

# Towards an extraction of $\alpha_s(m_Z)$ from $e^+e^-$ angularities

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with G. Bell, C. Lee, Y. Makris, and H. Prager

hep-ph/1808.07867  
& work-in-progress

# Outline

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[p] The global picture of  $\alpha_s(m_Z)$

Why  $e^+e^-$  angularities?



Data and fit method

Preliminary results

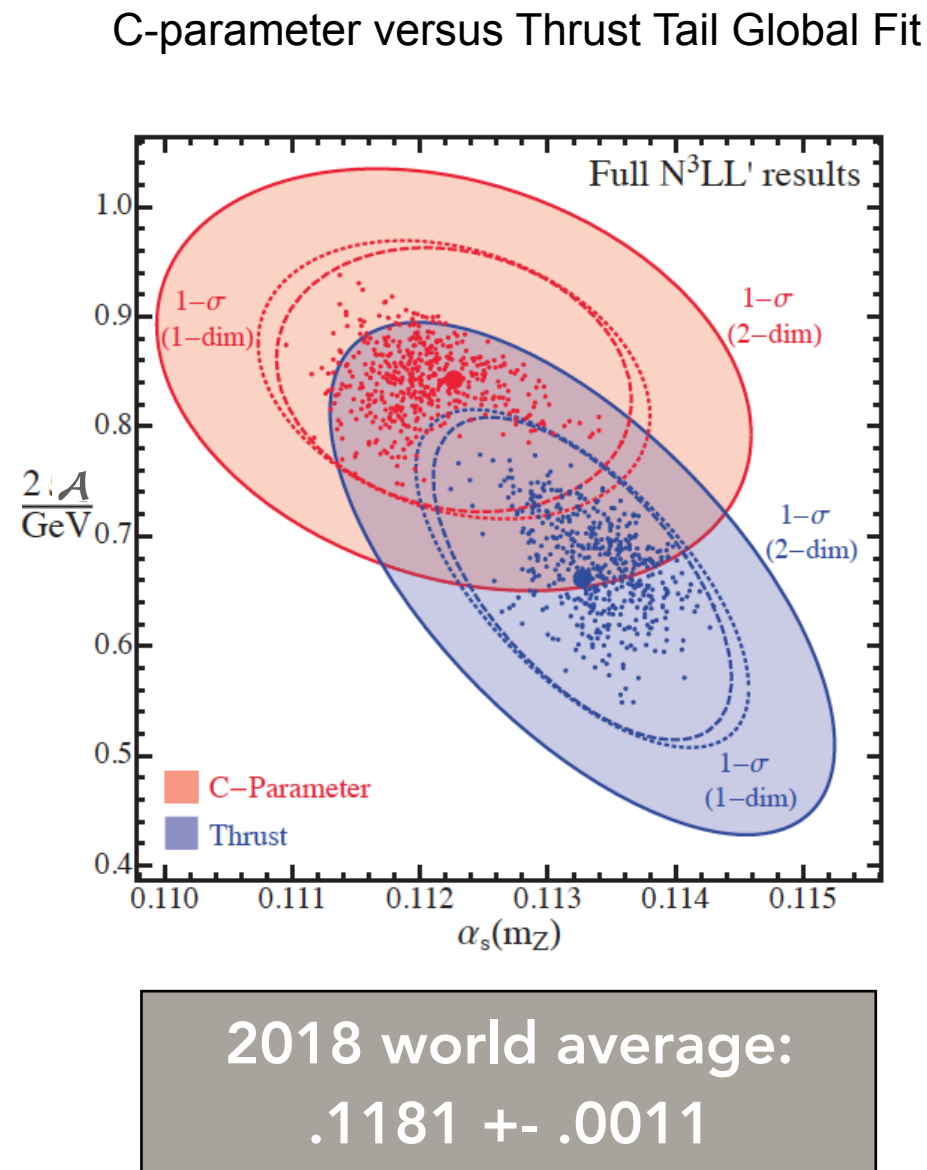




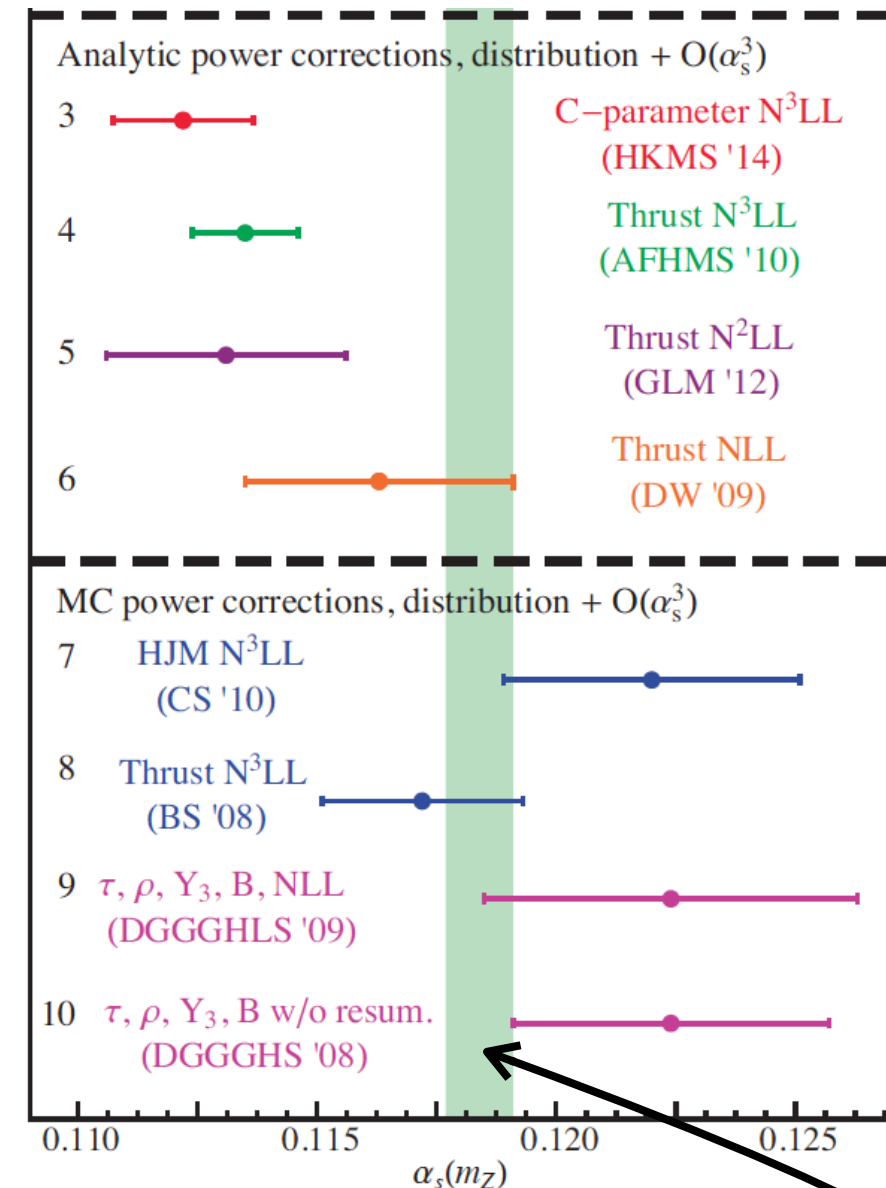
# SCET and the global picture of $\alpha_s$

hep-ph/0803.0342 (BS)  
 hep-ph/1006.3080 (AFHMS)  
 hep-ph/1501.04111 (HKMS)

- Many groups have utilized high-precision event-shape results to extract a value for  $\alpha_s$ . However, the value of  $\alpha_s$  is highly correlated to non-perturbative physics.



see A. Hoang, 2015 workshop on precision  $\alpha_s$

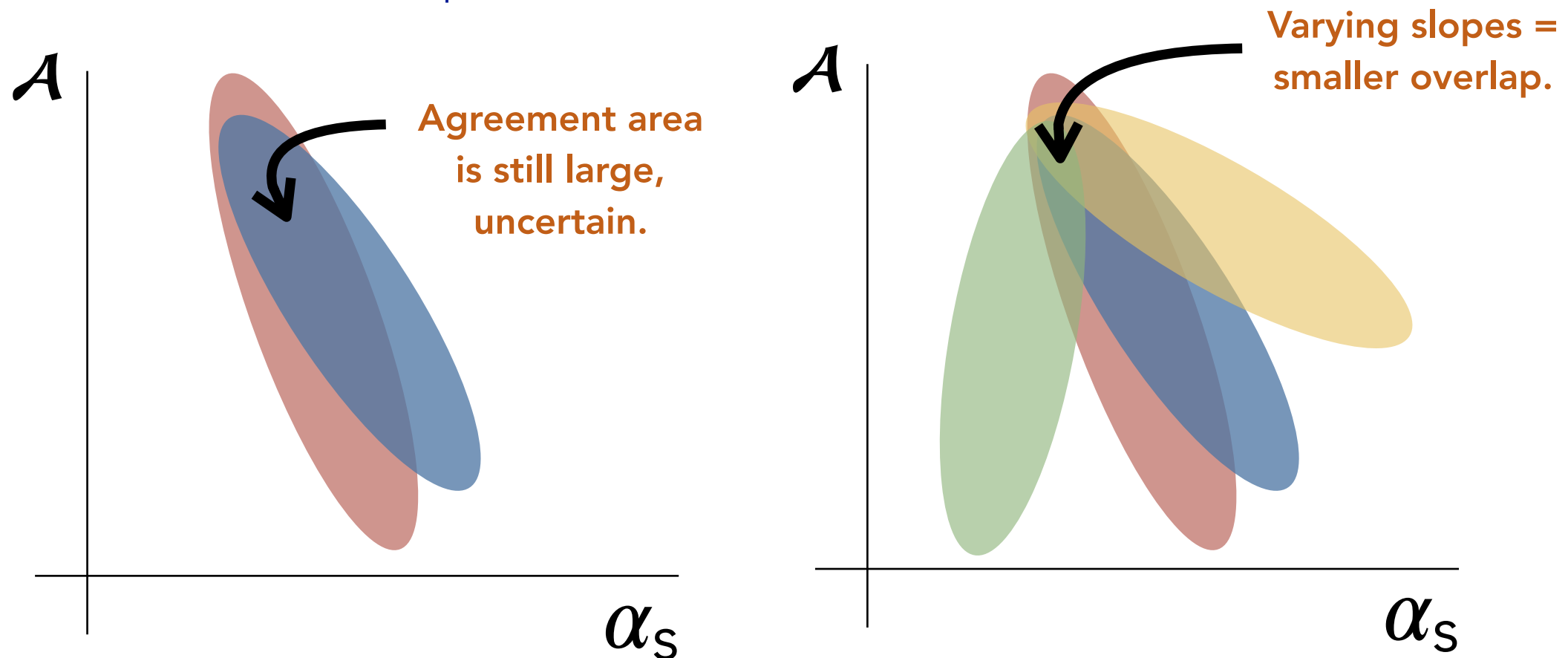


Note recent N<sup>3</sup>LO + NNLL jet rate extraction: Verbytskyi et al., 1902.08158

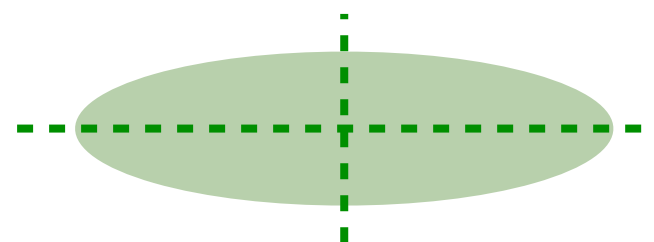
- 2015 C-parameter result  $\sim 4\sigma$  away from lattice QCD / world average...
- What can break the degeneracy between  $\mathcal{A}$  and  $\alpha_s$ ?

# Visualizing disentanglement

- Thinking observable-by-observable, 'disentangling'  $\mathcal{A}$  and  $\alpha_s$  looks like a series of uncertainty ellipses with minimal overlap:



- The semi-major axis of an ellipse drawn in the  $\mathcal{A}$ - $\alpha_s$  plane can be generically written as:



$$\frac{\mathcal{A}}{(-slope)} = \alpha_s^{PT}(m_Z) - \alpha_s(m_Z)$$

- The slope of this line is **Q-dependent** for all event shapes, and also depends on multiplicative coefficients  $\mathbf{c}$  (e.g.  $c = 2$  for thrust). Can we gain analytic control over  $\mathbf{c}$  for an entire class of observables?

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Why  $e^+e^-$  angularities?

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# $e^+e^-$ angularities in SCET

hep-ph/0303051  
hep-ph/0801.4569  
hep-ph/0901.3780

- Angularities can be defined in terms of the rapidity and  $p_T$  of a final state particle 'i', with respect to the thrust axis:

IR safe for  $a \in \{-\infty, 2\}$ !

$$\tau_a = \frac{1}{Q} \sum_i |\mathbf{p}_\perp^i| e^{-|\eta_i|(1-a)}$$

$a = 0 \leftrightarrow$  'Thrust'

$a = 1 \leftrightarrow$  'Jet Broadening'

- An all-order dijet factorization theorem for the observable is easily derived in SCET:

$$d\sigma \sim H \cdot \mathcal{J} \otimes \mathcal{J} \otimes \mathcal{S} \quad \xleftrightarrow{\text{RGE}} \quad \frac{dH(Q^2, \mu)}{d \ln \mu} = \left[ 2\Gamma_{cusp} \ln\left(\frac{Q^2}{\mu^2}\right) + 4\gamma_H(\alpha_s) \right] H(Q^2, \mu)$$

- Evolving all scales to/from their 'natural' settings, one arrives at the resummed cross section:

$$\begin{aligned} \frac{\sigma_{\text{sing}}(\tau_a)}{\sigma_0} &= e^{K(\mu, \mu_H, \mu_J, \mu_S)} \left(\frac{\mu_H}{Q}\right)^{\omega_H(\mu, \mu_H)} \left(\frac{\mu_J^{2-a}}{Q^{2-a}\tau_a}\right)^{2\omega_J(\mu, \mu_J)} \left(\frac{\mu_S}{Q\tau_a}\right)^{\omega_S(\mu, \mu_S)} H(Q^2, \mu_H) & \mathcal{F}(\Omega) &= \frac{e^{\gamma_E \Omega}}{\Gamma(-\Omega)} \\ &\times \tilde{J}\left(\partial_\Omega + \ln \frac{\mu_J^{2-a}}{Q^{2-a}\tau_a}, \mu_J\right)^2 \tilde{S}\left(\partial_\Omega + \ln \frac{\mu_S}{Q\tau_a}, \mu_S\right) \times \begin{cases} \frac{1}{\tau_a} \mathcal{F}(\Omega) & \sigma = \frac{d\sigma}{d\tau_a} \\ \mathcal{G}(\Omega) & \sigma = \sigma_c \end{cases} & \mathcal{G}(\Omega) &= \frac{e^{\gamma_E \Omega}}{\Gamma(1-\Omega)} \end{aligned}$$

- This predicts the singular component of the cross section. One must then match to QCD:

$$\frac{\sigma_c(\tau_a)}{\sigma_0} - \frac{\sigma_{c,\text{sing}}(\tau_a)}{\sigma_0} = r_c(\tau_a) = \theta(\tau_a) \left\{ \frac{\alpha_s(Q)}{2\pi} r_c^1(\tau_a) + \left(\frac{\alpha_s(Q)}{2\pi}\right)^2 r_c^2(\tau_a) \right\} + \dots$$

- Additionally, a treatment of non-perturbative effects is critical in  $e^+e^- \rightarrow \text{hadrons} \dots$

# Non-pert. effects: parametric power

hep-ph/9504219  
hep-ph/9806537  
hep-ph/9902341  
hep-ph/0611061

- When dominant power corrections come from the soft function, NP effects can be parameterized into a shape function  $f_{\text{mod}}$ :

$$S(k, \mu) = \int dk' S_{\text{PT}}(k - k', \mu) f_{\text{mod}}(k' - 2\overline{\Delta}_a)$$

'Gap' parameter accounting for parton → hadron acceptance

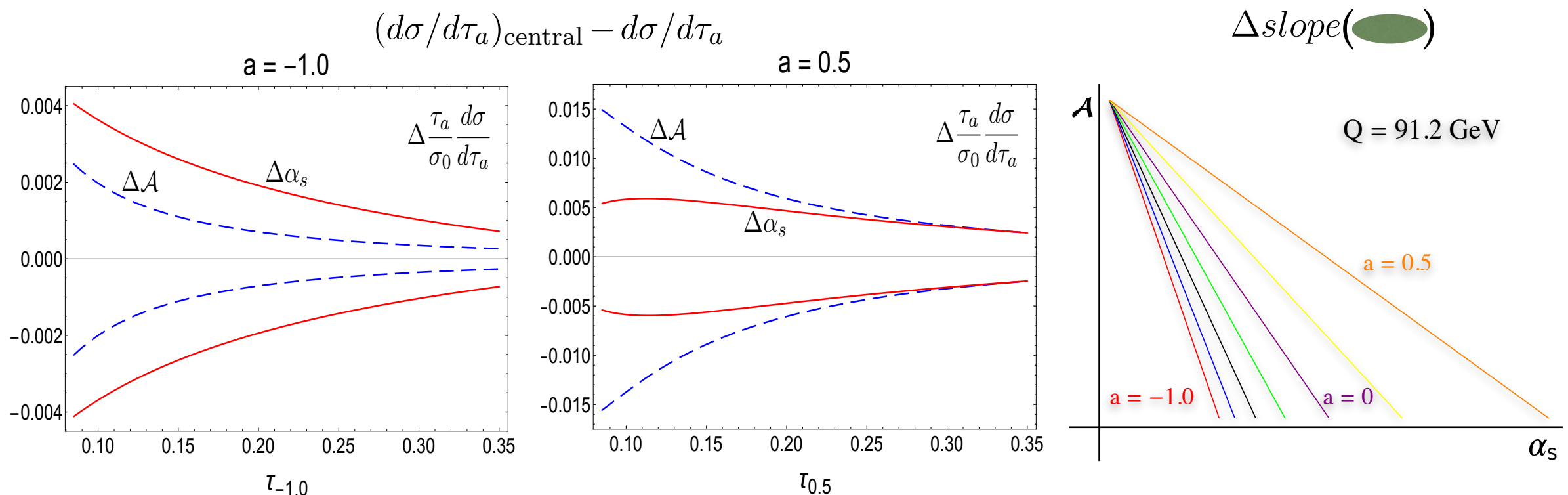
$$f_{\text{mod}}(k) = \frac{1}{\lambda} \left[ \sum_{n=0}^{\infty} b_n f_n \left( \frac{k}{\lambda} \right) \right]^2$$

$\lambda$  constrained by first moment of the shape function      complete orthonormal basis

- Convolution with  $f_{\text{mod}}$  reproduces leading NP distribution shift, derived from an OPE:

$$\frac{d\sigma}{d\tau_a}(\tau_a) \xrightarrow{\text{NP}} \frac{d\sigma}{d\tau_a}(\tau_a - c_{\tau_a} \frac{\mathcal{A}}{Q}) \quad c_{\tau_a} = \frac{2}{1-a} \quad \mathcal{A} = \frac{1}{N_C} \text{Tr} \langle 0 | \bar{Y}_{\bar{n}}^\dagger Y_n^\dagger \mathcal{E}_T(0) Y_n \bar{Y}_{\bar{n}} | 0 \rangle$$

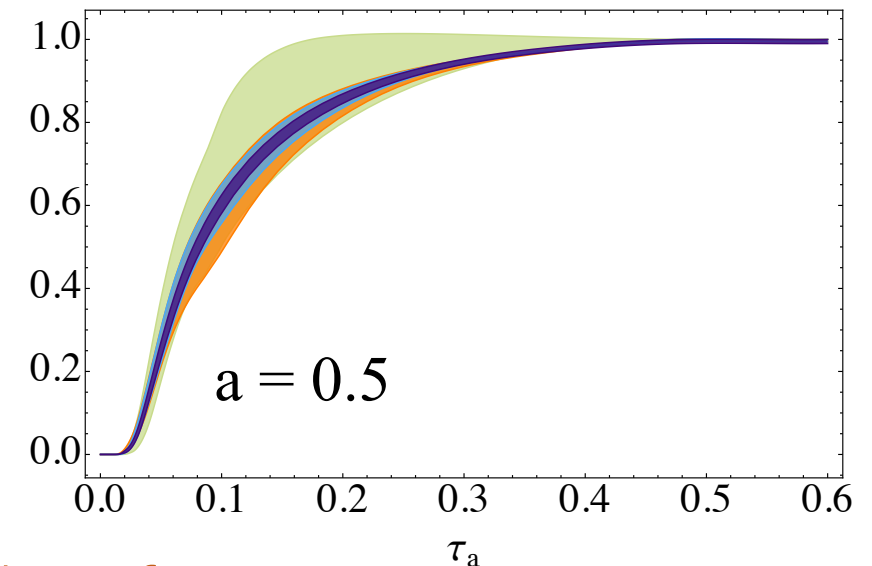
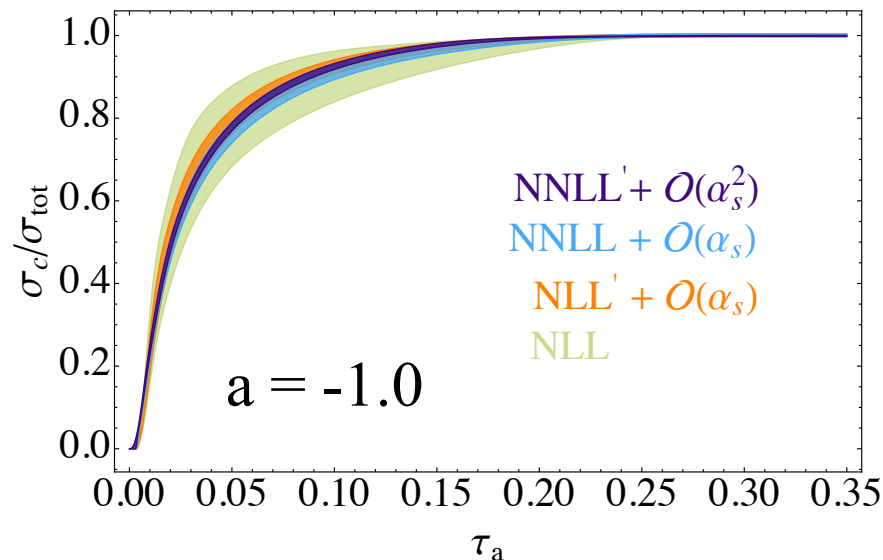
Note: this is only valid in the tail region!



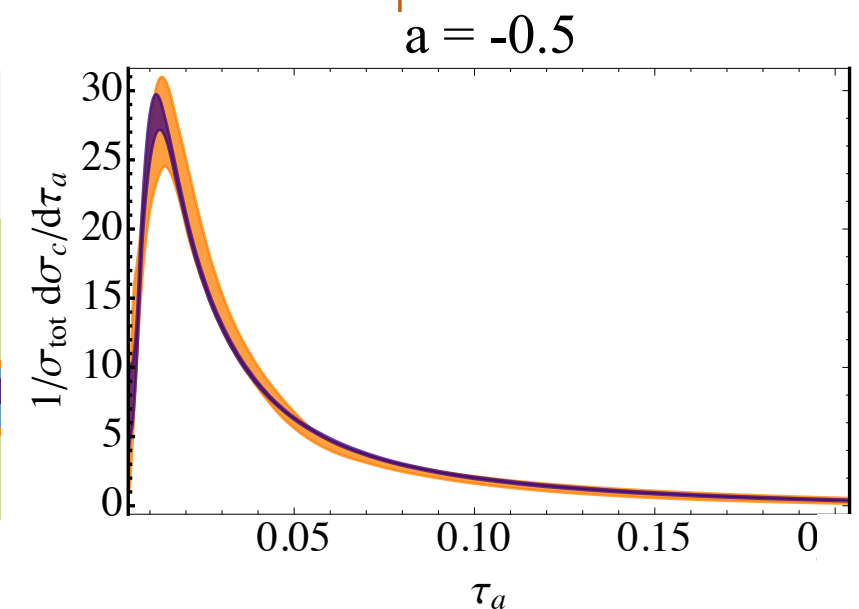
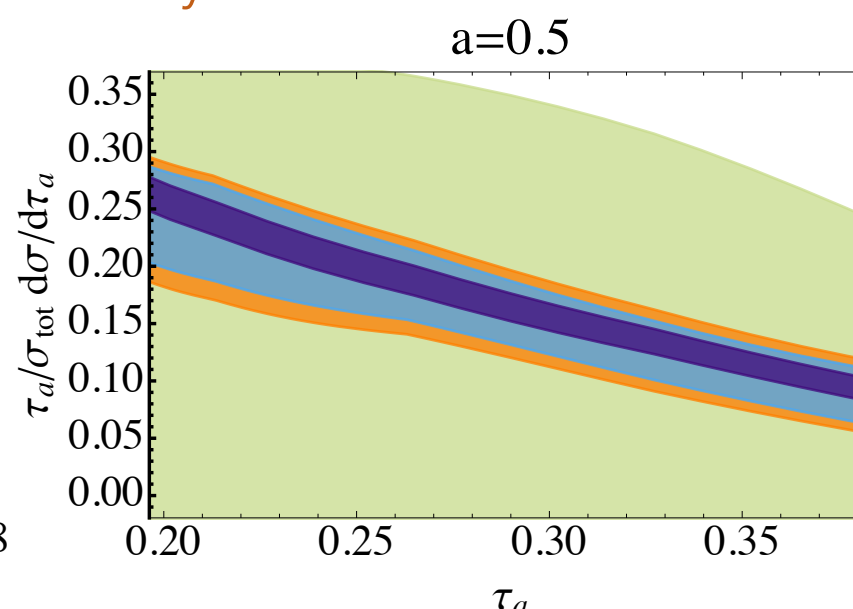
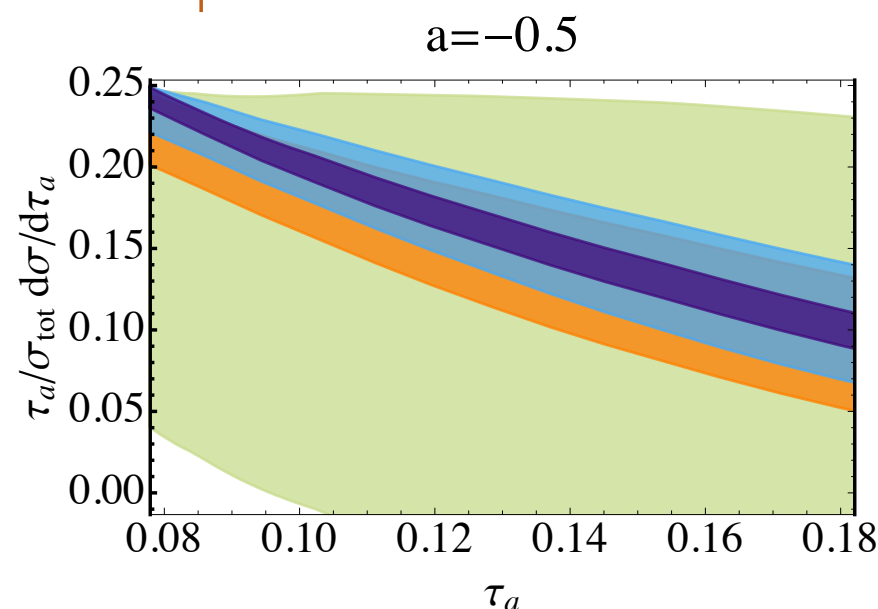
- Varying  $Q$  between 35 and 207 GeV generates same difference as varying  $a \in \{-2.0, 0.5\}$  ( $\sim 6$ )!!

# Recent progress: NLL' to NNLL'

hep-ph/0901.3780  
 hep-ph/1805.12414  
**hep-ph/1808.07867**  
 hep-ph/1812.08690



- Two-loop soft anomalous dimensions and singular constants provided by **SoftSERVE**
- Two-loop jet anomalous dimension obtained from consistency relations
- Two-loop singular jet constants extracted from **EVENT2**
- Matching to QCD at  $O(\alpha_s^2)$  extracted from **EVENT2**
- Includes set of H,J,S, & non-sing. profile scales, tuned for  $a$ -dependence, and varied with a random scan over parameters
- Non-perturbative effects accounted for by convolution with renormalon-subtracted shape function



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# Data and fit method

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# The (only) dataset

Generalized event shape and energy flow studies in  $e^+e^-$  annihilation at  $\sqrt{s} = 91.2\text{-}208.0\text{ GeV}$

L3 Collaboration

**JHEP 10 (2011) 143**

RECEIVED: May 12, 2009

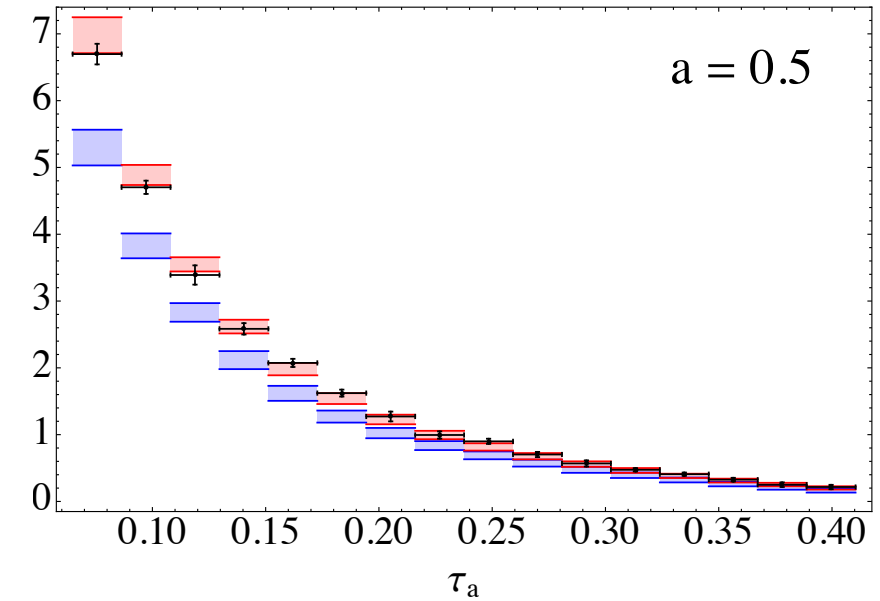
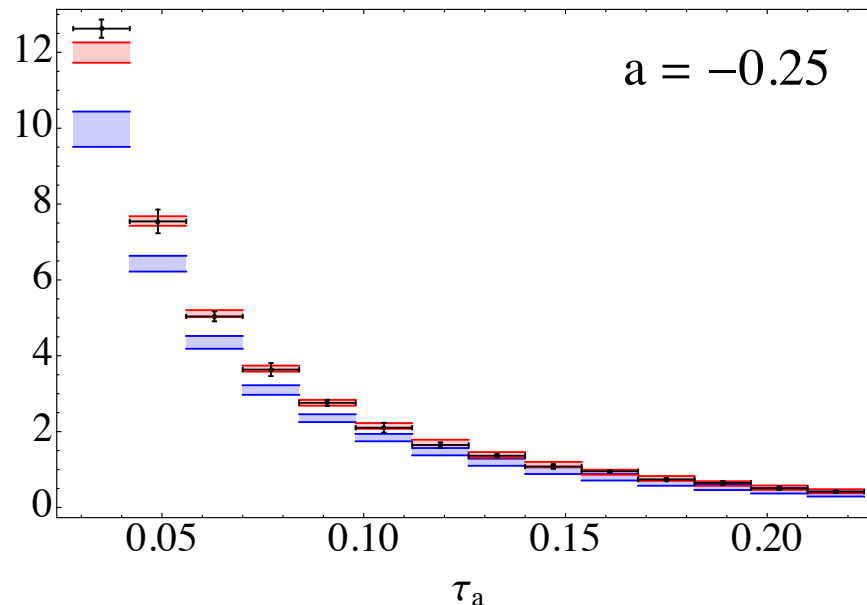
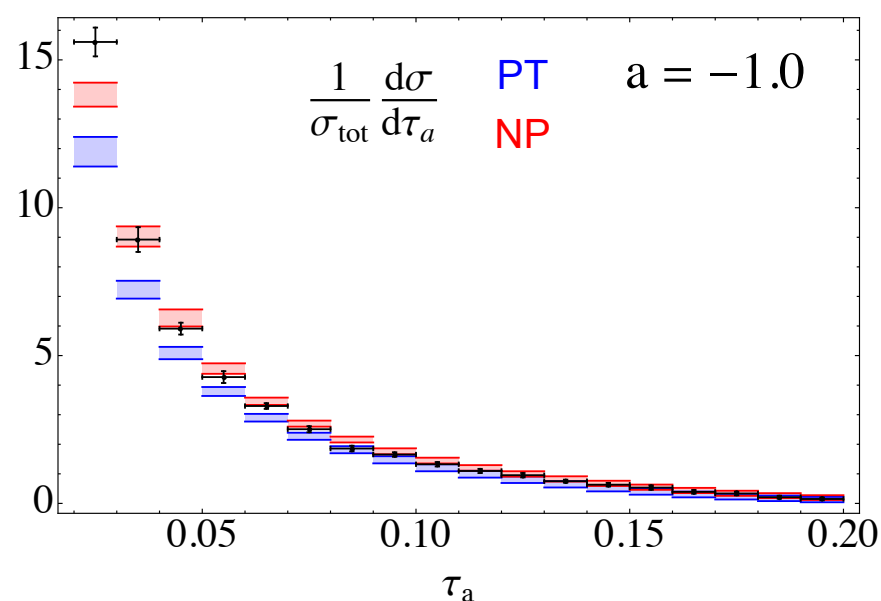
REVISED: May 3, 2011

ACCEPTED: August 24, 2011

PUBLISHED: October 31, 2011

Also see thesis by Pratima Jindal, Panjab University, Chandigarh

- Data for  $a = \{-1.0, -0.75, -0.5, -0.25, 0.0, 0.25, 0.5, 0.75\}$  at **91.2** and 197 GeV
- Total number of bins = (bins per  $a$ ) x (number of  $a$ ) =  $25 \times 7 = 175$  bins @  $Q = 91.2$  GeV
- Compare to 404 bins **included** in 2015 C-Parameter fit (across all  $Q$  considered)...
- Early theory predictions look good against the data, but what does this translate to for  $\mathcal{A}$  and  $\alpha_s$ ?



**BLUE:** NNLL' +  $O(\alpha_s^2)$

**RED:** NNLL' +  $O(\alpha_s^2)$  + NP



## EARLY GOALS

- 1) Gauge the quality of the available data and resulting fits, given our best theory predictions and independent extraction codes...Do we need better data or better theory at the moment?
- 2) Determine if the expected benefit of using angularities (parametric NP behavior) is roughly observed.
- 3) Gauge whether our (early) results are consistent with prior SCET analyses...Still tension with PDG?

- We perform a  $\chi^2/\text{d.o.f.}$  analysis, accounting for stat. + (correlated) syst. experimental uncertainties and theory uncertainties as determined by all relevant variations in 1808.07867.
- Correlations amongst data bins accounted for with *Minimal Overlap Model*.
- Experimental uncertainty ellipse determined via  $\Delta\chi^2=1$ , using central values of profile parameters. Correlation matrices (also for theory and total uncertainty) defined by:

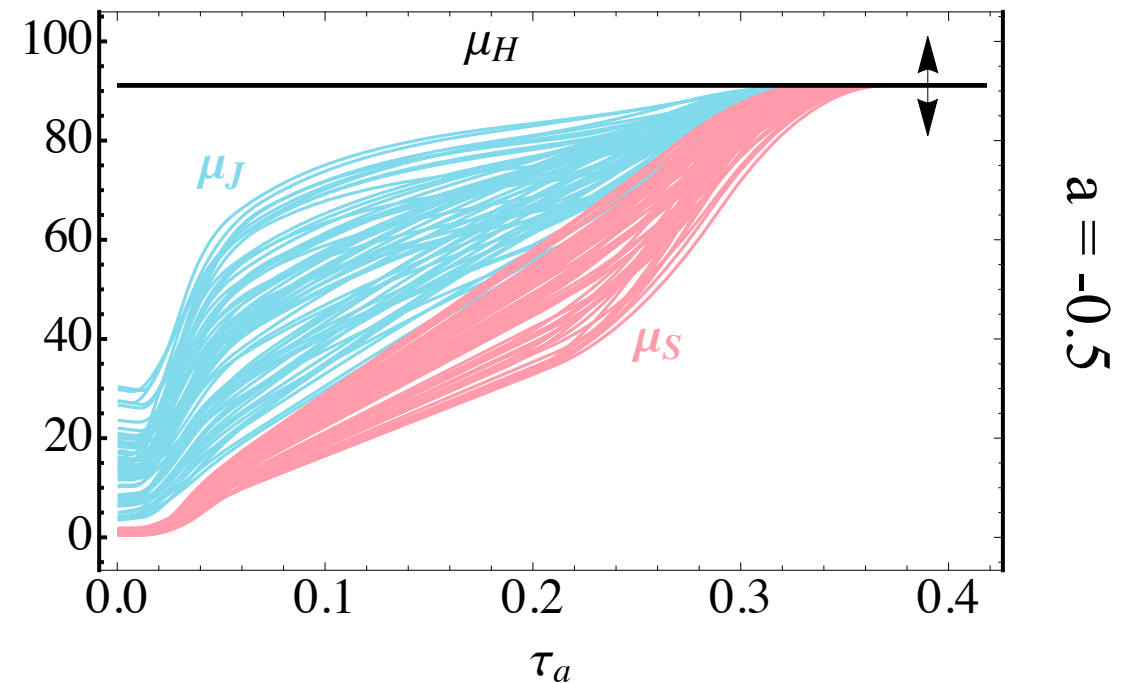
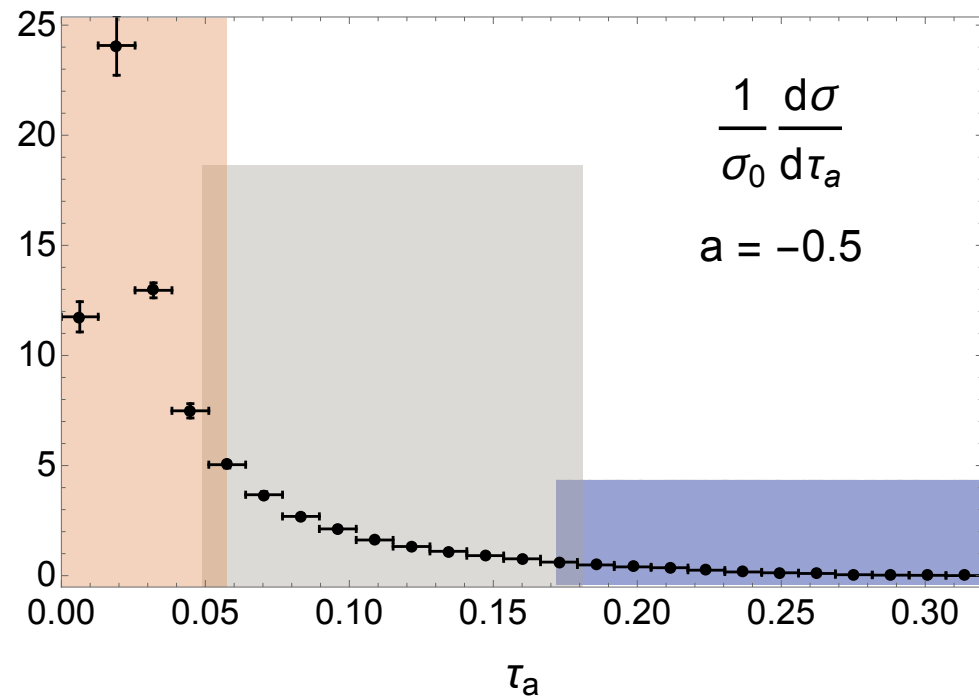
$$V_{ij}^{corr.} \equiv \begin{pmatrix} \sigma_{\alpha_s}^2 & \sigma_{\alpha_s} \sigma_A \rho_{\alpha A} \\ \sigma_{\alpha_s} \sigma_A \rho_{\alpha A} & \sigma_A^2 \end{pmatrix} \quad V_{ij}^{total} \equiv V_{ij}^{exp.} + V_{ij}^{theory}$$

- Theory predictions only include (for now) leading non-pert. shift:  $\frac{d\sigma}{d\tau_a}(\tau_a) \xrightarrow{\text{NP}} \frac{d\sigma}{d\tau_a}(\tau_a - c_{\tau_a} \frac{A}{Q})$
- Theory uncertainty ellipse determined as envelope of all best fit points, after 500 random draws of theory parameters in pre-defined ranges, found in 1808.07867.
- Fits performed for each angularity individually, and globally for all available  $a$ , once a fit window is chosen. We only use the  $Q = 91.2$  GeV data in our fits.

# Profiling a fit window

hep-ph/1808.07867

- How can we identify a region sensitive to  $\mathcal{A}$  and  $\alpha_s$ , and for which our best theory curves are reliable? Look to the profiles!



- Profiles trace scale hierarchies through different regimes of a given distribution:

**Peak**  $\mu_H \gg \mu_J \gg \mu_S \sim \Lambda_{QCD}$

**Tail**  $\mu_H \gg \mu_J \gg \mu_S \gg \Lambda_{QCD}$

**Far Tail**  $\mu_H = \mu_J = \mu_S \gg \Lambda_{QCD}$

**Tracks the peak**

$$t_0 = \frac{n_0}{Q} 3^a$$

$$t_1 = \frac{n_1}{Q} 3^a$$

**Turns off resummation**

$$t_2 = n_2 \times 0.295^{1-0.637a}$$

$$t_3 = n_3 \tau_a^{\text{sph}}$$

**Transitions between NP and PT physics**

**Reverts to fixed-order perturbation theory**

- Our default fit window will be between  $t_1$  and  $t_2$ , which roughly tracks the tail (former) and far-tail (latter) of the distribution.\* \*

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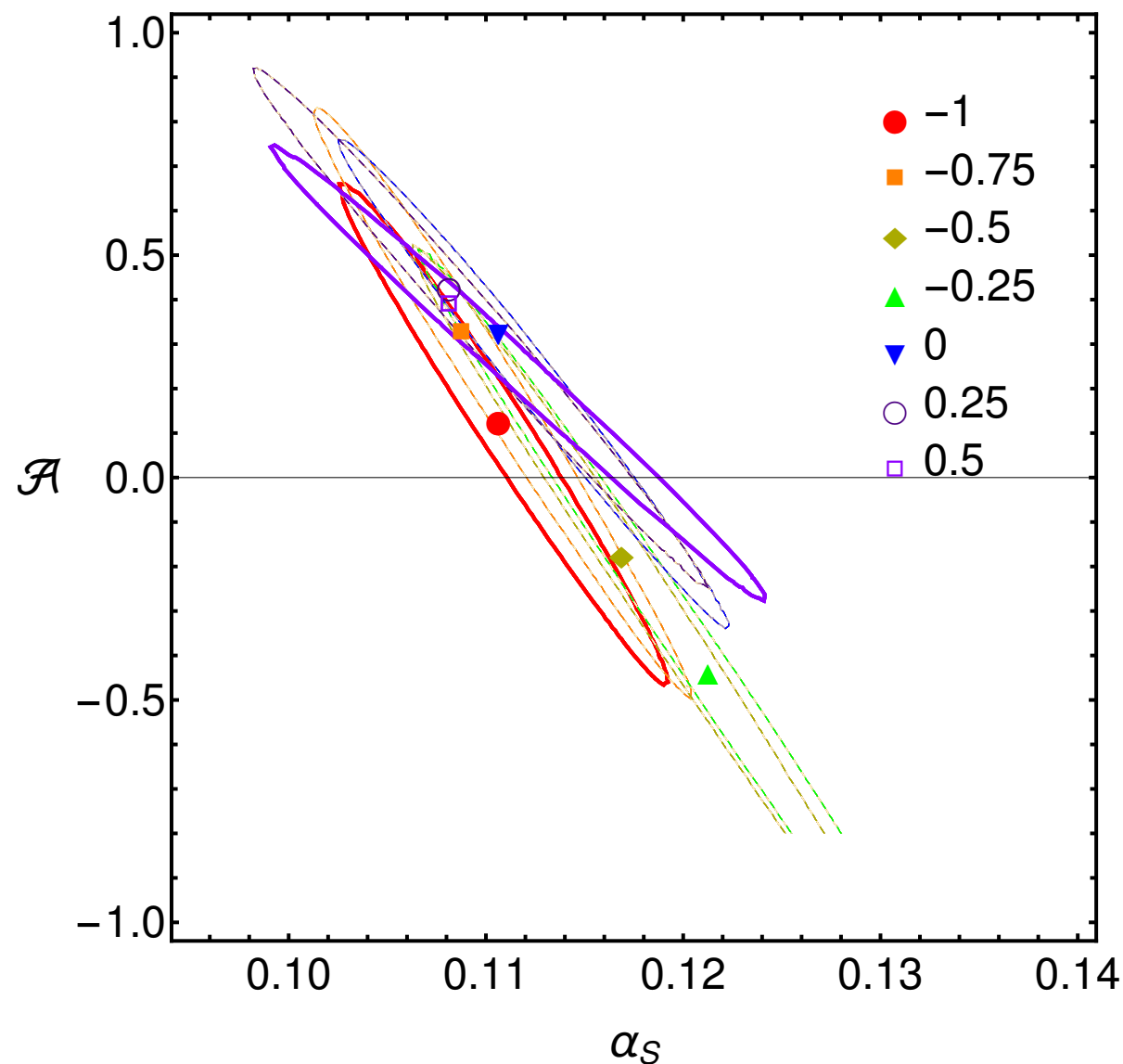
## Preliminary results

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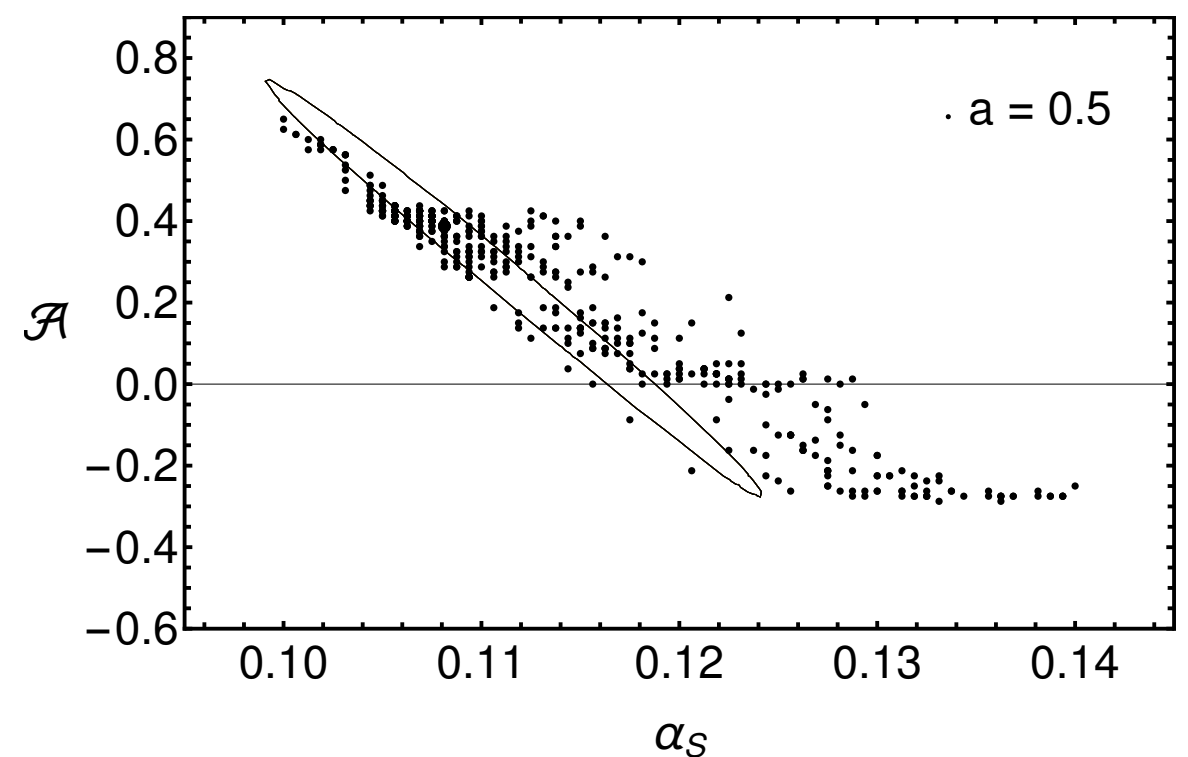
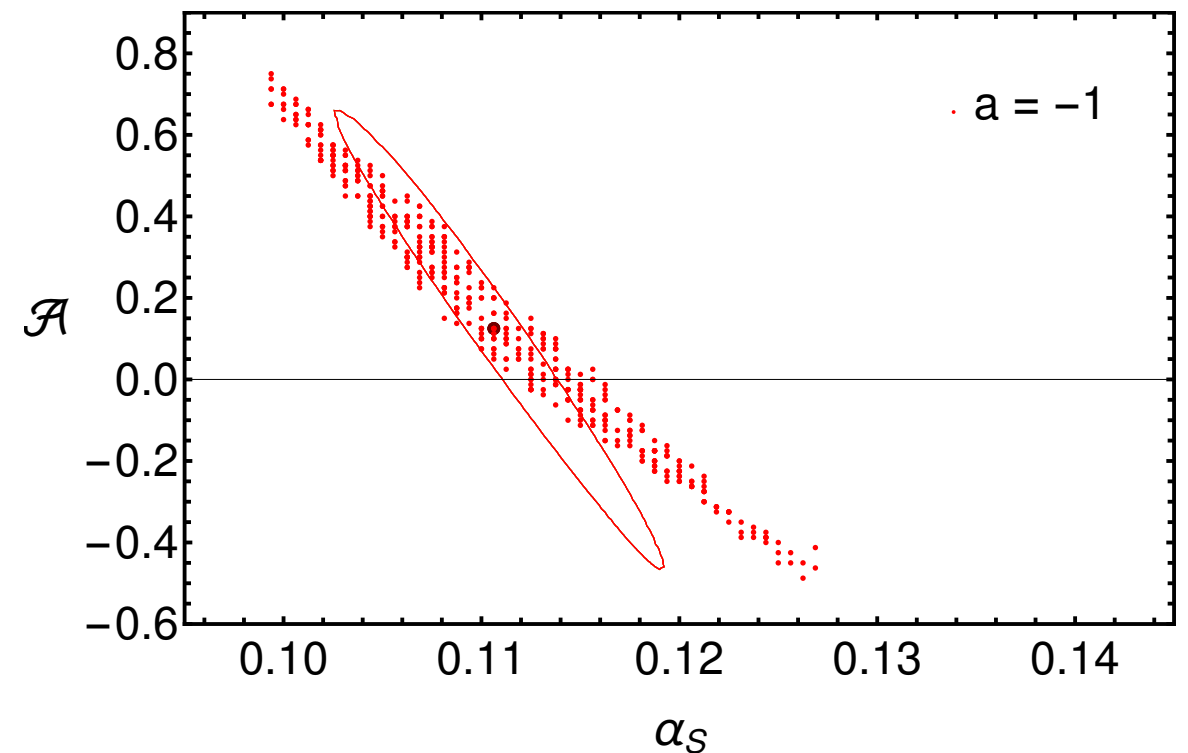
# Default fits: individual observables

*Preliminary!*

- We perform fits at individual  $a$ , to see if we observe the NP shift (theory at NNLL' +  $O(\alpha_s^2)$  + NP):



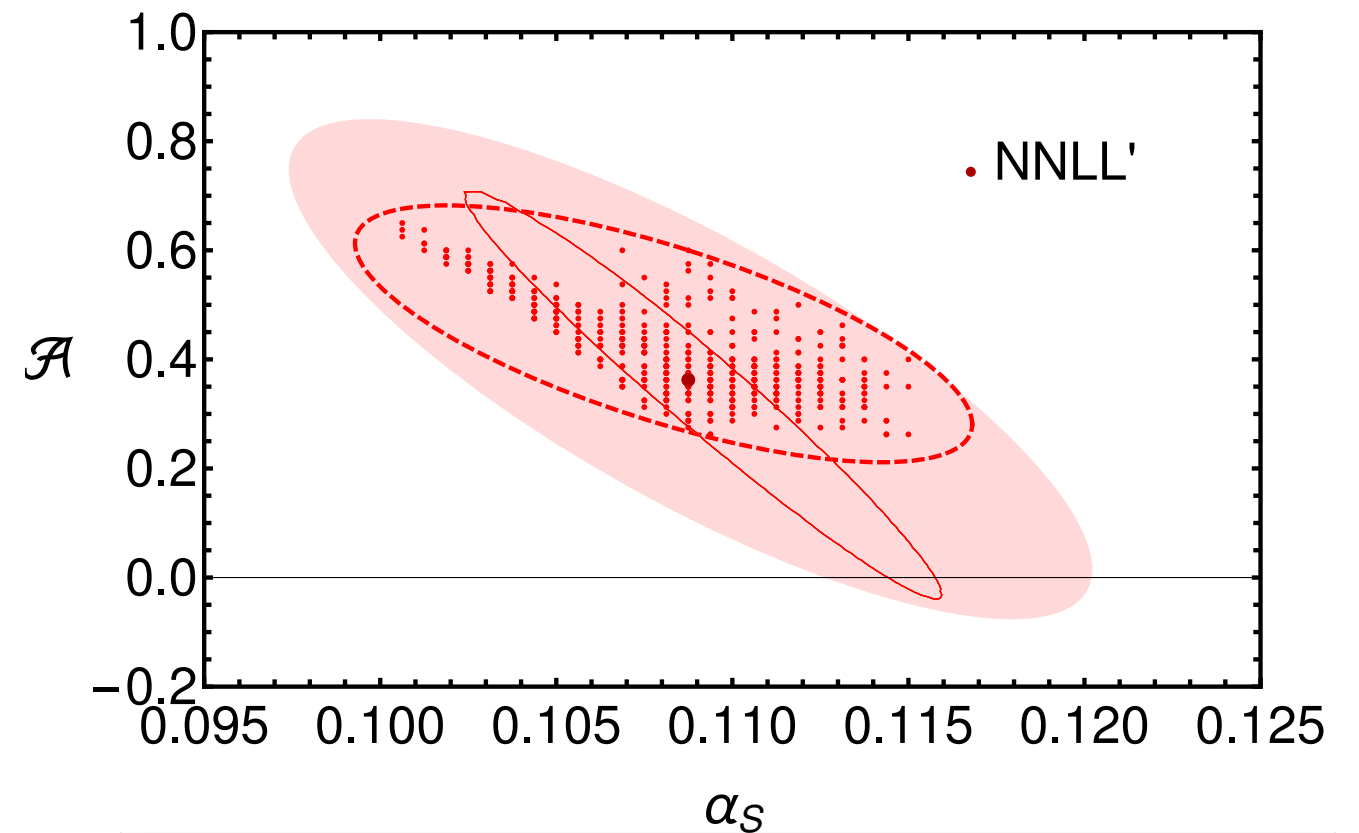
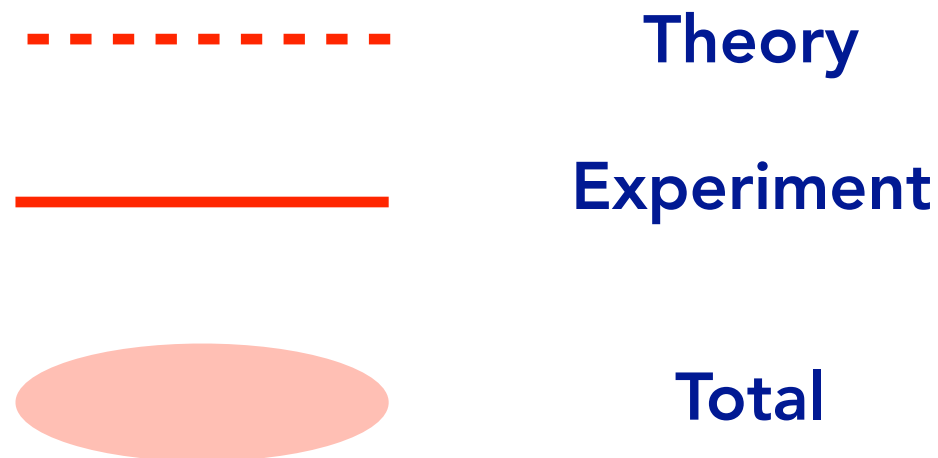
*Note the change in slope  
between  $a = -1.0$  and  $a = 0.5$ !*



# Default fits: global analysis

*Preliminary!*

- If we instead perform a fit to all available observables/bins simultaneously, we obtain:



$$\alpha_s(m_Z)|_{\text{NNLL}'} = 0.109 \pm 0.007_{\text{exp}} \pm 0.007_{\text{th}}$$

$$\mathcal{A}|_{\text{NNLL}'} = 0.36 \pm 0.37_{\text{exp}} \pm 0.19_{\text{th}} \text{ (GeV)}$$

- Compare the central results to 2015 C-parameter results in 1501.04111:

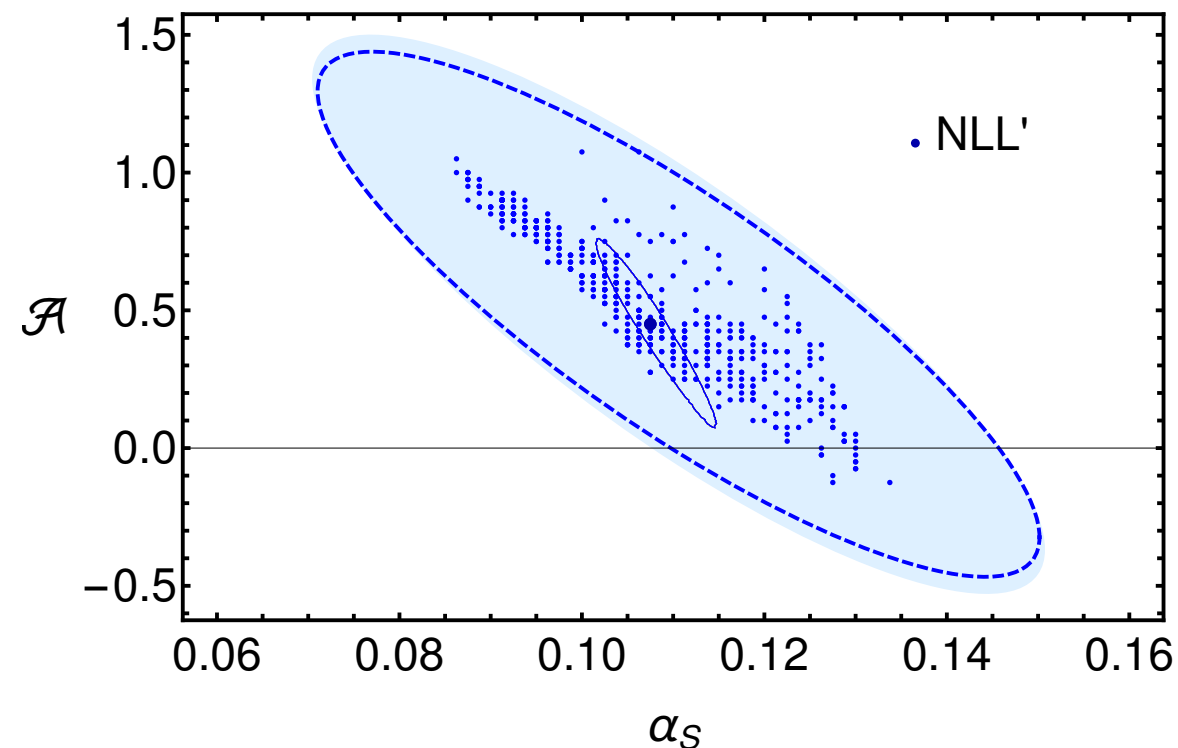
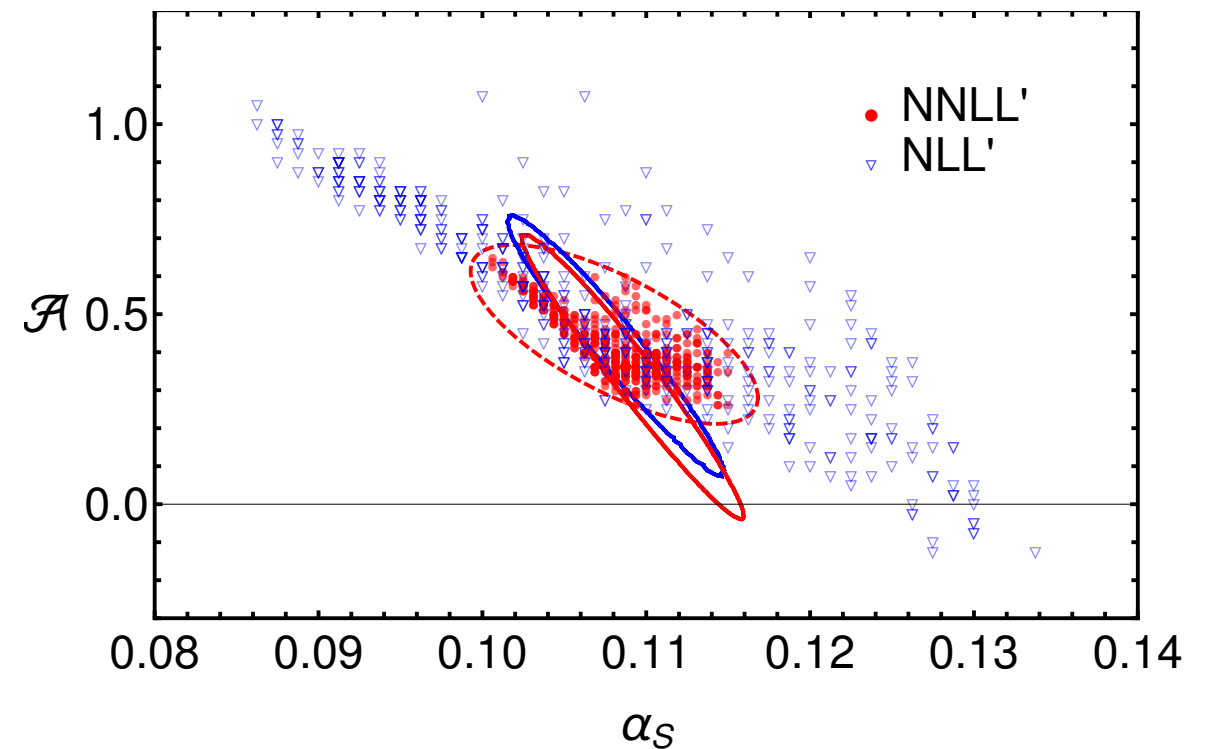
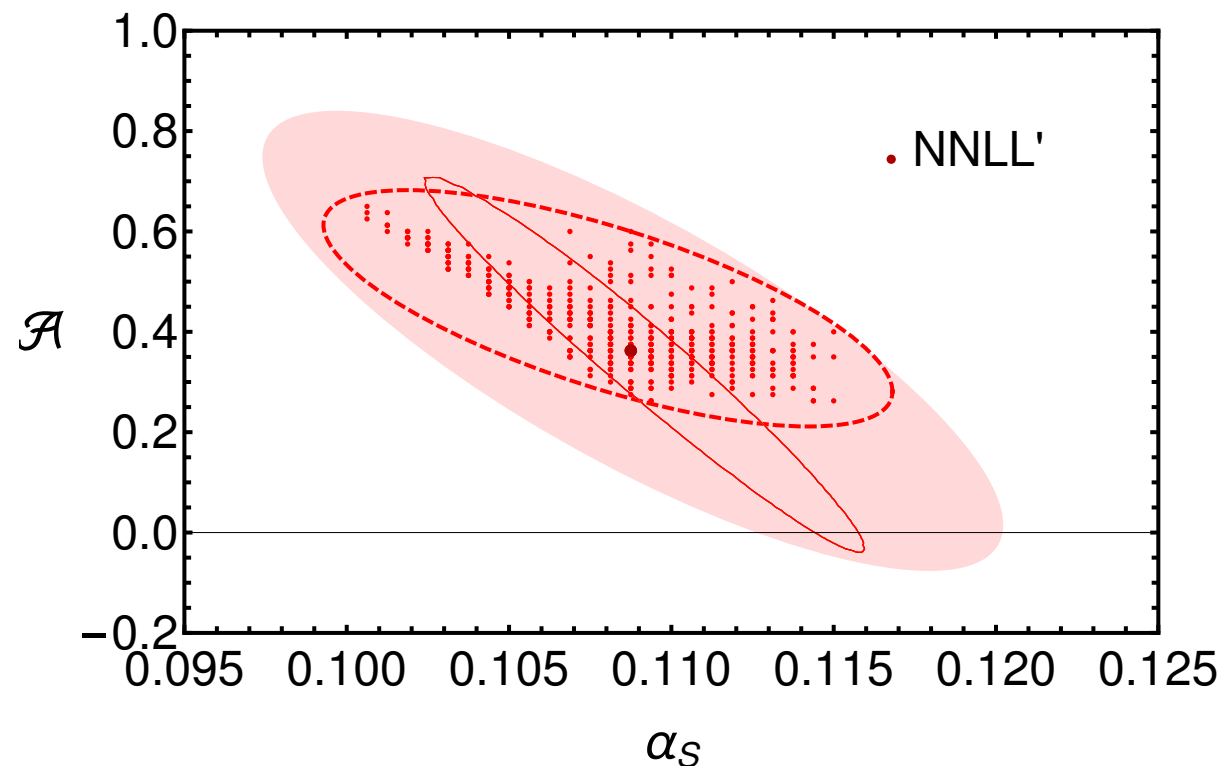
order	$\alpha_s(m_Z)$ (with $\mathcal{A}$ )	$\alpha_s(m_Z)$ (with $\mathcal{A}(R_\Delta, \mu_\Delta)$ )
NLL'	0.1071(60)(05)	0.1059(62)(05)
N <sup>2</sup> LL'	0.1102(32)(06)	0.1100(33)(06)
N <sup>3</sup> LL' (full)	0.1117(16)(06)	<b>0.1123(14)(06)</b>

order	$\mathcal{A}$ [GeV]	$\mathcal{A}(R_\Delta, \mu_\Delta)$ [GeV]
NLL'	0.533(154)(18)	0.582(134)(16)
N <sup>2</sup> LL'	0.443(119)(19)	0.457(83)(19)
N <sup>3</sup> LL' (full)	0.384(91)(20)	<b>0.421(60)(20)</b>

# Default fits: convergence

*Preliminary!*

- The improvement from NLL' to NNLL' accuracy makes a substantial difference in the uncertainty ellipses generated:



**NNLL'**

$$\alpha_s(m_Z)|_{\text{NNLL}'} = 0.109 \pm 0.007_{\text{exp}} \pm 0.007_{\text{th}}$$

$$\mathcal{A}|_{\text{NNLL}'} = 0.36 \pm 0.37_{\text{exp}} \pm 0.19_{\text{th}} \text{ (GeV)}$$

**NLL'**

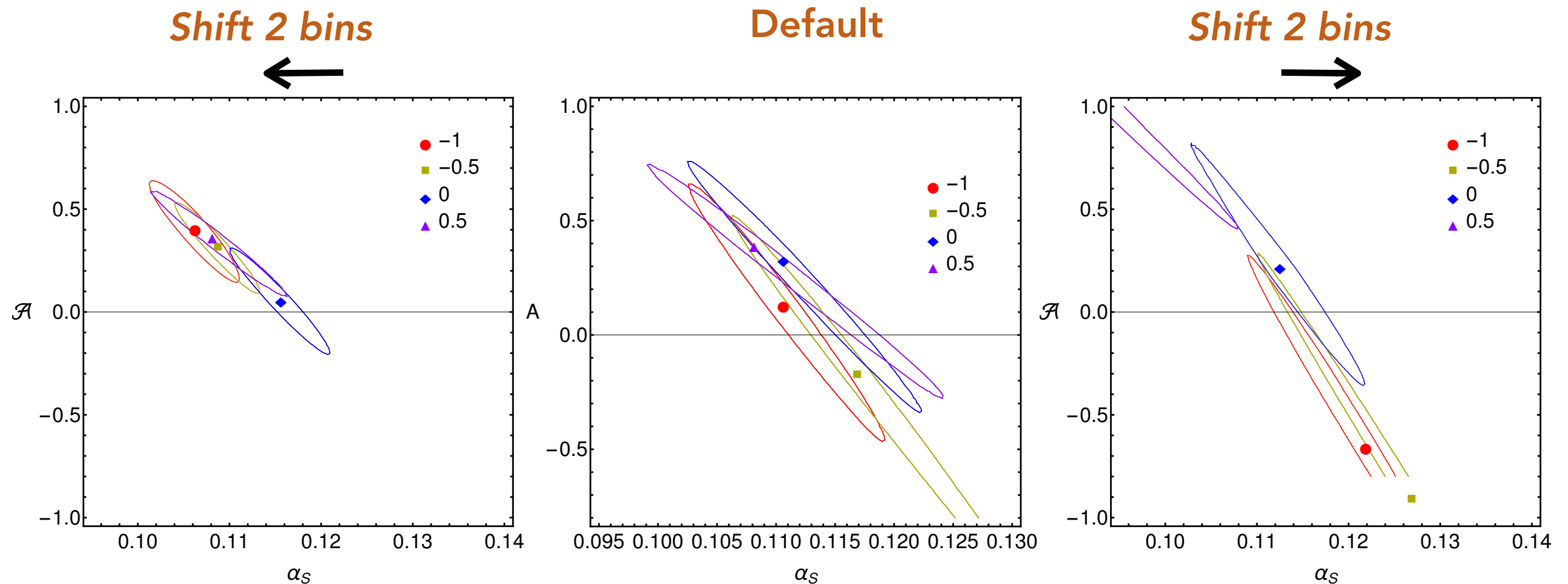
$$\alpha_s(m_Z)|_{\text{NLL}'} = 0.108 \pm 0.007_{\text{exp}} \pm 0.02_{\text{th}}$$

$$\mathcal{A}|_{\text{NLL}'} = 0.45 \pm 0.34_{\text{exp}} \pm 0.60_{\text{th}} \text{ (GeV)}$$

# Fit windows — a major systematic

*Preliminary!*

- Taking more of the peak leads to smaller experimental ellipses, whereas taking more of the far tail leads to larger experimental ellipses:



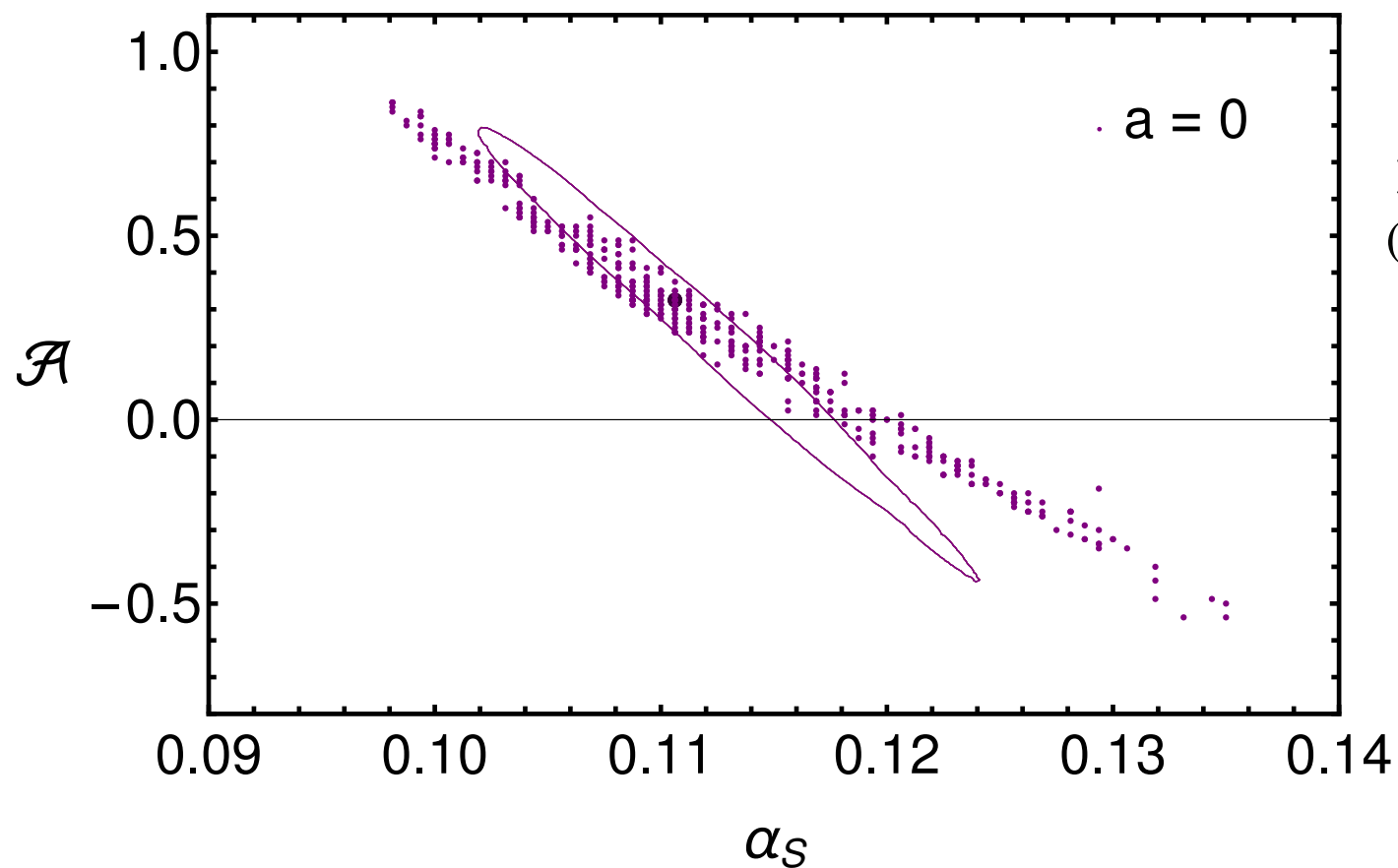
- But both effects will clearly generate different central values for  $\mathcal{A}$  and  $\alpha_s$ ...
- This effect was already noted before, cf. Fig. 17 in 1006.3080. But can we really justify not taking more of the far-tail data? Would a significant tension survive if not?

# Projections: better data

*Preliminary!*

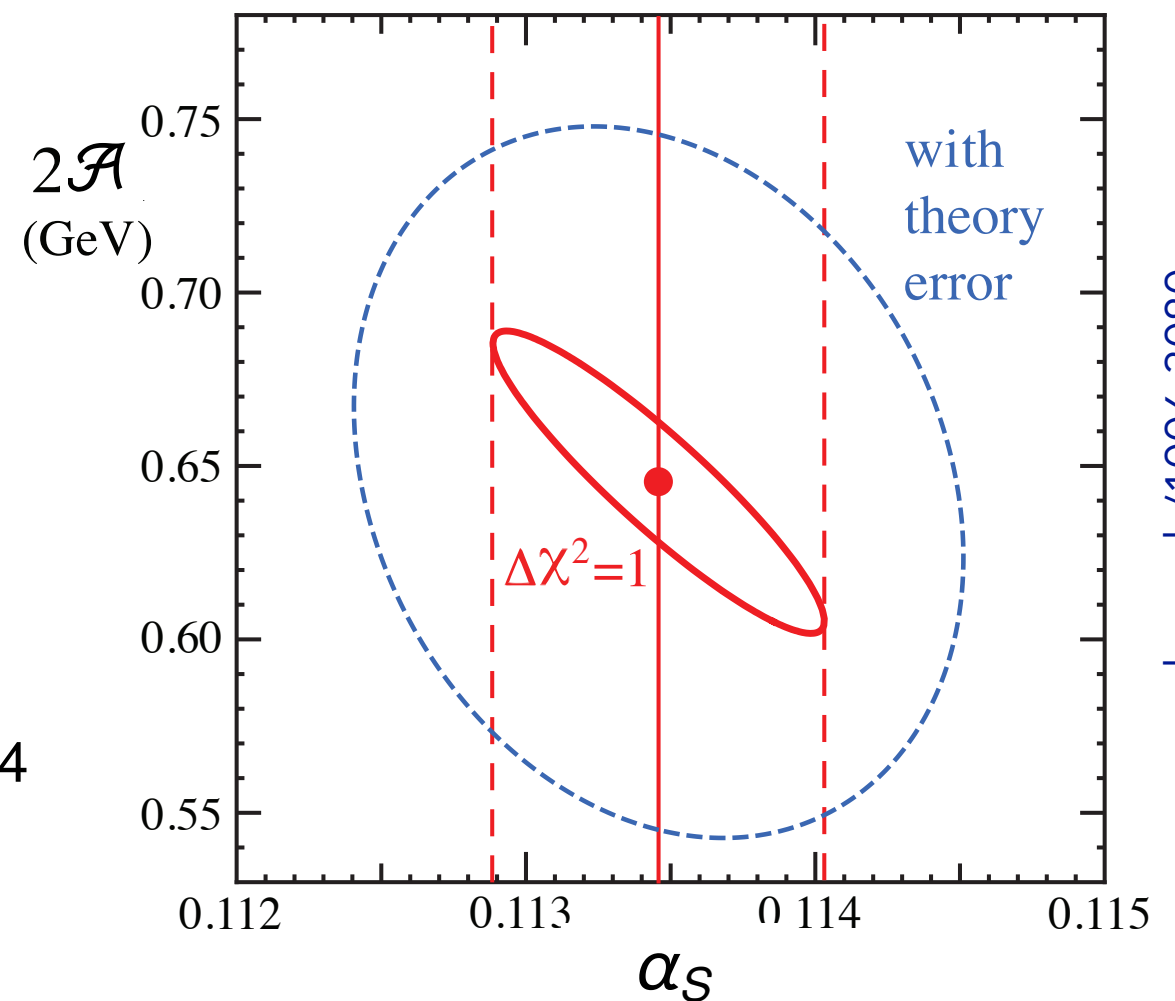
- Compare the relative theory vs. experimental ellipses in 2010 thrust paper to our own:

**2019 N<sup>2</sup>LL' Angularities (a = 0)  
(Q = 91.2 GeV)**



*Note the difference in the y-axis!*

**2010 N<sup>3</sup>LL' Thrust  
(Q ∈ {35 - 207} GeV)**



hep-ph/1006.3080

$$V_{ij}^{\text{exp}} \Big|_{a=0}^{2019} \simeq \begin{pmatrix} 1.01 \cdot 10^{-4} & -5.50 \cdot 10^{-3} \text{ GeV} \\ -5.50 \cdot 10^{-3} \text{ GeV} & 3.10 \cdot 10^{-1} \text{ GeV}^2 \end{pmatrix}$$

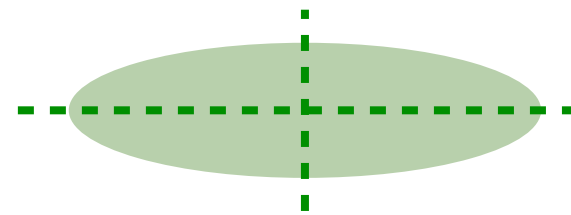
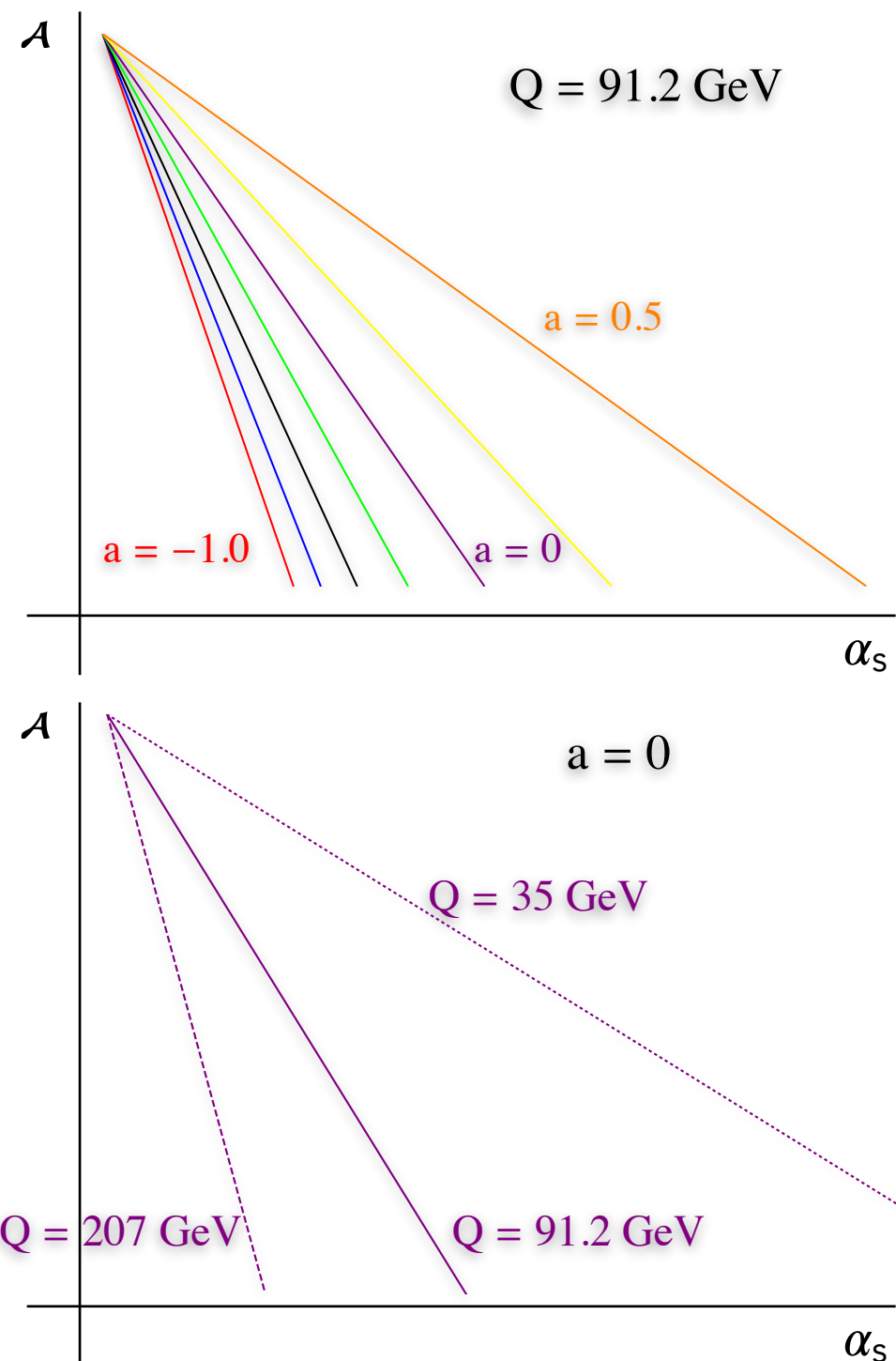
$$V_{ij}^{\text{exp}} \Big|_{\text{thrust}}^{2010} \simeq \begin{pmatrix} 3.29 \cdot 10^{-7} & -2.30 \cdot 10^{-5} \text{ GeV} \\ -2.30 \cdot 10^{-5} \text{ GeV} & 1.90 \cdot 10^{-3} \text{ GeV}^2 \end{pmatrix}$$

- Measurements at more c.o.m energies Q, for each angularity a, are clearly welcome!



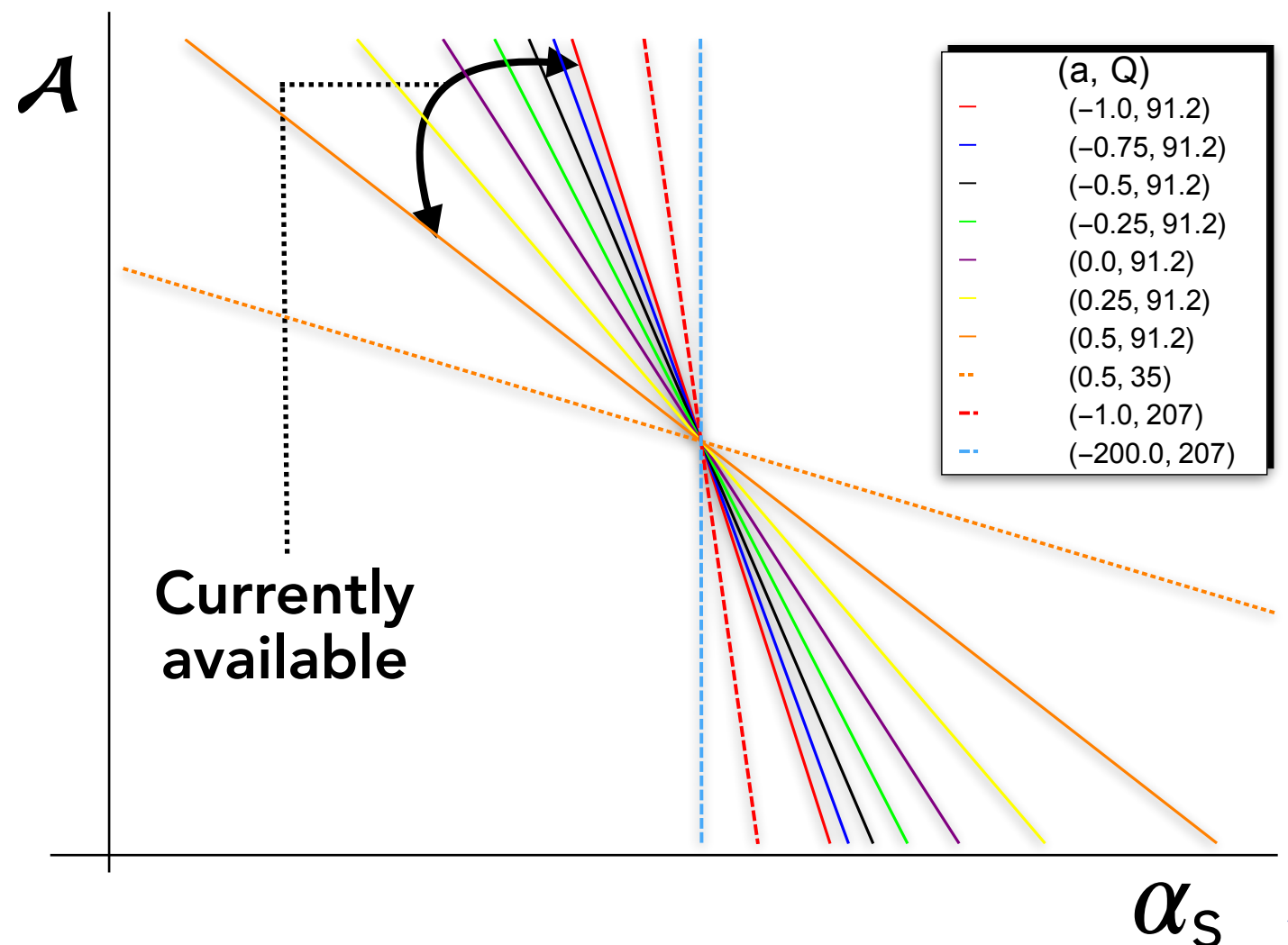
# Projections: more observables

- As are measurements at more values of  $a$ , for a given  $Q$ ! Data across broad ranges in both promises intense probative power:



$$\frac{\mathcal{A}}{(-\text{slope})} = \alpha_s^{PT}(m_Z) - \alpha_s(m_Z)$$

The 'angularity star'



# Summary and outlook

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- Due to the **parametric dependence of non-perturbative effects**, *angularity* distributions offer a unique opportunity to break the degeneracy in two-dimensional  $\mathcal{A} - \alpha_s$  fits.
- Our recent improvement to **NNLL' +  $\mathcal{O}(\alpha_s^2)$  + NP accuracy** motivates such a fit.
- We have presented preliminary results using a simple correlation model. The central values we obtain from a global fit to all seven observables are:

$$\begin{aligned}\alpha_s(m_Z)|_{\text{NNLL}'} &= 0.109 \pm 0.007_{\text{exp}} \pm 0.007_{\text{th}} \\ \mathcal{A}|_{\text{NNLL}'} &= 0.36 \pm 0.37_{\text{exp}} \pm 0.19_{\text{th}} \quad (\text{GeV})\end{aligned}$$

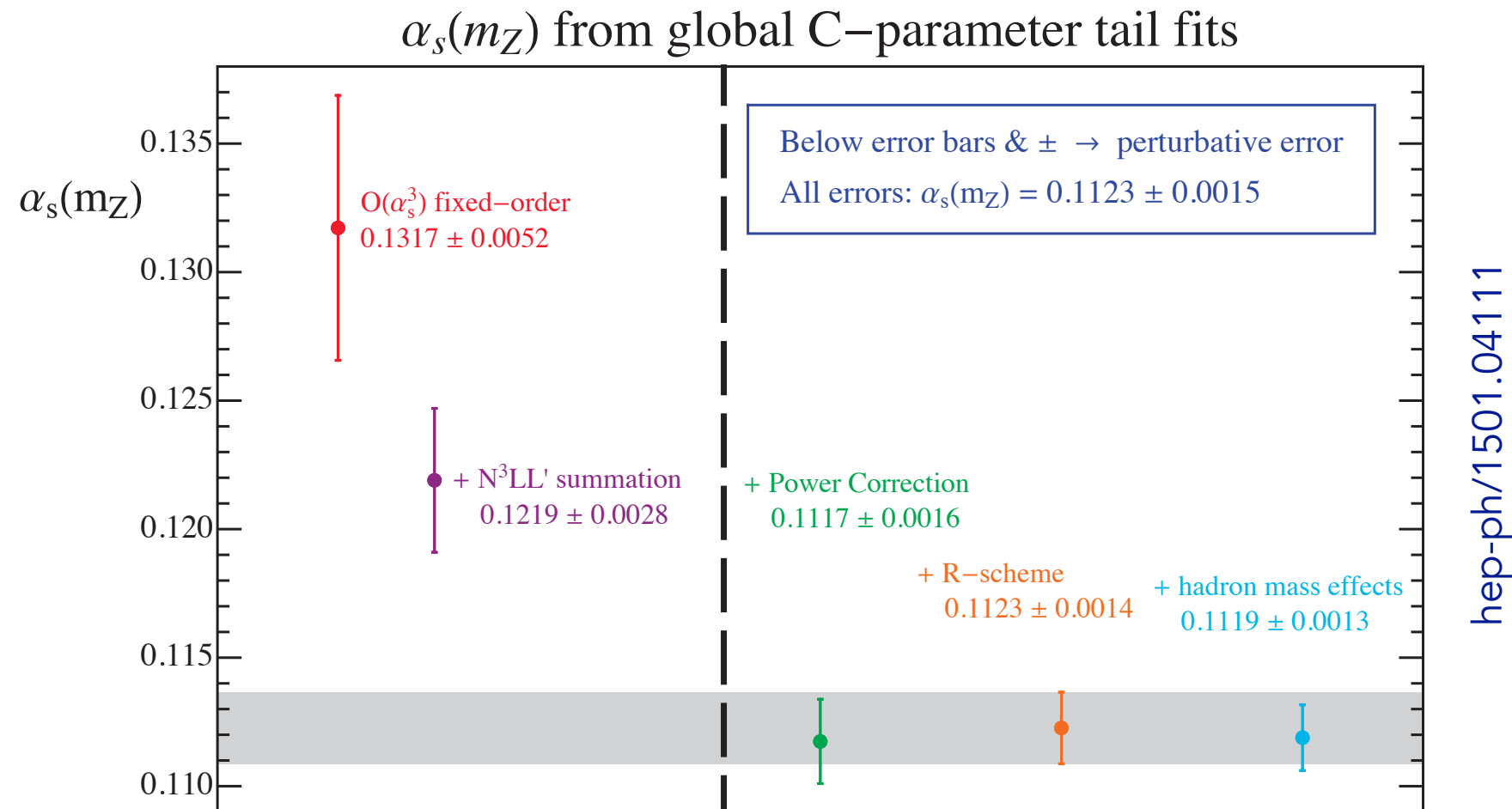
**Preliminary!**

- These values are **consistent with** prior **SCET extractions**, but are still well **below the world average**...additionally, central values appear **highly sensitive to the fit window** chosen.
- Results do not **yet** include a complete non-perturbative treatment (WIP). We are also validating our results with a second, **independent Python code**. More theory improvements possible.
- Only one dataset exists. **More data**, at more values of  **$Q$**  and  **$a$** , could permit an unambiguous **disentangling** of leading non-perturbative effects.
- Other **statistical models/methods** should also be explored.

Talk dedicated to A. Hornig

# Backup: renormalon expectations

- Although we have not yet performed extractions with fully shape- and renormalon-corrected theory curves, we have naive estimates of their effects from prior analyses:



order	$\alpha_s(m_Z)$ (with $\bar{\Omega}_1$ )	$\alpha_s(m_Z)$ (with $\Omega_1(R_\Delta, \mu_\Delta)$ )	order	$\bar{\Omega}_1$ [GeV]	$\Omega_1(R_\Delta, \mu_\Delta)$ [GeV]
NLL'	0.1071(60)(05)	0.1059(62)(05)	NLL'	0.533(154)(18)	0.582(134)(16)
N <sup>2</sup> LL'	0.1102(32)(06)	0.1100(33)(06)	N <sup>2</sup> LL'	0.443(119)(19)	0.457(83)(19)
N <sup>3</sup> LL' (full)	0.1117(16)(06)	<b>0.1123(14)(06)</b>	N <sup>3</sup> LL' (full)	0.384(91)(20)	<b>0.421(60)(20)</b>

# Backup: the PDG table on $\alpha_s$

*To be included in the PDG average, a fit must:*

- be published in a peer-reviewed journal...
- include  $O(\alpha_s^3)$  fixed-order perturbative results...
- include 'reliable' error estimates, including NP effects...

2018 PDG world average:  
.1181  $\pm$  .0011

Thrust at N<sup>3</sup>LL with Power Corrections and a Precision Global Fit for  $\alpha_s(m_Z)$

Riccardo Abbate,<sup>1</sup> Michael Fickinger,<sup>2</sup> André H. Hoang,<sup>3</sup> Vicent Mateu,<sup>3</sup> and Iain W. Stewart<sup>1</sup>

hep-ph/1006.3080

$$\alpha_s(m_Z) = 0.1135 \pm (0.0002)_{\text{exp}} \pm (0.0005)_{\text{hadr}} \pm (0.0009)_{\text{pert}}$$

A Precise Determination of  $\alpha_s$  from the C-parameter Distribution

André H. Hoang,<sup>1,2</sup> Daniel W. Kolodrubetz,<sup>3</sup> Vicent Mateu,<sup>1</sup> and Iain W. Stewart<sup>3</sup>

hep-ph/1501.04111

$$\alpha_s(m_Z) = 0.1123 \pm 0.0002_{\text{exp}} \pm 0.0007_{\text{hadr}} \pm 0.0014_{\text{pert}}$$

