

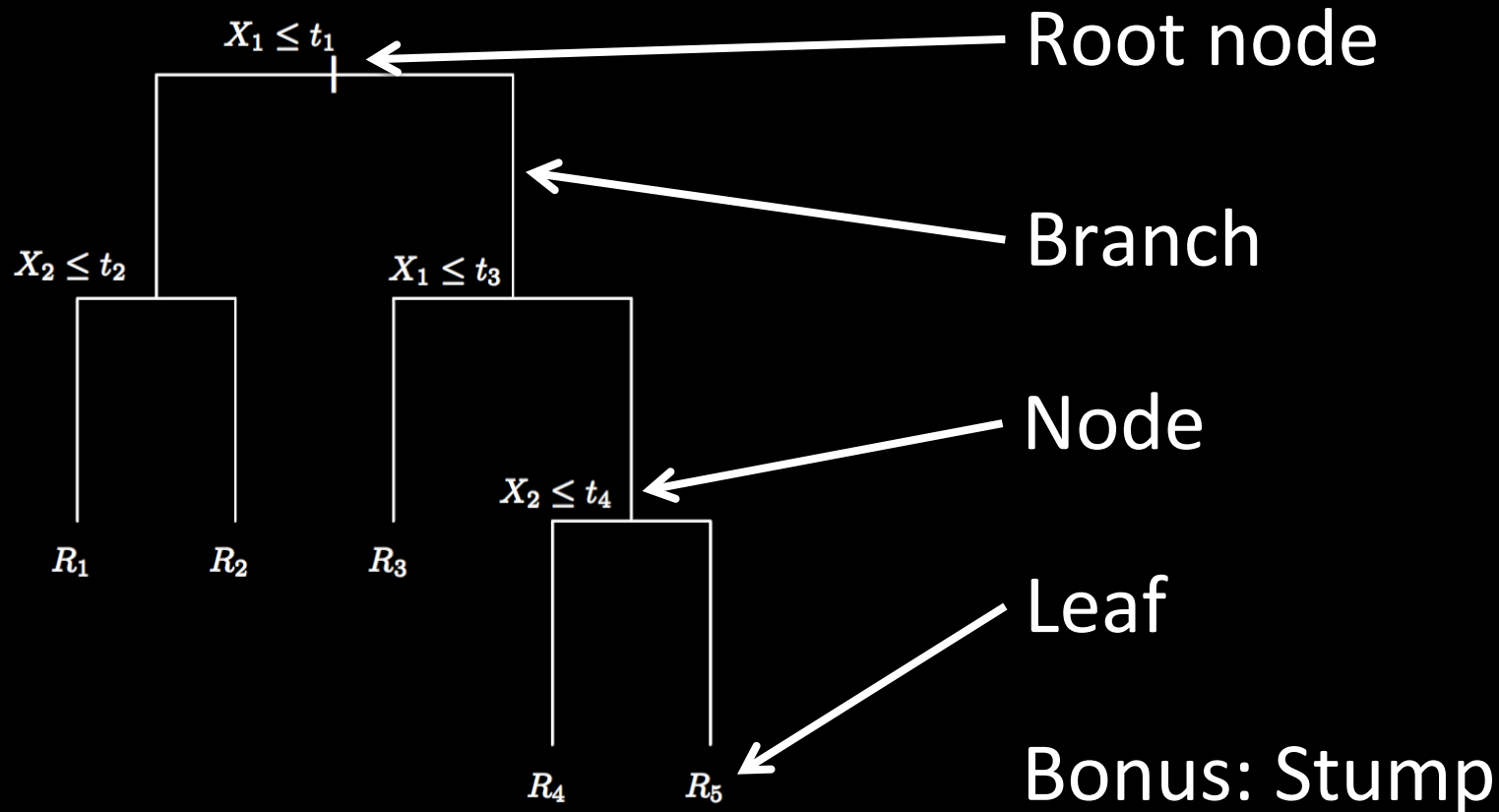
# *b*-Tagging with Boosted Decision Trees

Andreas Biekert

Physics 290E

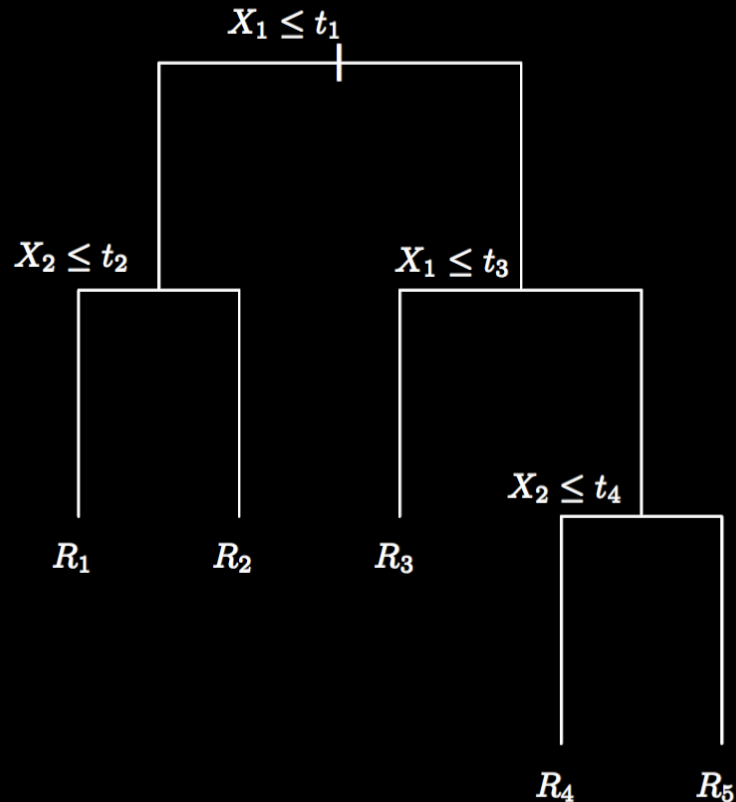
September 20<sup>th</sup>, 2017

# Decision Tree Basics



G. James, et al. *An Introduction to Statistical Learning*.

# Decision Tree Basics



G. James, et al. *An Introduction to Statistical Learning*.

# Decision Tree Details

- Growing process
  - Top-down: begin with whole data set; split once
  - Recursive: repeat on two new data sets
  - Greedy: make best split at the current step; don't consider future trees
- Decision-making parameter:

$$E = 1 - \max_k(\hat{p}_{mk})$$

Classification Error

$$D = - \sum_{k=1}^K \hat{p}_{mk} \log \hat{p}_{mk}$$

Entropy

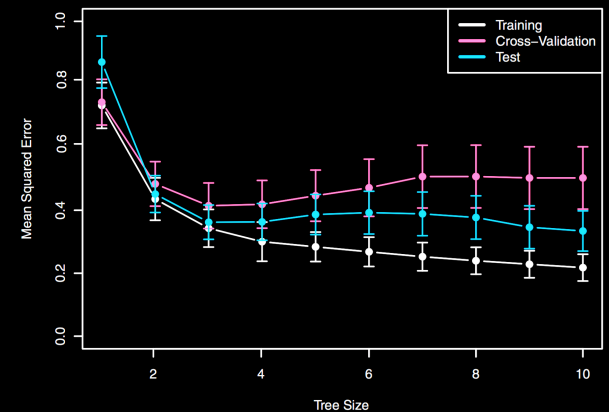
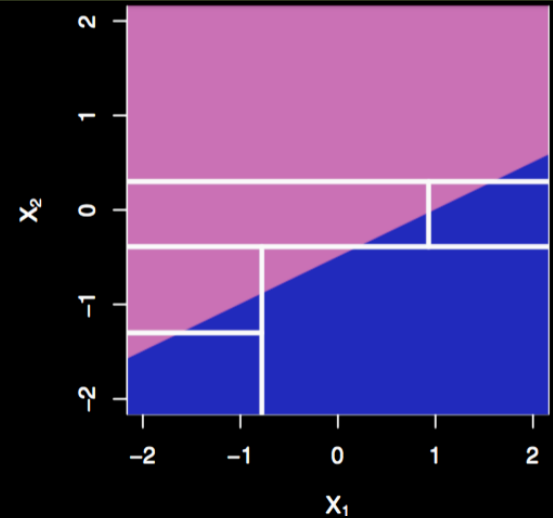
$$G = \sum_{k=1}^K \hat{p}_{mk}(1 - \hat{p}_{mk})$$

Gini Index



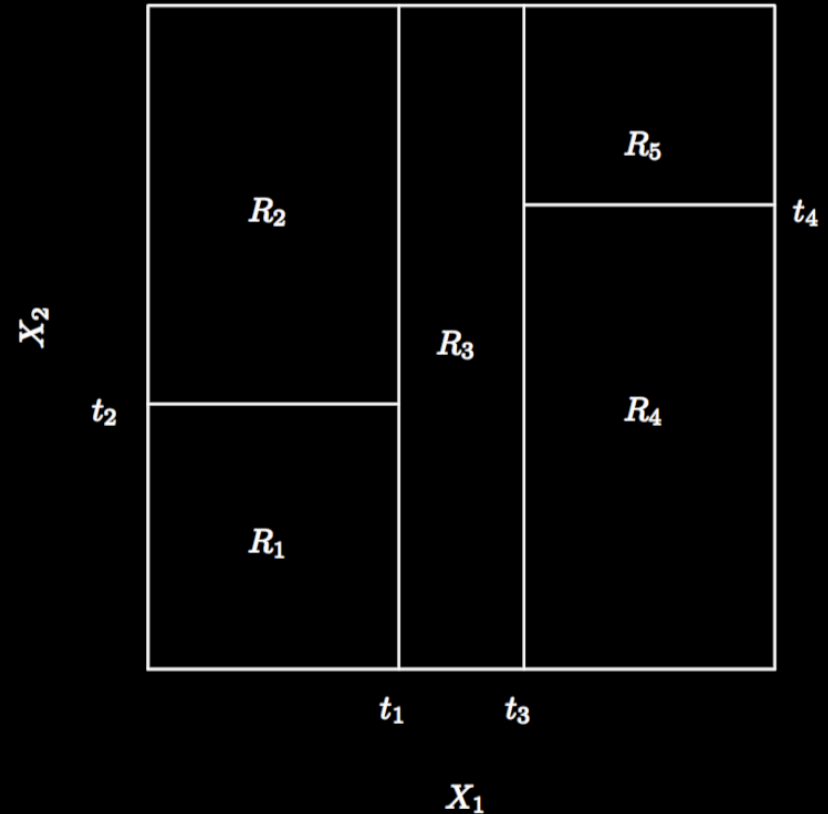
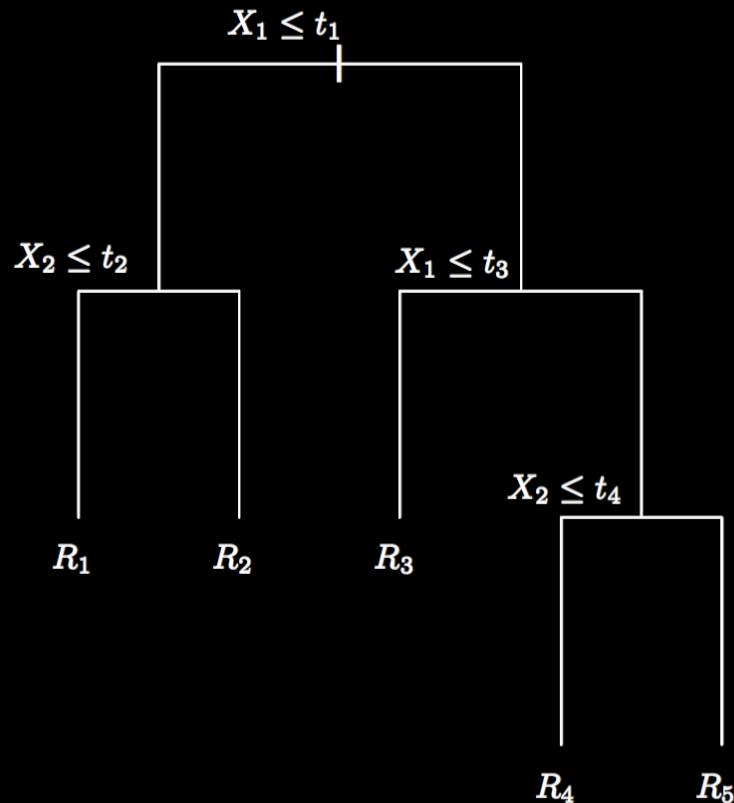
# Decision Tree Details

- Overfitting
  - Growing a tree to arbitrary depth can pick up spurious features
- Pruning
  - Cost complexity function:  
 $R_\alpha(T) = R(T) + \alpha N_T$
  - Prune worst subtree at each node and generate list of subtrees. Pick one through validation sample



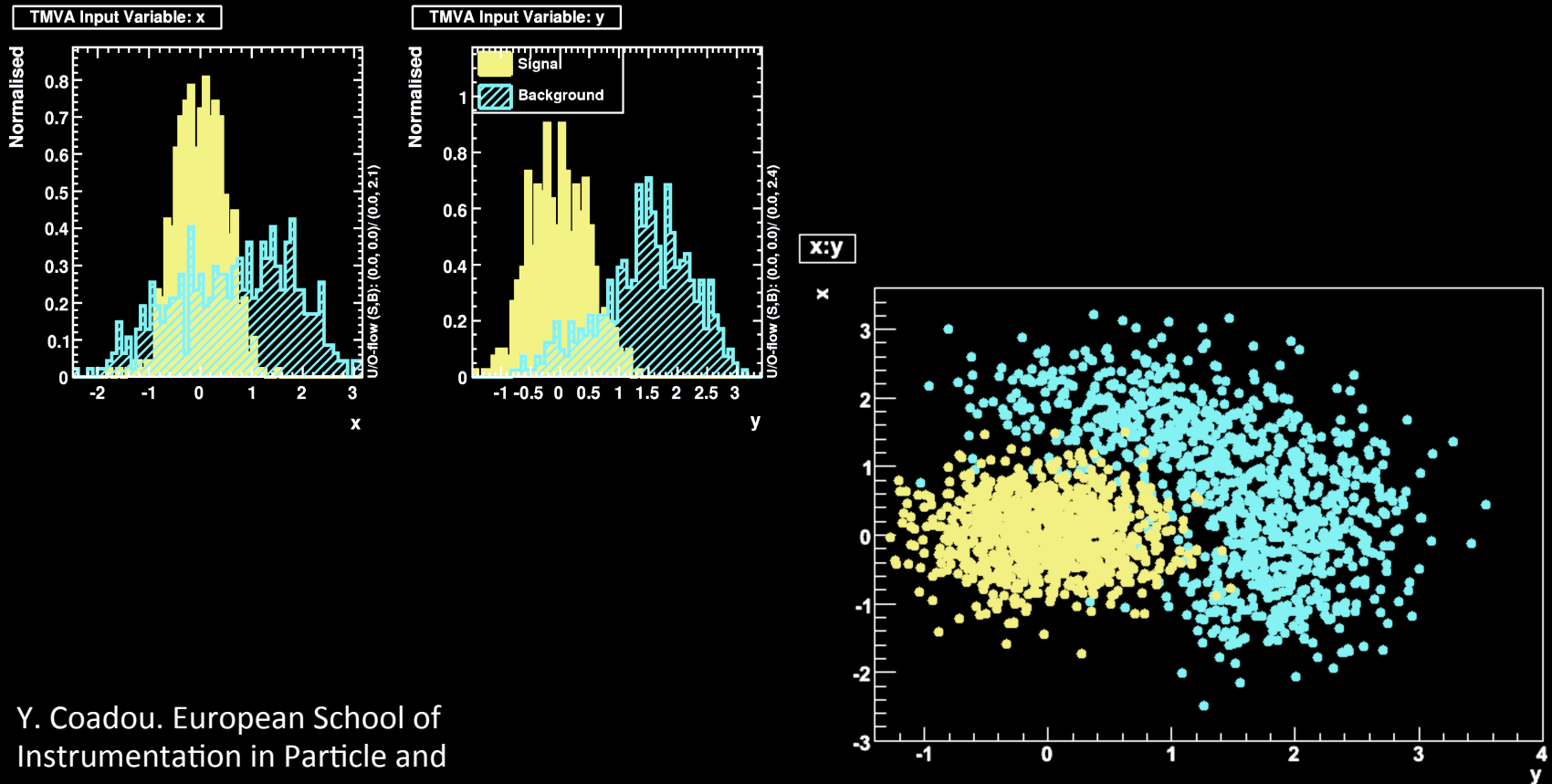
G. James, et al. *An Introduction to Statistical Learning*.

# Decision Trees



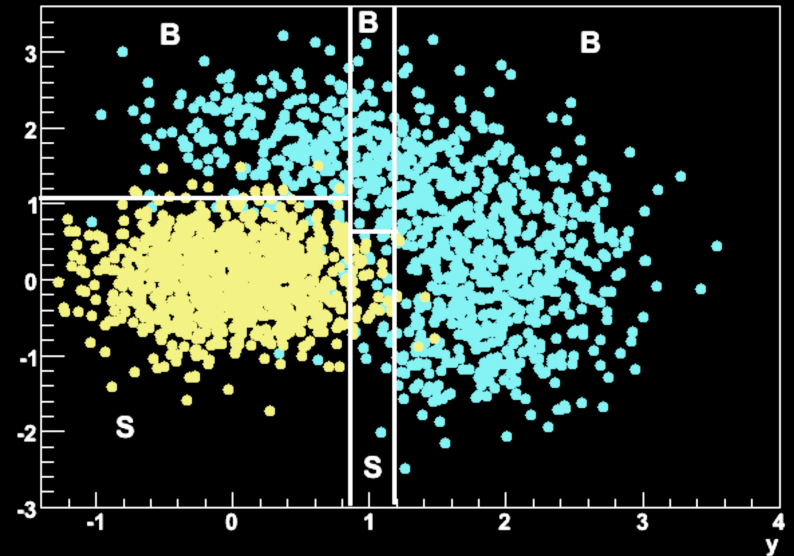
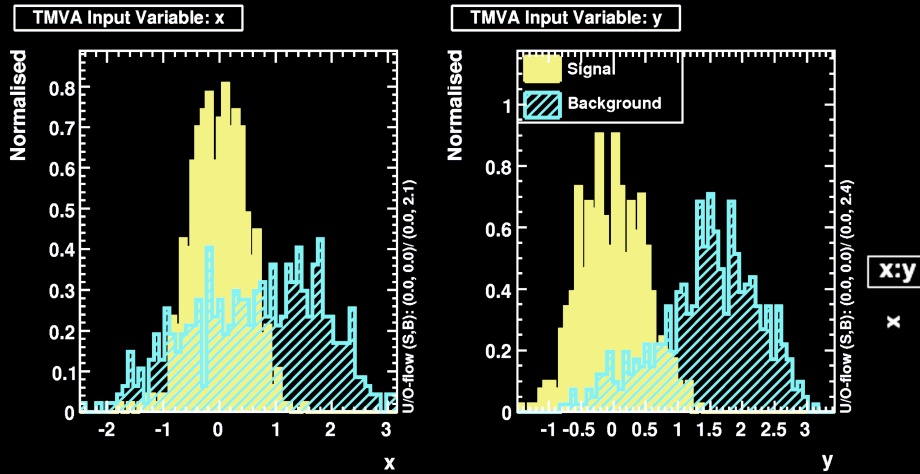
G. James, et al. *An Introduction to Statistical Learning*.

# Decision Tree Walkthrough



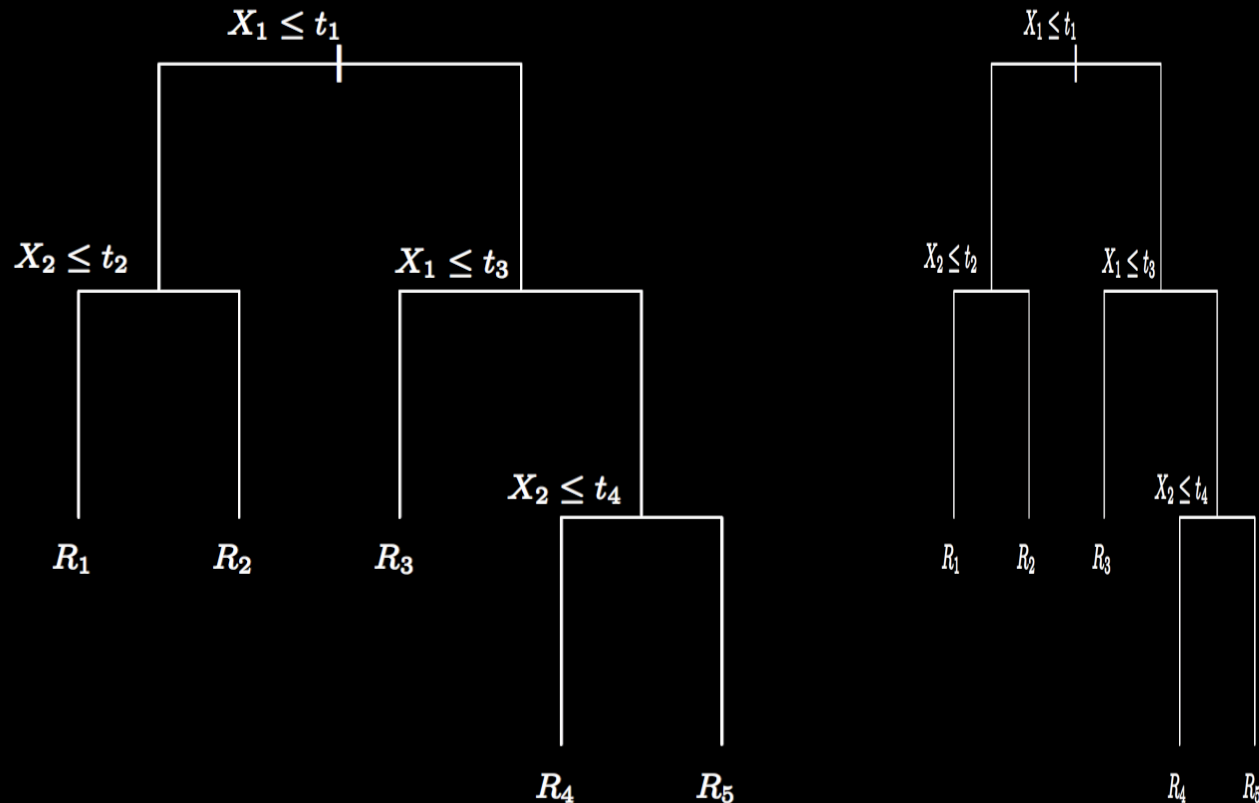
Y. Coadou. European School of Instrumentation in Particle and Astroparticle Physics, Feb. 2016.

# Decision Tree Walkthrough



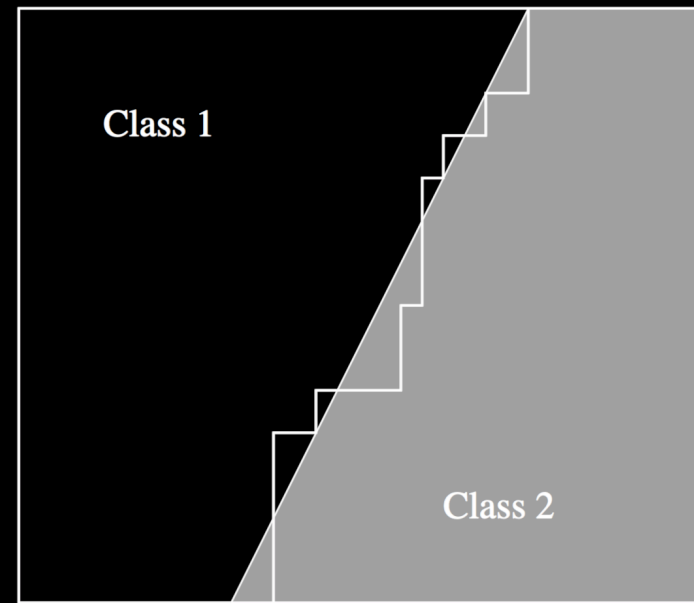
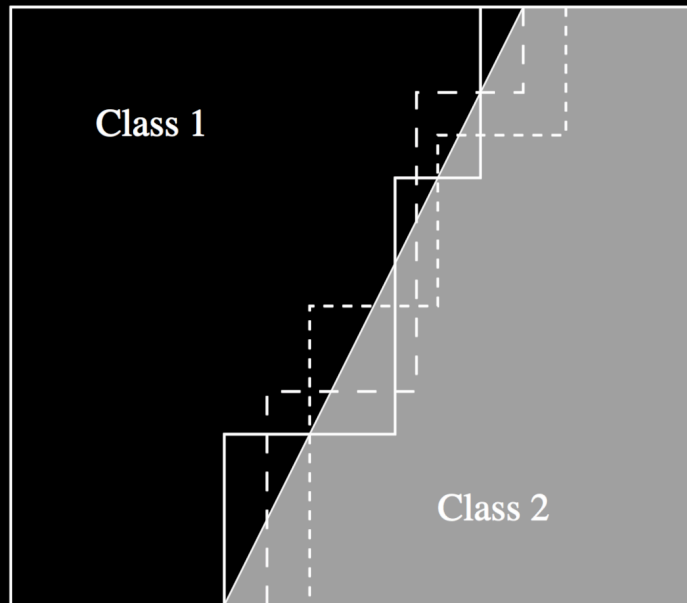
Y. Coadou. European School of Instrumentation in Particle and Astroparticle Physics, Feb. 2016.

# Boosted Decision Trees (BDTs)



G. James, et al. *An Introduction to Statistical Learning*.

# Ensemble Learning



- Have multiple trees vote on final output.
  - Need a way to produce varied trees.
  - Random forests; bagging

T Diettrich. *AI Magazine*, 18(4): 97–136, 1997.

# Boosting

- The logic is to increase weight of misclassified data after building a tree.

- For example, AdaBoost algorithm has weights  $w_i^k$  for the  $i$ -th datapoint in the  $k$ -th tree.

- After each tree, update weights by

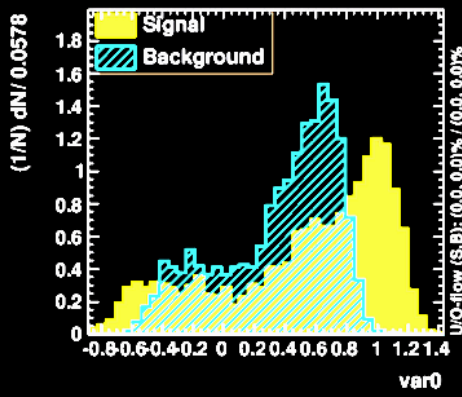
$$w_i^k \rightarrow w_i^{k+1} = w_i^k \times e^{\alpha_k}, \quad \alpha_k = \beta \times \ln((1 - \varepsilon_k)/\varepsilon_k)$$

where  $\varepsilon_k$  is the misclassification rate and  $\beta$  scales tree weights  $\alpha_k$ .

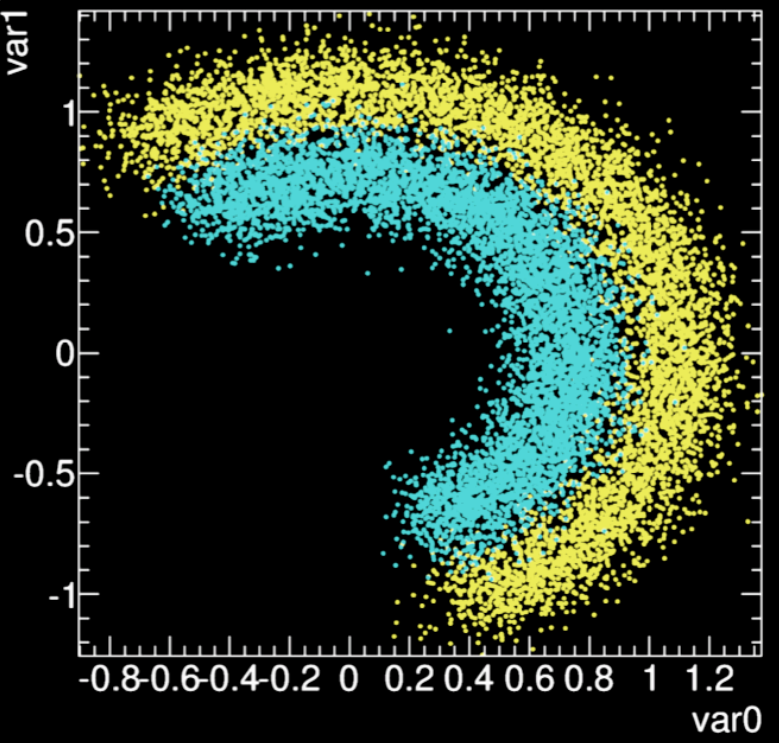
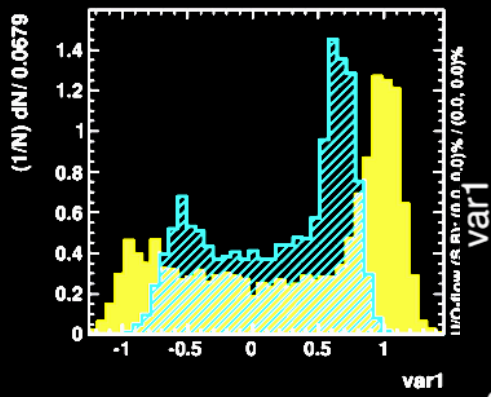
- Boosted result:  $T(i) = \frac{1}{\sum_{k=1}^{N_{\text{tree}}} \alpha_k} \sum_{k=1}^{N_{\text{tree}}} \alpha_k T_k(i)$

# Boosting Walkthrough

TMVA Input Variables: var0



TMVA Input Variables: var1

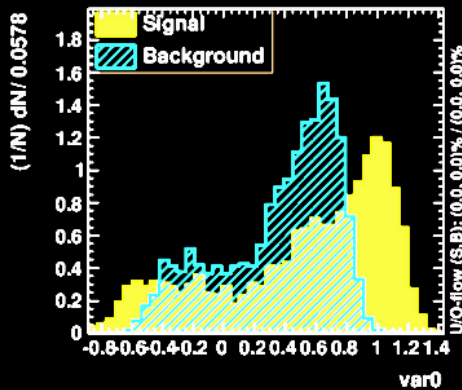


Y. Coadou. European School of Instrumentation in Particle and Astroparticle Physics, Feb. 2016.

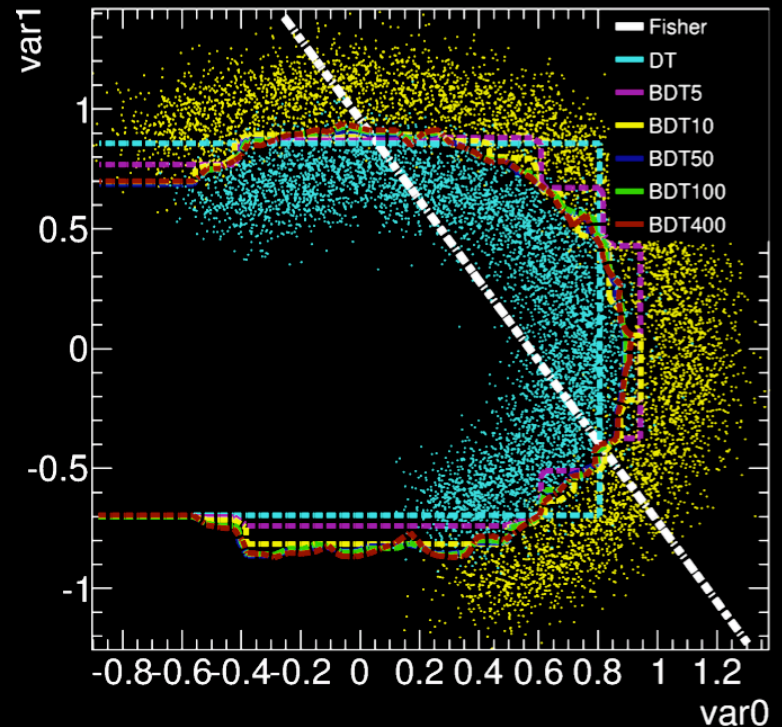
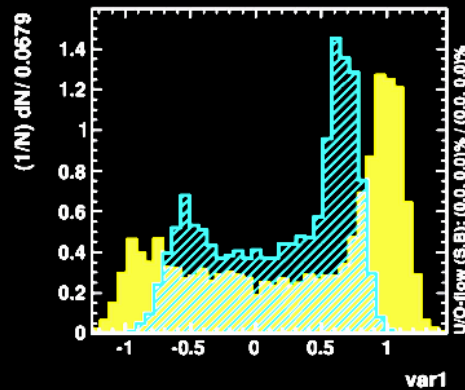


# Boosting Walkthrough

TMVA Input Variables: var0



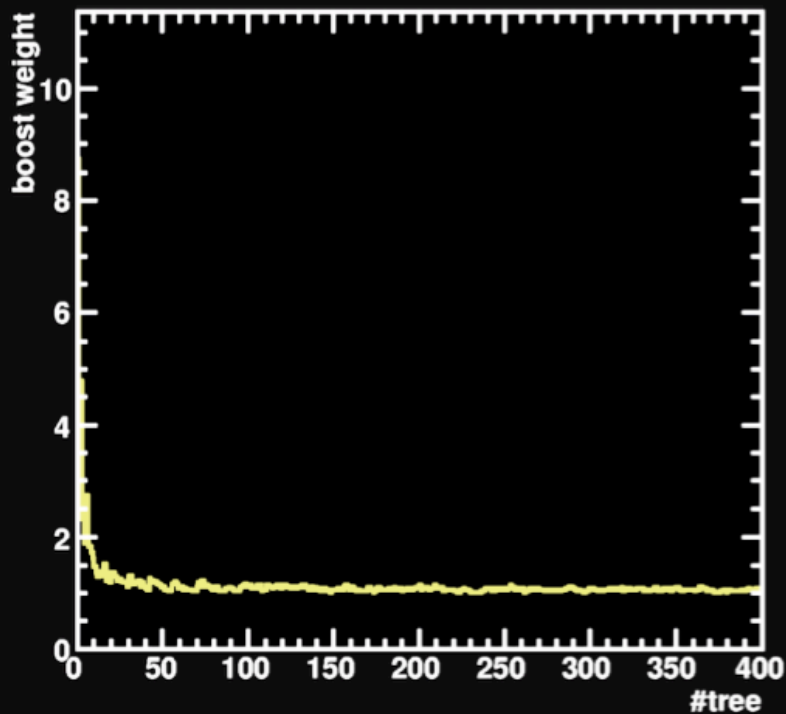
TMVA Input Variables: var1



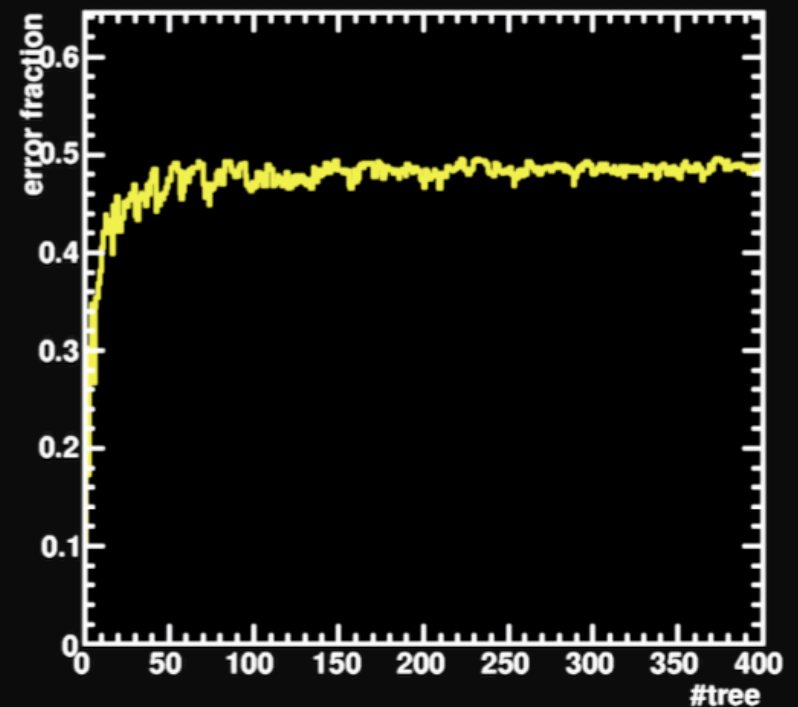
Y. Coadou. European School of Instrumentation in Particle and Astroparticle Physics, Feb. 2016.

# Boosting Walkthrough

Boost weights vs tree



error fraction vs tree number



Y. Coadou. European School of Instrumentation in Particle and Astroparticle Physics, Feb. 2016.

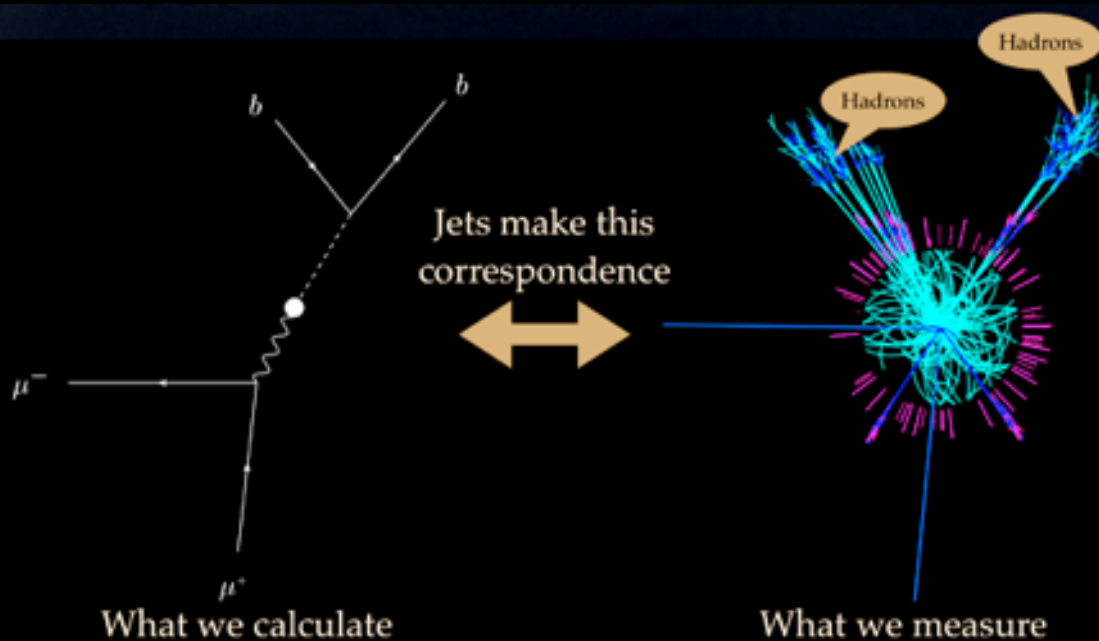
# BDTs in Particle Physics

- ATLAS
- CMS
- MiniBooNE
- D0
- BaBar
- LHCb

# BDTs in Particle Physics

- ATLAS b-tagging
- CMS
- MiniBooNE
- D0
- BaBar
- LHCb

# Need For $b$ -tagging



- $t$  decay ( $t \rightarrow Wb$ )
- Higgs decay ( $h \rightarrow b\bar{b}$ )
- CP violation studies
- Beyond the standard model physics

F. Tanedo. <http://www.quantumdiaries.org/2011/04/22/when-youre-a-jet-youre-a-jet-all-the-way/>

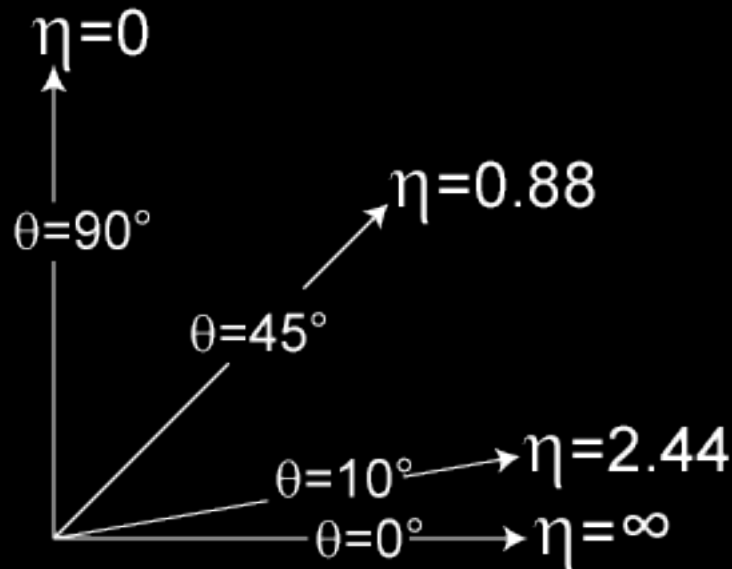
# ATLAS Kinematics

$$\eta = -\ln \tan(\theta/2)$$

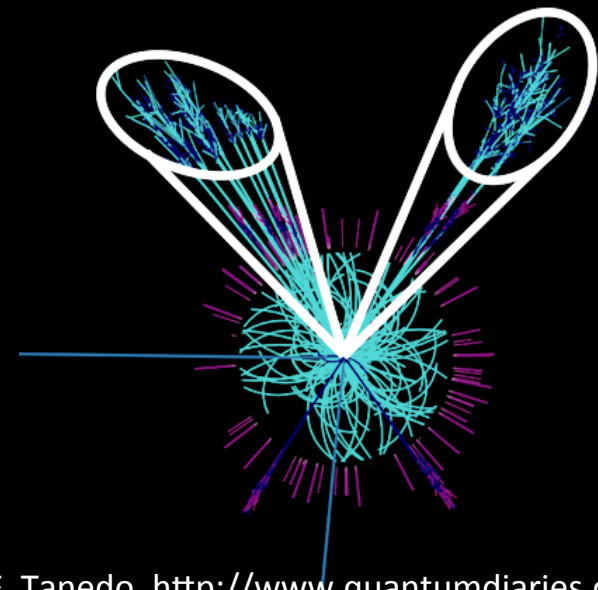
$$|\eta| < 2.5 \text{ detected}$$

$$\Delta R \equiv \sqrt{\Delta\eta^2 + \Delta\phi^2}$$

$$\Delta R < 0.3 \text{ in jet}$$



<https://en.wikipedia.org/wiki/Pseudorapidity>

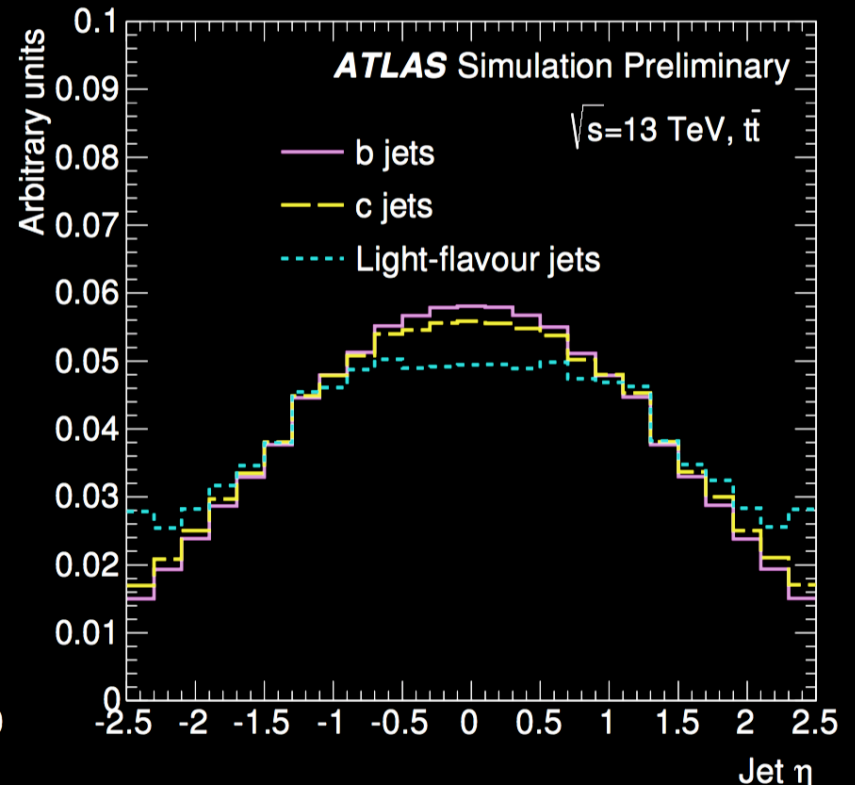
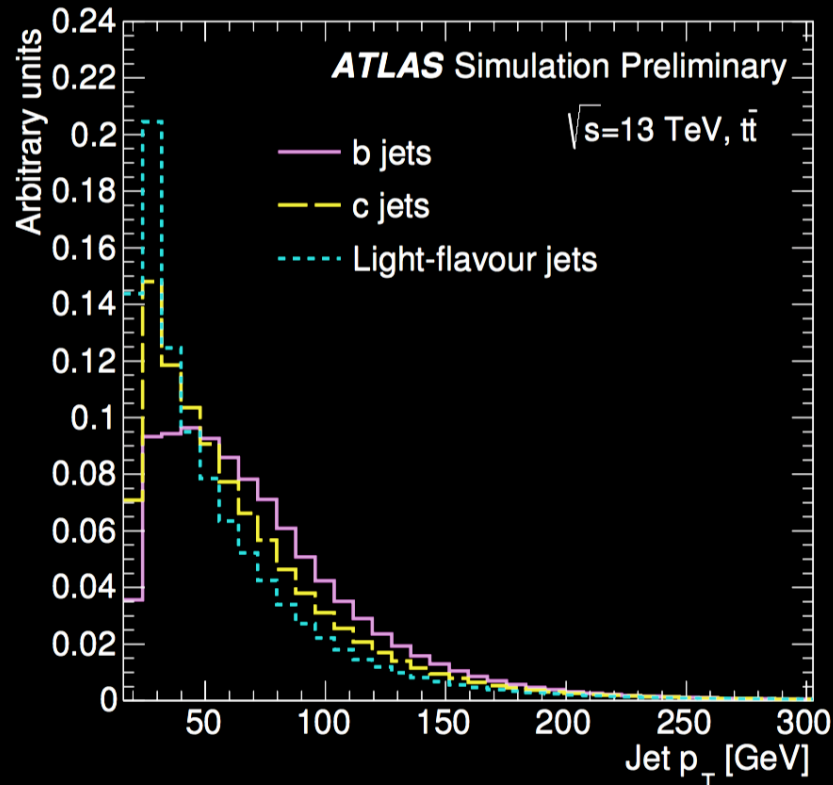


F. Tanedo. <http://www.quantumdiaries.org/2011/04/22/when-youre-a-jet-youre-a-jet-all-the-way/>

# BDT $b$ -tagging in ATLAS

- Model trained on  $t\bar{t}$  events corresponding to 13 TeV proton-proton collisions.
  - Trained on  $b$ -jets as signal and a background of 80% light-flavor jets and 20%  $c$ -jets.
- Variables are basic kinematic variables  $\eta$  and  $p_T$ , and features from 3 reconstruction algorithms.

# Basic Jet Observables



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# BDT Variable List

Input	Variable	Description
Kinematics	$p_T(jet)$	Jet transverse momentum
	$\eta(jet)$	Jet pseudo-rapidity
IP2D, IP3D	$\log(P_b/P_{light})$	Likelihood ratio between the $b$ - and light jet hypotheses
	$\log(P_b/P_c)$	Likelihood ratio between the $b$ - and $c$ -jet hypotheses
	$\log(P_c/P_{light})$	Likelihood ratio between the $c$ - and light jet hypotheses
SV	$m(SV)$	Invariant mass of tracks at the secondary vertex assuming pion masses
	$f_E(SV)$	Fraction of the charged jet energy in the secondary vertex
	$N_{TrkAtVtx}(SV)$	Number of tracks used in the secondary vertex
	$N_{2TrkVtx}(SV)$	Number of two track vertex candidates
	$L_{xy}(SV)$	Transverse distance between the primary and secondary vertices
	$L_{xyz}(SV)$	Distance between the primary and secondary vertices
	$S_{xyz}(SV)$	Distance between the primary and secondary vertices divided by its uncertainty
	$\Delta R(jet, SV)$	$\Delta R$ between the jet axis and the direction of the secondary vertex relative to the primary vertex
Jet Fitter	$N_{2TrkVtx}(JF)$	Number of 2-track vertex candidates (prior to decay chain fit)
	$m(JF)$	Invariant mass of tracks from displaced vertices assuming pion masses
	$S_{xyz}(JF)$	Significance of the average distance between the primary and displaced vertices
	$f_E(JF)$	Fraction of the charged jet energy in the secondary vertices
	$N_{1-trk\ vertices}(JF)$	Number of displaced vertices with one track
	$N_{\geq 2-trk\ vertices}(JF)$	Number of displaced vertices with more than one track
	$N_{TrkAtVtx}(JF)$	Number of tracks from displaced vertices with at least two tracks
	$\Delta R(\vec{p}_{jet}, \vec{p}_{vtx})$	$\Delta R$ between the jet axis and the vectorial sum of the momenta of all tracks attached to displaced vertices

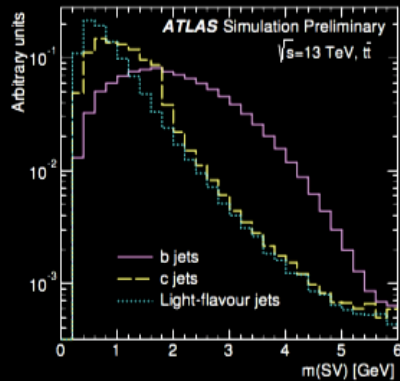
← Impact parameter based algorithms

← Secondary vertex finding algorithm

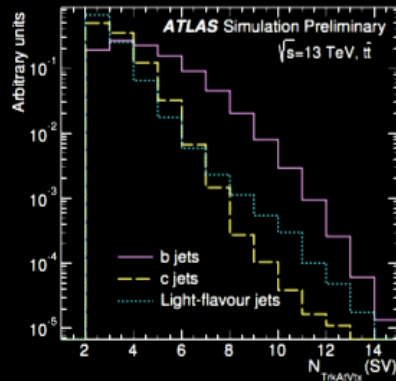
← Decay chain multi-vertex algorithm

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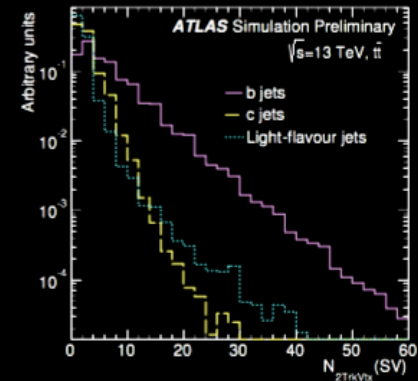
# SV Algorithm Variables



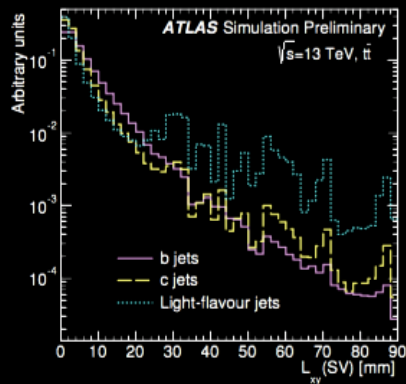
(a)



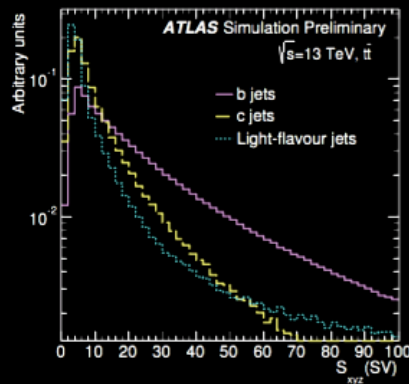
(b)



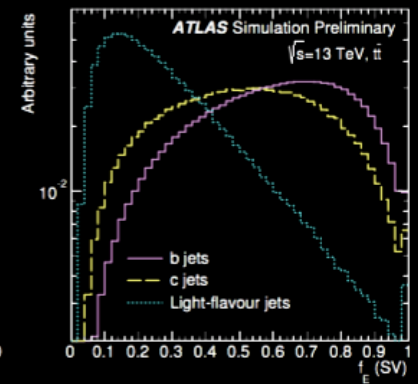
(c)



(d)



(e)

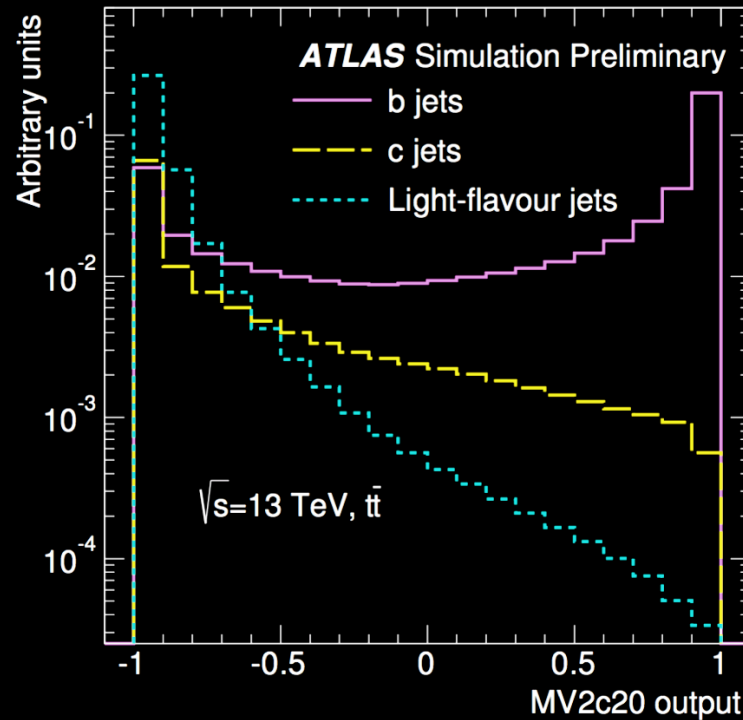


(f)

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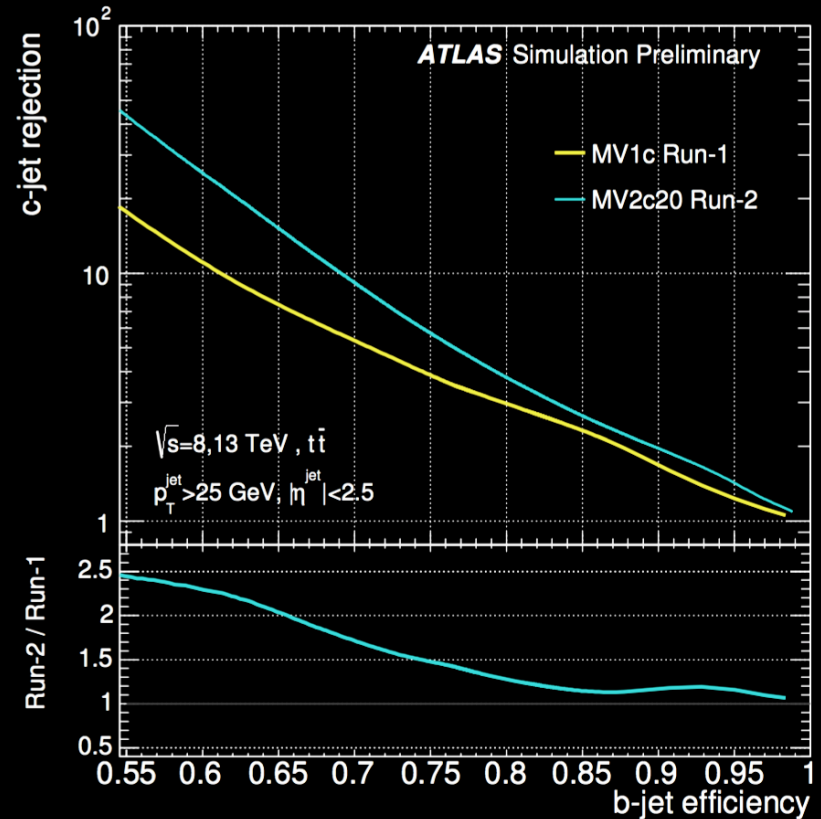
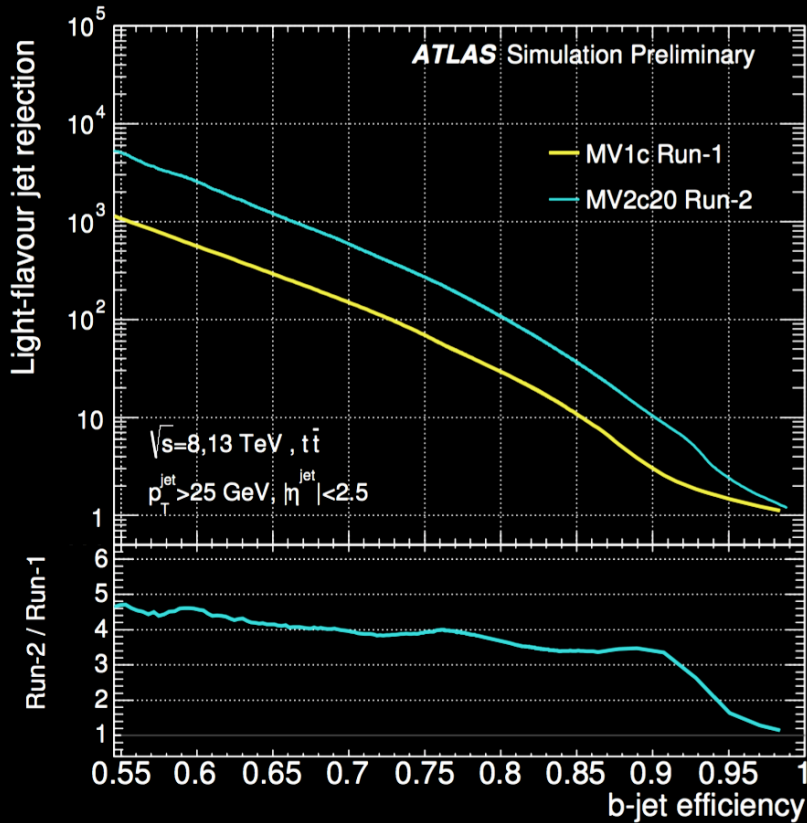
# Predicted Performance

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Cut Value	<i>b</i> -jet Efficiency [%]	<i>c</i> -jet Rejection	$\tau$ -jet Rejection	Light-jet Rejection
0.4496	60	21	93	1900
-0.0436	70	8.1	26	440
-0.4434	77	4.5	10	140
-0.7887	85	2.6	3.8	28

# Predicted Improvement



- Some hardware, some software

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# Final Thoughts

- Decision trees are fairly weak classifiers that are easy to understand.
- Boosting turns them into stronger classifiers but makes them more mysterious.
- BDTs show up a lot in particle physics.
- Propagating uncertainties difficult.
- Still need to convince people your model produces good results.

# References

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- G. Cowan. Multivariate statistical methods and data mining in particle physics. CERN Academic Training Lectures, June 2008.
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