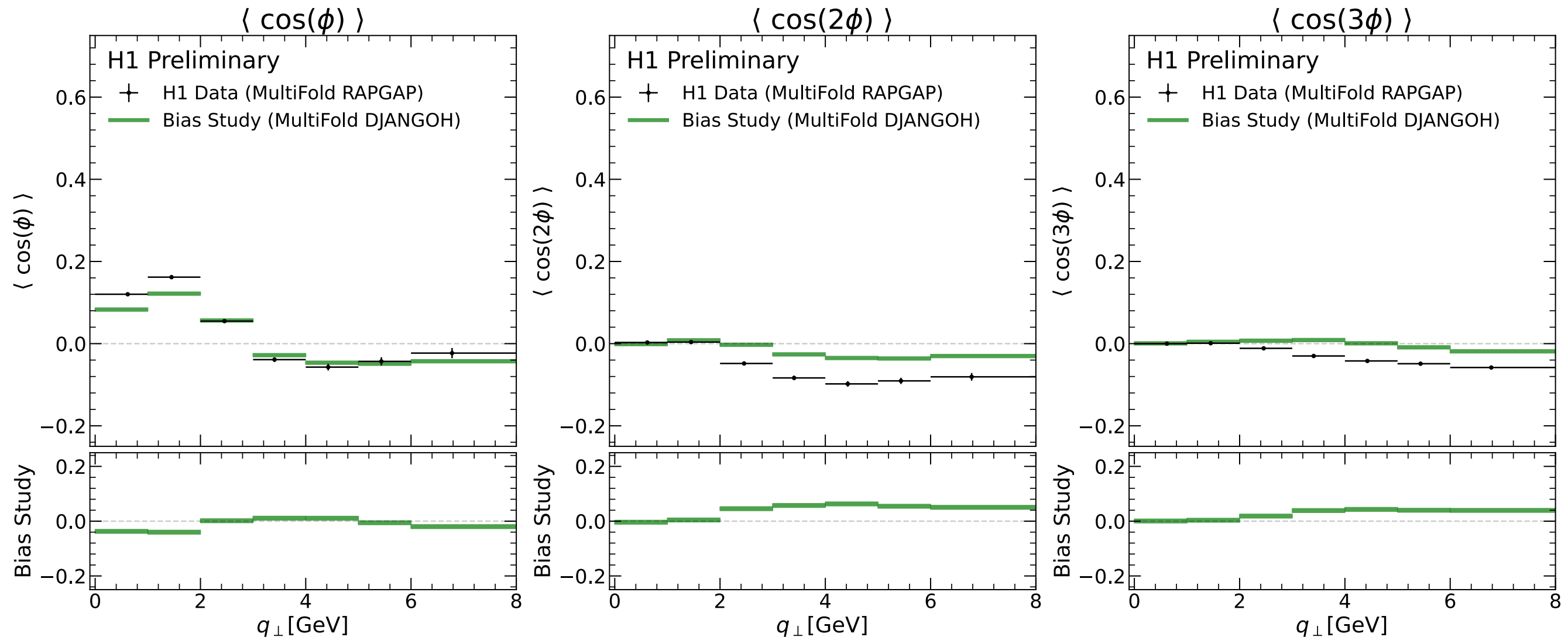
Advantage: Easier implementation, no need to store previous ν_n model

Disadvantage: Learning correction from ν_0 is more computationally expensive

Systematic Uncertainties

- Model Dependence:
 - The bias of the unfolding procedure is determined by taking the difference in the result when unfolding using RAPGAP and DJANGO
 - The two generators have different underlying physics, thus providing a realistic evaluation of the procedure bias
- QED Radiation Corrections
 - Difference of correction between RAPGAP and DJANGO
 - Take RAPGAP with and without QED corrections
 - Take DJANGO with and without QED corrections
- Systematic uncertainties are determined by varying an aspect of the simulation and repeating the unfolding
 - These values detail the magnitude of variation:
 - HFS-object energy scale: $\pm 1 \%$
 - HFS-object azimuthal angle: ± 20 mrad
 - Scattered lepton azimuthal: ± 1 mrad
 - Scattered lepton energy: $\pm 0.5 - 1.0 \%$

Investigation of Model Bias vs. q_{\perp} [GeV]

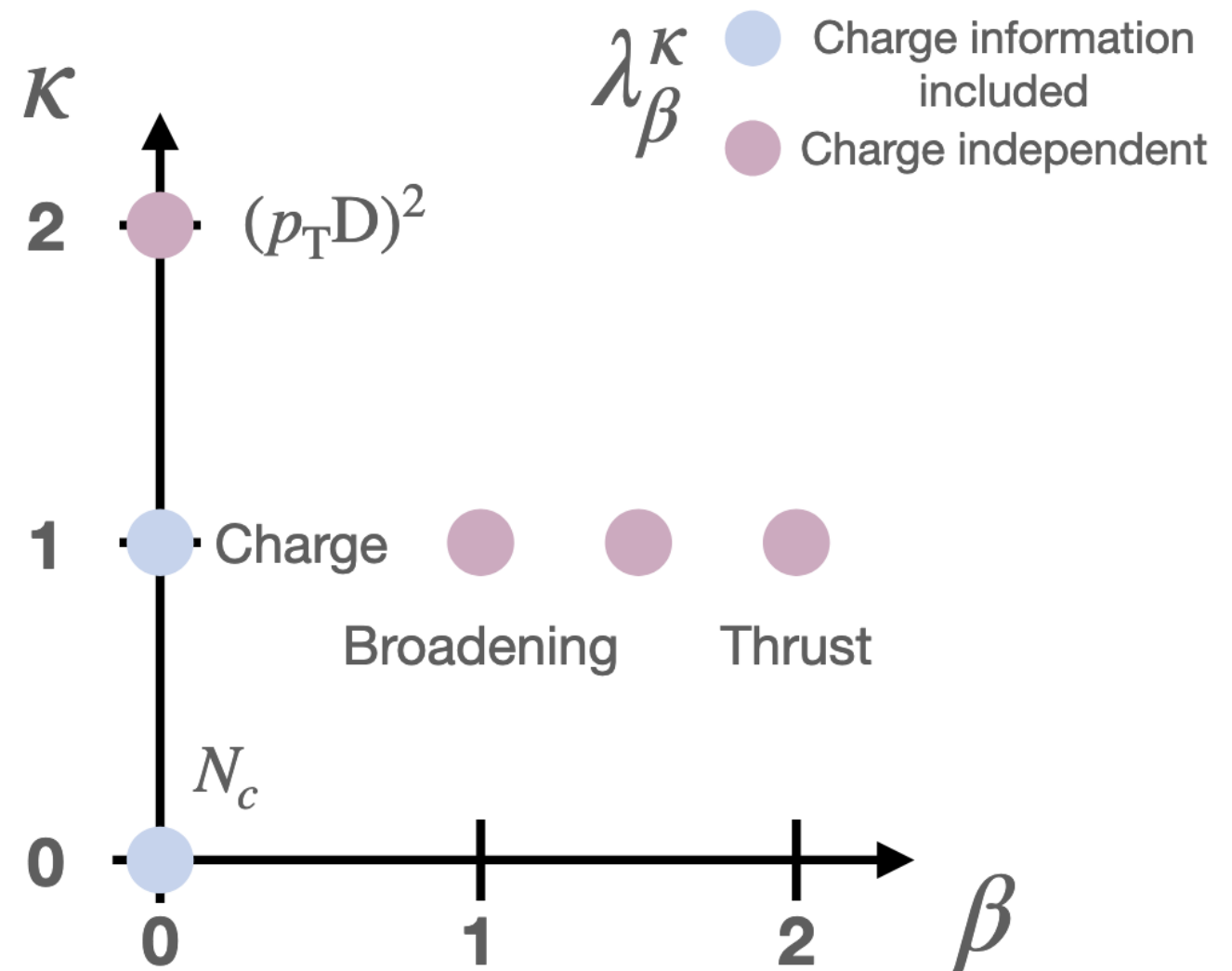


- Leading uncertainty is model bias in the unfolding for $\cos(2\phi)$ and $\cos(3\phi)$
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- Reporting Abs. Errors; central values are very close to 0.0
- The Total Uncertainty is quite stable between harmonics

Jet Substructure Observables

Description of the jet substructure observables measured in this work.

Name/Symbol	Observable definition	Charge used
Logarithm of jet broadening Intermediate observable	$\ln(\lambda_1^1)$ $\ln(\lambda_{1.5}^1)$	No
Logarithm of jet thrust	$\ln(\lambda_2^1)$	
Momentum dispersion $p_T D$	$\sqrt{\lambda_0^2}$	
Charged particle multiplicity N_c	$\tilde{\lambda}_0^0$	Yes
Jet charge Q_1	$\tilde{\lambda}_0^1$	



IBU Generalization

IBU

$$\begin{aligned} t_j^{(n)} &= \sum_i \Pr_{n-1}(\text{truth is } j | \text{measure } i) \Pr(\text{measure } i) \\ &= \sum_i \frac{R_{ij} t_j^{(n-1)}}{\sum_k R_{ik} t_k^{(n-1)}} \times m_i \end{aligned}$$

**Continuous
Generalization**

$$\nu_1(t) p_{\text{Gen}}(t) = \int dm' p_{\text{Gen|Sim}}(t|m') p_{\text{Data}}(m')$$

**Using Classifiers that
approximate the
Likelihood ratio**

$$L[(w, X), (w', X')](x) = \frac{p_{(w, X)}(x)}{p_{(w', X')}(x)}$$

Both converge to maximum likelihood estimate of particle-level distribution

Cross Section & ϕ

$$\frac{d^5 \sigma^{ep \rightarrow e' q X}}{dy_\ell d^2 P_\perp d^2 q_\perp} = \sigma_0^{eq} x f_q(x) \delta^{(2)}(q_\perp)$$

**Gluon Matrix
Element**

$$\mathcal{M}^{\mu\nu}(x, k_\perp) = \int \frac{d\xi^- d^2 \xi_\perp}{P^+ (2\pi)^3} e^{-ixP^+ \xi^- + i\vec{k}_\perp \cdot \vec{\xi}_\perp} \quad ($$

$$\times \langle P | F_a^{+\mu}(\xi^-, \xi_\perp) \mathcal{L}_{vab}^\dagger(\xi^-, \xi_\perp) \mathcal{L}_{vbc}(0, 0_\perp) F_c^{\nu+}(0) | P \rangle$$

**Integration over
emitted gluon
phase space**

$$g^2 \int \frac{d^3 k_g}{(2\pi)^3 2E_{k_g}} \delta^{(2)}(q_\perp + k_{g\perp}) C_F S_g(k_J, p_1)$$

$$= \frac{\alpha_s C_F}{2\pi^2 q_\perp^2} \left[\ln \frac{Q^2}{q_\perp^2} + \ln \frac{Q^2}{k_{\ell\perp}^2} + c_0 + 2c_1 \cos(\phi) + 2c_2 \cos(2\phi) + \dots \right],$$

$$c_n = \ln \frac{1}{R^2} + f(n) + g(nR),$$

**Fourier Coefficient
(Introduces ϕ
dependance)**

$$f(n) = \frac{2}{\pi} \int_0^\pi d\phi (\pi - \phi) \frac{\cos \phi}{\sin \phi} (\cos n\phi - 1),$$

$$g(nR) = \frac{4}{\pi} \int_0^1 \frac{d\phi}{\phi} \tan^{-1} \frac{\sqrt{1-\phi^2}}{\phi} [1 - \cos(nR\phi)]$$

$$= \frac{n^2 R^2}{4} {}_2F_3 \left(1, 1; 2, 2, 2; -\frac{n^2 R^2}{4} \right).$$

Differential Cross Section

- Back-to-back electron-jet production from ep collision,

$$e(l) + p(P) \rightarrow e(l') + J_q(p_J) + X$$

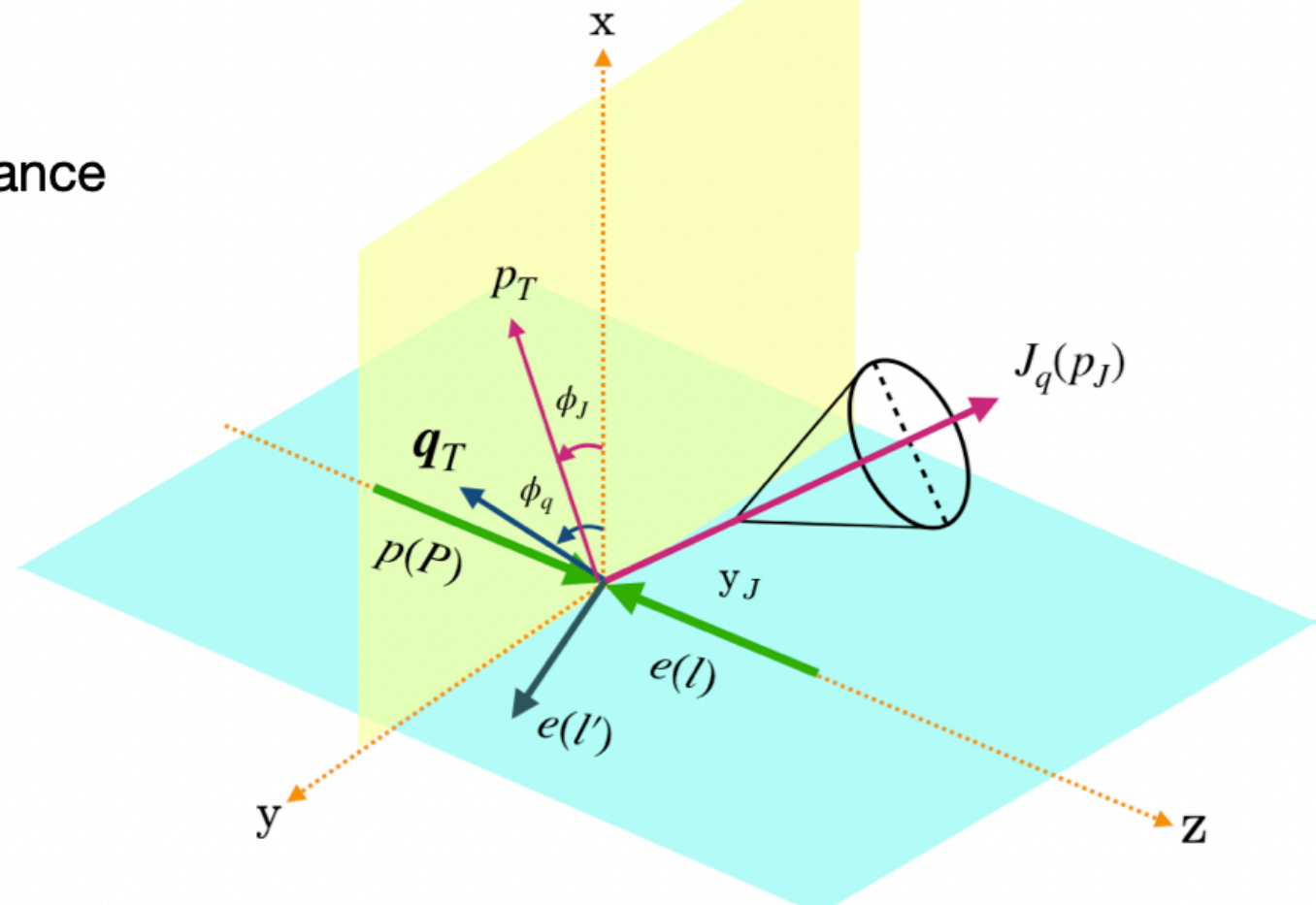
$$\frac{d\sigma}{d^2\mathbf{p}_T dy_J d\phi_J d^2\mathbf{q}_T} = \frac{d\sigma}{2\pi d^2\mathbf{p}_T dy_J q_T dq_T} \left[1 + 2 \sum_{n=1}^{\infty} v_n(p_T, y_J) \cos(n(\phi_q - \phi_J)) \right]$$

q_T : transverse momentum imbalance

$$\mathbf{q}_T = \mathbf{l}'_T + \mathbf{p}_{JT}$$

p_T : jet transverse momentum

y_J : jet rapidity



Note: slightly different angle definition, but background still applies]

