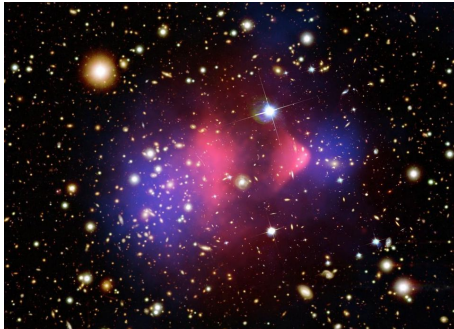


# Axion Dark Matter

Phys 290e

Jeff Dror



- Strong CP problem
  - Qualitative picture
  - Slightly formal treatment
- Axion solution
  - Minimal requirements
  - Building an axion model
  - Axion electrodynamics
- Axion cosmology
  - Inflation/reheating/DM
  - Cosmology of scalar fields
  - Misalignment ( $f_a \lesssim T_{RH}$ )
- Direct searches
  - Summary of constraints
- Dark matter searches
  - Summary of experiments

C? P? T?



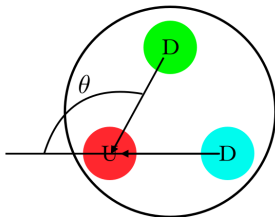
history lesson

cooling/LSW/  
CAST/...

ADMX/CASPER/  
ABRACABRA/...

# Strong CP

- **Puzzle:** electric dipole moment (EDM) of a neutron
- neutron = 1 up quark, 2 down quarks
- Recall: EDM is  $\mathbf{d}_n = \sum q_i \mathbf{r}_i$



[Hook - 2018]

- Taking  $r_n \sim \text{fm} \dots$

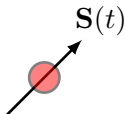
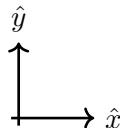
$$|\mathbf{d}_n| \simeq 10^{-13} \sqrt{1 - \cos \theta} e \text{ cm}$$

- $\mathbf{d}_n$  is a vector: aligned with neutron spin

# Neutron dipole moment



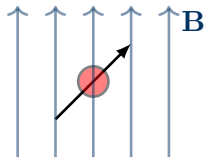
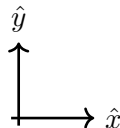
- To measure EDM
- Neutron with electric and magnetic fields:



# Neutron dipole moment



- To measure EDM
- Neutron with electric and magnetic fields:

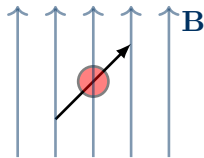
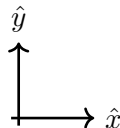


$$S_0 \left[ \cos(2\pi\mu B t) \hat{x} + \sin(2\pi\mu B t) \hat{y} \right]$$

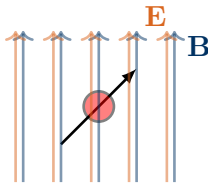
# Neutron dipole moment



- To measure EDM
- Neutron with electric and magnetic fields:

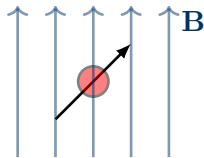


$$S_0 \left[ \cos(2\pi\mu B t) \hat{x} + \sin(2\pi\mu B t) \hat{y} \right]$$

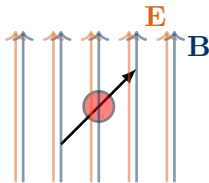


$$S_0 \left[ \cos(2\pi(\mu B + d_n E) t) \hat{x} + \sin(2\pi(\mu B + d_n E) t) \hat{y} \right]$$

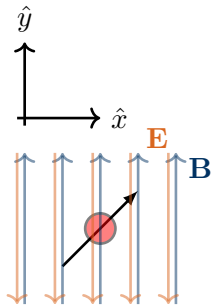
- To *measure EDM*
- Neutron with electric and magnetic fields:



$$S_0 \left[ \cos(2\pi\mu B t) \hat{x} + \sin(2\pi\mu B t) \hat{y} \right]$$



$$S_0 \left[ \cos(2\pi(\mu B + d_n E) t) \hat{x} + \sin(2\pi(\mu B + d_n E) t) \hat{y} \right]$$



$$S_0 \left[ \cos(2\pi(\mu B - d_n E) t) \hat{x} + \sin(2\pi(\mu B - d_n E) t) \hat{y} \right]$$

- *Take difference* of oscillation frequencies
- Measured value:  $|\mathbf{d}_n| \lesssim 6 \times 10^{-26} e \cdot \text{cm}$



- **Parity (P)**: flips space

$$\mathbf{x} \rightarrow -\mathbf{x}$$

- **Time-reversal (T)**: flips time

$$t \rightarrow -t$$

- **Charge conjugation (C)**: flips particle  
particle  $\rightarrow$  anti-particle

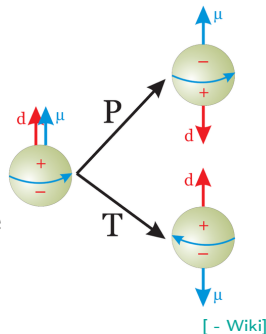
- Both  $\mathbf{d}$  and  $\boldsymbol{\mu} \Rightarrow$

$$\mathcal{P} \text{ and } \mathcal{T}$$

- CPT: good symmetry of Nature

- QFT aficionado: "Due to spin-statistics theorem"

- neutron EDM  $\Rightarrow$  CP-violation



- If neutron had electric dipole moment it violates CP
- CP violation comes from imaginary components in Lagrangian
- Where can we find CP-violation at microscopic level?
- QCD with massless quarks:

$$\mathcal{L} \supset \frac{1}{4} G_{\mu\nu} G^{\mu\nu} + \bar{q}(i\partial_\mu + eQ_q A_\mu + g_s G_\mu^A T^A)\gamma^\mu q$$

- $i\partial_\mu \rightarrow k$  (real)
- No CP violation so far
- Two sources of CP violation in QCD

"Theta term"

Mass terms



- Why not add a term:

$$S \supset \int d^4x \frac{\theta}{16\pi^2} \epsilon^{\alpha\beta\mu\nu} G_{\mu\nu} G_{\alpha\beta}$$

- **Naive** answer: its a total derivative
- Integrating by parts

$$S \supset \int d^4x \frac{\theta}{16\pi^2} \epsilon^{\alpha\beta\mu\nu} \partial_\alpha \left( G_\beta^a \partial_\mu G_\nu^a + \frac{1}{3} f_{abc} G_\beta^a G_\mu^b G_\nu^c \right)$$

- Does not effect equations of motion
- **QCD is weird**: total derivatives can still contribute
- QFT aficionados: “instantons give non-trivial vacuum structure”



- If we add particle mass terms:

$$\mathcal{L} \supset m_{ij} \bar{q}_i q_j + \text{h.c.}$$

- Hermitian Lagrangian  $\Rightarrow m_{ij} = m_{ji}^*$
- Imaginary component for  $m_{ij} \Rightarrow$  CP violation
- Subtlety: masses are real quantities
- More intuitive to rotate quarks to eliminate phase:

$$q \rightarrow e^{i\gamma_5 \alpha} q \quad (\text{field redefinition})$$

- Rotation has **unintended consequences**: results in additional term
- QFT aficionados: “Measure is not invariant under chiral rotation”

- So what are those unintended consequences?
- Under a chiral field redefinition:

$$S \rightarrow S + \int d^4x \alpha \frac{g_s^2}{16\pi^2} \epsilon^{\alpha\beta\mu\nu} G_{\mu\nu} G_{\alpha\beta}$$

- QFT aficionado: “chiral symmetry is anomalous”
- Total CP violation in Lagrangian can be summarized with:

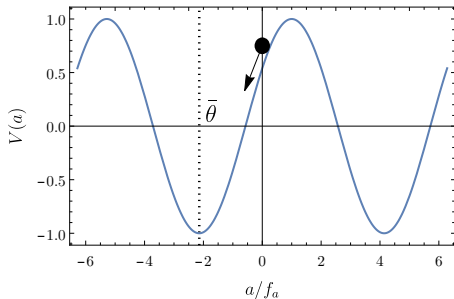
$$S \supset \int d^4x \frac{\bar{\theta}}{16\pi^2} \epsilon^{\alpha\beta\mu\nu} G_{\mu\nu} G_{\alpha\beta}$$

- $\bar{\theta}$  is sum of theta term and the mass term

- If new field,  $a$ , with an interaction to the offending operator:

$$\mathcal{L} \supset \frac{1}{16\pi^2} \left( \bar{\theta} + \frac{a}{f_a} \right) \epsilon^{\alpha\beta\mu\nu} G_{\mu\nu} G_{\alpha\beta}$$

- Think of  $a$  as a classical field with potential,  $V(a)$
- If  $V(a)$  is such that  $a \rightarrow -\theta f_a$  then this term vanishes today





- **Weird part:** why potential depend on  $\bar{\theta}$ ?
- Answer: QCD can create a  $\bar{\theta}$ -dependent potential
- Requires  $a$  to be Goldstone boson of anomalous symmetry “PQ”
- Lots of hard work...

$$V(a) \simeq m_\pi^2 f_\pi^2 \sqrt{1 - \frac{4m_u m_d}{(m_u + m_d)^2} \sin^2 \left( \frac{a}{f_a} + \bar{\theta} \right)}$$

- Notable features
  - Minimizing potential  $\Rightarrow \bar{\theta}$  angle vanishes today (and  $|\mathbf{d}_n| \simeq 0$ )
  - Expanding  $a$  (after shifting to true vacuum):

$$V(a) \simeq \frac{1}{2} \frac{m_\pi^2 f_\pi^2}{f_a^2} a^2 + \dots \quad \Rightarrow \quad m_a^2 f_a^2 \simeq m_\pi^2 f_\pi^2$$

- Higher order terms are small

# Axions

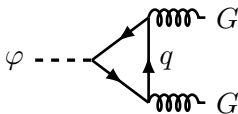




- What is this field  $a$ ?
- Goldstone boson of “PQ symmetry”: anomalous under QCD
- Introduce complex field  $\varphi = \phi + ia$

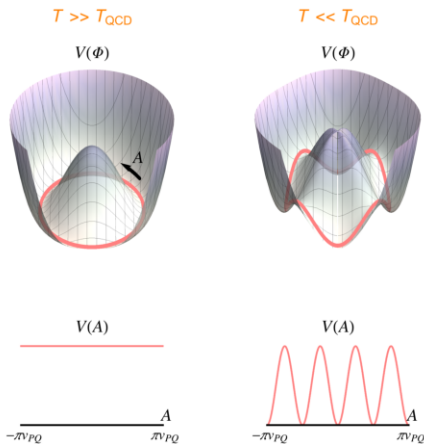
$$V(\varphi) = \frac{\lambda}{4} \left( |\varphi|^2 - f_a^2 \right)^2$$

- Spontaneously breaks:  $U(1)_{\text{PQ}} \rightarrow \emptyset$
- $\varphi$  coupling to quarks leads to interactions with gluons

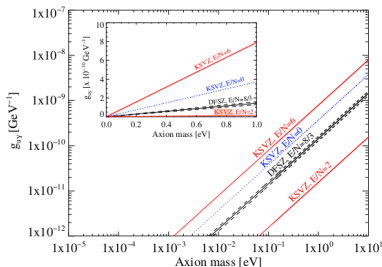


- After PQ breaking axion ( $a$ ) gets a coupling to  $G\tilde{G}$
- The axion is a Goldstone boson  $\Rightarrow$  no potential

- Visually:



- Complete axion models?
- Classic: "electroweak axion"
  - Connects axion to electroweak scale
  - Just need to an electroweak doublet
  - Ruled out long ago
- Two classes of "invisible axion" models:
  - **KSVZ**: New scalar doublet + heavy quarks
  - **DFSZ**: New two scalar doublet model
- Useful benchmarks:



- Solving strong CP determines
  - Gluon coupling
  - Mass:

$$m_a^2 f_a^2 \sim m_\pi^2 f_\pi^2$$

- Additional photon interaction:

$$\mathcal{L} \supset \frac{C_{a\gamma\gamma}}{4} \frac{a}{f_a} \epsilon^{\alpha\beta\mu\nu} F_{\mu\nu} F_{\alpha\beta}$$

- Typically  $C_{a\gamma\gamma} \sim \alpha/2\pi$  (but not fully determined)

$$C_{a\gamma\gamma} = \frac{\alpha}{2\pi} \left( \frac{E}{N} - \frac{2}{3} \frac{4+z}{1+z} \right) \quad z = m_u/m_d$$

- QFT aficionado: “ $E$  and  $N$  are anomaly coefficients”



- What does  $C_{a\gamma\gamma}$  do?
- Can rewrite this term ( $E_i = F_{0i}$ ,  $B_i = -\frac{1}{2}\epsilon_{ijk}F_{jk}$ )

$$\mathcal{L} \supset \frac{C_{a\gamma\gamma}}{4} \frac{a}{f_a} \left( \epsilon^{0ijk} F_{0i} F_{jk} + \epsilon^{ijkl} F_{ij} F_{kl} \right)$$



- What does  $C_{a\gamma\gamma}$  do?
- Can rewrite this term ( $E_i = F_{0i}$ ,  $B_i = -\frac{1}{2}\epsilon_{ijk}F_{jk}$ )

$$\begin{aligned} \mathcal{L} &\supset \frac{C_{a\gamma\gamma}}{4} \frac{a}{f_a} \left( \epsilon^{0ijk} F_{0i} F_{jk} + \epsilon^{ijkl} F_{ij} F_{kl} \right) \\ &\supset \frac{C_{a\gamma\gamma}}{4} \frac{a}{f_a} \left( 2E_i B_i + \cancel{\epsilon^{ijkl} F_{ij} F_{kl}} \right) \end{aligned}$$



- What does  $C_{a\gamma\gamma}$  do?
- Can rewrite this term ( $E_i = F_{0i}$ ,  $B_i = -\frac{1}{2}\epsilon_{ijk}F_{jk}$ )

$$\begin{aligned} \mathcal{L} &\supset \frac{C_{a\gamma\gamma}}{4} \frac{a}{f_a} \left( \epsilon^{0ijk} F_{0i} F_{jk} + \epsilon^{ijkl} F_{ij} F_{kl} \right) \\ &\supset \frac{C_{a\gamma\gamma}}{4} \frac{a}{f_a} \left( 2E_i B_i + \cancel{\epsilon^{ijkl} F_{ij} F_{kl}} \right) \\ &\Rightarrow \frac{C_{a\gamma\gamma}}{f_a} a \mathbf{E} \cdot \mathbf{B} \end{aligned}$$



- Maxwell's equations:  $\mathcal{L} \supset -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - J^\mu A_\mu$
- Find Euler-Lagrange equations of motion:

$$\frac{\partial \mathcal{L}}{\partial A_\nu} = \partial_\mu \frac{\partial \mathcal{L}}{\partial(\partial_\mu A_\nu)}$$

- Using  $E_i = F_{0i}$ ,  $B_i = -\frac{1}{2}\epsilon_{ijk}F_{jk}$  (and  $\epsilon^{\alpha\beta\mu\nu}\partial_\mu F_{\alpha\beta} = 0$ )

$$\nabla \cdot \mathbf{E} = \rho$$

$$\nabla \times \mathbf{E} = -\dot{\mathbf{B}}$$

$$\nabla \cdot \mathbf{B} = 0$$

$$\nabla \times \mathbf{B} = \dot{\mathbf{E}} + \mathbf{j}$$



- Lagrangian w/ axion:  $\mathcal{L} \supset -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - J_{\mu}A^{\mu} + \kappa a \mathbf{E} \cdot \mathbf{B}$
- Equations of motion:

$$\nabla \cdot \mathbf{E} = \rho - \kappa(\nabla a) \cdot \mathbf{B}$$

$$\nabla \times \mathbf{E} = -\dot{\mathbf{B}}$$

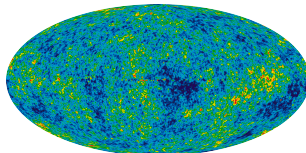
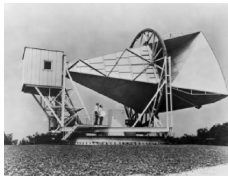
$$\nabla \cdot \mathbf{B} = 0$$

$$\nabla \times \mathbf{B} = \dot{\mathbf{E}} + \mathbf{j} + \underbrace{\kappa(\dot{a}\mathbf{B} + \nabla a \times \mathbf{E})}_{\text{effective current}}$$

- New  $\dot{a}$  and  $\nabla a$  terms
- **Axion dark matter**: can look for these!

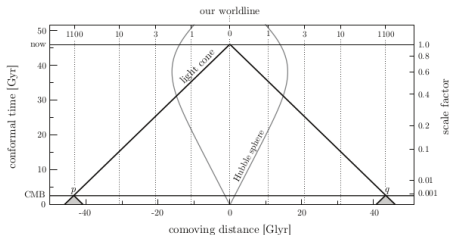
# Axion cosmology

- Experimental fact: parts of universe that were never in causal contact have similar temperature



[WMAP - 2010]

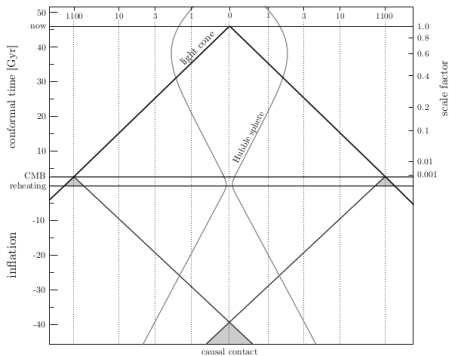
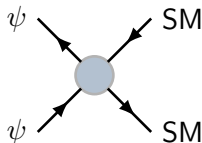
- Space-time diagram of universe:



- Inflation: rapid expansion

$$R \propto e^{H_I t}$$

- R - size of universe
- $H_I$  - "Hubble during inflation"
- Wipes out inhomogeneities
- inflation end: empty universe
- "Reheating": create SM
- Temperature:  $T_{RH}$

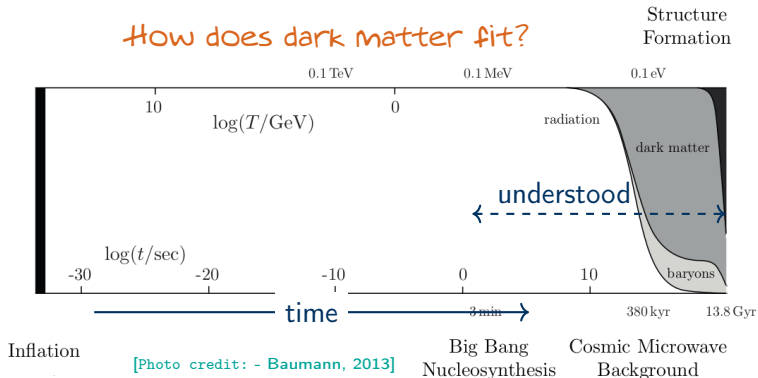


[Baumann - 2013]

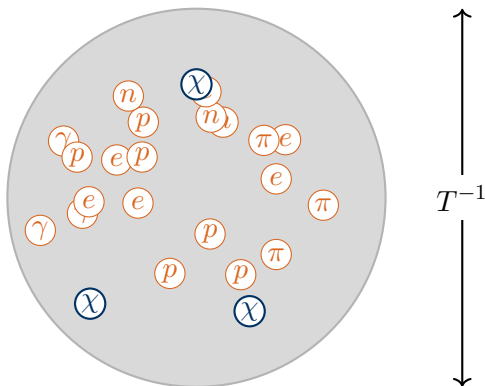
$$n \langle \sigma v \rangle \gtrsim H \equiv \dot{R}/R?$$

- Post inflation all particles are generated ( $n \langle \sigma v \rangle \gtrsim H \equiv \dot{R}/R$ )
- Universe is **thermal bath** of particles
- As  $T$  drops below  $m \rightarrow$  non-relativistic
- $n \propto e^{-m/T}$  until **freeze-out**

How does dark matter fit?

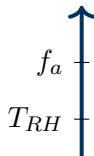
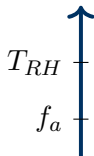


- WIMP dark matter: 1 new type of particle in the thermal bath



- Requires fast scattering between  $\chi$  and the SM
- Axion: couplings suppressed so never thermalized

- Reheating temperature of universe?
- All we know is  $T_{RH} > \text{MeV}$
- Axion physics depends on if  $T_{RH} > f_a$  or  $T_{RH} < f_a$



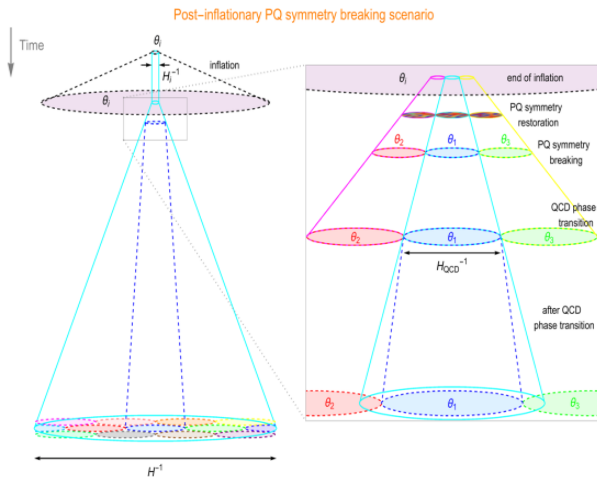
-PQ *restored* at high  $T$

-PQ *never restored*

$$f_a < T_{RH}$$



- If PQ restored after inflation:

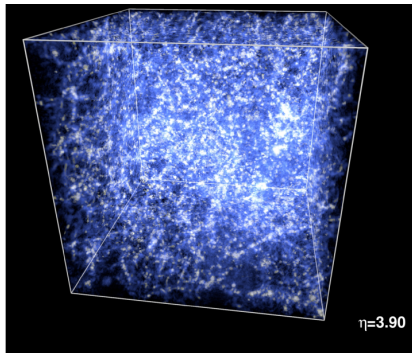




$$f_a < T_{RH}$$



- Breaking of the PQ symmetry produces wealth of **substructure**
- “Topological defects” and “solitons” from phase transition
  - Domain walls
  - Strings
  - Oscillons
- Decay to axions

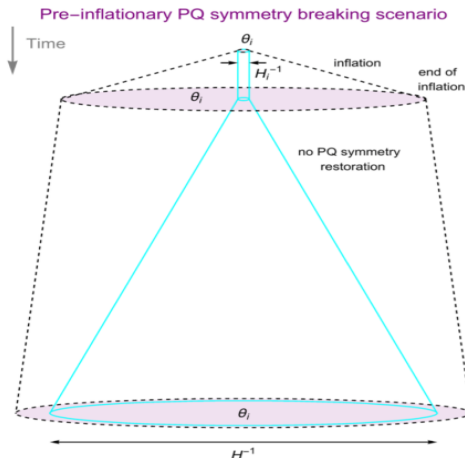


[Buschmann, Foster, Safdi - 2019]

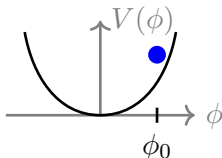
$$f_a > T_{RH}$$



- PQ not restored after inflation:



- Consider scalar with mass,  $m_\phi$



- Scalar action,

$$S \supset \int d^4x \sqrt{-g} \left[ \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - m_\phi^2 \phi^2 \right]$$

$$g^{\mu\nu} = \text{diag}(1, -R, -R, -R)$$

- Euler-Lagrange equation of motion ( $H = \dot{R}/R \sim T^2/M_{\text{pl}}$ ):

$$\ddot{\phi} + 3H\dot{\phi} + m_\phi^2\phi = 0$$

friction  $\longleftarrow$   $\uparrow$

- For  $H \gg m_\phi \Rightarrow$  field is stuck:

$$\ddot{\phi} + 3H\dot{\phi} \simeq 0 \quad \Rightarrow \quad \phi = \phi_0$$

- For  $H = 0$ :  $\Rightarrow$  harmonic oscillator

$$\phi(t) = \phi_0 \cos(m_\phi t)$$

- For  $H \propto t^{-1}$

$$\phi(t) = \phi_0 (R/R_0)^{3/2} \cos(m_\phi t)$$

- The energy density at time of transition is:

$$\rho_0 \sim V(\phi_0) \sim m_\phi^2 \phi_0^2$$

- After field gets unstuck it undergoes (damped) oscillations

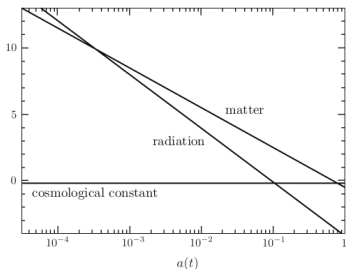
- $\rho \propto R^{-3}$



- Relic density?
- DM:  $\rho_{\text{DM}}(T_{\text{eq}}) \sim T_{\text{eq}}^4 \sim eV^4$ :

$$\rho_{\text{DM}}(T_{\text{eq}}) \sim m_\phi^2 \phi_0^2 \left( \frac{T_{\text{eq}}}{T_m} \right)^3 \quad \log \left[ \frac{\rho(t)}{\rho_{\text{crit},0}} \right]$$

$$H(T_m) \sim m \Rightarrow T_m \sim \sqrt{m M_{\text{pl}}}$$



- DM condition:

$$m_\phi^2 \phi_0^2 \left( \frac{eV}{\sqrt{m_\phi M_{\text{pl}}}} \right)^3 \sim eV^4$$

- Solving gives,

$$\phi_0 \sim 10^{11} \text{ GeV} \times \left( \frac{eV}{m_\phi} \right)^{1/4}$$



- For axion:  $\phi \rightarrow a$ :  $a_0 \sim \theta f_a$
- Turns out this is **close but not sufficient estimate**
- **Subtlety**:  $m_a \rightarrow m_a(T)$  (not precise until QCD phase transition)
- Gives a revised estimate
- The energy density stored in axions:

$$\rho \sim m_a^2 f_a^2 \frac{m_a(T_c)}{m_a}$$

- $T_c$ : temperature at which mass “turns on”:  $H(T_c) \sim m_a(T_c)$
- Somewhat lighter axions



- 3 different answers

- ① **The true believer:** “axions are misalignment axions”

- Mass determined above with  $\theta_0 \sim 1$
    - $m_a \sim 10^{-5} \text{eV}$
    - $f_a \sim 10^{13} \text{GeV}$

- ② **The open minded axion theorist:** “axions can be produced other ways”

- Mass can be anywhere

$$10^{-21} \text{eV} \lesssim m_a \lesssim \text{eV}$$

- Coupling determined by QCD line:  $f_a \sim m_\pi^2 f_\pi^2 / m_a^2$

- ③ **The anarchist:** “axion-like particles are very reasonable”

- Mass can be anywhere

$$10^{-21} \text{eV} \lesssim m_a \lesssim \text{eV}$$

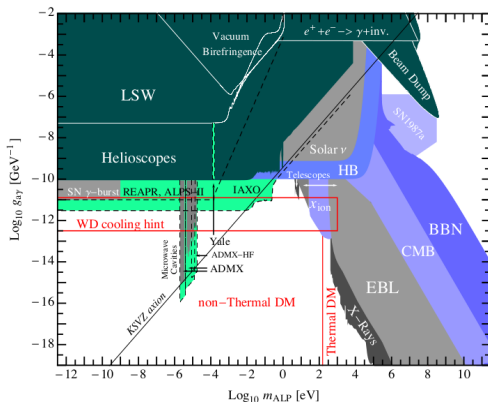
- Coupling can be anywhere

$$f_a \gtrsim 10^{10} \text{GeV}$$

# Axion - direct searches



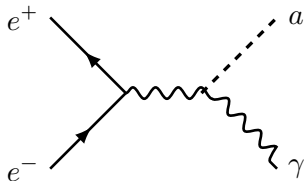
- ALP-parameter space:



- Lets go over every line in this plot...

[ - 1205.2671]

- Make axions in **collider experiments**:

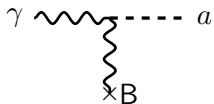


- Can look for  $e^+e^- \rightarrow \gamma + \text{inv}$
- **Requires  $f_a \gtrsim \text{TeV}$**
- $pp \rightarrow \gamma a$  vs  $e^+e^- \rightarrow \gamma a$
- General rule of thumb:

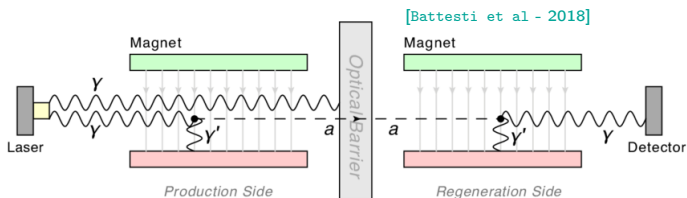
Higher dimensional operators: colliders probe around weak scale

Renormalizable interactions: colliders probe around  $\sim 10^{-3}$

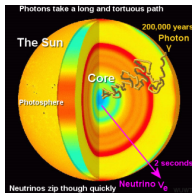
- Oscillations of photons into axions and back
- Use  $a\mathbf{E} \cdot \mathbf{B}$  interaction



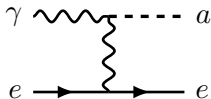
- Experimental setup:



- Stars have core temperatures  $\sim 100\text{keV}$
- Cooled by **neutrino emission**

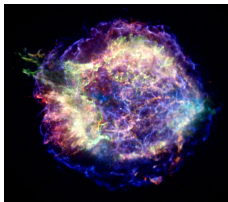


- **Axions can cool in stars** ("Primakoff process")



- Applicable for  $m_a \lesssim 100\text{keV}$
- Ruled out if cools more than neutrino emission

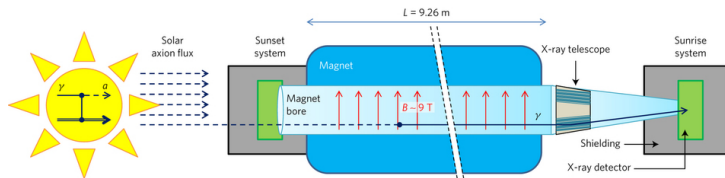
- Supernovas reach much higher temperatures



[ - <https://www.space.com/6638-supernova.html>]

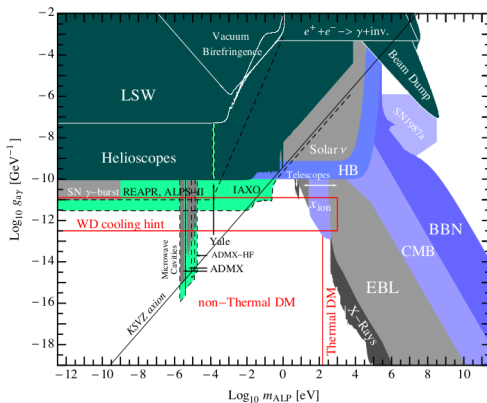
- $T \sim 30 \text{ MeV}$
- Densities in the core are near-nuclear
- Dominant production through  $n + n \rightarrow n + n + a$
- Cooled by neutrino emission
- Ruled out if cools more than neutrino emission

- The Sun is close by
- *Can do better than just cooling bounds*
- Look for axions produced in Sun
- CAST: Uses a LHC *magnet pointed at the Sun*



[CAST - 1705.02290]

- ALP-parameter space:



[ - 1205.2671 ]

# Axion DM searches



- If axion makes up dark matter then:

$$a(t) = a_0 \cos(m_a t)$$

- What is the amplitude today?  $\rightarrow$  fixed by known density!

$$\rho = \frac{1}{2} m_a^2 a_0^2 \Rightarrow \Rightarrow \Rightarrow a_0 = \sqrt{\frac{2\rho_{DM}}{m_a^2}}$$

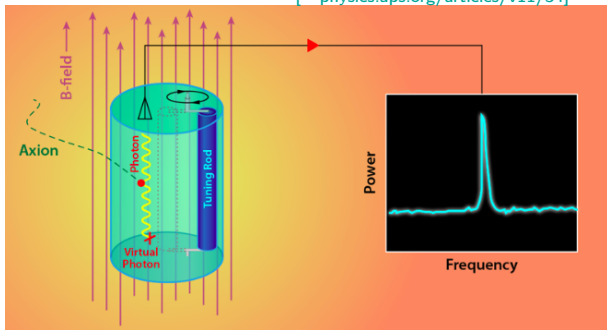
- Coherence time for field?

$$\tau \sim \frac{4\pi}{m v^2}$$

- After this no longer act as a simple trig function

- "Haloscope" experiments (e.g., ADMX)
- Axion DM enters detector
- Converts in large  $B$  field

[ - [physics.aps.org/articles/v11/34](https://physics.aps.org/articles/v11/34) ]



- Conversion maximized when  $L \sim m_a^{-1}$
- Specifically designed for  $m_a \sim 10^{-5} \text{eV}$

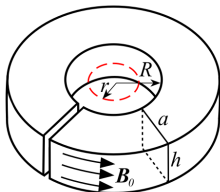
- Ampere's circuit law:

$$\nabla \times \mathbf{B} = \dot{\mathbf{E}} - C_{a\gamma\gamma} (\mathbf{E} \times \nabla a - \mathbf{B} \dot{a})$$

- Static background  $B$  field ( $\mathbf{B}_0$ )
- Axion sources effective current

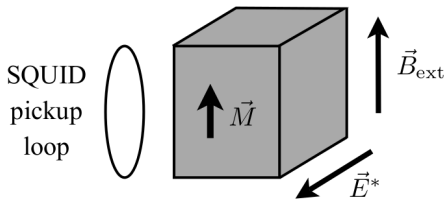
$$\mathbf{J}_{\text{eff}} = C_{a\gamma\gamma} \sqrt{2\rho_{\text{DM}}} \mathbf{B}_0 \cos(m_a t)$$

- Current sources a real oscillatory  $B$  field ( $\perp$  to  $\mathbf{B}_0$ )



[ - 1310.8545]  
 [ - 1602.01086]  
 [ - 1610.09344]

- DM-induced spin precision
- CASPER experiment
- Test gluon coupling (though coupling to nucleons)





- ALPs in heavy ion collisions (1709.07110)
- ALPs in meson decays (1611.09355)
- CASPEr (1306.6089)
- DM-radio (1610.09344)
- Using RF cavities (1904.07245)
- New production mechanisms (1711.10486,1910.04163)
- Unified axion models (1702.00401)
- ...

- Strong CP problem (one of the biggest mysteries in particle physics)
- Axion provides a solution + fascinating cosmology
- Direct searches (many ideas in light dark matter needed)
- Dark matter searches ongoing
- The search into the dark sector continues...

