

# Renormalization Theory of Beam-Beam Interaction

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This work has been done during my last 6 months at DESY, 30 years ago.

This work is an attempt to calculate analytically particle distributions under the beam-beam interaction using the renormalization technique of the quantum field theory, even when the particle motions are chaotic.

I thought that this work would be my best work (more than ABCI and TMCI).

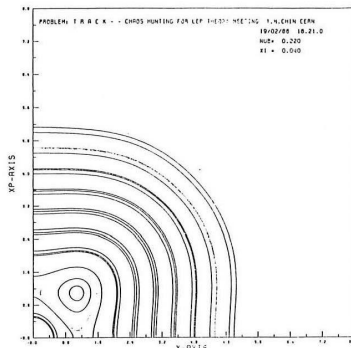
But, it has been forgotten since I moved to LBNL and started new work here.

# Outline

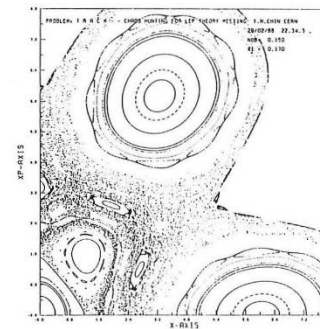
- Introduction
- Diagonalization of System
- Renormalization Procedure
- Direct Interaction Approximation
- Closed Set of Equations
- Comparisons with Simulations
- Conclusions

# Introduction

- The beam-beam interaction has been extensively studied in terms of Hamiltonian analysis of single particle dynamics.
  - The Hamiltonian analysis may predict orbits of regular particle motion, and may give us some criteria (e.g. Chirikov's resonance overlap) for estimating the onset of chaotic behavior of particle orbit.
  - However, since the method is posed in terms of the behavior of a particle trajectory, it breaks down when the particle motion becomes chaotic.



Weak  
Beam-Beam



Strong  
Beam-Beam

# Statistical Theory

- What is needed is a more statistical theory for dynamics of, not single particle, but ensemble of many particles where the chaos may be described by statistical terms.
  - That theory would allow us to calculate particle distributions in the presence of the beam-beam interaction.
  - These quantities are straight linked with a beam blowup and particle losses.

# Three Premises

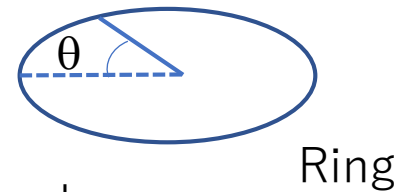
- Fokker-Planck equation for the evolution of the particle distribution
- "Strong-weak" beam-beam interaction
- One-dimensional
- Warning
  - This talk is quite theoretical due to its nature.
  - If you still have a fresh memory of what you have learned on the quantum field theory at school, you can follow it (I cannot anymore).
  - Only the outline of the theory is presented in this conceptual talk, but the concrete solutions exist for numerical evaluations.
  - At the end, I show some comparisons with simulations

# Crux of the Problem

- Fokker-Plank equation for the particle distribution P:

$$(\partial_\theta + \Lambda) P = 0$$

- $\theta$  = the azimuthal position in the ring
- $\Lambda$  = Fokker-Plank operator including all the effects (beam-beam, synchrotron radiation and so on)



- If we can find the Green function which satisfies

$$\begin{aligned} (\partial_\theta + \Lambda) G(x, p, t | x_0, p_0, t_0) &= \delta(x - x_0) \delta(p - p_0) \quad \text{at } t = t_0 \\ &= 0 \quad \text{at } t > t_0, \end{aligned}$$

the solution is

$$P(x, p, t, t_0) = \iint dx_0 dp_0 G(x, p, t | x_0, p_0, t_0) P(x_0, p_0, t_0)$$

Initial distribution

Done! Simple!

# Exact Green Function

- The exact Green function  $G$  includes all the orbit distortion effects and provides the exact transition probability of particle orbit, at any preceding moment, no matter whether the particle motion is chaotic or not.
- Too difficult to find it.
- Let us evaluate  $G$  with the perturbation method.
- One important rule in choice of the perturbation method.
  - The method has to guarantee that a perturbation solution of any order will be smaller than the lower-order ones so that the perturbation expansion series converges.
  - It is not so obvious.



# Other View: Diagonalization of System

- The first step to solve unknown particle distribution  $P$  is to expand it by a complete set of known functions or modes  $f_n$ :
  - $P = P_0 + c_1 f + c_2 f + \dots$ ,
- The second step is to make an “interaction matrix” for the expansion coefficients  $c_m$ :
  - $c_m = \sum_{k=-\infty}^{\infty} M_{mk} c_k$
- If we can diagonalize the interaction matrix, the problem is basically solved:
  - Eigenvalues, eigenfunctions, and all others follow.
- But, some systems are so complicated that it is not easy to diagonalize the interaction matrix.

# Renormalization Theory

- Instead of pursuing the exact solution, let us find an approximate solution with good accuracy and the possibility to improve the accuracy by including more higher-order correction terms.
- The crux of the procedure is to move significant off-diagonal terms to diagonal terms in the matrix until remaining off-diagonal terms are all insignificant and thus negligible.
- The theory is originally motivated to avoid the small denominator singularities at the centers of resonances by including orbit distortion of resonant particles due to other resonances.
- But, it is most powerful when resonances strongly interact to each other, and the system can be no longer approximated by a collection of isolated resonances.

# Renormalization Procedure

- Let us write down the Fokker-Planck eq. for the particle distribution  $P$  in a slightly explicit form:

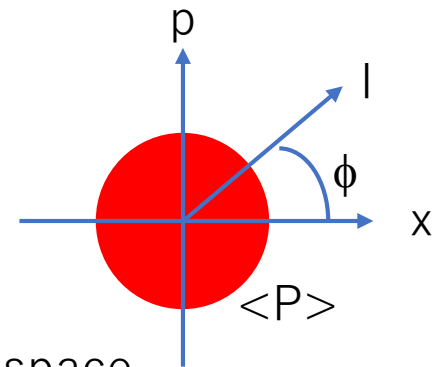
$$(\partial_\theta + L) P = L_B P$$

- $L$ : Fokker-Planck operator except the beam-beam
- $L_B$ : Operator for beam-beam as a function of potential  $U$
- Decompose  $P$  into

$$P = \langle P \rangle + \delta P$$

$$\langle \delta P \rangle = 0$$

- $\langle P \rangle$ : Average over the azimuthal angle  $\phi$  in phase space
- $\delta P$ : Remaining part fluctuating around  $\langle P \rangle$



# Fourier Decomposition

- Due to the periodic boundary condition in  $\phi$ ,

$$\delta P = \sum_{m \neq 0} P_m(I, \theta) \exp(im\phi)$$

$$U = \sum_{\ell=-\infty}^{\infty} U_{\ell}(I) \exp(i\ell\phi) \propto \xi : \text{the beam-beam parameter}$$

- Averaging the Fokker-Plank Eq. over  $\phi$  and Fourier decomposition lead equations for  $\langle P \rangle$  and  $\delta P$ :

$$(\partial_o + L_o) \langle P \rangle = \sum_{k \neq 0} M_{k,-k} U_k P_{-k}$$

$$(\partial_o + L_k) P_k = M_{k0} U_k \langle P \rangle + \underline{S_k}$$

$$S_k = \sum_{\ell \neq 0} M_{\ell, k-\ell} U_{\ell} P_{k-\ell}$$

Beam-beam interaction matrix operator

Mode coupling term from other modes  $P_{k-\ell}$

# Unperturbed Green Function

- Equation for  $\delta P$  can be further Fourier composed in  $\theta$ :

$$g_{kv}^{0-1} P_{kv} = M_{ko} U_k(P) + S_{kv}$$

- Here, the unperturbed Green function  $g_{kv}^{0-1}$  satisfies

$$(-iv - L_{ko}) g_{kv}^0 = \delta(I - I_0)$$

# Mode Coupling Term $S_{kn}$

- The mode coupling term becomes important in two cases:

## 1. Very weak synchrotron radiation

- The unperturbed green function is approximately given by

$$g_{kv}^0 = \frac{i}{\nu - k(\nu_\beta + \Delta\nu(I))}$$

↑
← Nonlinear detuning term

Unperturbed betatron tune

- If we ignore the mode coupling term  $S_{kv}$ ,

$$P_{kv} = g_{kv}^0 M_{ko} U_k(P) = \frac{i M_{ko} U_k(P)}{\nu - k(\nu_\beta + \Delta\nu(I))}$$

← Diverges at the center of resonance  $\nu - k(\nu_\beta + \Delta\nu(I)) = 0$

# Resonance Singularity

- The singularity emerges since we have assumed that resonant particles receive only a part of beam-beam kick which creates the resonance.
- In reality, particles receive the total kick of beam-beam force which generate all the resonances.
- By the random kicks from other resonances, the particle tunes are fluctuating and not strictly locked at the resonance tune.
- Therefore, the resonance singularity may be avoided in the real system even in the absence of the quantum fluctuation.

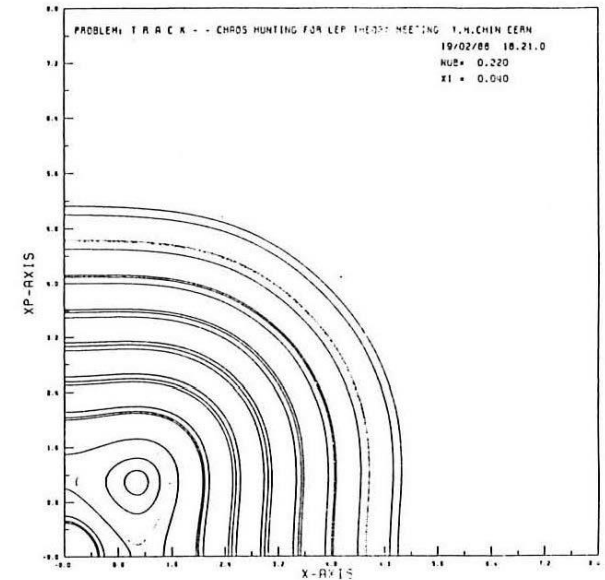
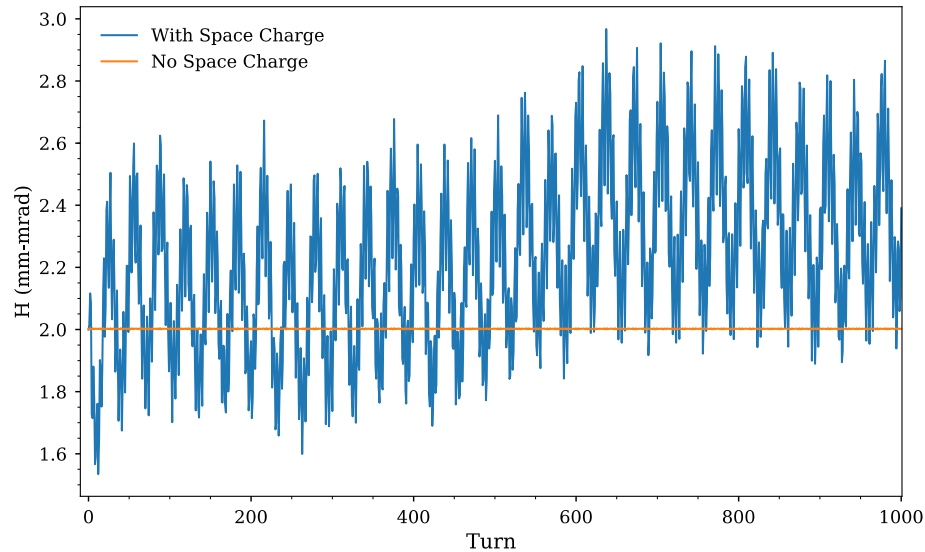


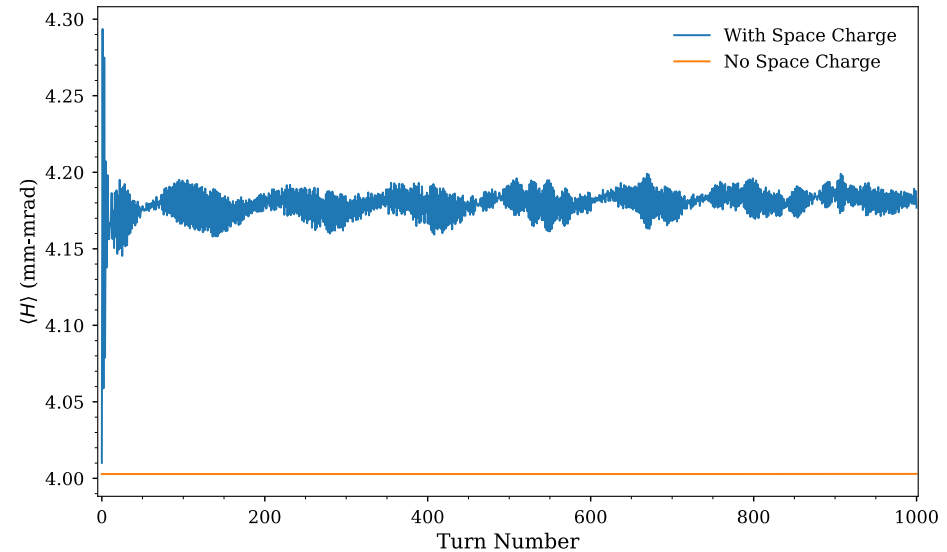
Fig. 2a One quarter of phase-space trajectories for  $\nu_\beta = 0.22$  and  $\xi = 0.04$ .

# Space Charge breaks Integrability

Singe-particle invariants are broken



Ensemble average is better behaved

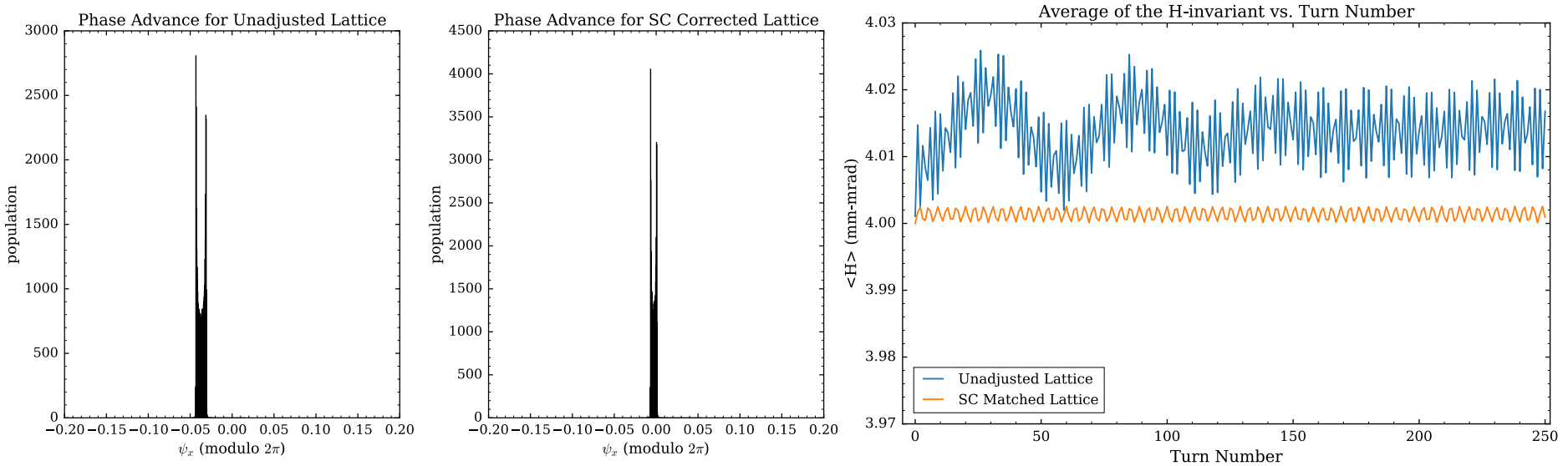


## With space charge:

- 'Time independence' of Danilov & Nagaitsev theory is broken
- Both zero-current invariants now fluctuate significantly at 2 frequencies
- Some ensemble properties still appear to be approximately maintained
  - we don't yet understand how meaningful this may be
- Bounded motion + nonlinear decoherence may be all that is required



# Results with the Compensated Lattice



- Phase advance around the ring is now corrected with the new compensated lattice.
- This leads to much better behavior of the first invariant (the Hamiltonian).

# Strong Coupling between Resonances

- In this case, the particle motion between the resonances may be chaotic.

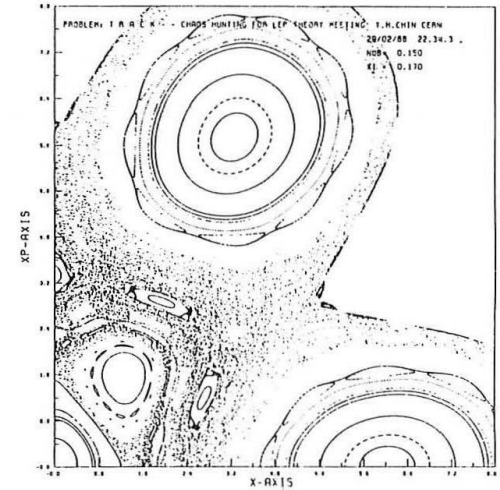


Fig. 4a One quarter of phase-space trajectories for  $\nu\beta = 0.15$  and  $\xi = 0.17$ .

- Apparently, the exact Green's function will be very different from  $g_{kv}^0$  which expresses regular orbits of resonant particles.
- It cannot be constructed in terms of  $g_{kv}^0$  by calculating higher-order correction terms from the mode-coupling term  $S_{kv}$ , since the chaotic motion cannot be described by combination of regular motion.
- If one tries, then the expansion series will not converge.

# Renormalized Green's Function

- Let us introduce the renormalized Green's function:

$$g_{kv} = [g_{kv}^{0-1} + \Sigma_{kv}]^{-1}$$

- $\Sigma_{kv}$ : Renormalization correction operator to be determined

- Then,

$$g_{kv}^{0-1} P_{kv} = M_{k0} U_k(P) + S_{kv} \longrightarrow g_{kv}^{-1} P_{kv} = M_{k0} U_k(P) + S_{kv} + \Sigma_{kv} P_{kv}$$

- Decompose the mode coupling term  $S_{kv}$  to

$$S_{kv} = S_{kv}^d + S_{kv}^{nd}$$

$S_{kv}^d$  : Proportional to  $P_{kv}$

$S_{kv}^{nd}$  : The rest

# Incoherent Noise

- Identify (since both are proportion to  $P_{kv}$ )

$$S_{kv}^d = - \sum_{kv} P_{kv}$$

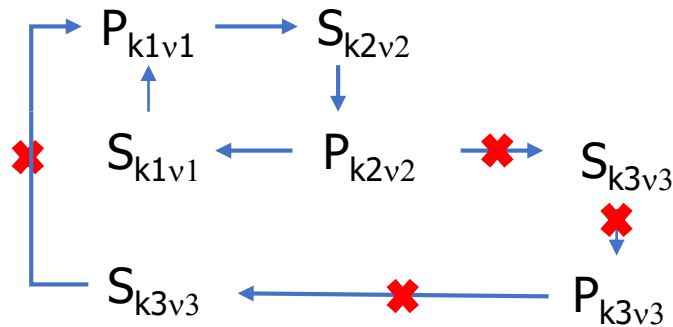
- Then, we have

$$g_{kv}^{-1} P_{kv} = M_{ko} U_k (P) + S_{kv}^{nd}$$

- By the definition,  $S_{kv}^{nd}$  does not depend on  $P_{kv}$  and thus acts as an incoherent noise to  $P_{kv}$
- Resonances can still cause changes in other resonances through  $S_{kv}^{nd}$ , but they are not coupled by  $S_{kv}^{nd}$

# Direct Interaction Approximation

- A resonance  $P_{k_1v_1}$  can cause a change in another resonance  $P_{k_2v_2}$  through the mode-coupling term  $S_{k_2v_2}$ .
- The change in  $P_{k_2v_2}$  can act back to the resonance  $P_{k_1v_1}$  through the mode-coupling  $S_{k_1v_1}$  and  $P_{k_1v_1}$  will be changed.



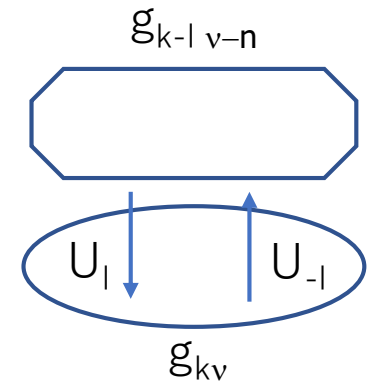
- This self-interaction should be identified as  $S_{kv}^d$ , since its strength depends on  $P_{k_1v_1}$  proportionally.
- Only the direct interaction between resonances is considered in the present theory.

# Renormalization Correction Term

- The explicit form

$$\Sigma_{kv} = - \sum_{\ell \neq 0} \sum_n M_{\ell, k-\ell} U_{\ell} g_{k-\ell, v-n} M_{-\ell, k} U_{-\ell}$$

The second order in the beam-beam parameter



- The physical interpretation

- The particle subject to the Green's function  $g_{kv}$  in the  $(k, v)$  resonance is scattered by the field  $U_{-l}$  and is effected by the resonance  $g_{k-l, v-n}$
- Then, it is scattered again by the field  $U_l$  to emerge at the initial resonance  $g_{kv}$ .
- Since the particle comes back to the initial resonance, the above trajectory going through other resonance should be included in the transition probability of the particle orbit subject to the  $(k, v)$  resonance, namely the renormalized Green's function  $g_{kv}$  for the renormalized resonance.

# Feynman Diagrams

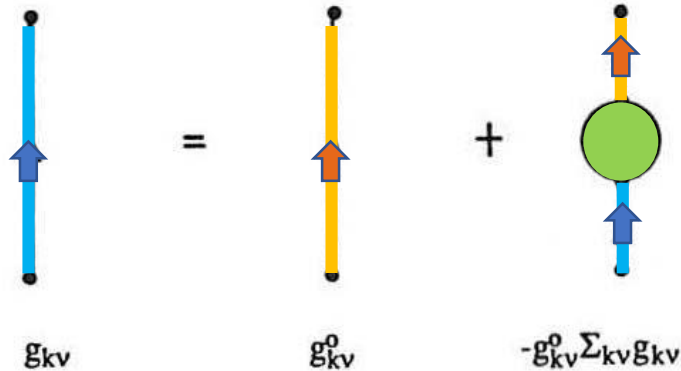


Fig. 1a Feynman diagram of Dyson's equation for the renormalized Green's function

$$g_{kv} = [g_{kv}^{0-1} + \Sigma_{kv}]^{-1}$$

$$= g_{kv}^0 - g_{kv}^0 \Sigma_{kv} g_{kv} \quad .$$

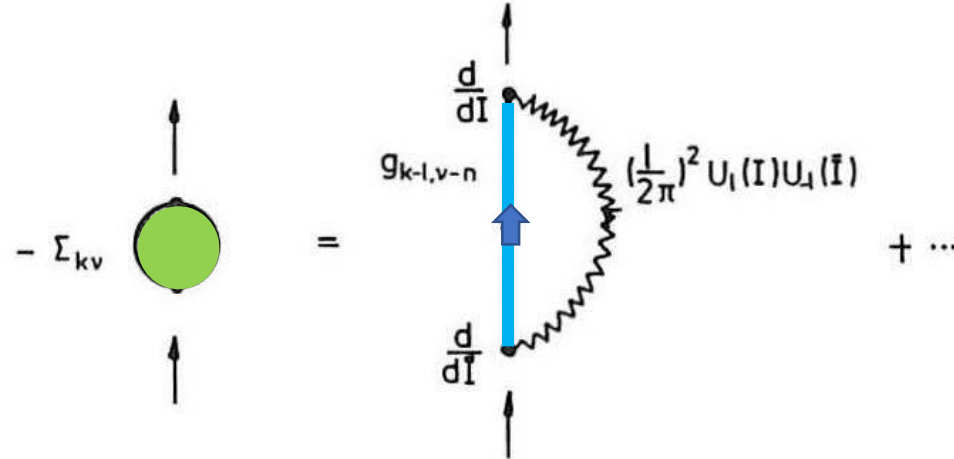


Fig. 1b Feynman diagram of the renormalization correction  $\Sigma_{kv}$ .

Hartree-Fock approximation for self-energy of a fermion

# Solution

- The solution  $P_{kv}$  can be decomposed to two terms:

$$P_{kv}(I) = P_{kv}^{\text{coh}}(I) + P_{kv}^{\text{inc}}(I)$$

with 
$$P_{kv}^{\text{coh}} = g_{kv} M_{ko} U_k(P)$$

and 
$$P_{kv}^{\text{inc}} = g_{kv} S_{kv}^{\text{nd}} .$$

- The renormalized Green's function  $g_{kv}$  has no small denominator problem anymore.
- The incoherent part is formally one –order of magnitude smaller in  $\xi$  than the coherent part.
- Let us neglect the incoherent part hereafter.



# Closed Set of Equations

- Now, each mode  $P_{kv}$  couples only with  $\langle P \rangle$ , no longer with other modes.
- Thus, the equations for  $\langle P \rangle$  and  $P_{kv}$  are closed:
  - Once we know  $\langle P \rangle$ , we can calculate  $P_{kv}$ , and vice versa.

$$(\partial_0 + L_0) \langle P \rangle = \sum_{k \neq 0} M_{k,-k} U_k P_{-k}$$

$$(\partial_0 + L_k) P_k = M_{k0} U_k \langle P \rangle$$

- These equations can be solved with rough approximations.
- I skip what follows, but we can derive concrete solutions.

# Comparison with Simulations Relevant to LEP at 50GeV

- No chaotic case for  $\xi=0.04$

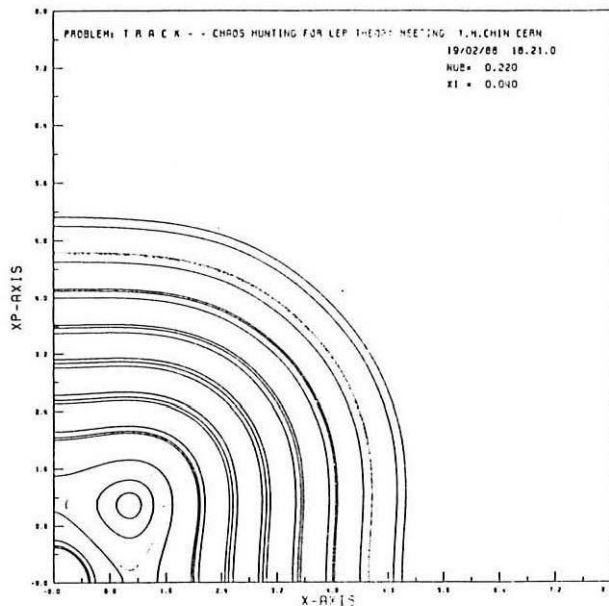


Fig. 2a One quarter of phase-space trajectories for  $\nu_\beta = 0.22$  and  $\xi = 0.04$ .

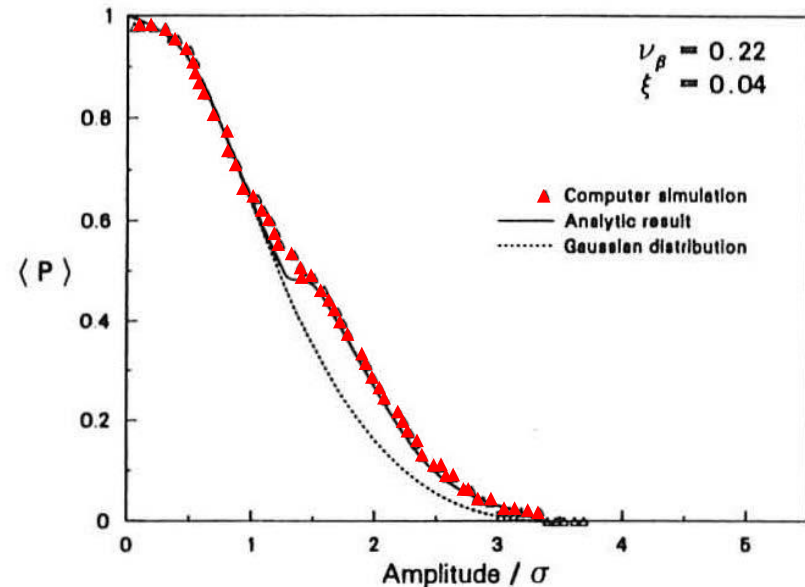


Fig. 2b Averaged particle distributions as a function of amplitude in polar coordinate.

# No Chaotic Case for $\xi=0.06$

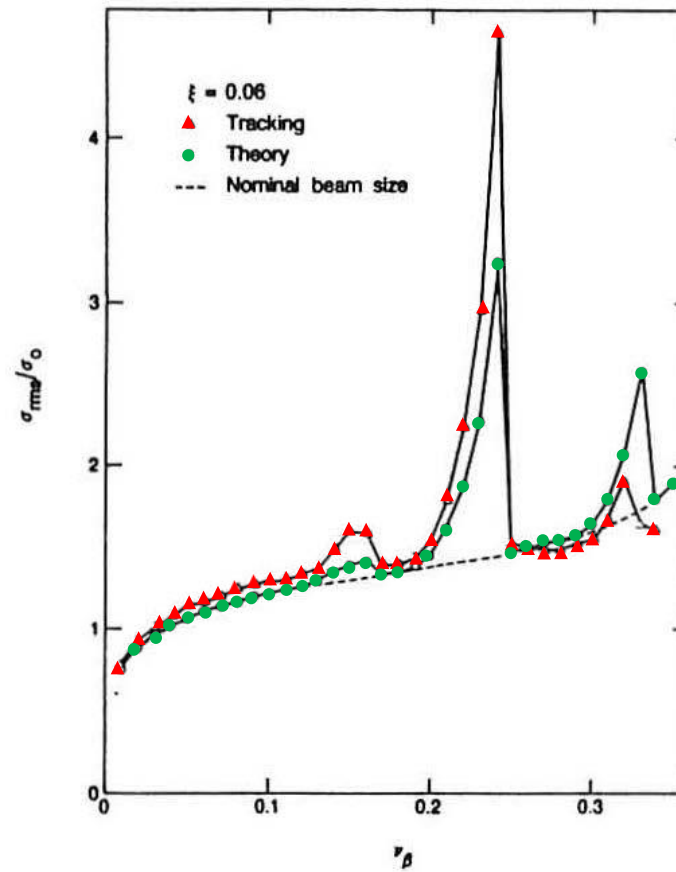


Fig. 3 The rms beam sizes as a function of  $\nu\beta$  for  $\xi = 0.06$ .

# Very Chaotic Case for $\xi=0.17$

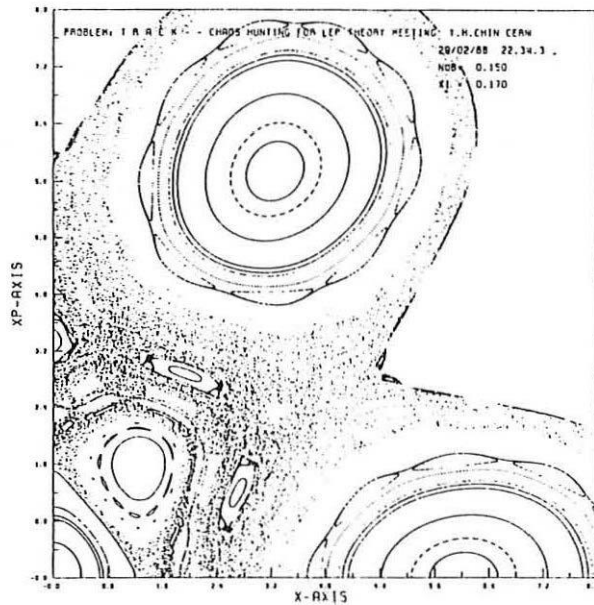


Fig. 4a One quarter of phase-space trajectories for  $\nu_\beta = 0.15$  and  $\xi = 0.17$ .

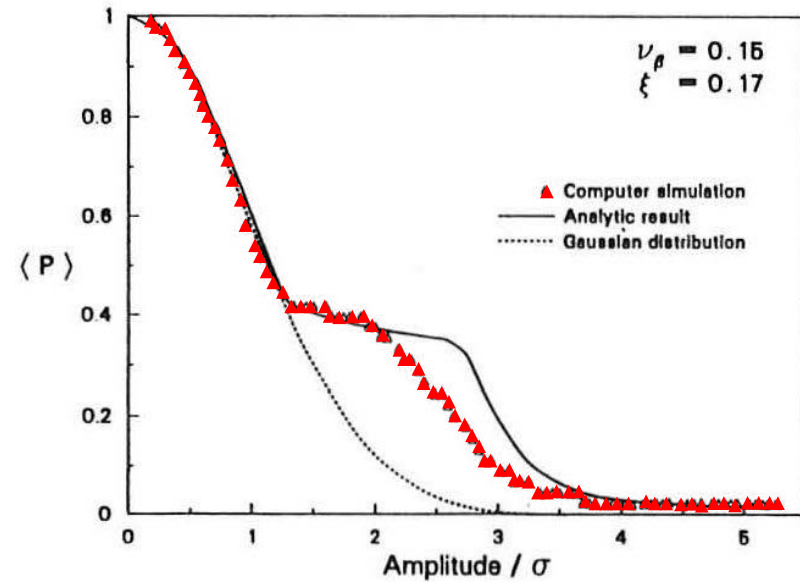


Fig. 4b Averaged particle distributions for  $\nu_\beta = 0.15$  and  $\xi = 0.17$ .

# The RMS Beam Size for Large $\xi$

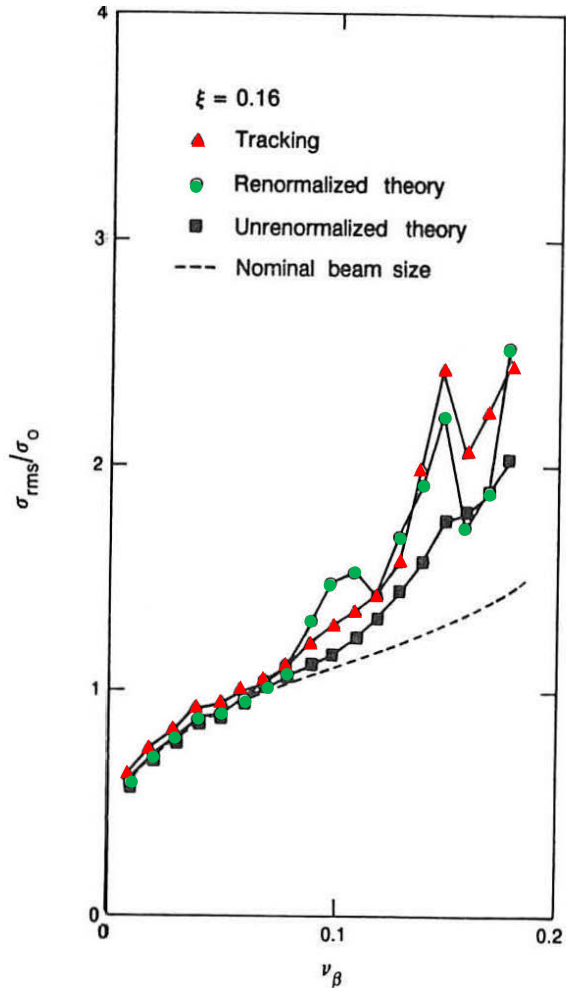


Fig. 5 The rms beam sizes as a function of  $\nu_\beta$  for  $\xi = 0.16$ .

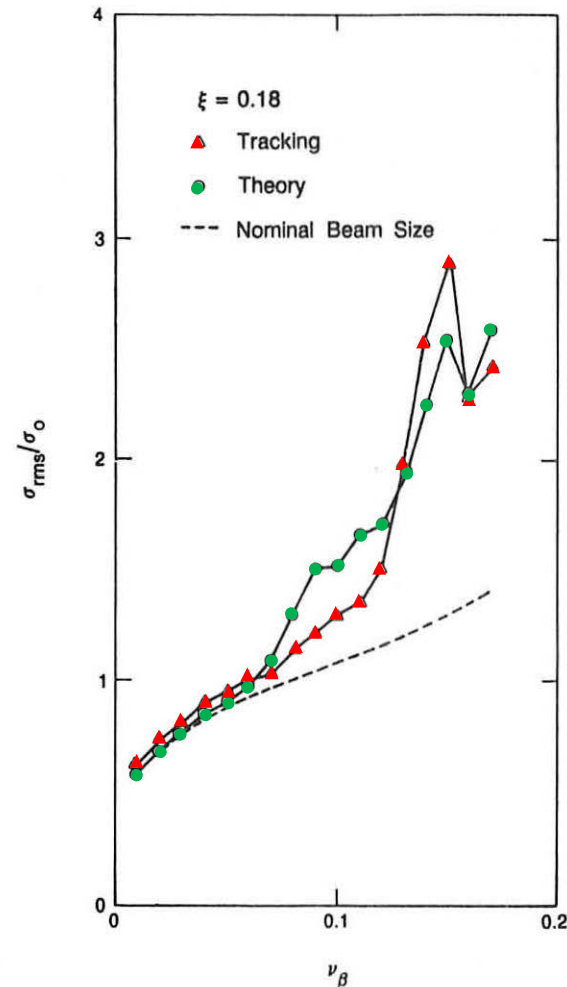


Fig. 6 The rms beam sizes as a function of  $\nu_\beta$  for  $\xi = 0.18$ .

# Conclusions

- Despite of some rough approximations for the renormalized Green's function, the theory exhibits reasonably good agreements with computer simulations.
- To try to explain a beam blowup by looking at the distortion of particle orbit lies on the same line as the Hamiltonian analysis.
- However, by describing the orbit distortion in terms of the Green's function, we gain more capacity in the theory where statistics comes in.
- At the same time, the physical mechanism of a beam blowup, due to either chaos or regular resonances, is explicit in the theory.
- The present one-dimensional strong-weak beam picture is still unpractical for application to real machines, but the extension to the two dimensional strong-strong case is nearly impossible.
- Hopefully, somebody will solve this problem to advance the theory.