

Proton Structure and Insights from RHIC



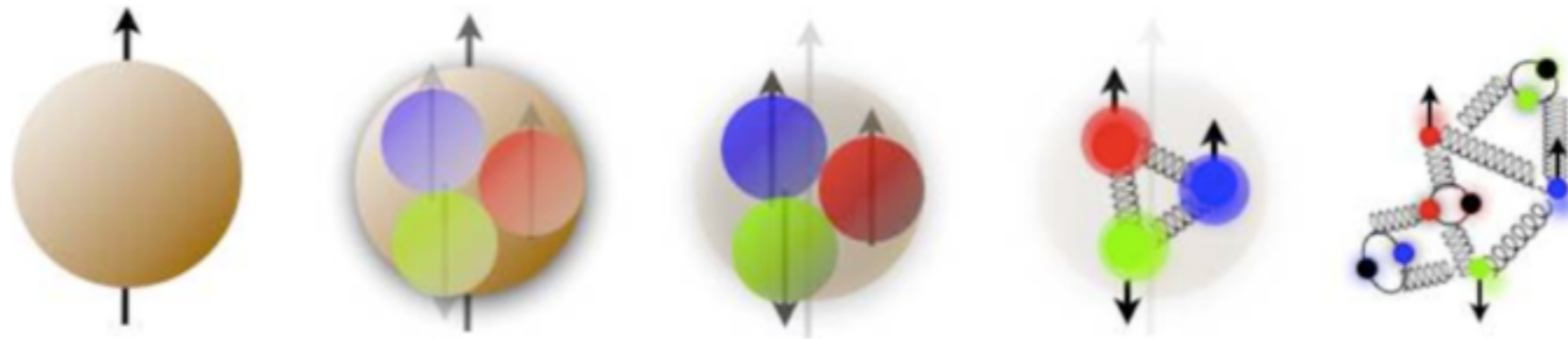
Ernst Sichteremann

	<p>mass → $\approx 2.3 \text{ MeV}/c^2$</p> <p>charge → $2/3$</p> <p>spin → $1/2$</p> <p>u</p> <p>up</p>	<p>mass → $\approx 1.275 \text{ GeV}/c^2$</p> <p>charge → $2/3$</p> <p>spin → $1/2$</p> <p>c</p> <p>charm</p>	<p>mass → $\approx 173.07 \text{ GeV}/c^2$</p> <p>charge → $2/3$</p> <p>spin → $1/2$</p> <p>t</p> <p>top</p>	<p>mass → 0</p> <p>charge → 0</p> <p>spin → 1</p> <p>g</p> <p>gluon</p>	<p>mass → $\approx 126 \text{ GeV}/c^2$</p> <p>charge → 0</p> <p>spin → 0</p> <p>H</p> <p>Higgs boson</p>
QUARKS	<p>mass → $\approx 4.8 \text{ MeV}/c^2$</p> <p>charge → $-1/3$</p> <p>spin → $1/2$</p> <p>d</p> <p>down</p>	<p>mass → $\approx 95 \text{ MeV}/c^2$</p> <p>charge → $-1/3$</p> <p>spin → $1/2$</p> <p>s</p> <p>strange</p>	<p>mass → $\approx 4.18 \text{ GeV}/c^2$</p> <p>charge → $-1/3$</p> <p>spin → $1/2$</p> <p>b</p> <p>bottom</p>	<p>mass → 0</p> <p>charge → 0</p> <p>spin → 1</p> <p>γ</p> <p>photon</p>	
	<p>mass → $0.511 \text{ MeV}/c^2$</p> <p>charge → -1</p> <p>spin → $1/2$</p> <p>e</p> <p>electron</p>	<p>mass → $105.7 \text{ MeV}/c^2$</p> <p>charge → -1</p> <p>spin → $1/2$</p> <p>μ</p> <p>muon</p>	<p>mass → $1.777 \text{ GeV}/c^2$</p> <p>charge → -1</p> <p>spin → $1/2$</p> <p>τ</p> <p>tau</p>	<p>mass → $91.2 \text{ GeV}/c^2$</p> <p>charge → 0</p> <p>spin → 1</p> <p>Z</p> <p>Z boson</p>	GAUGE BOSONS
	<p>mass → $< 2.2 \text{ eV}/c^2$</p> <p>charge → 0</p> <p>spin → $1/2$</p> <p>ν_e</p> <p>electron neutrino</p>	<p>mass → $< 0.17 \text{ MeV}/c^2$</p> <p>charge → 0</p> <p>spin → $1/2$</p> <p>ν_μ</p> <p>muon neutrino</p>	<p>mass → $< 15.5 \text{ MeV}/c^2$</p> <p>charge → 0</p> <p>spin → $1/2$</p> <p>ν_τ</p> <p>tau neutrino</p>	<p>mass → $80.4 \text{ GeV}/c^2$</p> <p>charge → ± 1</p> <p>spin → 1</p> <p>W</p> <p>W boson</p>	

mass →	$\approx 2.3 \text{ MeV}/c^2$	$\approx 1.275 \text{ GeV}/c^2$	$\approx 173.07 \text{ GeV}/c^2$	0	$\approx 126 \text{ GeV}/c^2$
charge →	$2/3$	$2/3$	$2/3$	0	0
spin →	$1/2$	$1/2$	$1/2$	1	0
	u up	c charm	t top	g gluon	H Higgs boson
QUARKS	$\approx 4.8 \text{ MeV}/c^2$	$\approx 95 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	0	
	$-1/3$	$-1/3$	$-1/3$	0	
	$1/2$	$1/2$	$1/2$	1	
	d down	s strange	b bottom	γ photon	
	$0.511 \text{ MeV}/c^2$	$105.7 \text{ MeV}/c^2$	$1.777 \text{ GeV}/c^2$	$91.2 \text{ GeV}/c^2$	
	-1	-1	-1	0	
	$1/2$	$1/2$	$1/2$	1	
	e electron	μ muon	τ tau	Z Z boson	
LEPTONS	$< 2.2 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 15.5 \text{ MeV}/c^2$	$80.4 \text{ GeV}/c^2$	
	0	0	0	± 1	
	$1/2$	$1/2$	$1/2$	1	
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	
				GAUGE BOSONS	

Today's discussion will be about (light) quarks, gluons, and their (color-)interactions.

Color in QCD



Color at sub-nucleonic scales, $\sim 10^{-15}\text{m}$

Just three?

Consider (electro-)production of muons and “hadrons”,

$$e^+ + e^- \rightarrow \mu^+ + \mu^-$$

$$e^+ + e^- \rightarrow q + \bar{q}$$



The same diagram!

Now, consider the cross-section ratio:

$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = n_{\text{color}} \sum_{\text{flavor}} Q_f^2$$

as a function of energy.

For the three light flavors,

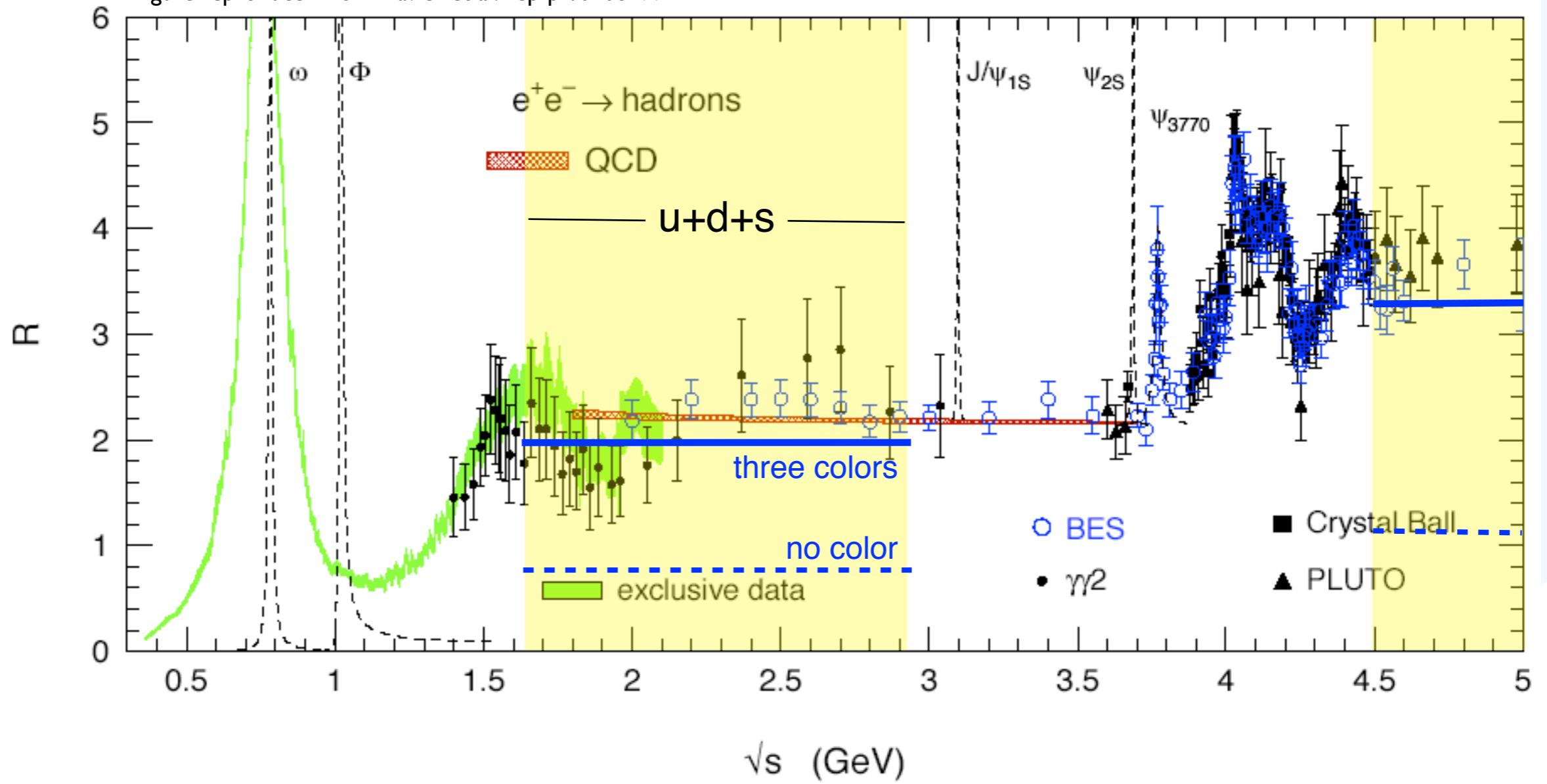
$$R = n_{\text{color}} \left[\left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 \right] = n_{\text{color}} \cdot \frac{2}{3} = 2$$

Between the charm and beauty threshold,

$$R = n_{\text{color}} \left[\left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(\frac{2}{3}\right)^2 \right] = \frac{10}{9} \cdot n_{\text{color}}$$

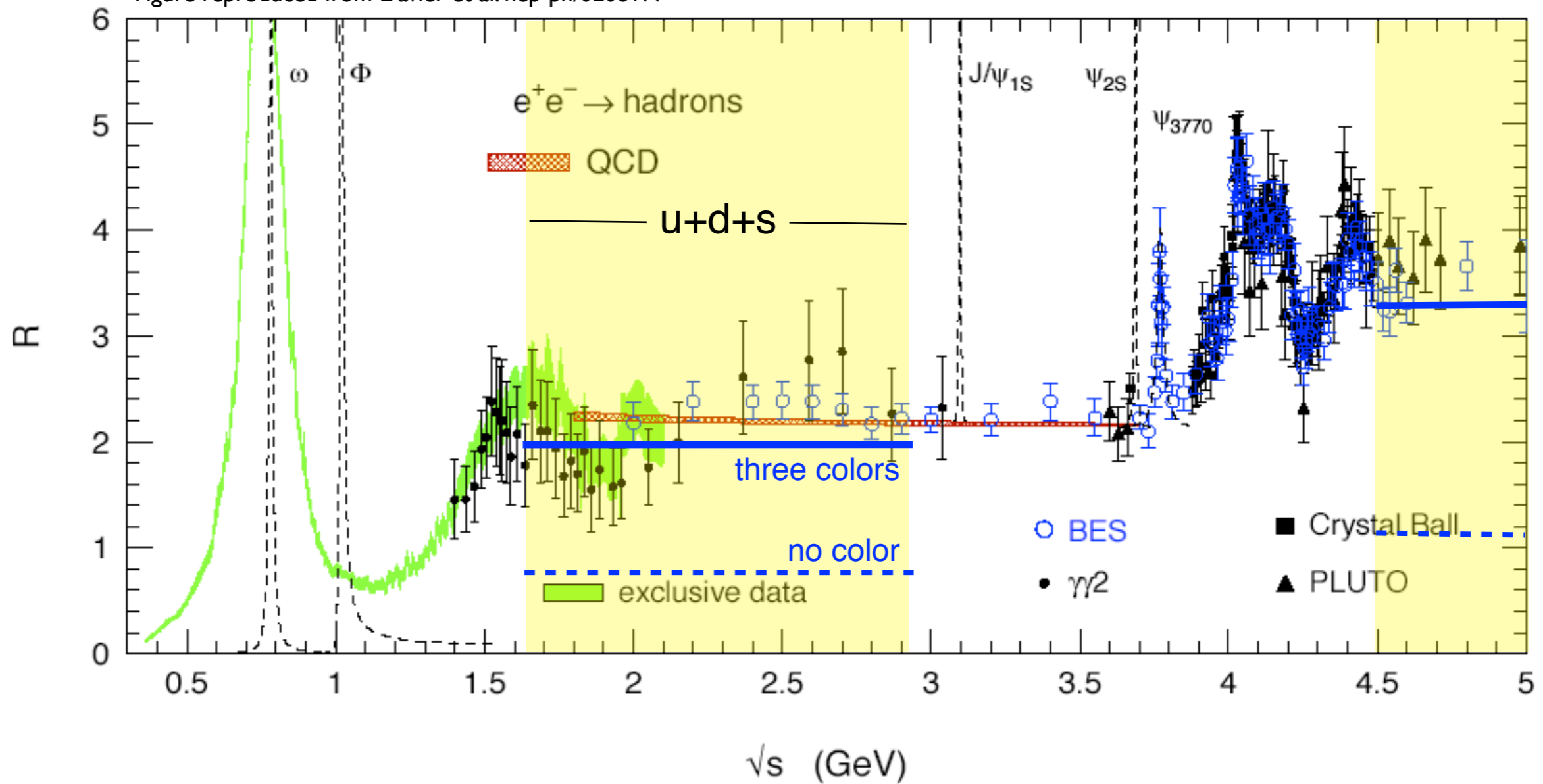
Data:

Figure reproduced from Davier et al. hep-ph/0208177



Data:

Figure reproduced from Davier et al. hep-ph/0208177



What about the fractional quark charges?
What about quark spins?



1. Deep-Inelastic Scattering



1. Deep-Inelastic Scattering

2. Insights from RHIC

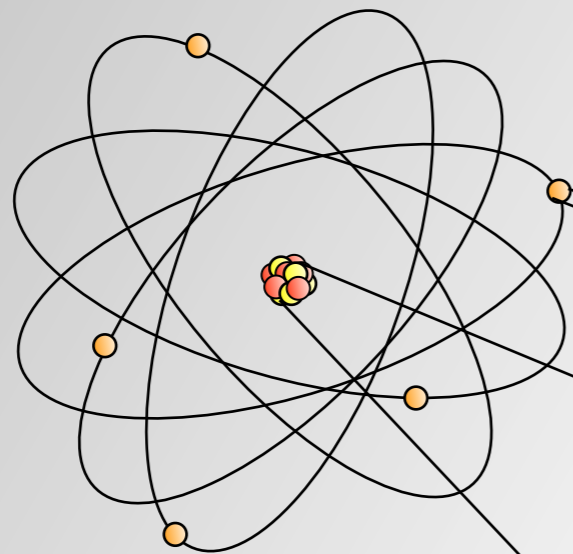
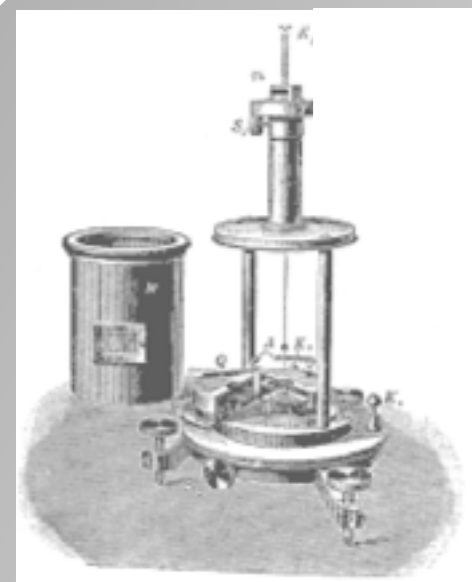


1. Deep-Inelastic Scattering

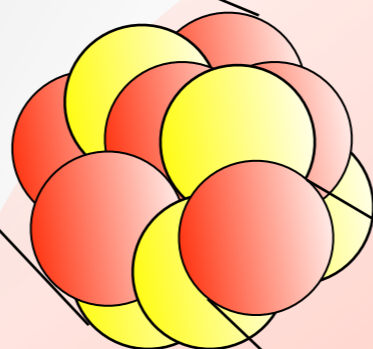
3. A few words on EIC

2. Applications at RHIC

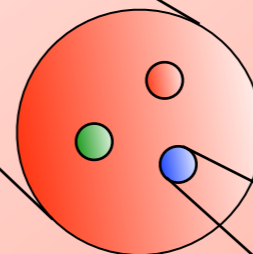
I - (Deep-Inelastic) Scattering



$\sim 10^{-10}$ m
 \sim keV

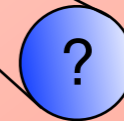


$\sim 10^{-14}$ m
 \sim MeV



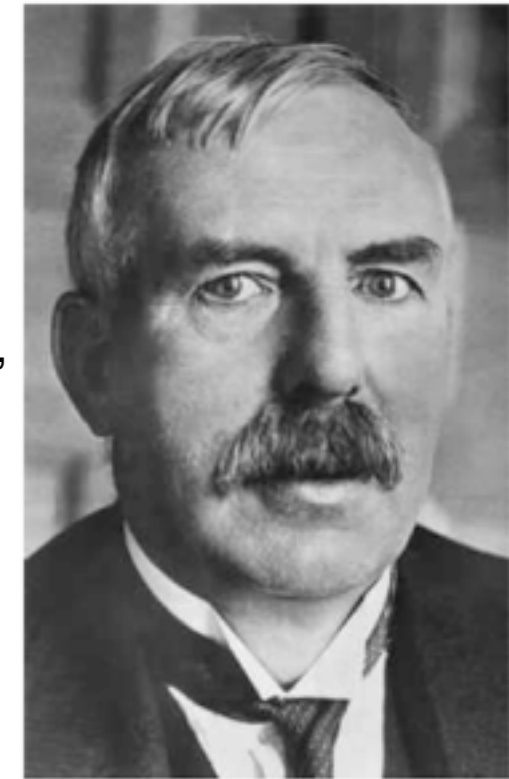
$\sim 10^{-15}$ m
 \sim GeV

$< 10^{-18}$ m

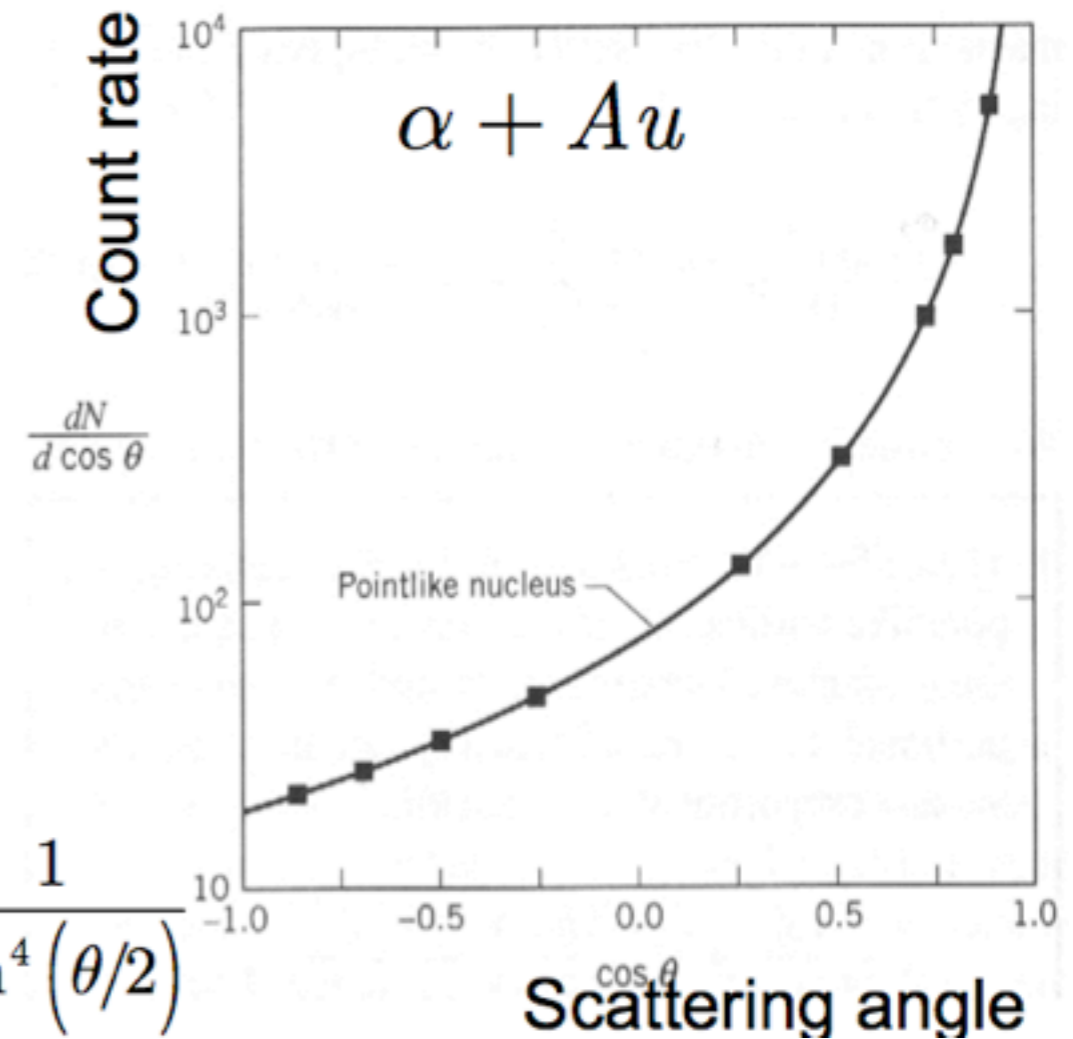
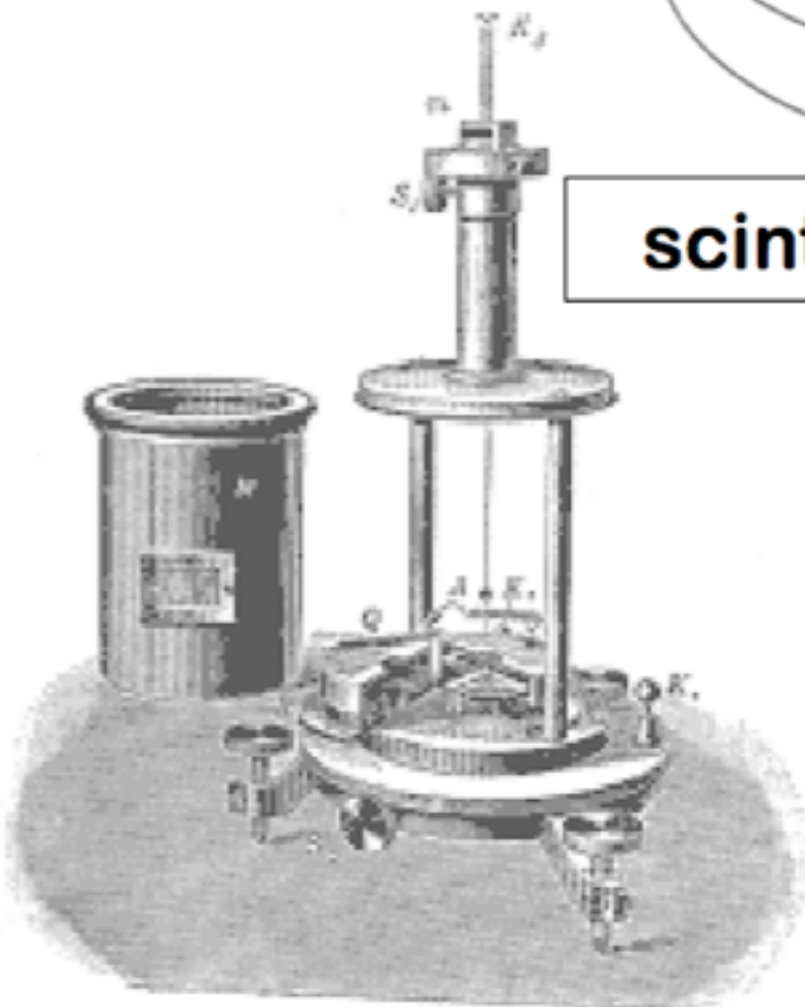
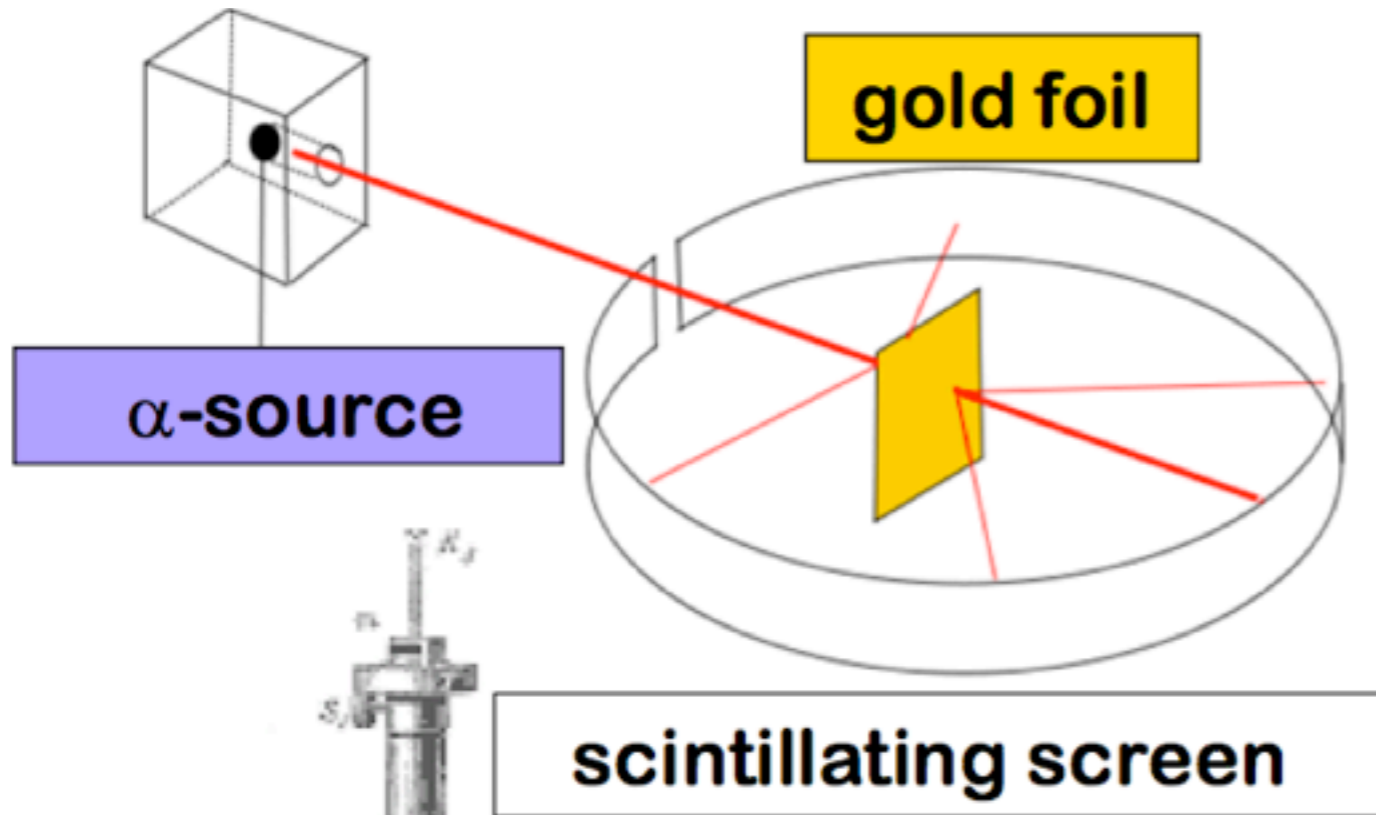


~5 MeV

Rutherford Scattering



Ernest Rutherford,
Nobel Prize 1908

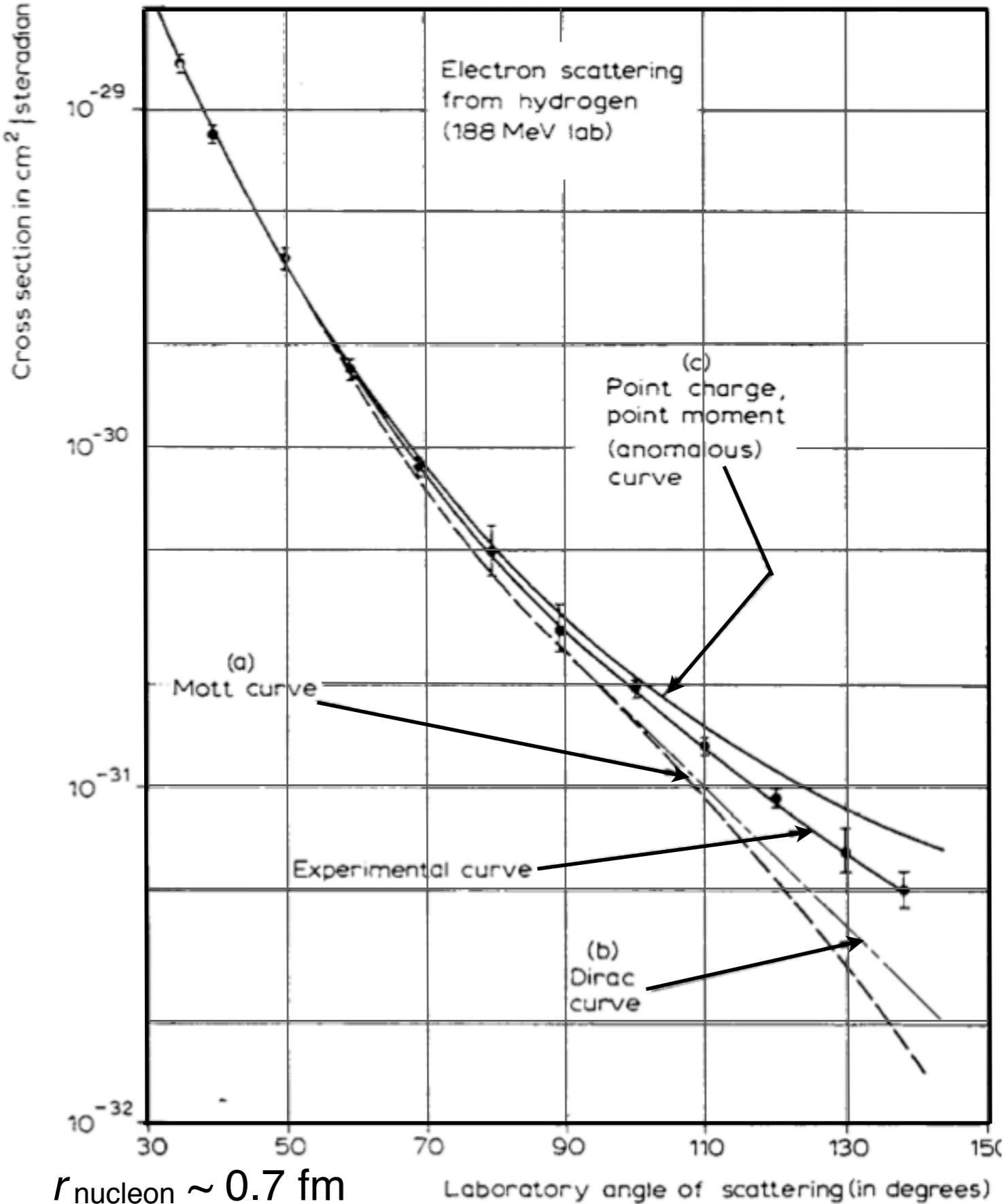
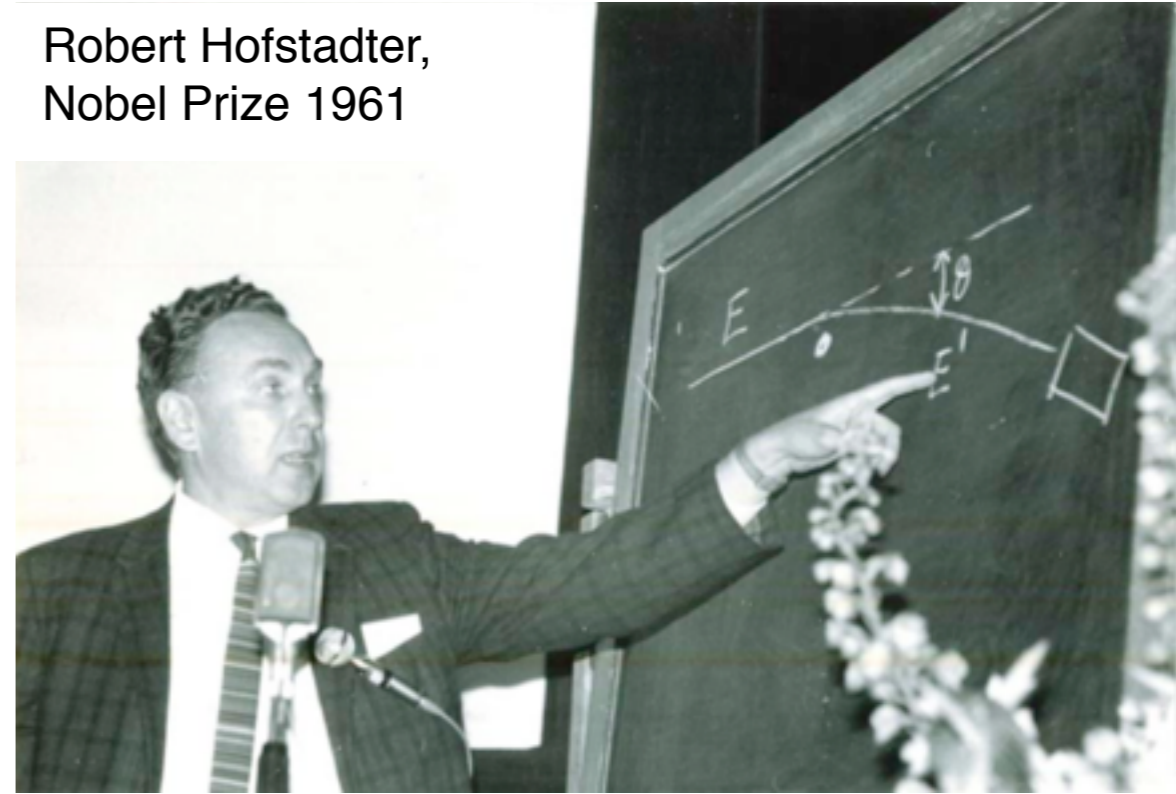


$$\frac{d\sigma}{d\Omega} = (zZ\alpha)^2 \left(\frac{\hbar c}{4E_{\text{kin}}} \right)^2 \frac{1}{\sin^4(\theta/2)}$$

Scattering off a hard sphere; $r_{\text{nucleus}} \sim (10^{-4} \cdot r_{\text{atom}}) \sim 10^{-14} \text{ m}$

~200 MeV

Elastic Electron Scattering



Scattering off a spin-1/2 Dirac particle:

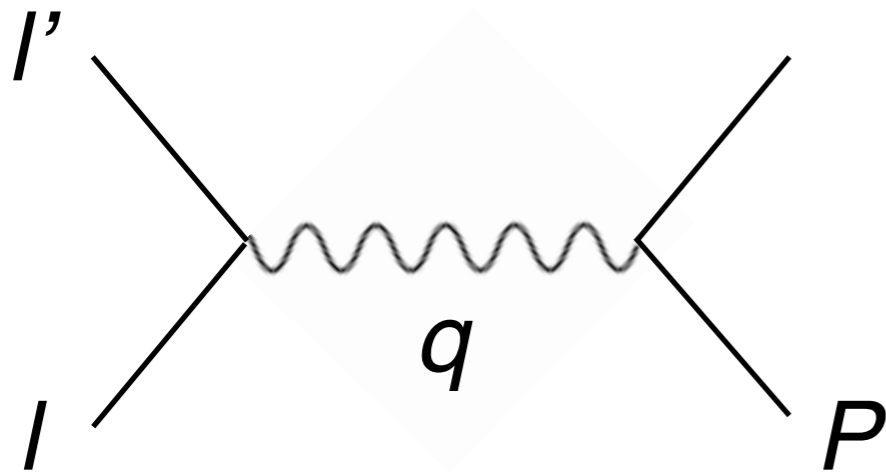
$$\frac{d\sigma}{d\Omega} = \left(\frac{\alpha}{4ME \sin^2(\theta/2)} \right)^2 \frac{E'}{E} \left[\frac{q^2}{2M} \sin^2(\theta/2) + \cos^2(\theta/2) \right]$$

The proton has an anomalous magnetic moment,

$$g_p \neq 2, \quad g_p \simeq 5.6$$

and, hence, internal (spin) structure.

Elastic Electron Scattering



$$d\sigma \propto \langle |\mathcal{M}|^2 \rangle = \frac{g_e^4}{q^4} L_{\text{lepton}}^{\mu\nu} K_{\mu\nu \text{ nucleon}}$$

The lepton tensor is calculable:

$$L_{\text{lepton}}^{\mu\nu} = 2 (k^\mu k'^\nu + k^\nu k'^\mu + g^{\mu\nu} (m^2 - k \cdot k'))$$

The nucleon tensor is not; it's general (spin-averaged, parity conserved) form is:

$$K_{\mu\nu \text{ nucleon}} = -K_1 g_{\mu\nu} + \frac{K_2}{M^2} p_\mu p_\nu + \frac{K_4}{M^2} q_\mu q_\nu + \frac{K_5}{M^2} (p_\mu q_\nu + p_\nu q_\mu)$$

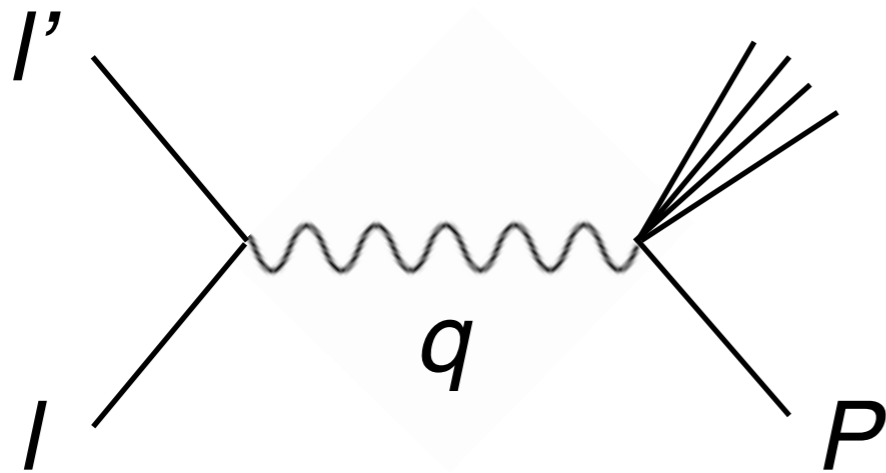
Charge conservation at the proton vertex reduces the number of structure functions:

$$q_\mu K_{\text{nucleon}}^{\mu\nu} \rightarrow K_4 = f(K_1, K_2), \quad K_5 = g(K_2)$$

and one obtains the Rosenbluth form, with electric and magnetic form factors:

$$\frac{d\sigma}{d\Omega} = \left(\frac{\alpha}{4ME \sin^2(\theta/2)} \right)^2 \frac{E'}{E} [2K_1 \sin^2(\theta/2) + K_2 \cos^2(\theta/2)], \quad K_{1,2}(q^2)$$

Inelastic Scattering



Considerably more complex, indeed!

Simplify - consider *inclusive* inelastic scattering,

$$d\sigma \propto \langle |\mathcal{M}|^2 \rangle = \frac{g_e^4}{q^4} L_{\text{lepton}}^{\mu\nu} W_{\mu\nu \text{ nucleon}}, \quad W_{\mu\nu \text{ nucleon}}(p, q)$$

Again, two (parity-conserving, spin-averaged) structure functions:

$$W_1, W_2 \quad \text{or, alternatively expressed, } F_1, F_2$$

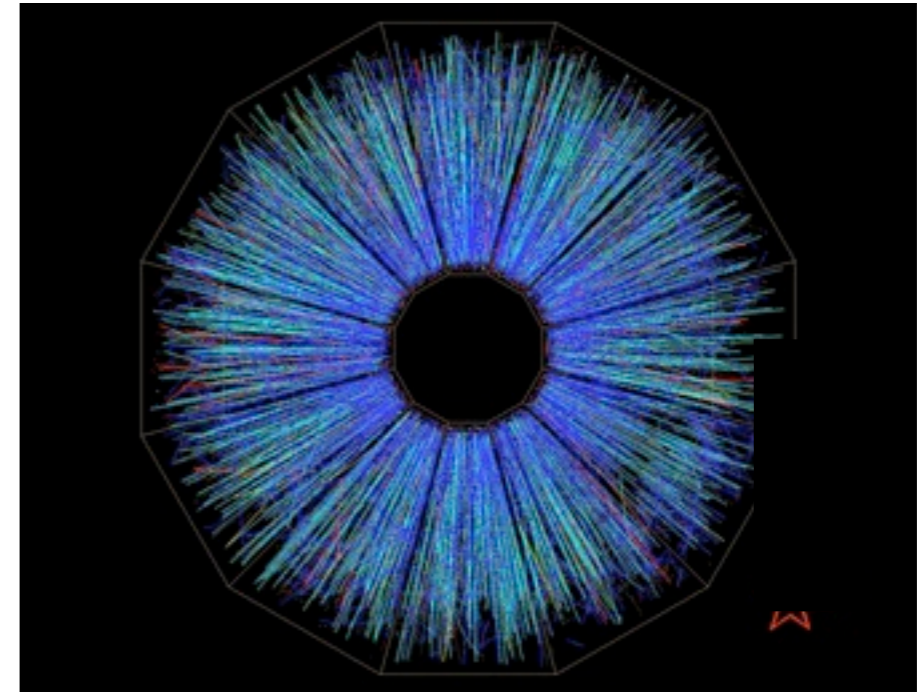
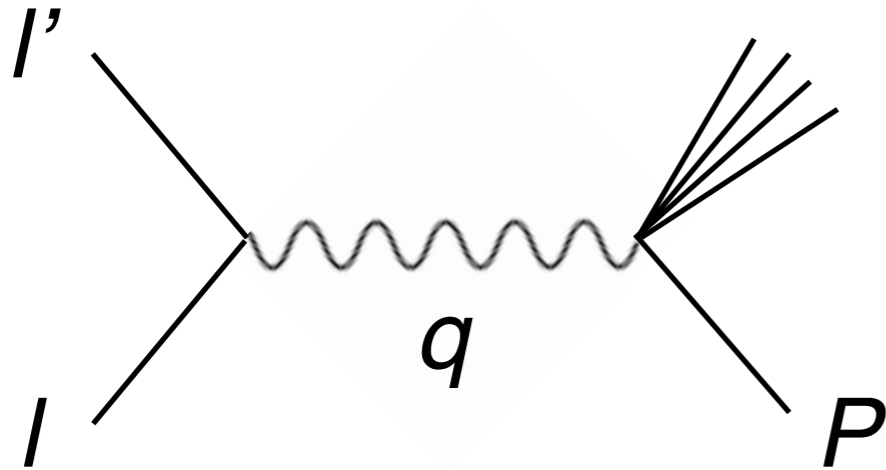
which may depend on two invariants,

$$Q^2 = -q^2, \quad x = \frac{q^2}{2q \cdot p}, \quad 0 < x < 1$$

So much for the structure, the physics is in the structure functions.

Inelastic Scattering

Not convinced of additional complexity?



Then forget this talk, and calculate this!

$$W_{\mu\nu \text{ nucleon}}(p, q)$$

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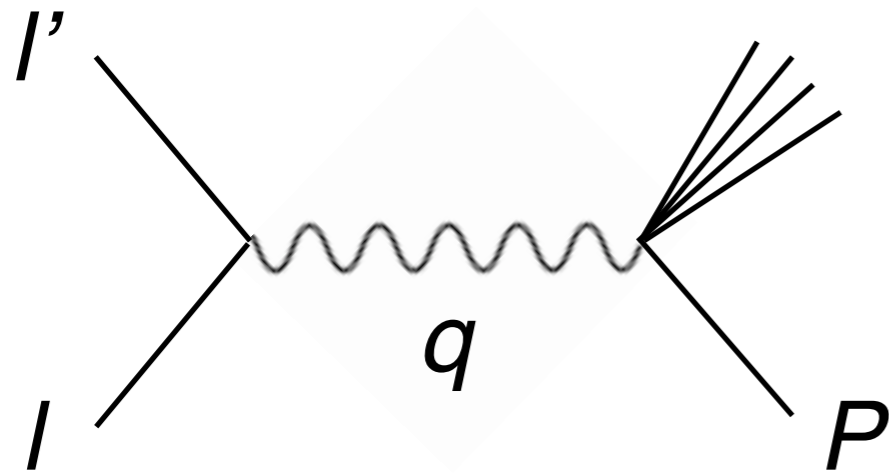
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So much for the structure, the physics is in the structure functions.

Elastic scattering off Dirac Protons



Compare:

$$L_{\text{lepton}}^{\mu\nu} = 2 (k^\mu k'^\nu + k^\nu k'^\mu + g^{\mu\nu} (m^2 - k \cdot k'))$$

with:

$$K_{\mu\nu \text{ nucleon}} = K_1 \left(-g_{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) + \frac{K_2}{M^2} \left(p^\mu + \frac{1}{2} q^\mu \right) \left(p^\nu + \frac{1}{2} q^\nu \right)$$

which uses the relations between $K_{1,2}$ and $K_{4,5}$

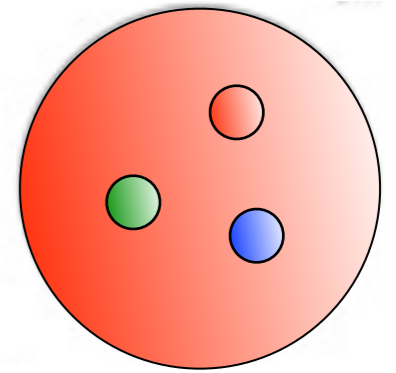
Then, e.g. by substitution of $k' = k - q$ in L :

$$K_1 = -q^2, \quad K_2 = 4M^2$$

Note, furthermore, that inelastic cross section reduces to the elastic one for:

$$W_{1,2}(q^2, x) = -\frac{K_{1,2}(q^2)}{2Mq^2} \delta(x - 1)$$

Elastic scattering off Dirac Partons



Imagine *incoherent* scattering off *Dirac* Partons (quarks) q :

$$W_1^q = \frac{e_q^2}{2m_q} (x_q - 1), \quad W_2^q = -\frac{2m_q e_q^2}{q^2} \delta(x_q - 1) \quad \text{and} \quad x_q = -\frac{q^2}{2q \cdot p_q}$$

and, furthermore suppose that the quarks carry a fraction, z , of the proton momentum

$$p_q = z_q p, \quad \text{so that} \quad x_q = \frac{x}{z_q} \quad (\text{also note } m_q = z_q M !)$$

which uses the relations between $K_{1,2}$ and $K_{4,5}$

Now,

$$MW_1 = \sum_q \int_0^1 \frac{e_q^2}{2M} \delta(x - z_q) f_q(z_q) dz_q = \frac{1}{2} \sum_q e_q^2 f_q(x) \equiv F_1(x)$$

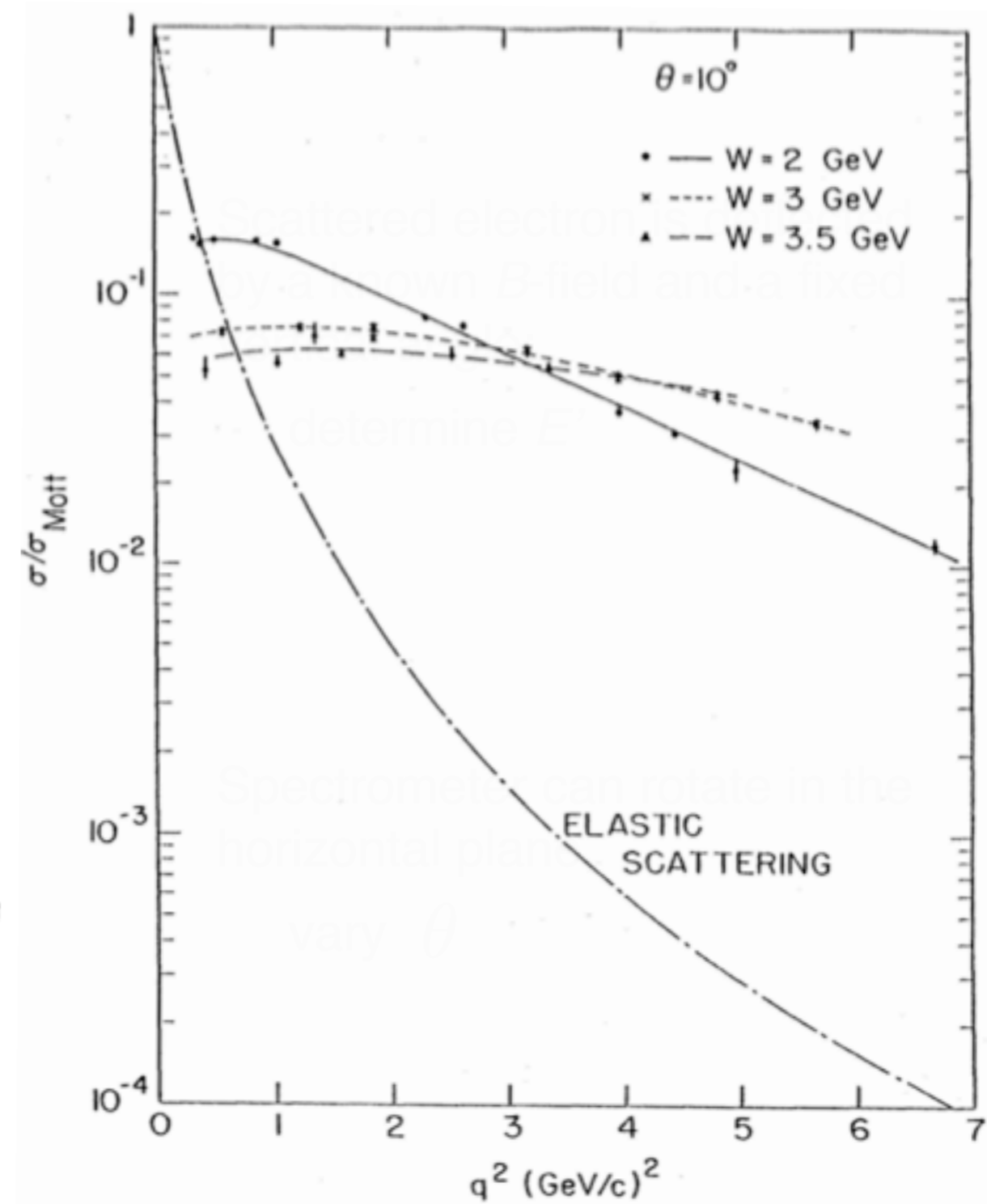
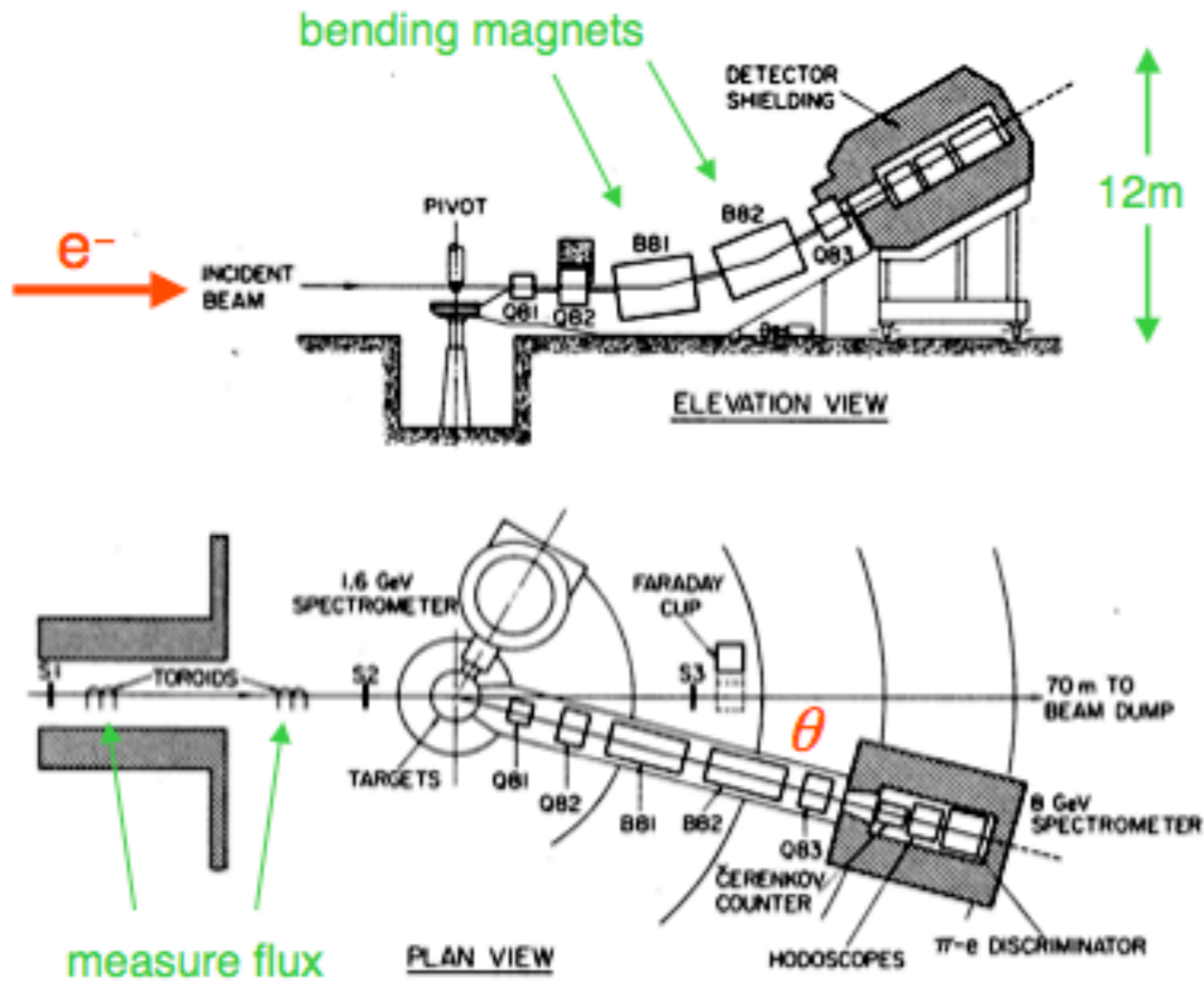
$$-\frac{q^2}{2Mx} W_2 = \int_0^1 x e_q^2 \delta(x - z_q) f_q(z_q) dz_q = x \sum_q e_q^2 f_q(x) \equiv F_2(x)$$

Two important *observable* consequences,

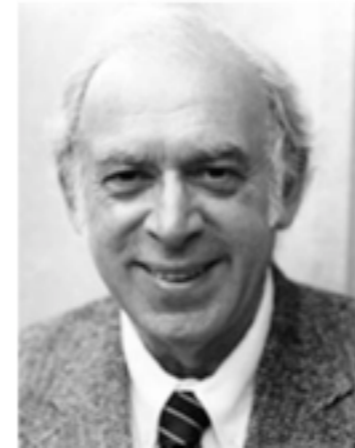
Bjorken scaling: $F_{1,2}(x)$, not $F_{1,2}(x, Q^2)$

Callan-Gross relation: $F_2 = 2xF_1(x)$

~ 10 GeV *Deep-Inelastic* Electron Scattering



Deep-Inelastic Electron Scattering



J.T. Friedman



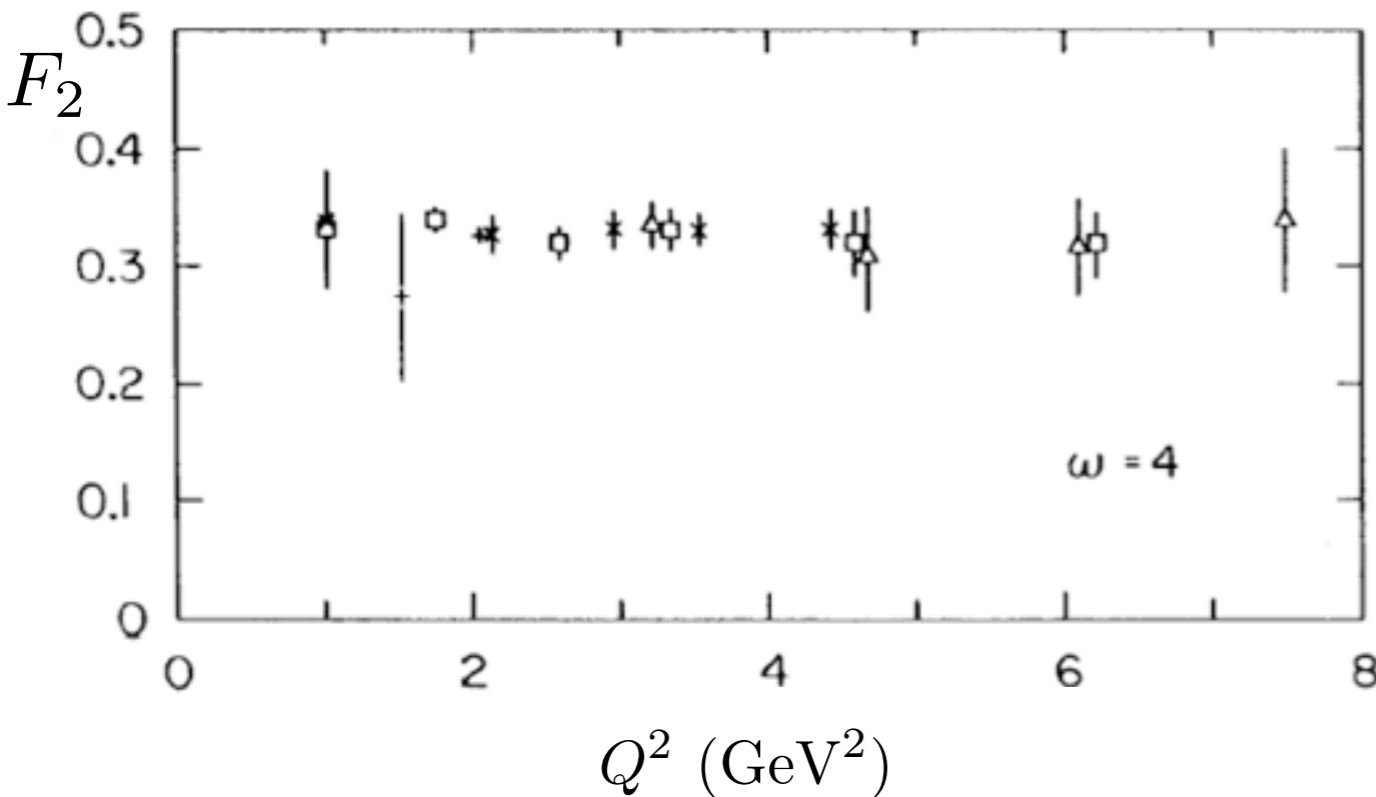
R. Taylor
Nobel Prize 1990



H.W. Kendall

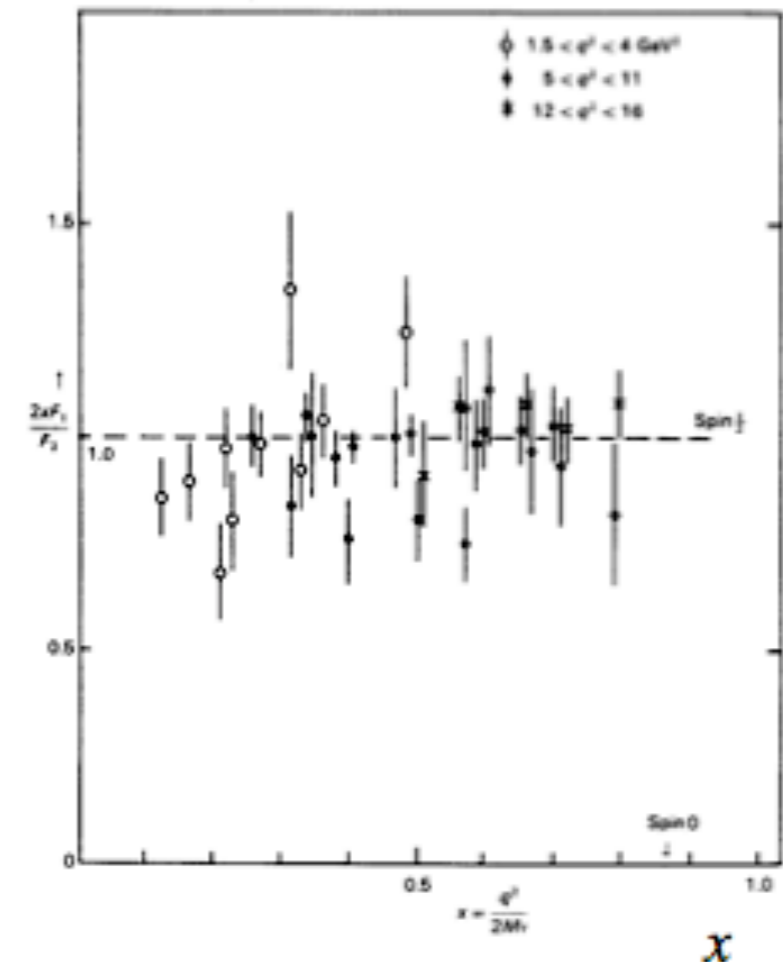
Bjorken scaling:

+ 6° □ 18°
× 10° △ 26°



Callan-Gross relation:

$$\frac{2xF_1}{F_2}$$



Point particles cannot be further resolved; their measurement does not depend on wavelength, hence Q^2 ,

Spin-1/2 quarks cannot absorb longitudinally polarized vector bosons and, conversely, spin-0 (scalar) quarks cannot absorb transversely polarized photons.

← spin 1/2

← spin 0

Deep-Inelastic *Neutrino* Scattering

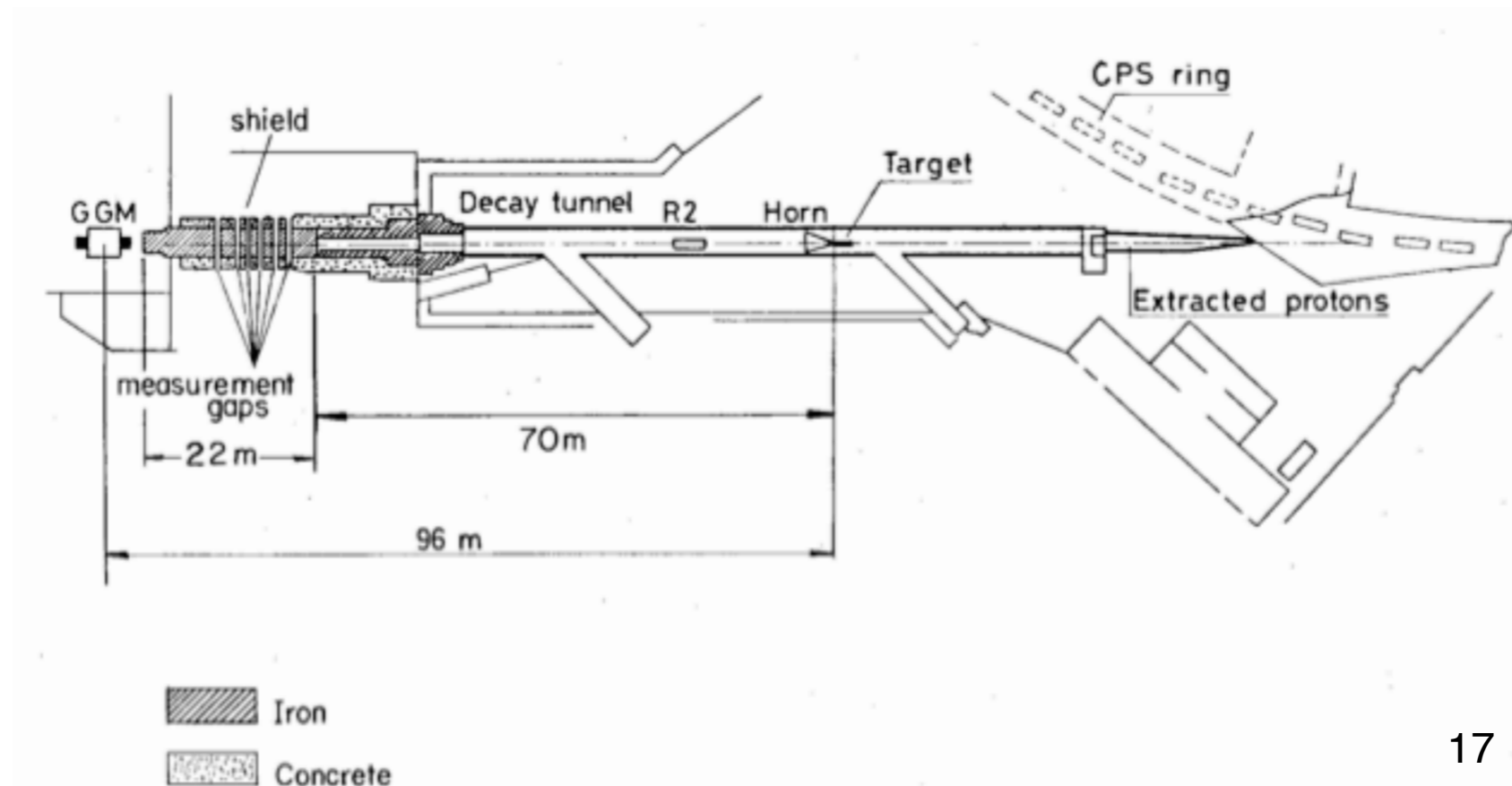


Some of you may recognize this picture from CERN...

Gargamelle bubble chamber, observation of weak neutral current (1973).

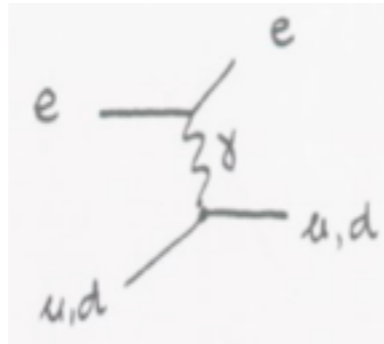
Charged-current DIS!

- Nucl.Phys. **B73** (1974) 1
- Nucl.Phys. **B85** (1975) 269
- Nucl.Phys. **B118** (1977) 218
- Phys.Lett. **B74** (1978) 134



Deep-Inelastic Scattering - Fractional Electric Charges

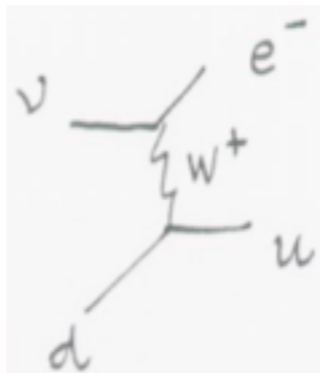
Neutral-current (photon) DIS:



$$F_2 = x \sum e_q^2 (q + \bar{q}), \quad p : uud, \quad n : ddu$$

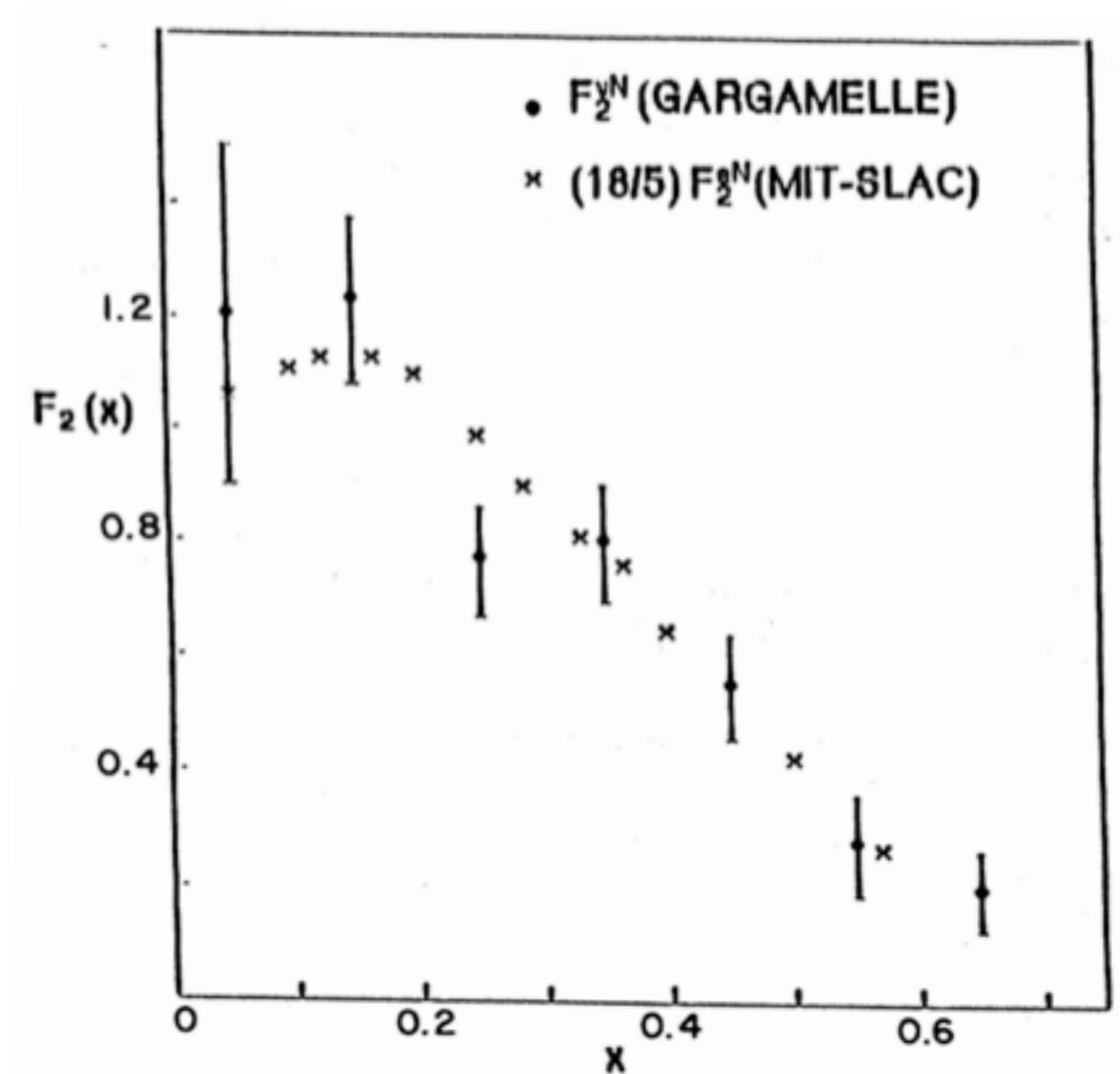
$$F_2^N = x \frac{e_u^2 + e_d^2}{2} (u + \bar{u} + d + \bar{d})$$

Charged-current DIS:



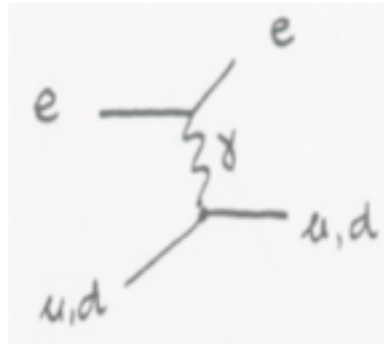
$$F_2^{\nu p} = 2x(d + \bar{u}), \quad F_2^{\nu n} = 2x(u + \bar{d})$$

$$F_2^{\nu N} = x(u + \bar{u} + d + \bar{d})$$



Deep-Inelastic Scattering - Fractional Electric Charges

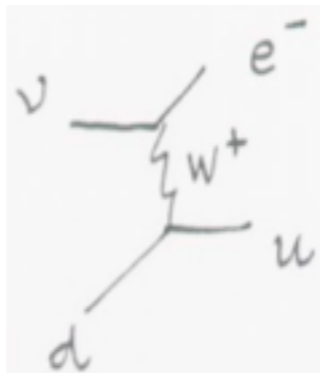
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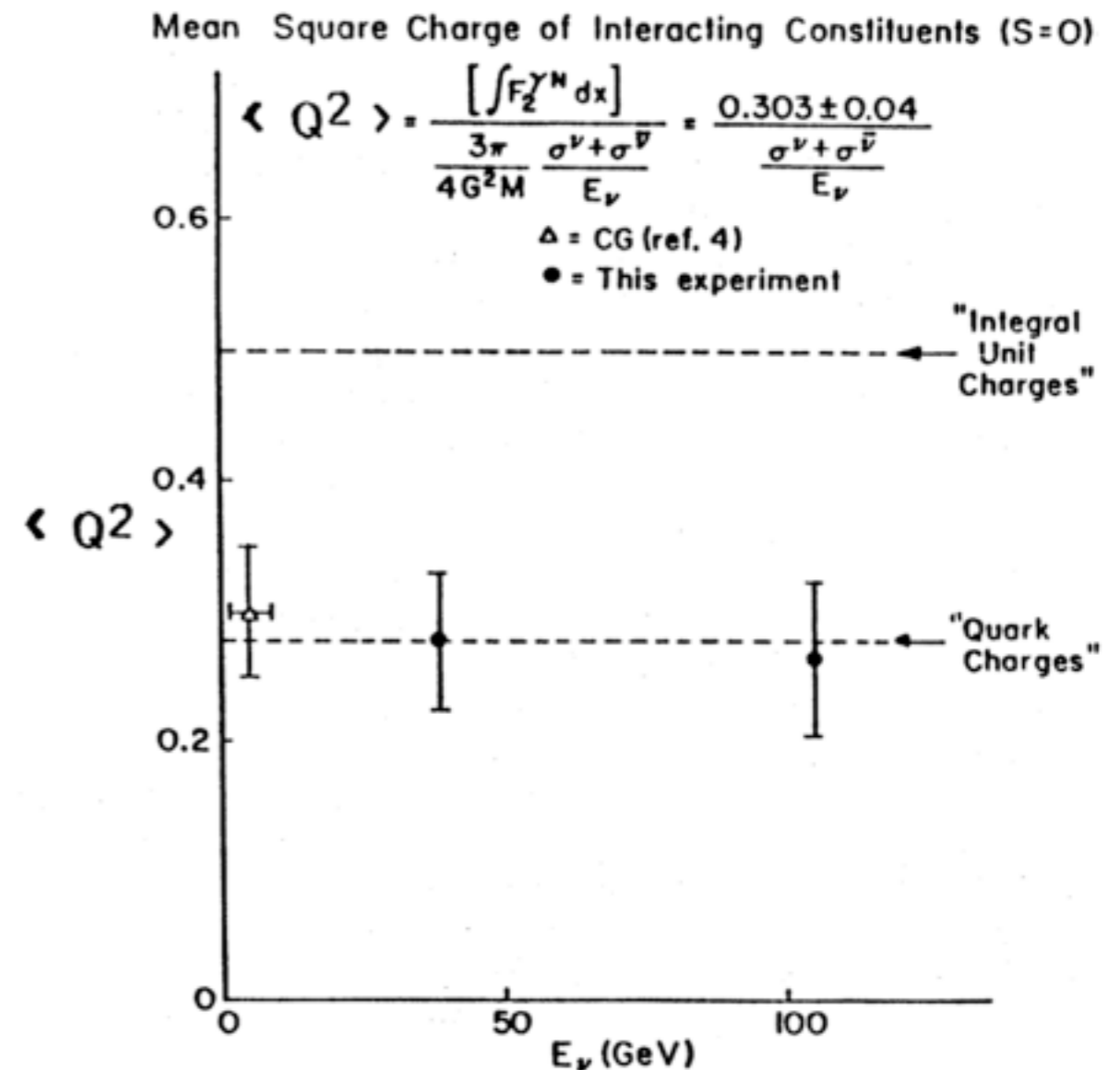


$$F_2^{\nu p} = 2x(d + \bar{u}), \quad F_2^{\nu n} = 2x(u + \bar{d})$$

$$F_2^{\nu N} = x(u + \bar{u} + d + \bar{d})$$

Ratio:

$$\frac{F_2^N}{F_2^{\nu N}} = \frac{1}{2} (e_u^2 + e_d^2) = \frac{5}{18} \simeq 0.28$$



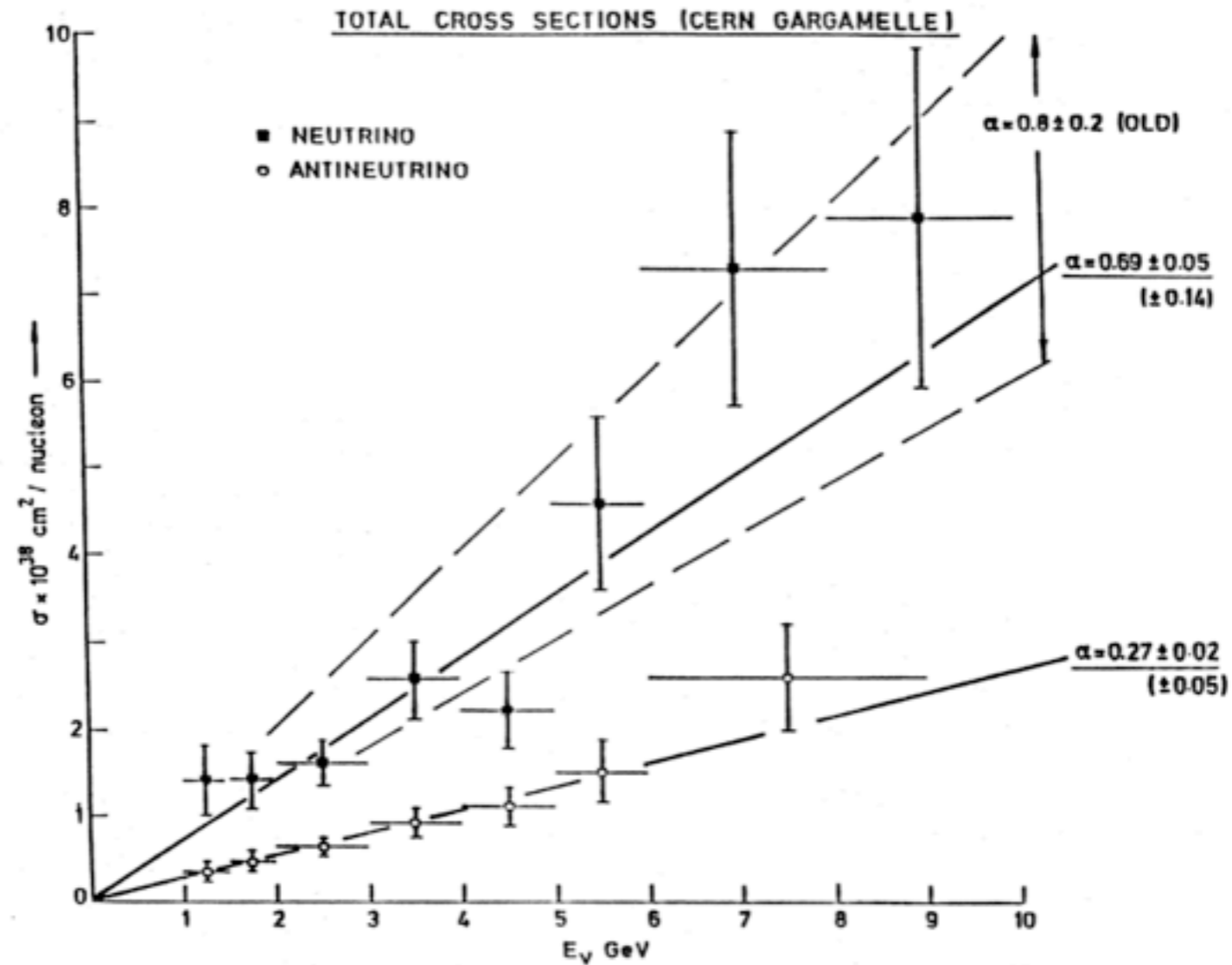
Deep-Inelastic Scattering - Valence and Sea Quarks

Charged-current DIS:

$$F_2^\nu = 2x \sum (q + \bar{q})$$

$$xF_3^{\nu N} = 2x \sum (q - \bar{q})$$

$$\int_0^1 xF_3^{\nu N} \frac{dx}{x} = \int_0^1 (u_v + d_v) dx$$



Gross Llewellyn-Smith: 3

Gargamelle: 3.2 +/- 0.6

$$\frac{d^2 \sigma^{\bar{\nu} N}}{dx dy} \propto [\bar{u} + \bar{d} + (u + d)(1 - y)^2]$$

$$\frac{d^2 \sigma^{\nu N}}{dx dy} \propto [u + d + (\bar{u} + \bar{d})(1 - y)^2]$$

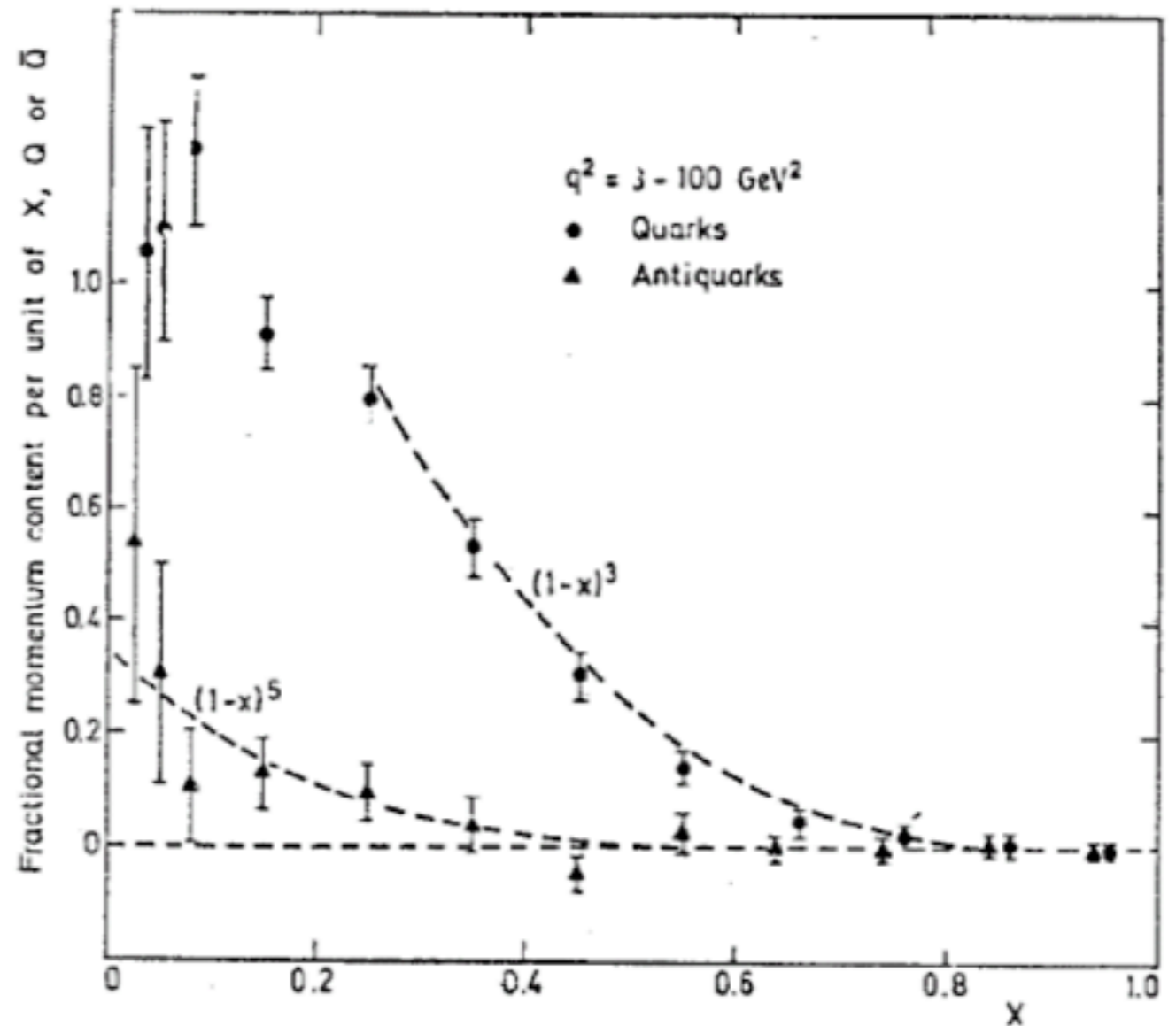
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Gross Llewellyn-Smith: 3

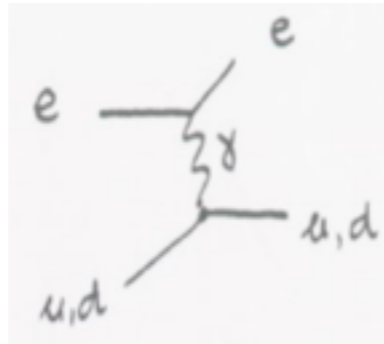
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$$\frac{d^2 \sigma^{\bar{\nu}N}}{dx dy} \propto [\bar{u} + \bar{d} + (u + d)(1 - y)^2]$$

$$\frac{d^2 \sigma^{\nu N}}{dx dy} \propto [u + d + (\bar{u} + \bar{d})(1 - y)^2]$$

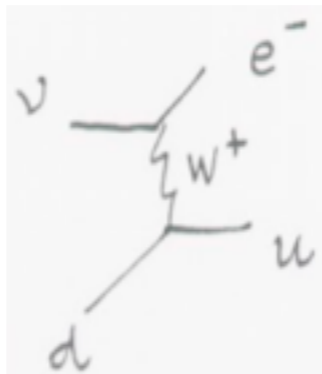
Deep-Inelastic Scattering - Momentum Conservation

Neutral-current (photon) DIS:



$$F_2^N = x \frac{e_u^2 + e_d^2}{2} (u + \bar{u} + d + \bar{d})$$

Charged-current DIS:



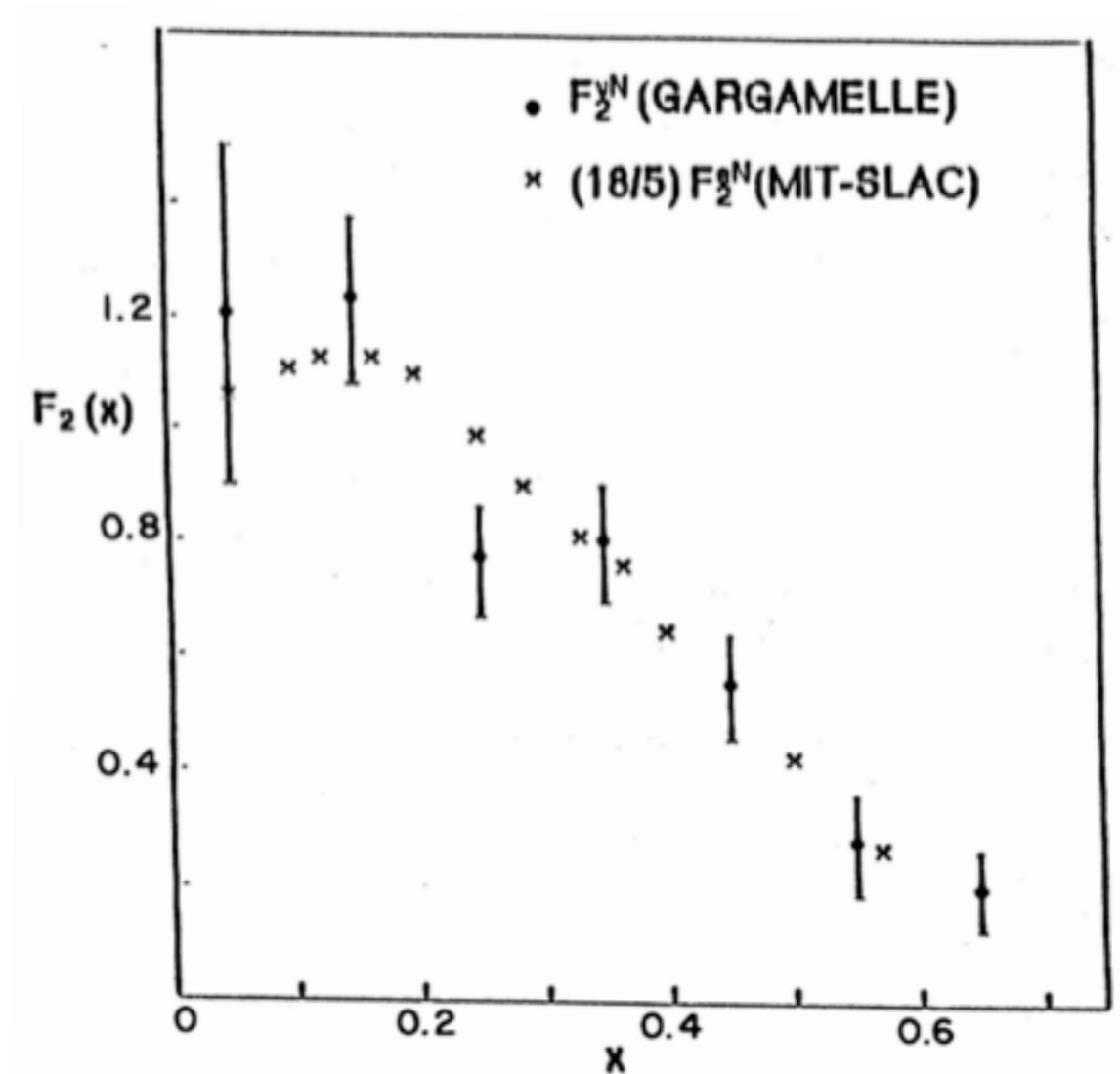
$$F_2^{\nu N} = x(u + \bar{u} + d + \bar{d})$$

Momentum fraction:

$$\int_0^1 F_2^N dx = \frac{e_u^2 + e_d^2}{2} \int_0^1 x(u + \bar{u} + d + \bar{d})$$

Gargamelle: 0.49 +/- 0.07

SLAC: 0.14 +/- 0.05



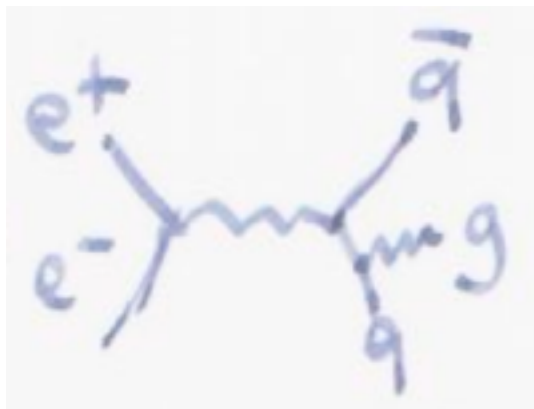
Quarks carry half of the nucleon momentum!

3-jet events at PETRA

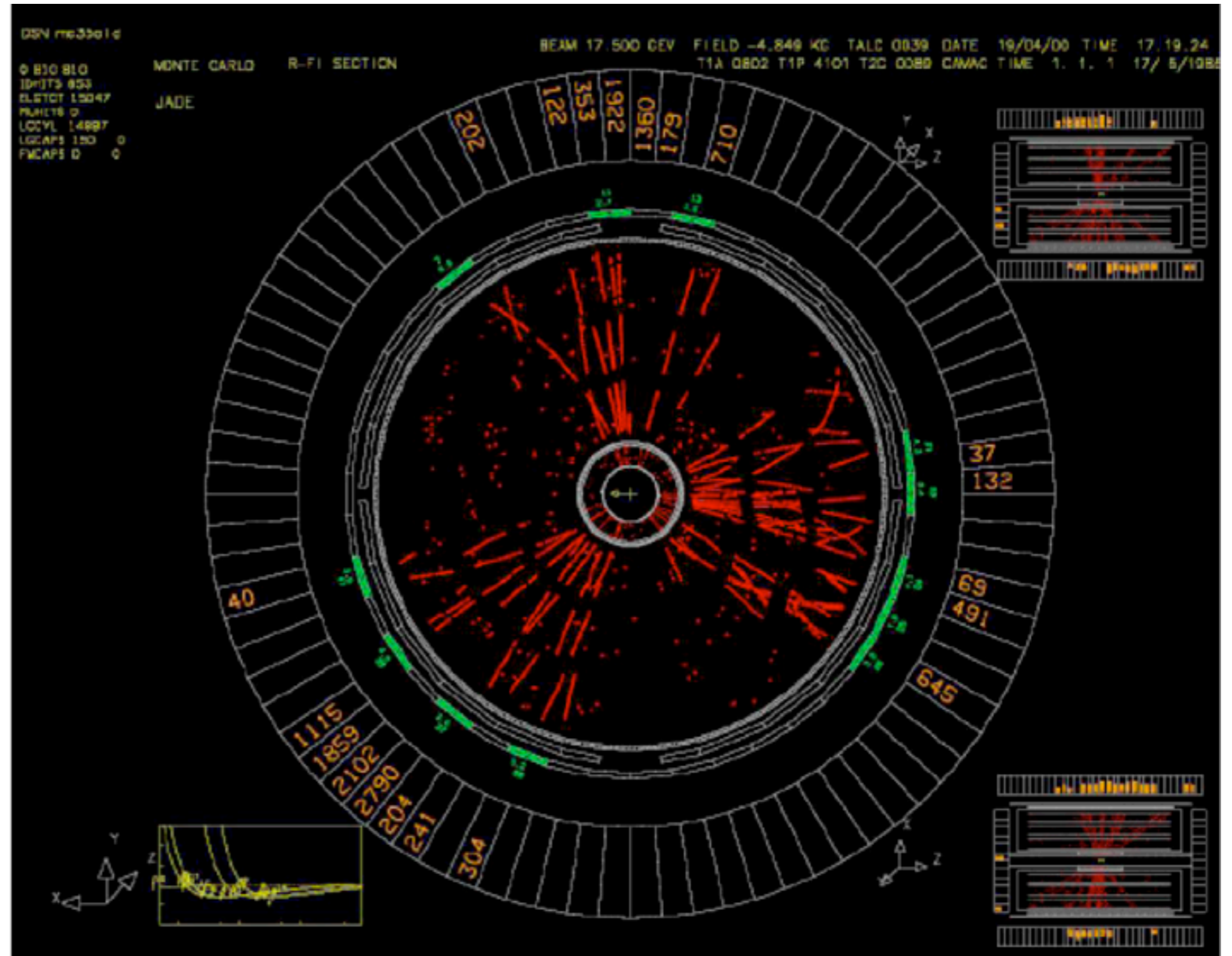
Recall the intro on
colour:



Observation of
its higher order
process,

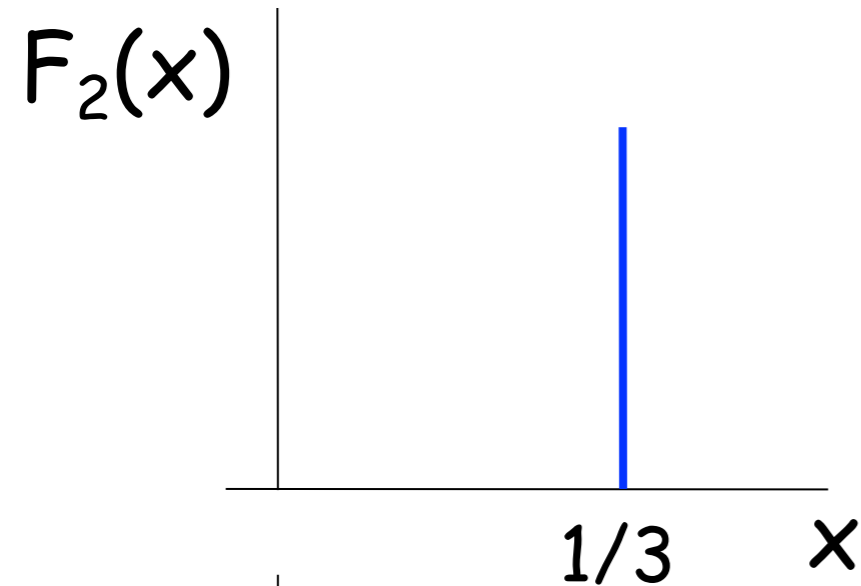


marks the discovery
of the gluon.

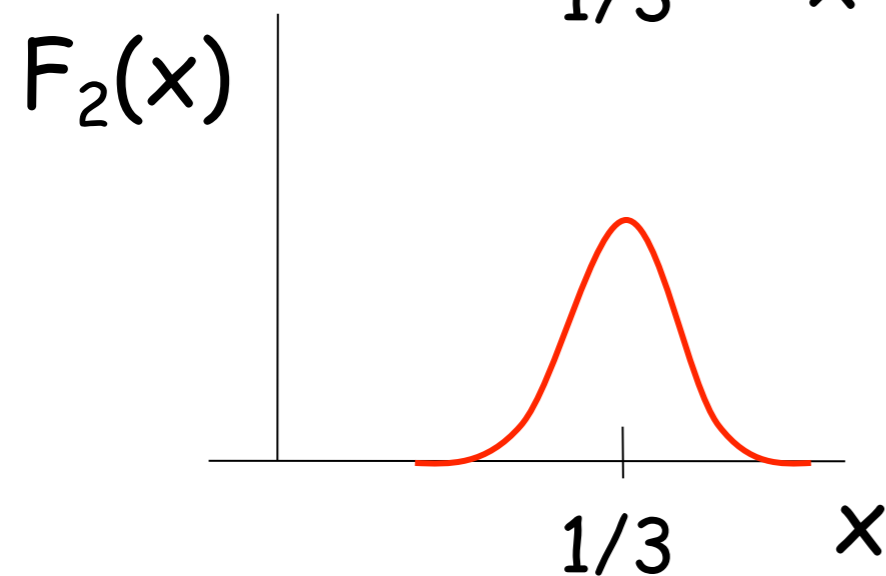


Mom. Conservation: *Gluons carry the other half of the nucleon momentum.*

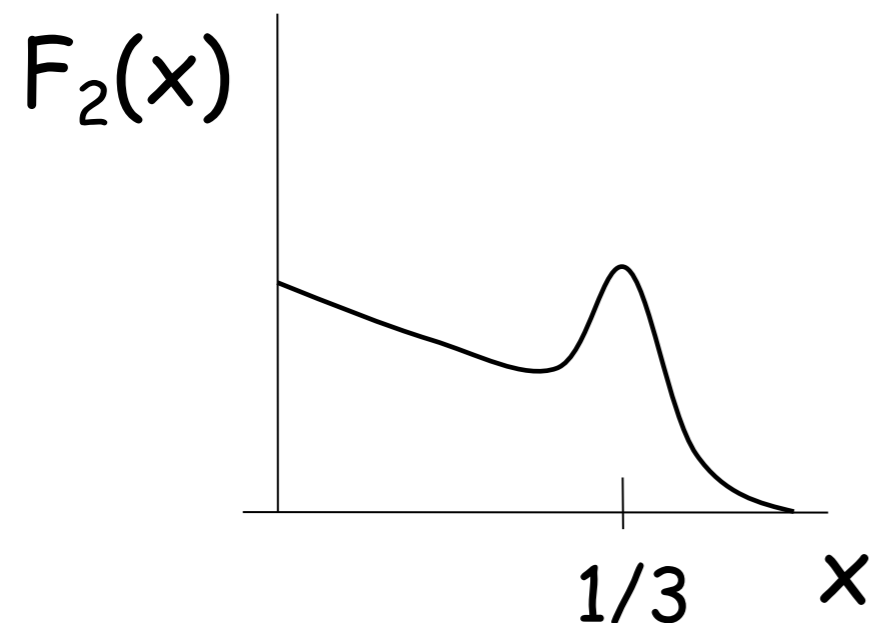
Nucleon Structure



Three quarks with $1/3$ of total proton momentum each.

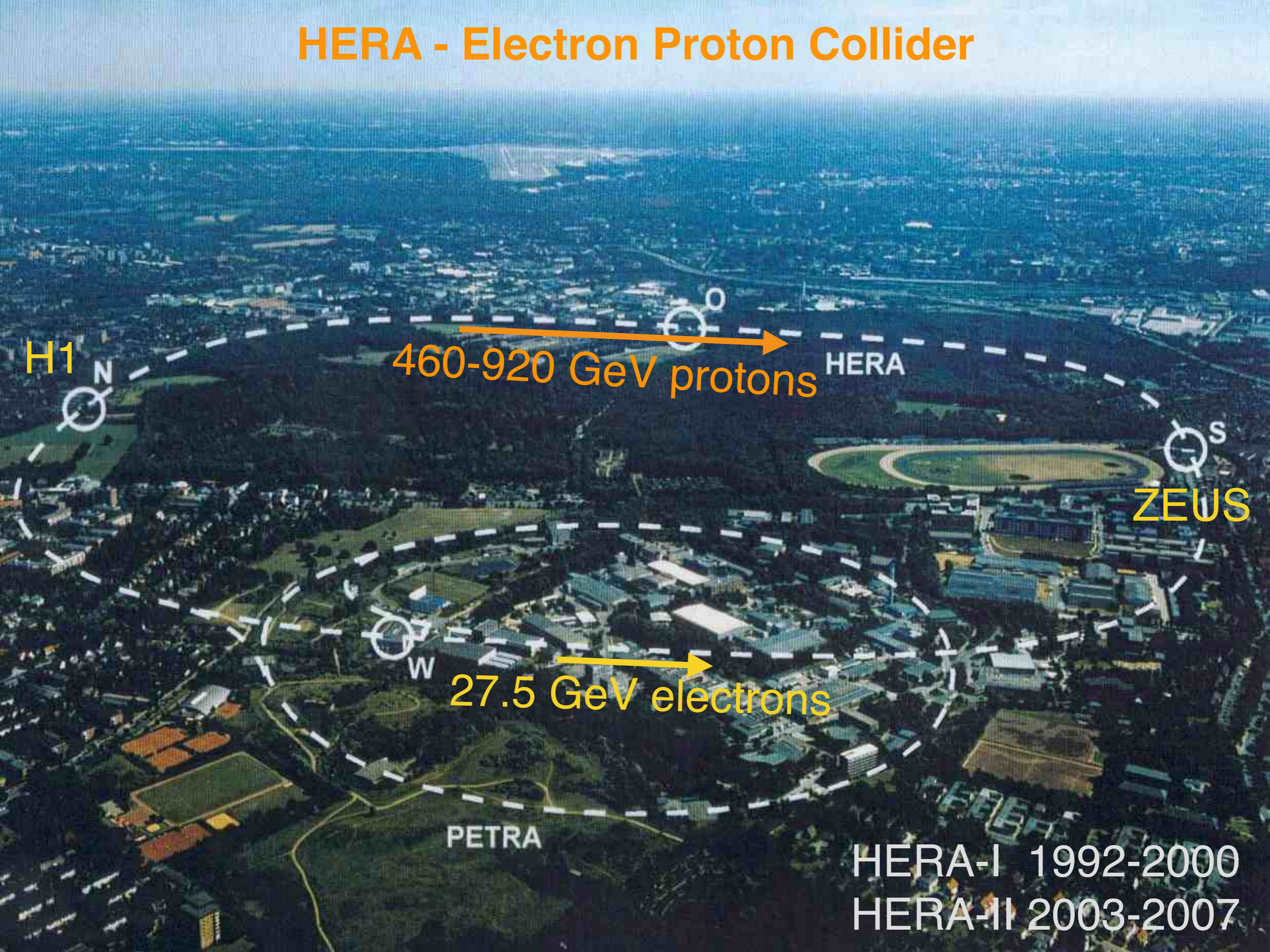


Three quarks with some momentum smearing.



The three quarks radiate partons to lower momentum fractions x .

HERA - Electron Proton Collider



H1

460-920 GeV protons

HERA

ZEUS

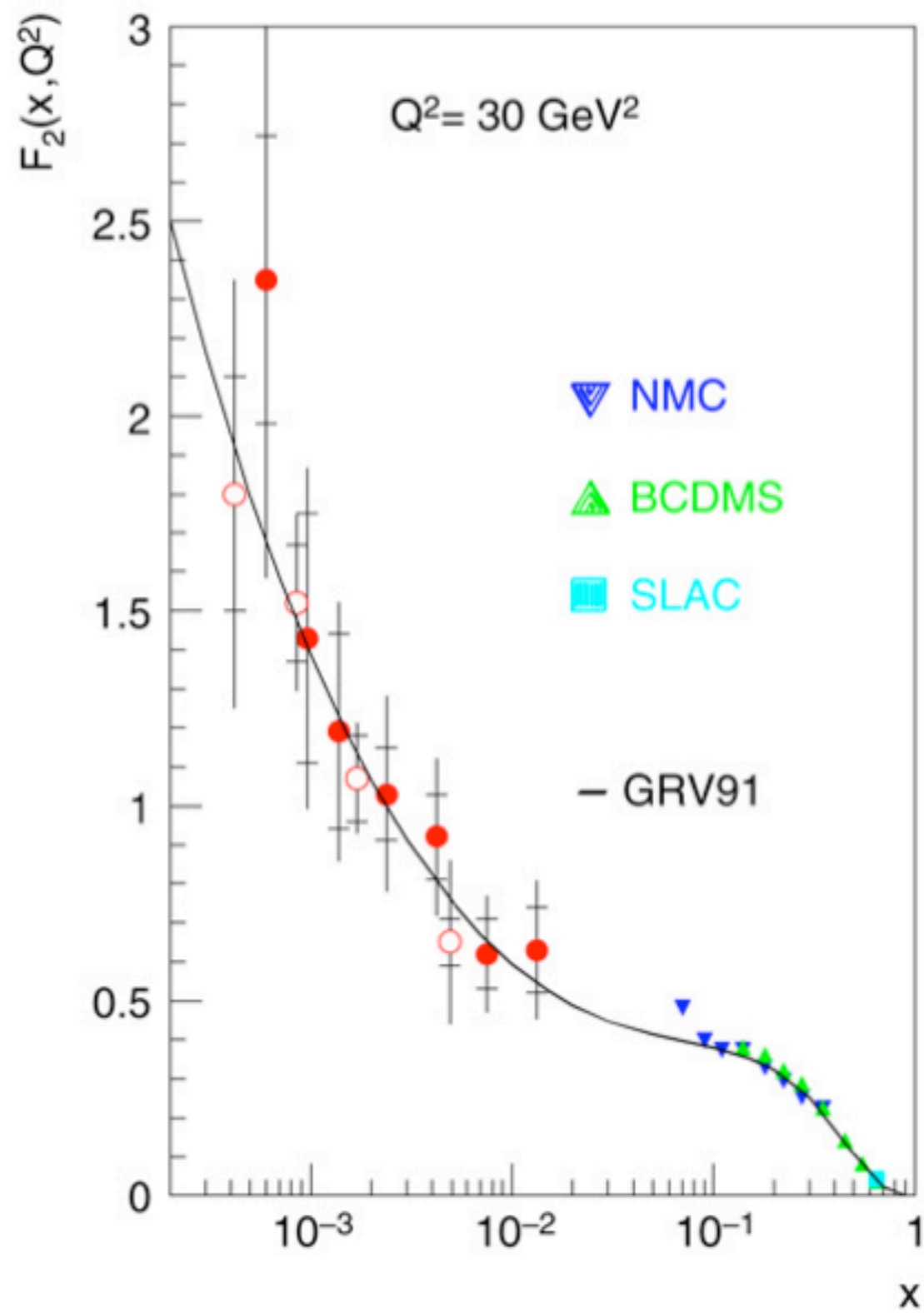
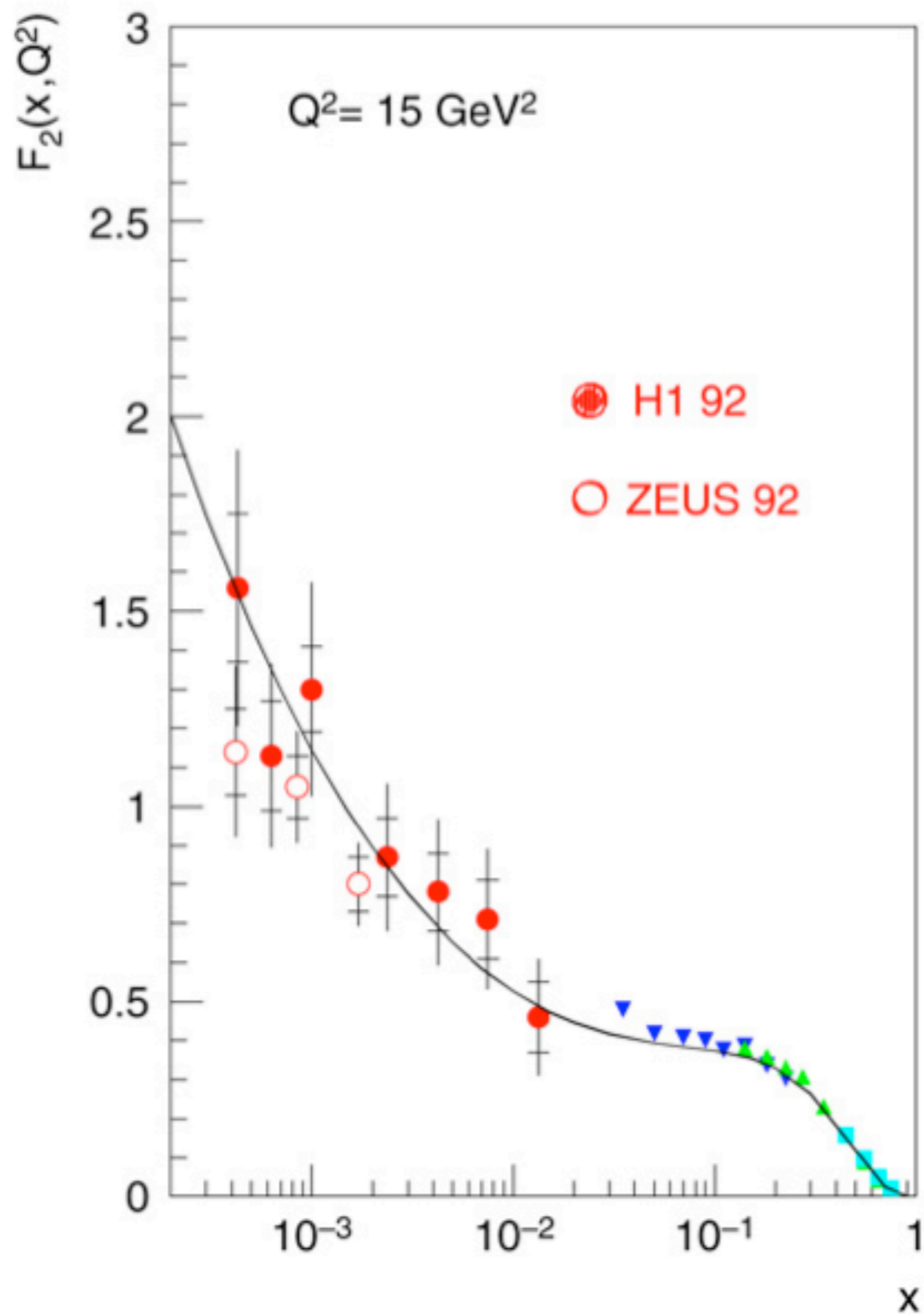
27.5 GeV electrons

PETRA

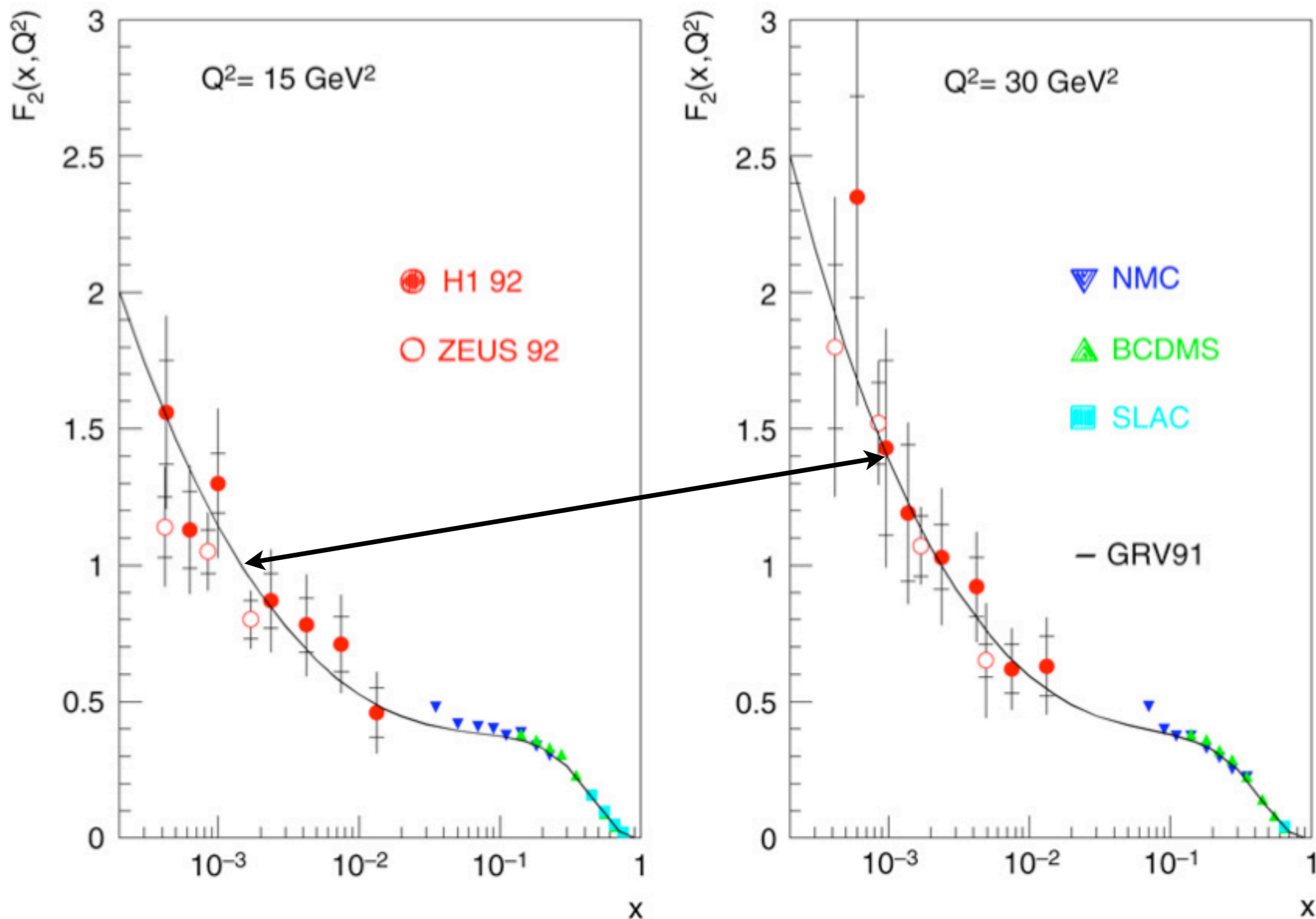
HERA-I 1992-2000

HERA-II 2003-2007

HERA - Early Measurements



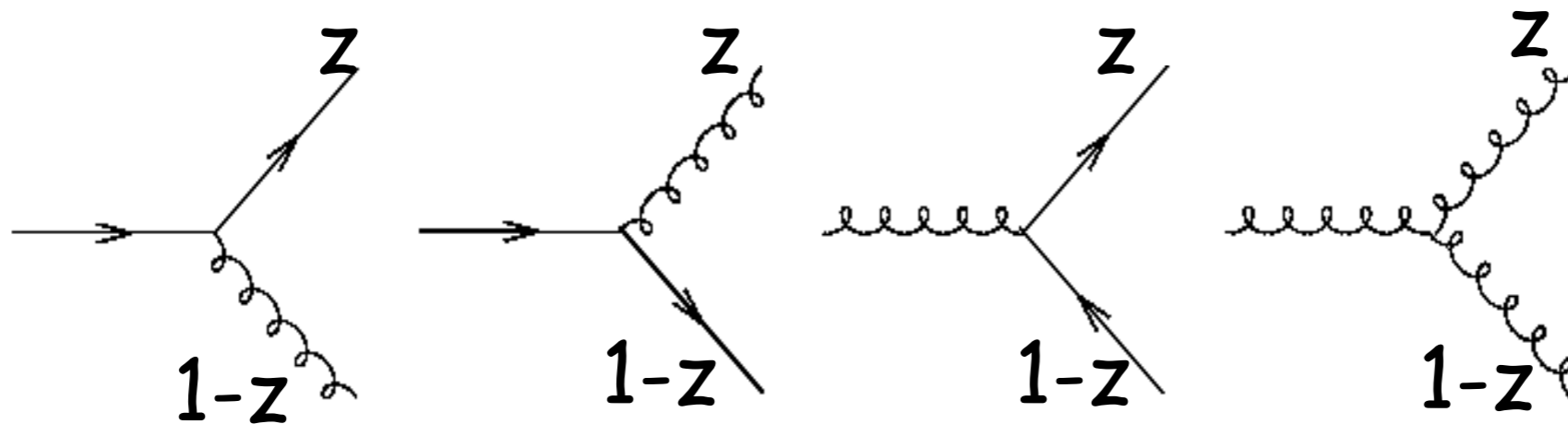
HERA - Early Measurements



Can these observations be related?

QCD Radiation

DGLAP equations are easy to “understand” intuitively, in terms of four “splitting functions”,



$P_{ab}(z)$: the probability that parton **a** will radiate a parton **b** with the fraction z of the original momentum carried by **a**.

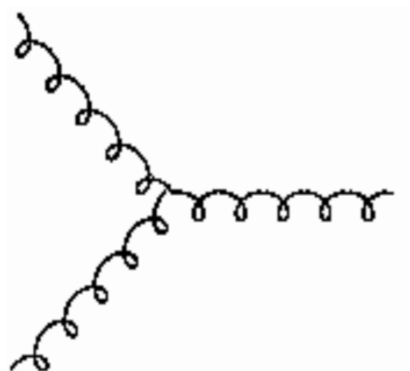
Yu.L. Dokshitzer, Sov.Phys. JETP **46** (1977) 641,

V.N. Gribov and L.N.Lipatov, Sov. Journ. Nucl. Phys. **15** (1972) 438; *ibid* **15** (1972) 675

G.Altarelli and G.Parisi, Nucl.Phys. **B126** (1977) 298

QCD Radiation

DGLAP is highly successful, but not the only approach.



Gluons do not recombine,
incoherence is preserved.

Gluon-dense environments?

Similarly, process-independent quarks, survive.

How does DGLAP work?

QCD Radiation

Schematically, DGLAP equations:

$$\frac{dq_f(x, Q^2)}{d \ln Q^2} = \alpha_s [q_f \otimes P_{qq} + g \otimes P_{gq}]$$

convolution

strong coupling constant

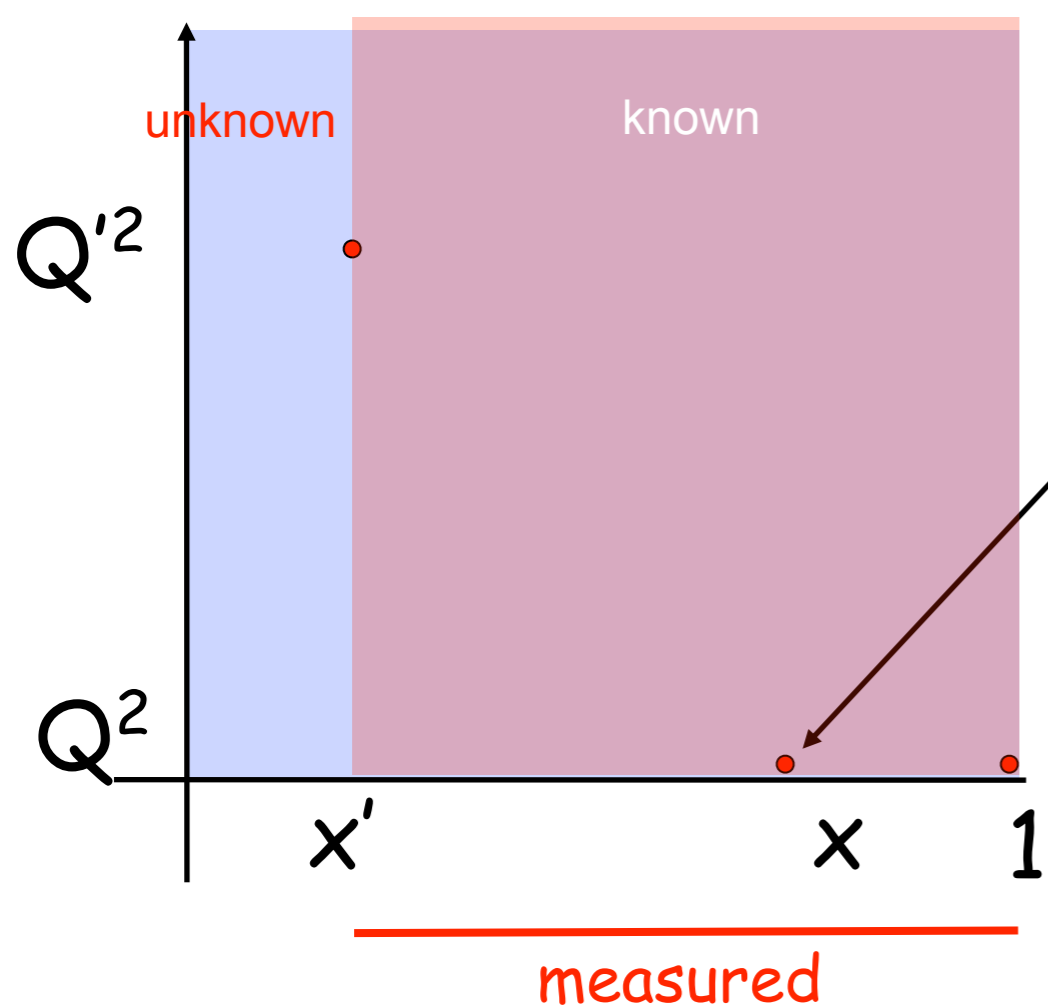
That is, the change of quark distribution q with Q^2 is given by the probability that q and g radiate q .

Similarly, for gluons:

$$\frac{dg(x, Q^2)}{d \ln Q^2} = \alpha_s [\sum q_f \otimes P_{qg} + g \otimes P_{gg}]$$

QCD Radiation

A parton at x at Q^2 is a source of partons at $x' < x$ at $Q'^2 > Q^2$.



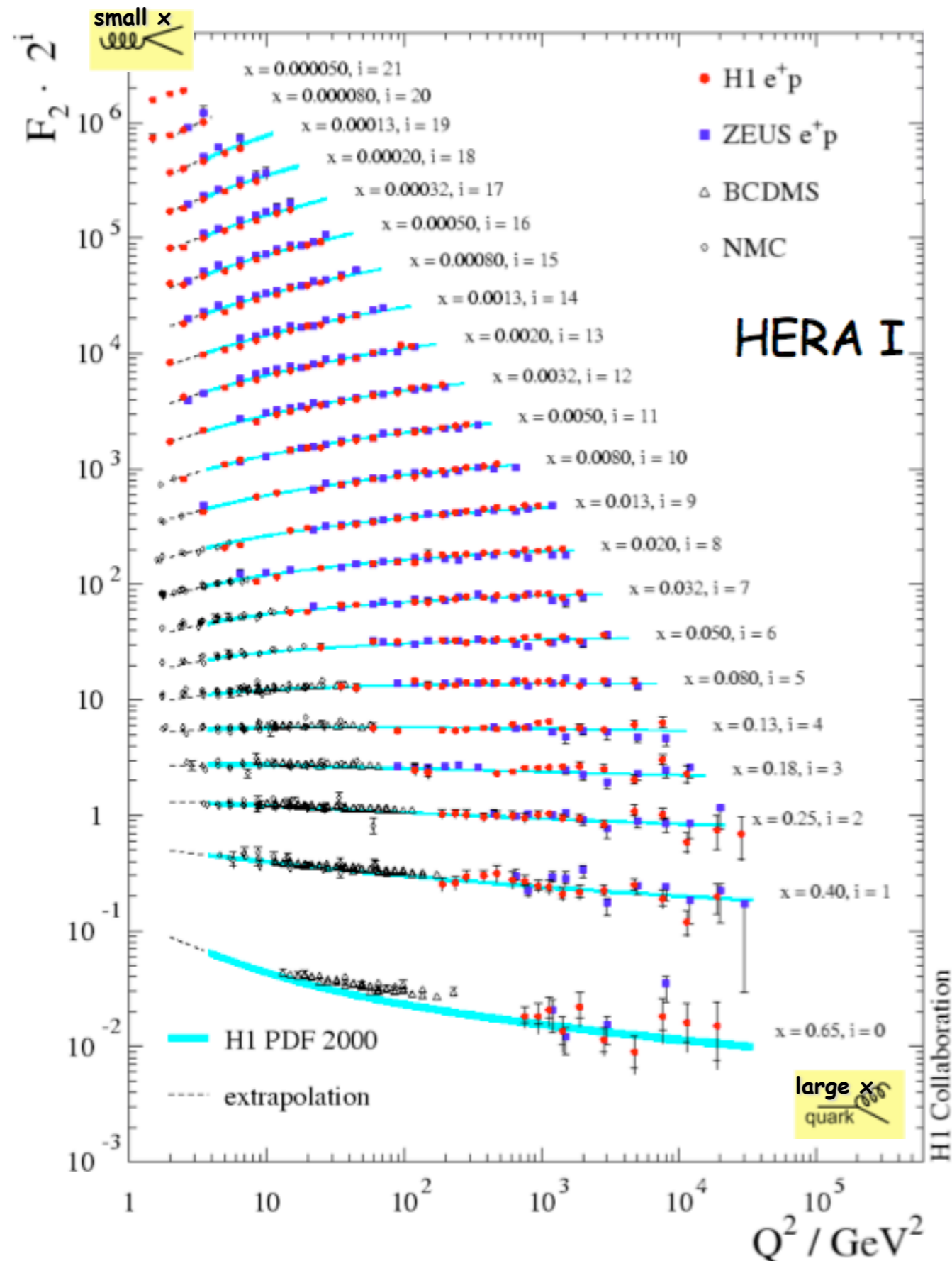
Any parton at $x > x'$ at Q^2 is a source.

It is necessary and sufficient to know the parton densities in the range $x' \leq x \leq 1$ at a lower Q^2 to determine the parton density at x', Q'^2 .

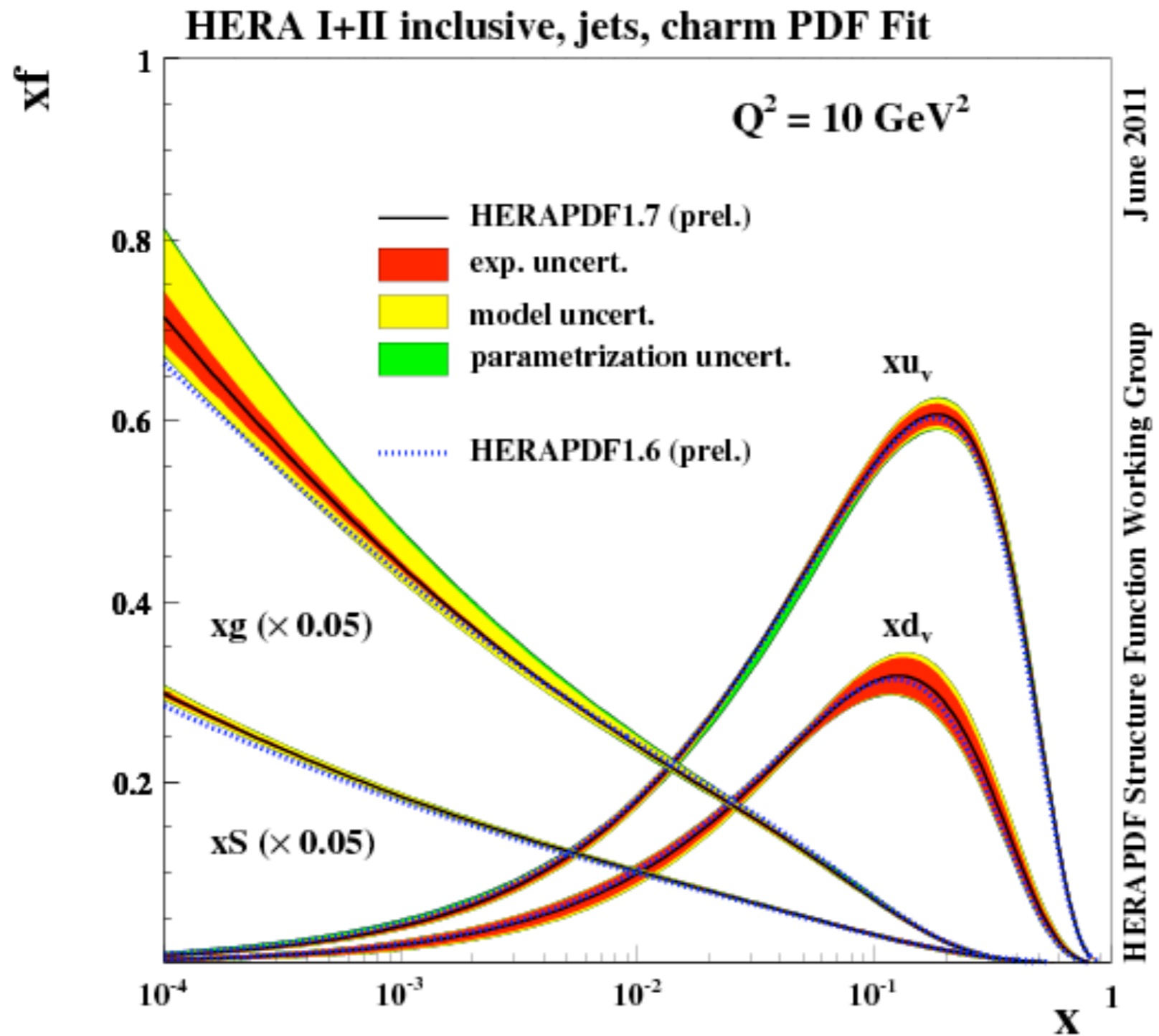
If you measure partons in range $x' \leq x \leq 1$ at some Q^2 then you know them in that range, and only that range, for all Q'^2 .

Asymptotic solutions exist to the DGLAP equations that may overwhelm the intrinsic contributions.

Bjorken scaling vis-a-vis QCD Radiation

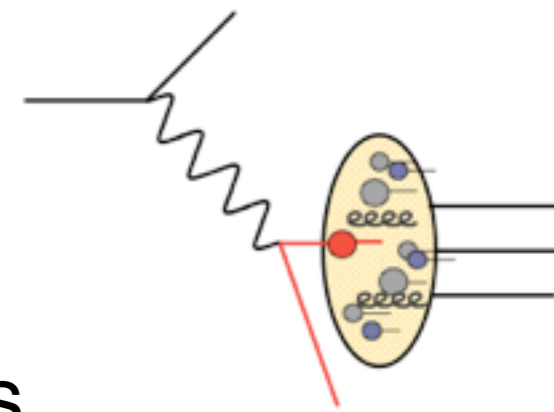


Modern understanding of nucleon composition



Brief recap:

DIS



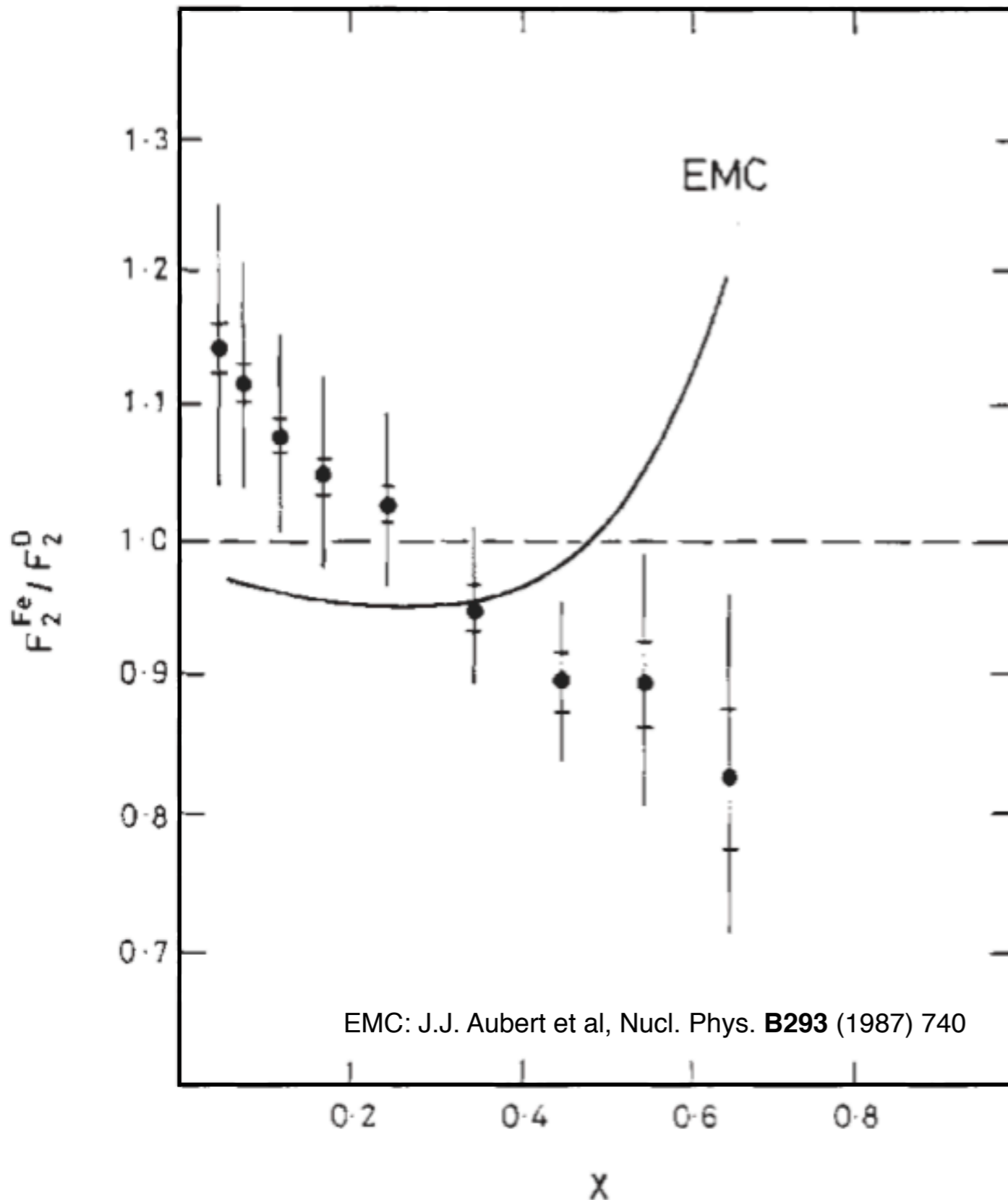
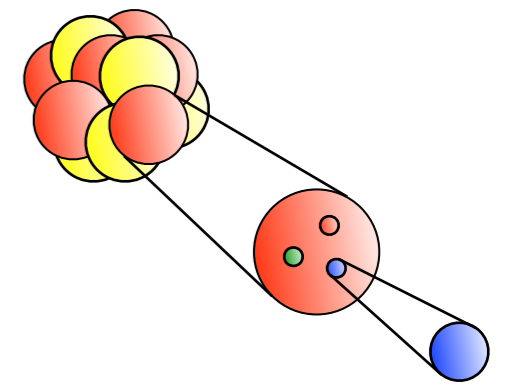
- DIS is about nucleon or nuclear structure, nowadays described in terms of quarks and gluons,
- Feynman's parton model - point like partons, which behave *incoherently* - combined with QCD radiation are remarkably successful in describing DIS cross sections.
- Parton distributions $f(x)$ are intrinsic properties of the nucleon and (thus) process independent.
- QCD evolution allows one to relate quantitatively processes at different scales Q^2 ,

This is great for RHIC, LHC, and many other areas.

- Gluons are a *very* significant part of the nucleon

Questions or comments, before we move on?

DIS - Surprises with Nuclei



~10 times *higher* beam energy than earlier DIS experiments,

An iron target to boost luminosity...

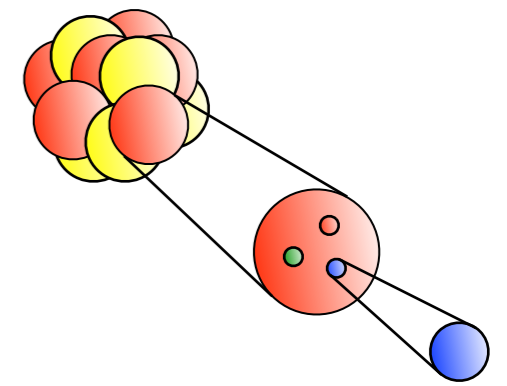
Who ordered this?

Numerous models, often based on:

- single (bound) nucleons,
- pion enhancement,
- multiquark clusters,
- dynamic rescaling,
- shadowing

Textbook effect, remains in search of a comprehensive explanation.

DIS - Surprises with Nuclei



~10 times *higher* beam energy than earlier DIS experiments,

An iron target to boost luminosity...

Who ordered this?

Nowadays,

~800 fixed target data points on F_2^A/F_2^D ,

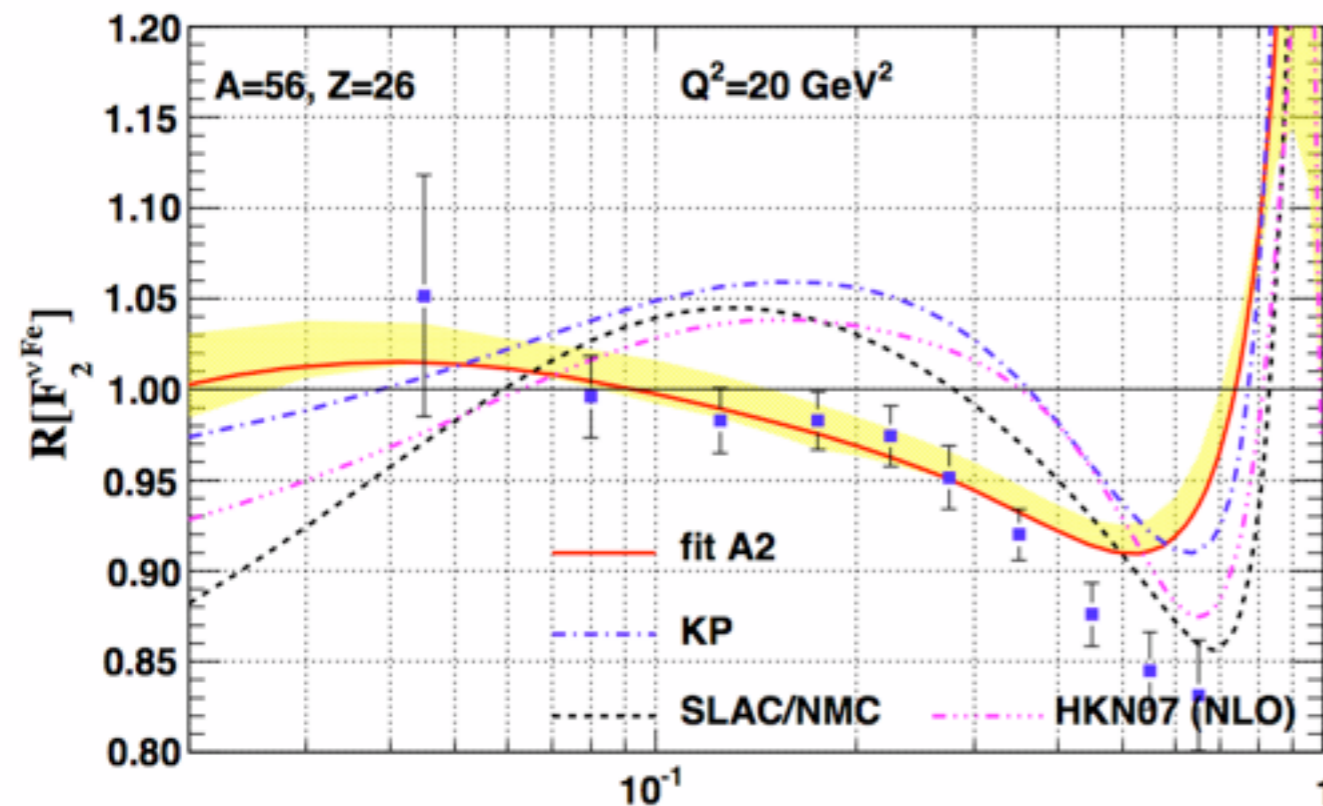
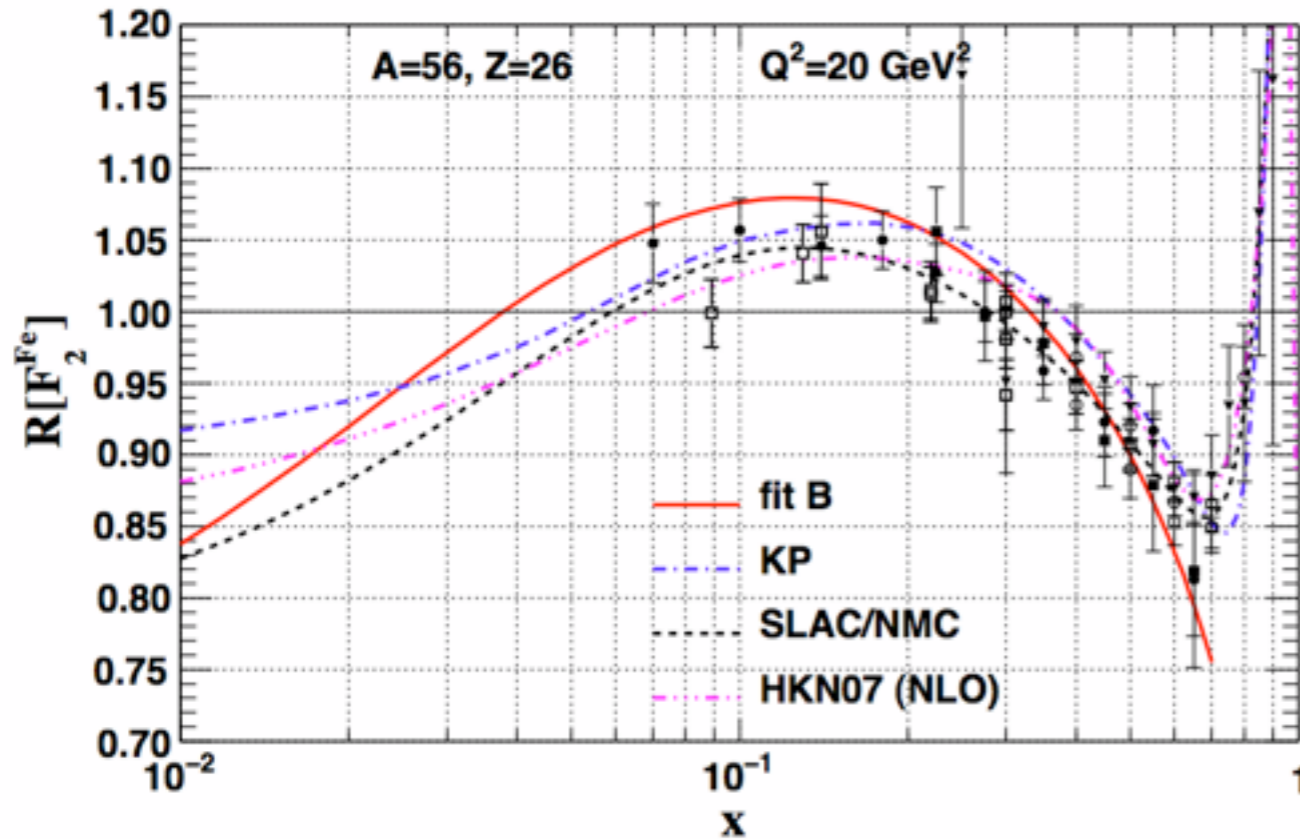
~200 $F_2^A/F_2^{A'}$,

~100 Drell-Yan.

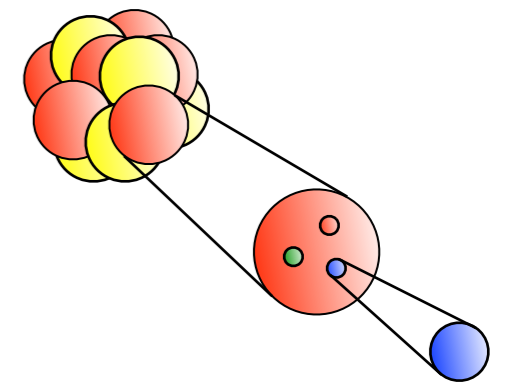
And, neutrino-scattering data (~3000 pts).

Physics or NuTeV experiment effect?

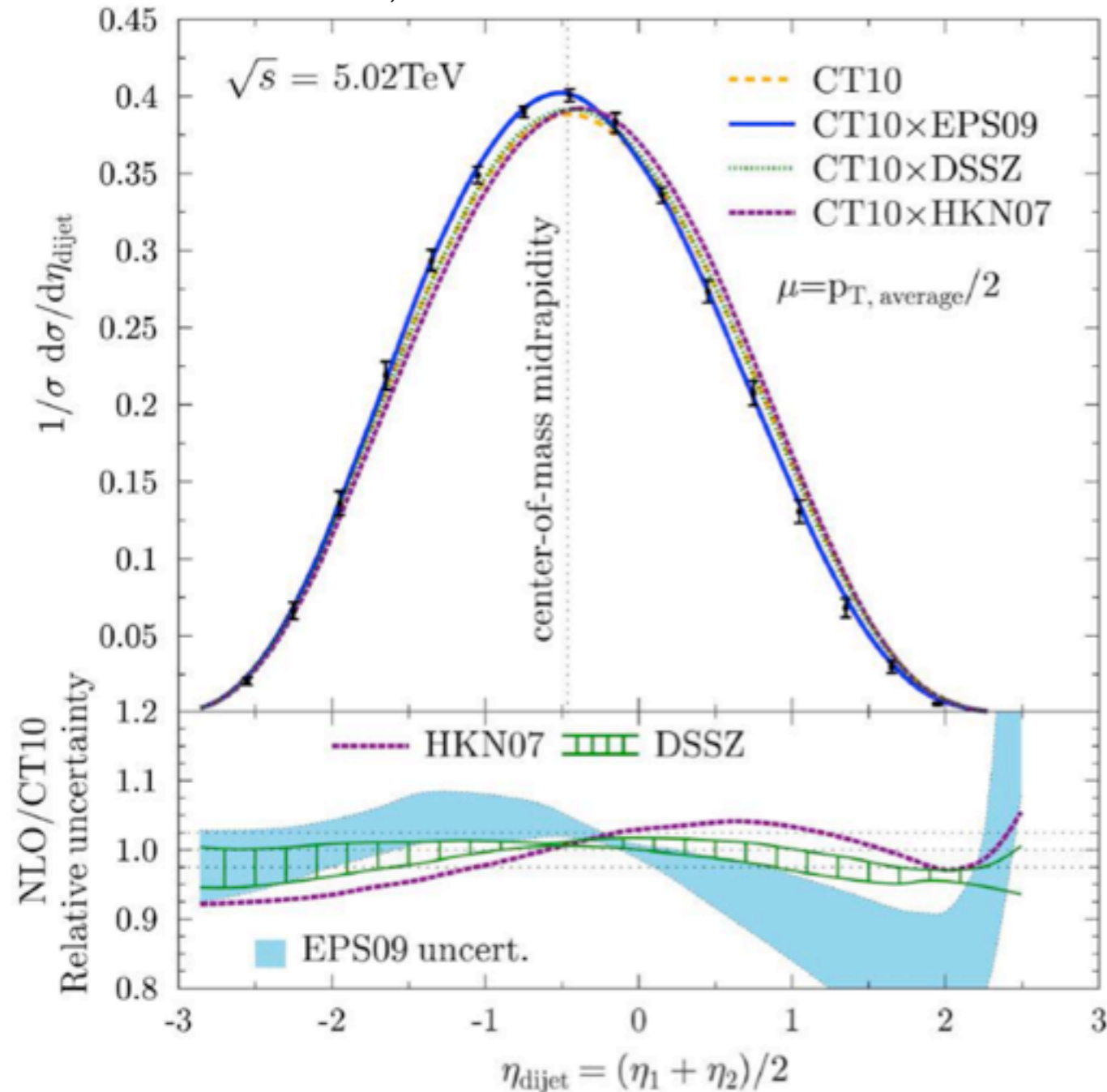
See e.g. H. Paukkunen at QCD Frontier 2013



DIS - Surprises with Nuclei



CMS, ArXiv:1401.4433
H. Paukkunen, ArXiv:1401.2345



Textbook effect, remains in search of a comprehensive explanation.

Experimental opportunities:

Near-term:

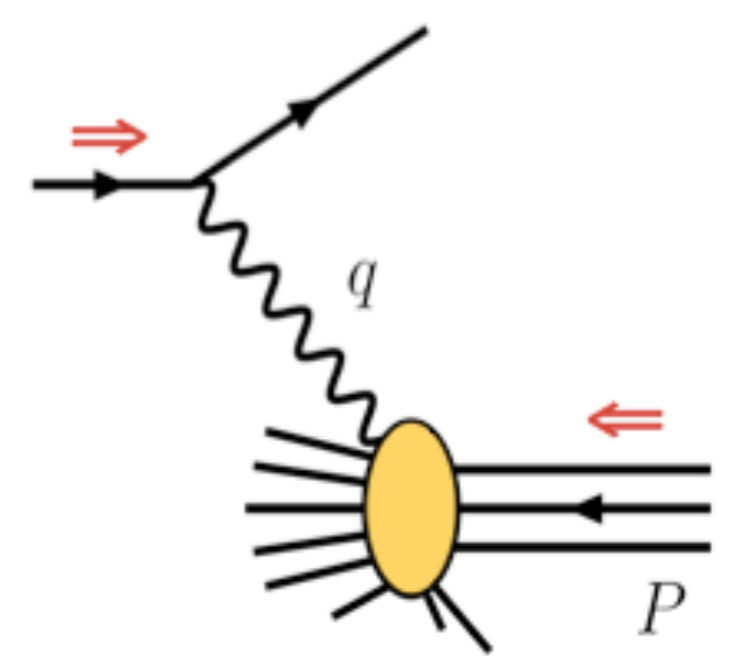
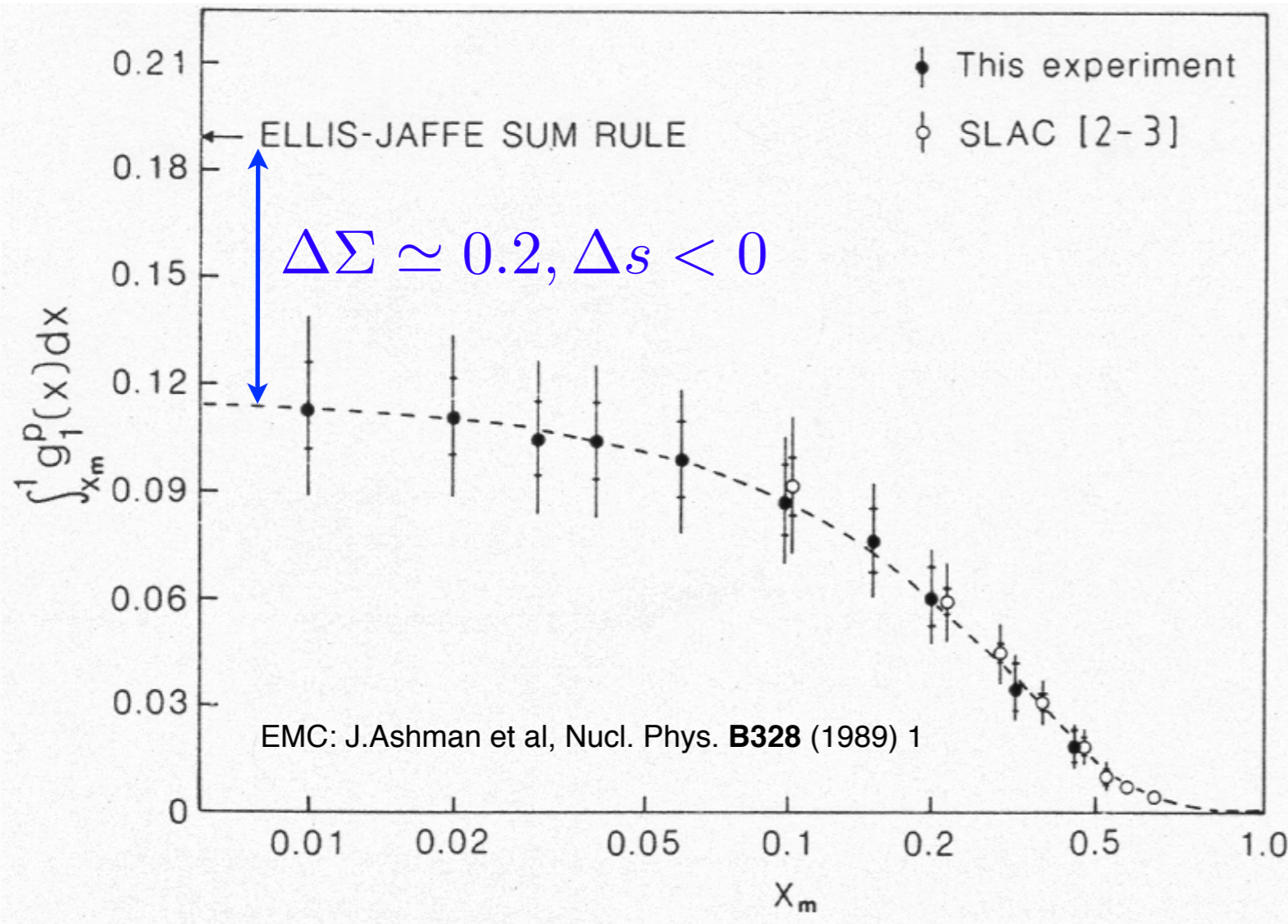
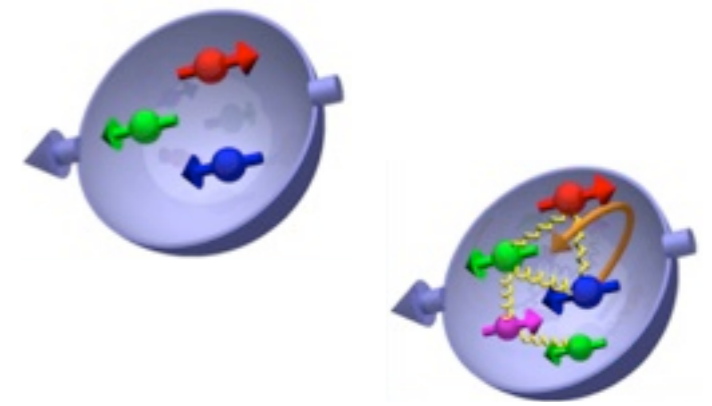
- (polarized) p+A scattering,
- continued DIS, DY,
- ...

EIC-term:

- QCD-evolution, esp. gluon region,
- NC, CC probes,
- 1-particle semi-inclusive data,
- n-particle correlations,
- diffraction,
- exclusive reactions (imaging),
- ...

Simply this student's list - input sought.

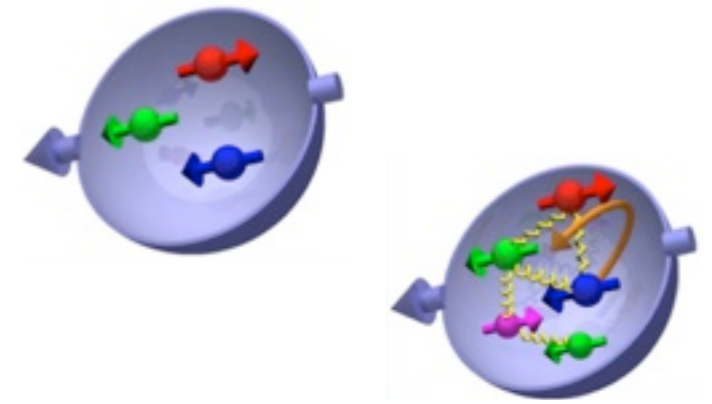
DIS - Surprises with Spin



$$\sigma(\Rightarrow, \Leftarrow) - \sigma(\Rightarrow, \Rightarrow) \sim g_1(x, Q^2)$$

The sum of Quark Spins contribute little to the proton spin, and strange quarks are negatively polarized.

DIS - Surprises with Spin



For the proton,

$$\Gamma_1 = \int_0^1 g_1(x) dx = \int_0^1 \left(\frac{1}{2} \sum e_q^2 \Delta q(x) \right) dx = \frac{1}{2} \left(\frac{4}{9} \Delta_1 u + \frac{1}{9} \Delta_1 d + \frac{1}{9} \Delta_1 s \right)$$

$$= \frac{1}{12} (\Delta_1 u - \Delta_1 d) + \frac{1}{36} (\Delta_1 u + \Delta_1 d - 2\Delta_1 s) + \frac{1}{9} (\Delta_1 u + \Delta_1 d + \Delta_1 s)$$

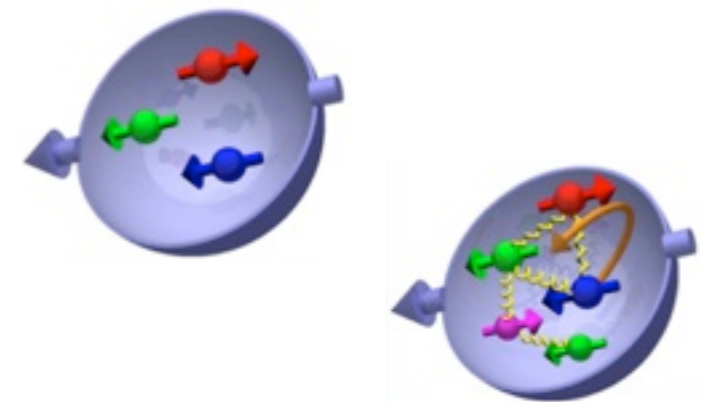
Known from weak neutron to proton decay

Known from weak neutron to proton decay,
combined with weak Σ to neutron decay

Unique to DIS, $\Delta\Sigma$

which becomes a prediction if $\Delta_1 s = 0$

DIS - Surprises with Spin



For the proton,

$$\Gamma_1 = \int_0^1 g_1(x) dx = \int_0^1 \left(\frac{1}{2} \sum e_q^2 \Delta q(x) \right) dx = \frac{1}{2} \left(\frac{4}{9} \Delta_1 u + \frac{1}{9} \Delta_1 d + \frac{1}{9} \Delta_1 s \right)$$

$$= \frac{1}{12} (\Delta_1 u - \Delta_1 d) + \frac{1}{36} \underbrace{(\Delta_1 u + \Delta_1 d - 2\Delta_1 s)}_{a_8 = 3F - D = 0.59 \pm 0.03} + \frac{1}{9} (\Delta_1 u + \Delta_1 d + \Delta_1 s)$$

✓ 9%
↑
↑

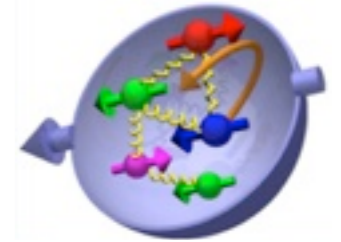
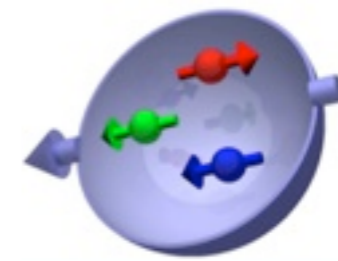
Unique to DIS, $\Delta\Sigma$

Known from weak neutron to proton decay, combined with weak Σ to neutron decay

Since,

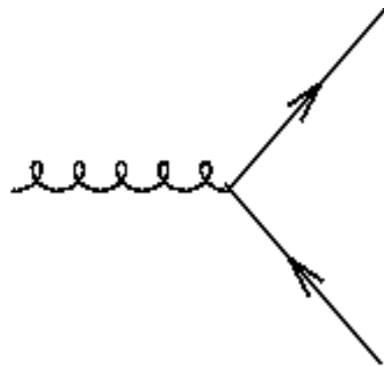
$$\left. \begin{array}{l} \frac{\partial \Gamma_1}{\partial a_8} \Big|_{\text{Ellis-Jaffe}} \simeq \frac{5}{36} \\ \frac{\partial \Gamma_1}{\partial a_8} \Big|_{\text{experiment}} \simeq 0 \end{array} \right\} \text{one can recover the E-J expectation with a } \textit{sizable} \text{ shift of } a_8 = 3F - D, \quad a_8 \simeq 0.2 \pm 0.1$$

DIS - Surprises with Spin



Numerous follow-up questions and experiment programs,

Among the early attempts at a resolution,



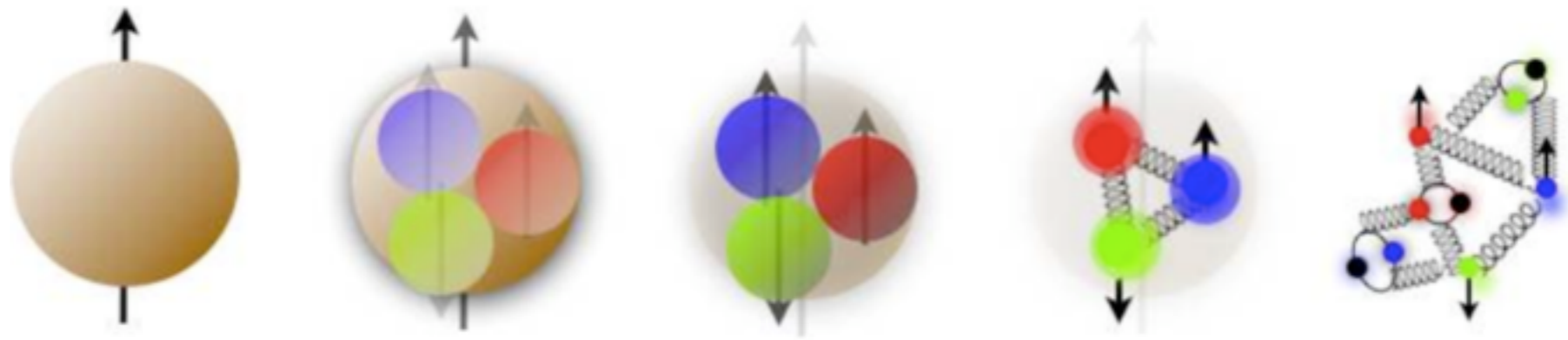
with the gluons *polarized*.

G. Altarelli and G.G. Ross Phys. Lett. **B212** (1998) 391

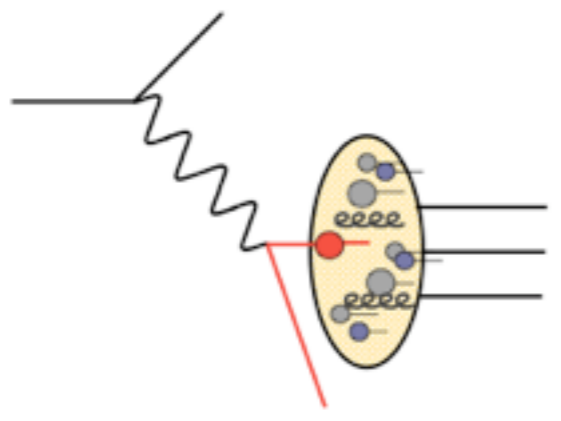
Note: this attempt requires *very* significant polarization, *factors* larger than the nucleon spin itself, and by inference, *huge* compensating orbital momenta.

Other attempts include e.g extrapolation over unmeasured low- x .

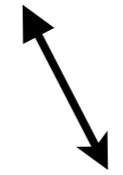
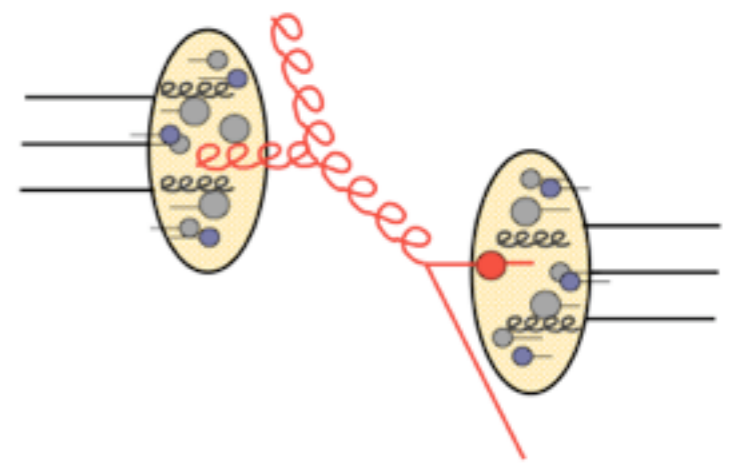
II - Insights from RHIC



DIS



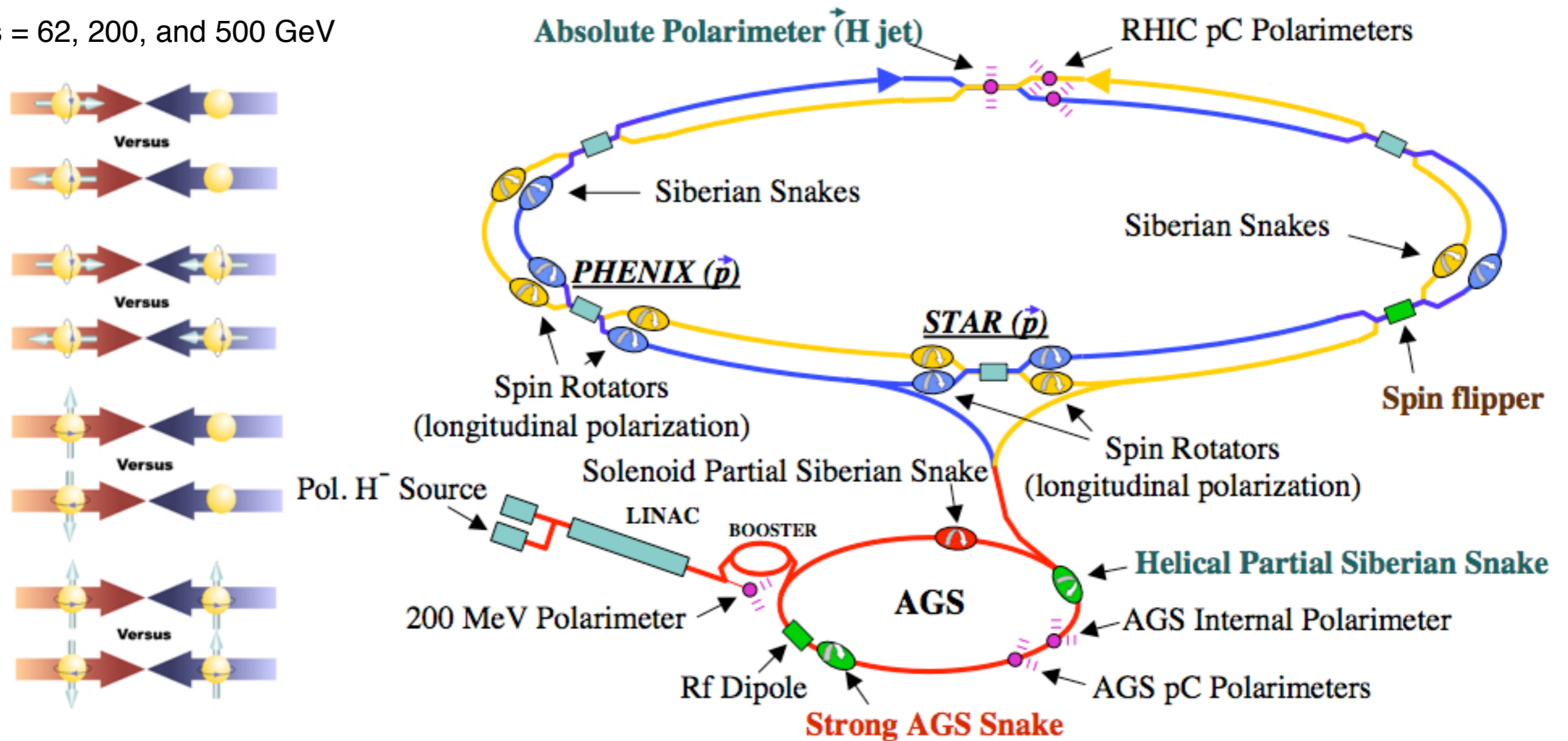
pp



RHIC - Polarized Proton-Proton Collider

Unique opportunities to study nucleon spin properties and spin in QCD,

$\sqrt{s} = 62, 200, \text{ and } 500 \text{ GeV}$



at hard (perturbative) scales with good systematic controls, e.g. from the $\sim 100\text{ns}$ succession of beam bunches with alternating beam spin configurations.

RHIC - Polarized Proton-Proton Collider

Unique opportunities to study nucleon spin properties and spin in QCD,

Longitudinal data

$\sqrt{s} = 200 \text{ GeV}$

2005

2006

2009

(2015)

$\sqrt{s} = 500 \text{ GeV}$

2009

2011

2012

2013

STAR

35 pb⁻¹

(50 pb⁻¹)

400 pb⁻¹

Transverse data

$\sqrt{s} = 200 \text{ GeV}$

2006

2008

2012

(2015)

$\sqrt{s} = 500 \text{ GeV}$

2011

(2017)

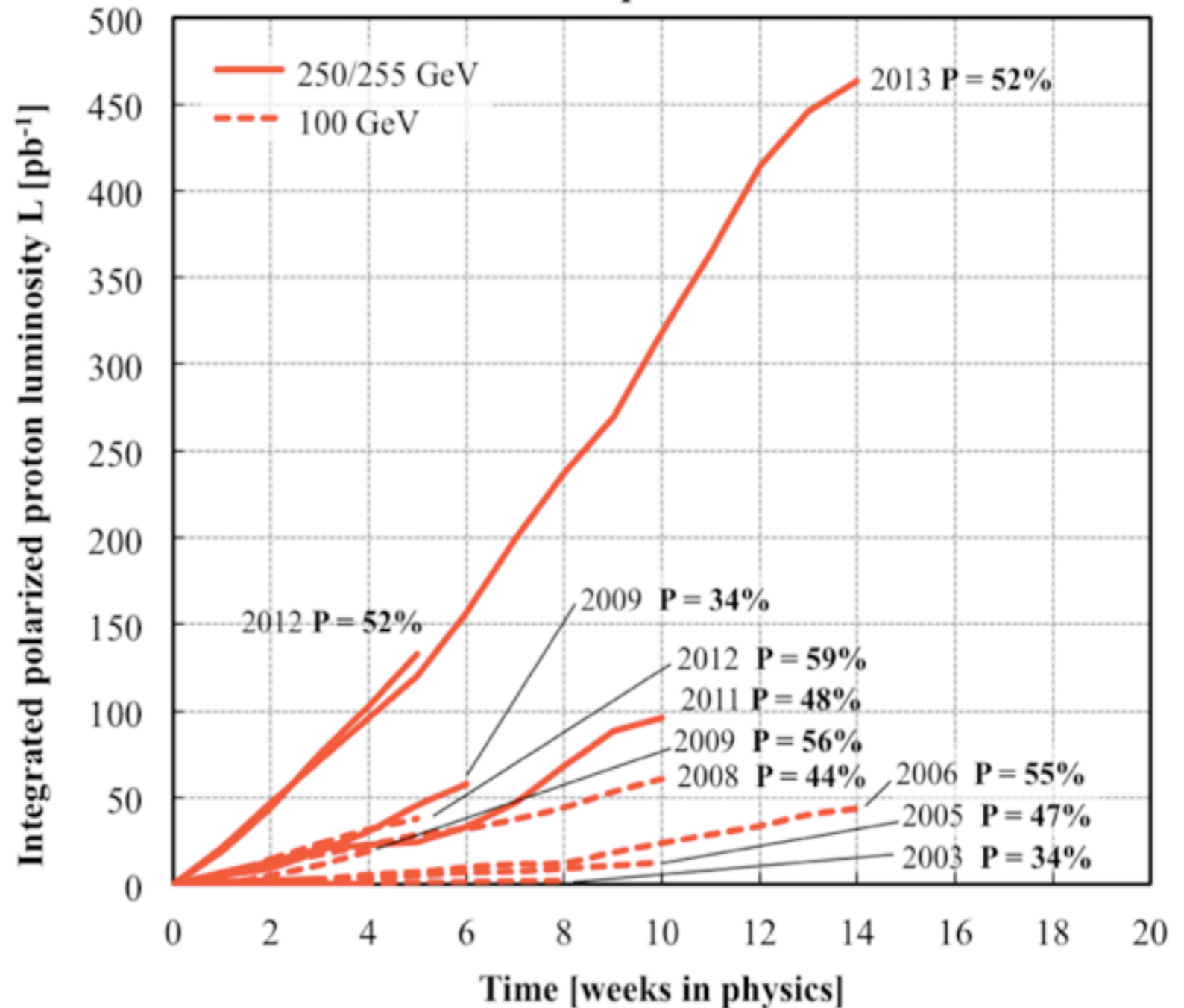
38 pb⁻¹

(50 pb⁻¹)

25 pb⁻¹

(400 pb⁻¹)

Polarized proton runs



50-60% polarization

II - Insights from RHIC: Gluon Polarization



Gluon Polarization at RHIC

Measure double longitudinal spin asymmetries and establish the factorized framework,



$$A_{LL} = \frac{\sigma^{\uparrow\uparrow} - \sigma^{\uparrow\downarrow}}{\sigma^{\uparrow\uparrow} + \sigma^{\uparrow\downarrow}} \stackrel{?}{=} \sum_{f=q,g} \frac{\Delta f_1}{f_1} \otimes \frac{\Delta f_2}{f_2} \otimes \hat{a}_{LL} \otimes (\text{fragmentation functions})$$

Start with abundantly produced jets or pions at mid-rapidity, where the partonic asymmetries are sizable,

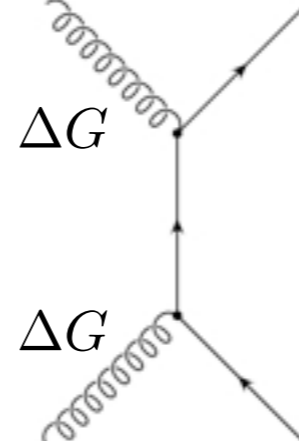
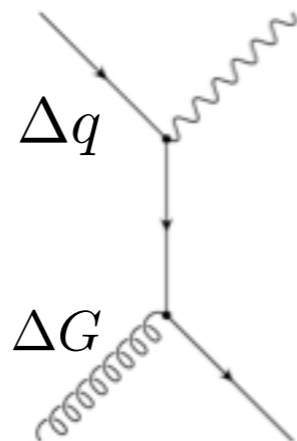
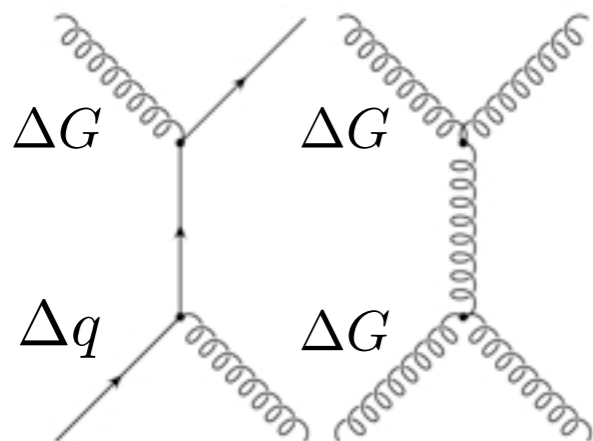
Gluon-gluon scattering contribution dominates up to jet $p_T \sim 8$ GeV, where quark-gluon scattering takes over,

Path: precision, coverage, sensitivity to initial kinematics, and selective probes.

$$\vec{p} + \vec{p} \rightarrow \text{jet}(s) + X$$

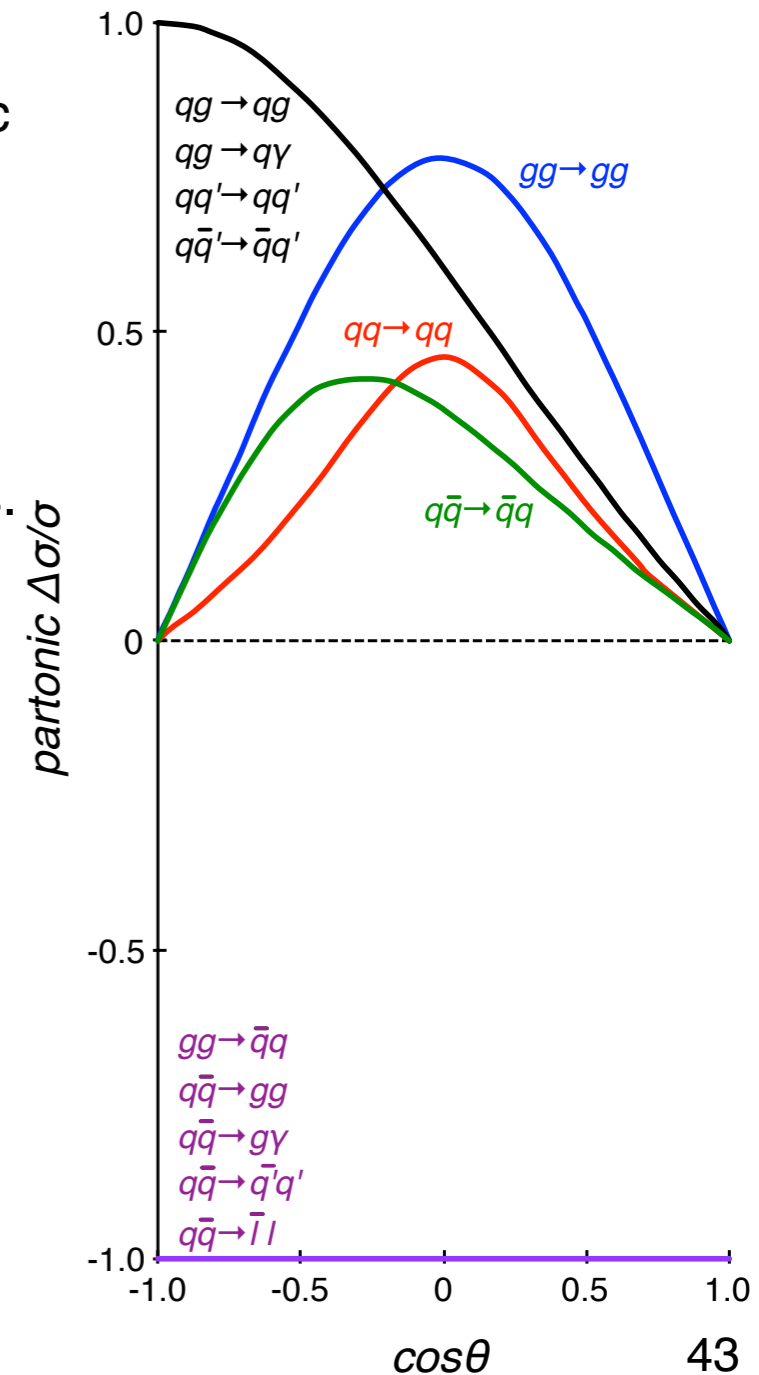
$$\vec{p} + \vec{p} \rightarrow \gamma + \text{jet}$$

$$\vec{p} + \vec{p} \rightarrow c\bar{c}, b\bar{b} + X$$

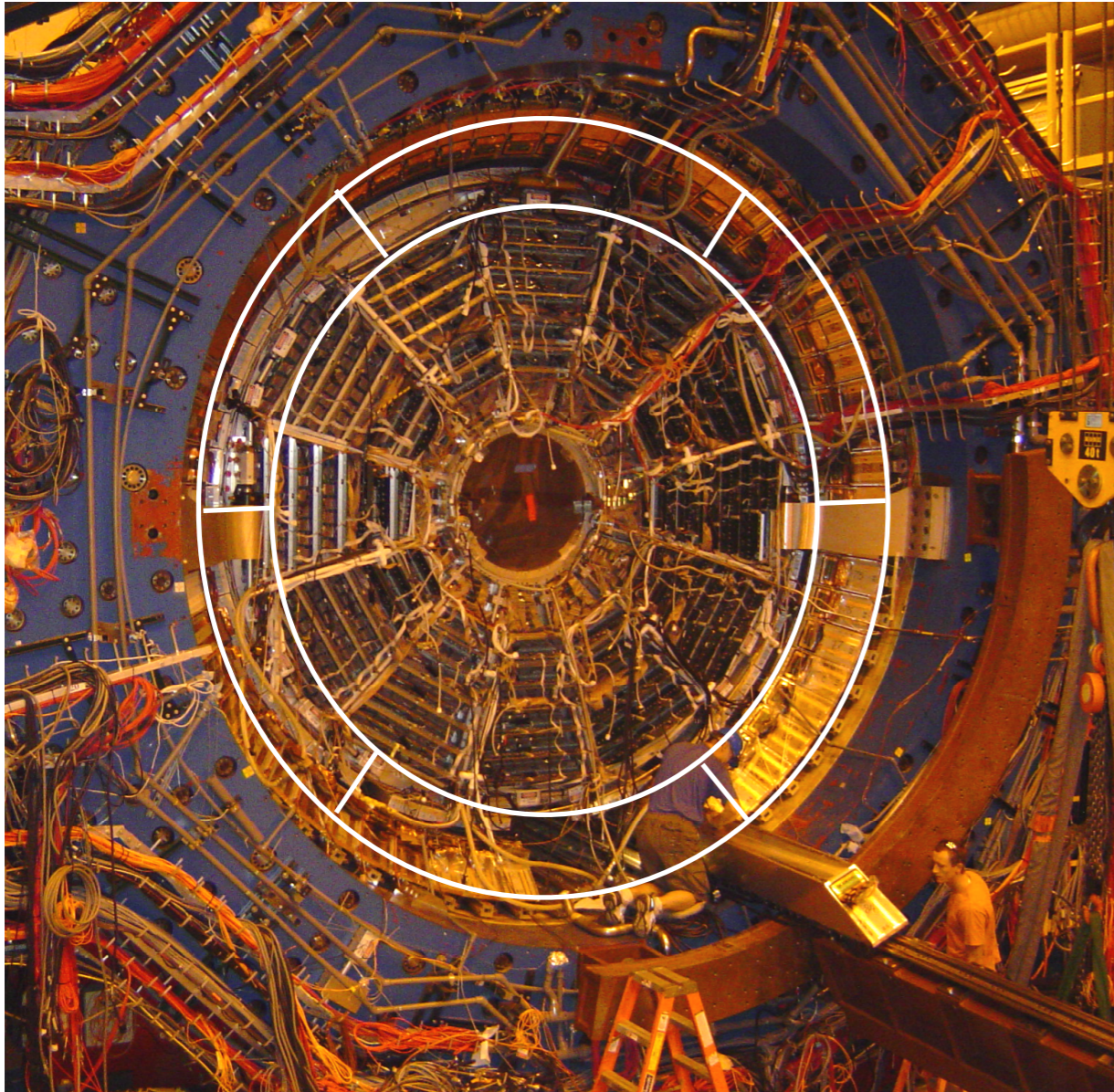


$$\mathcal{L} \simeq 3 - 8 \cdot 10^2 \text{ pb}^{-1}, \quad P = 0.4 - 0.7, \quad \sqrt{s} = 200 - 500 \text{ GeV}$$

time

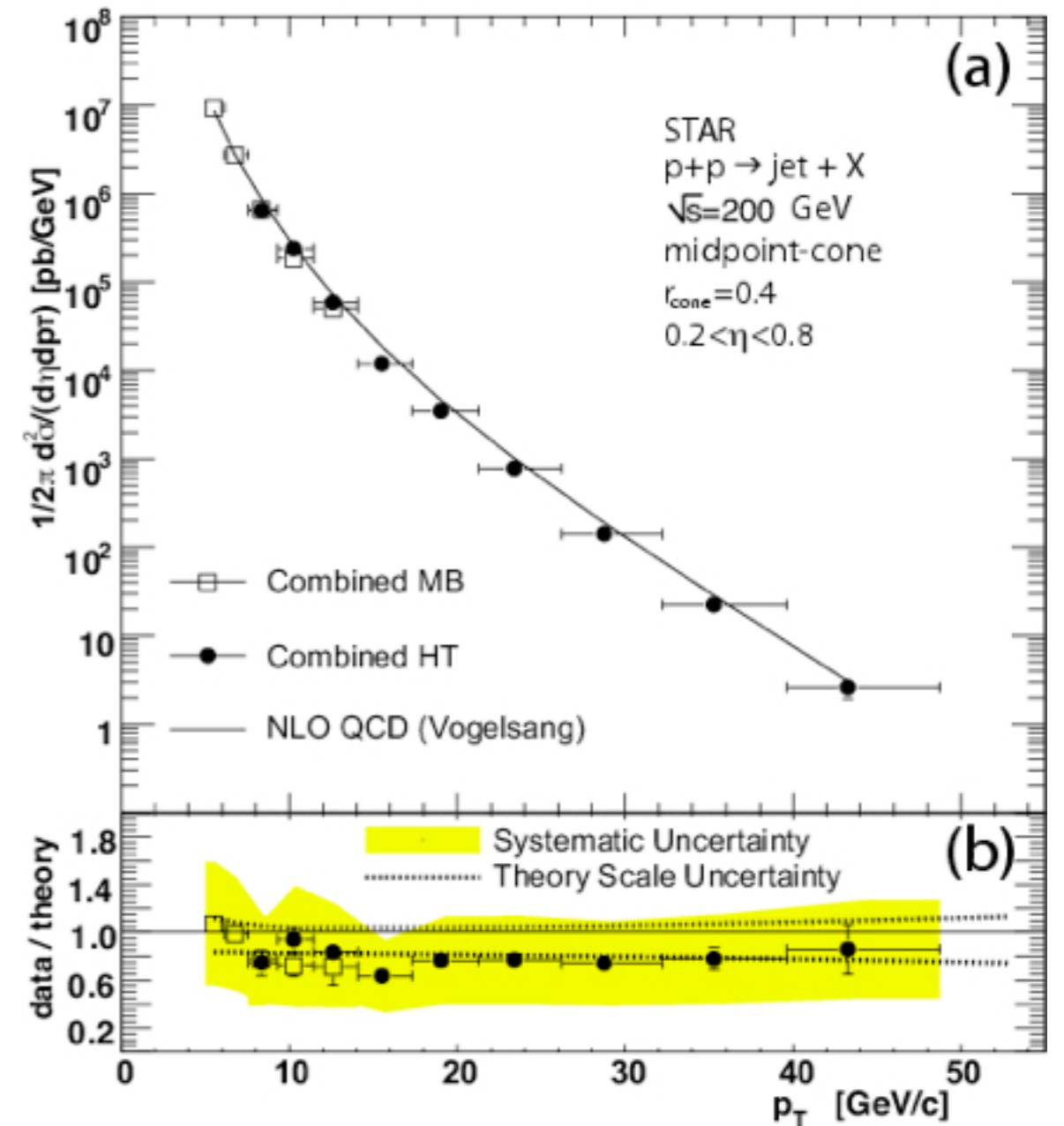


Glueon Polarization at STAR - Inclusive Jets



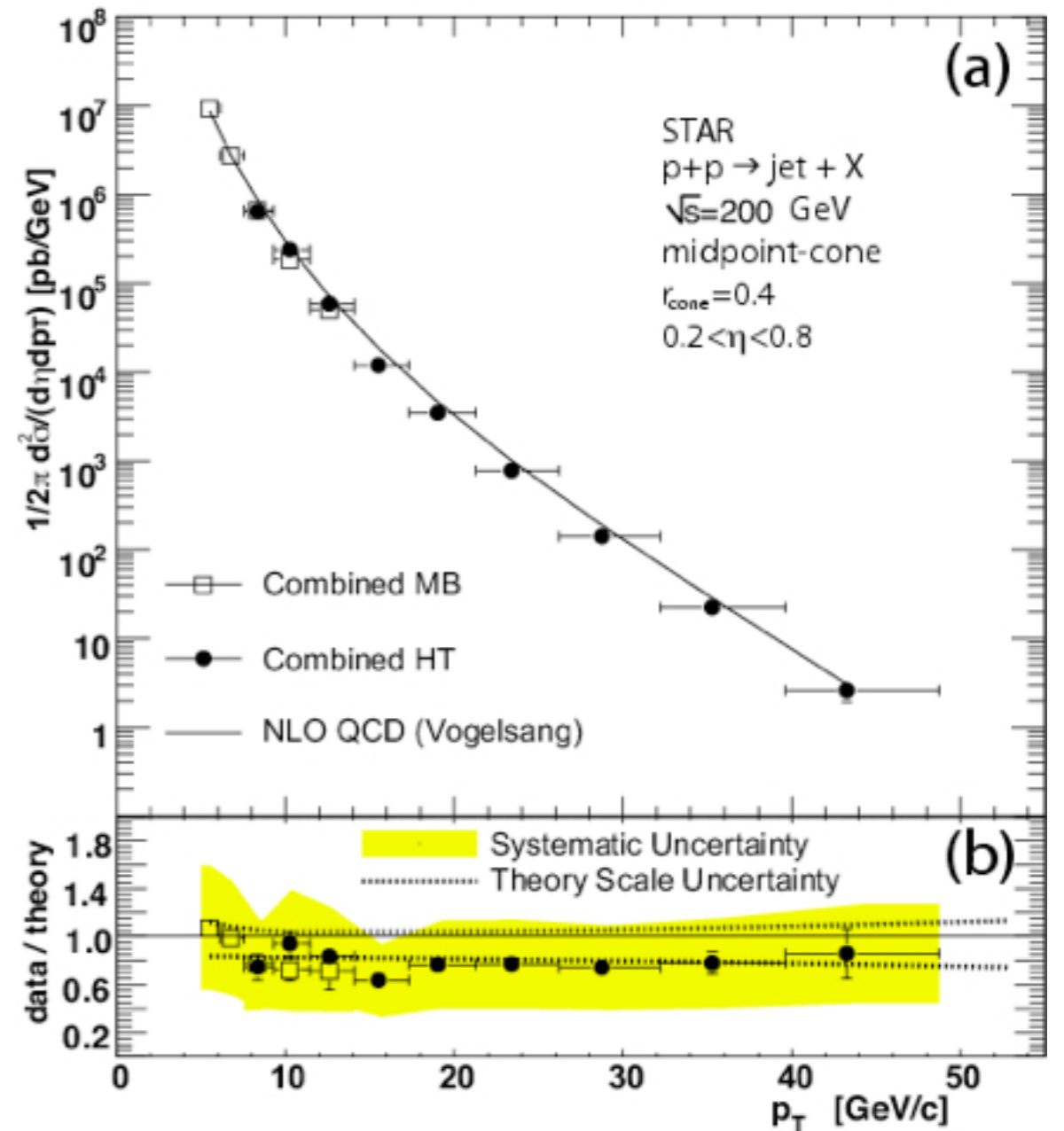
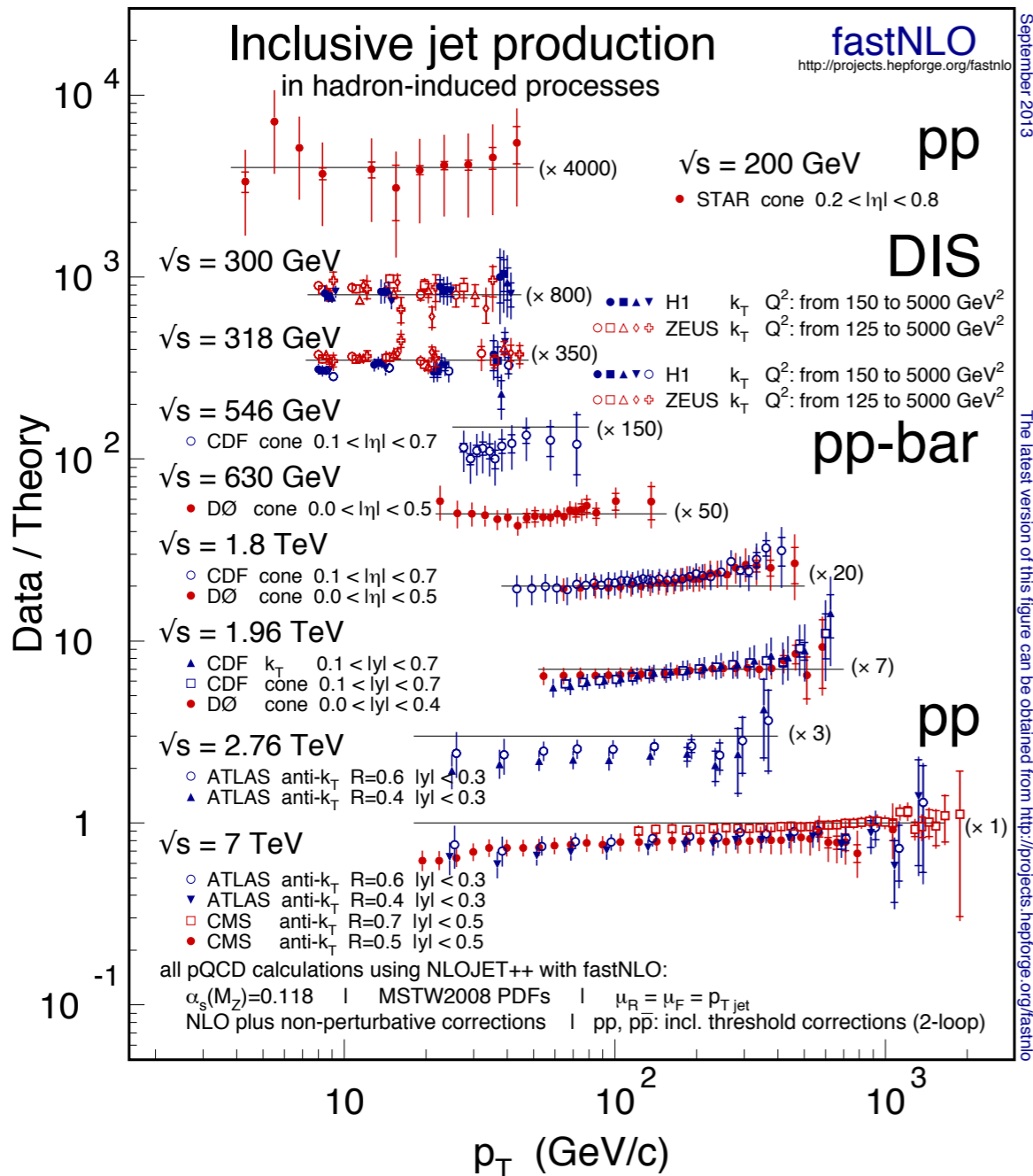
TPC: - charged track measurement
over 2+ units in pseudo-rapidity

EMCs: - neutral energy measurement
over an even wider range,
- triggering



Phys. Rev. Lett. 97, 252001 (2006)

Gluon Polarization at STAR - Inclusive Jets



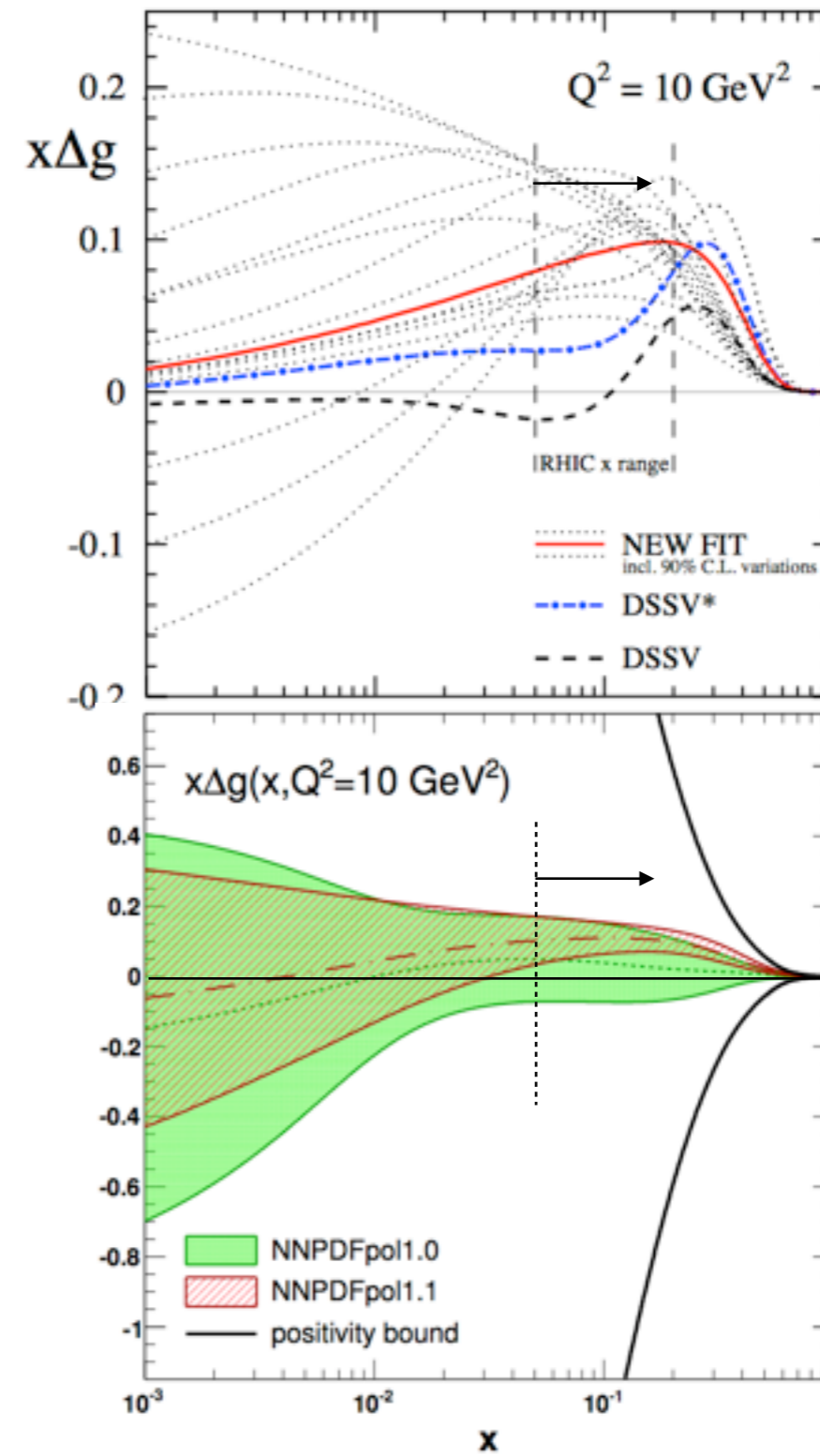
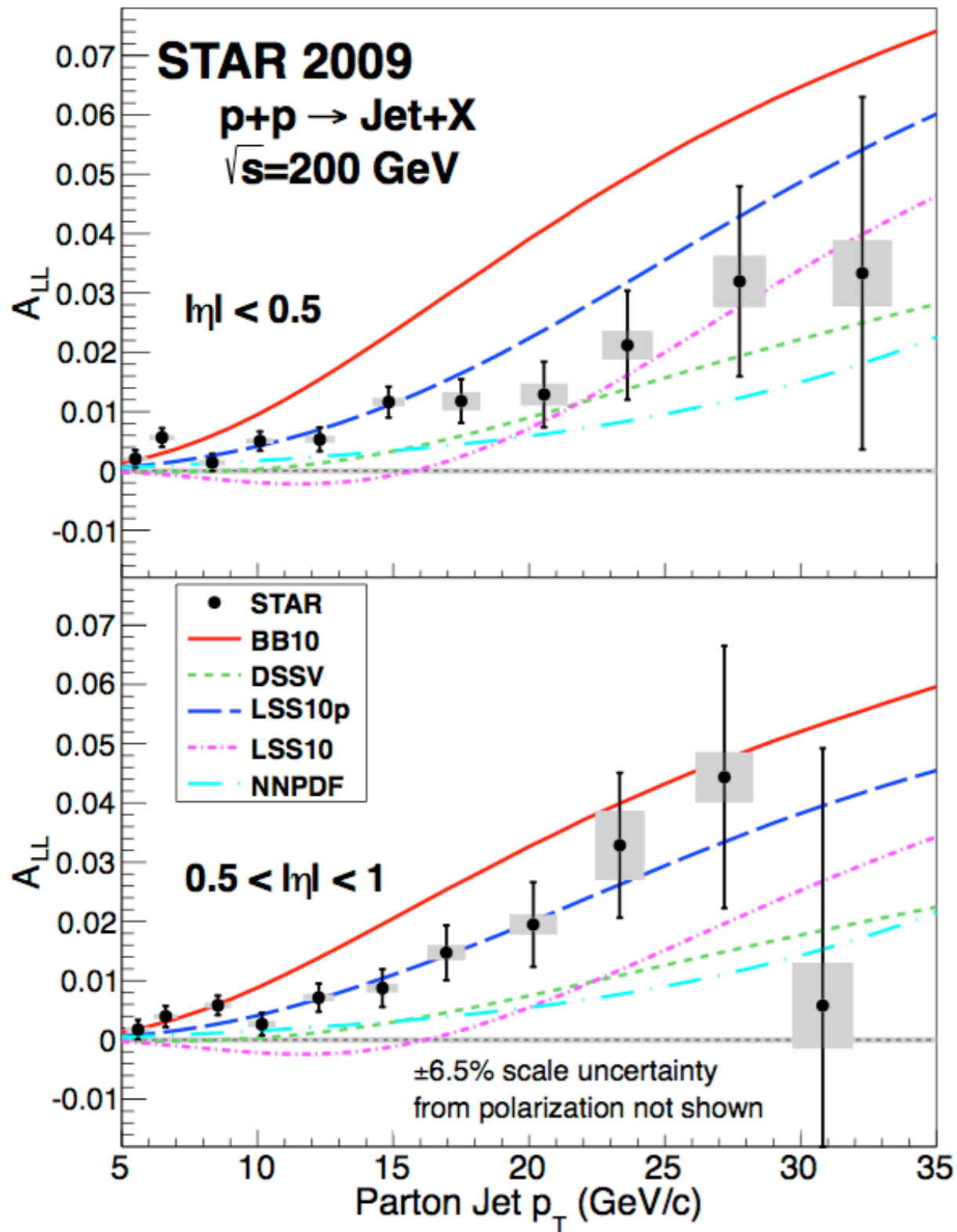
Phys. Rev. Lett. 97, 252001 (2006)

STAR is uniquely suited, at RHIC, for central-rapidity jet measurements,

Measured cross section is well-described by perturbative QCD evaluation at NLO.

Gluon Polarization from RHIC

Phys.Rev.Lett 115 (2015) 092002



0.20 ± 0.07

DSSV, ArXiv:1404.4293

0.21 ± 0.10

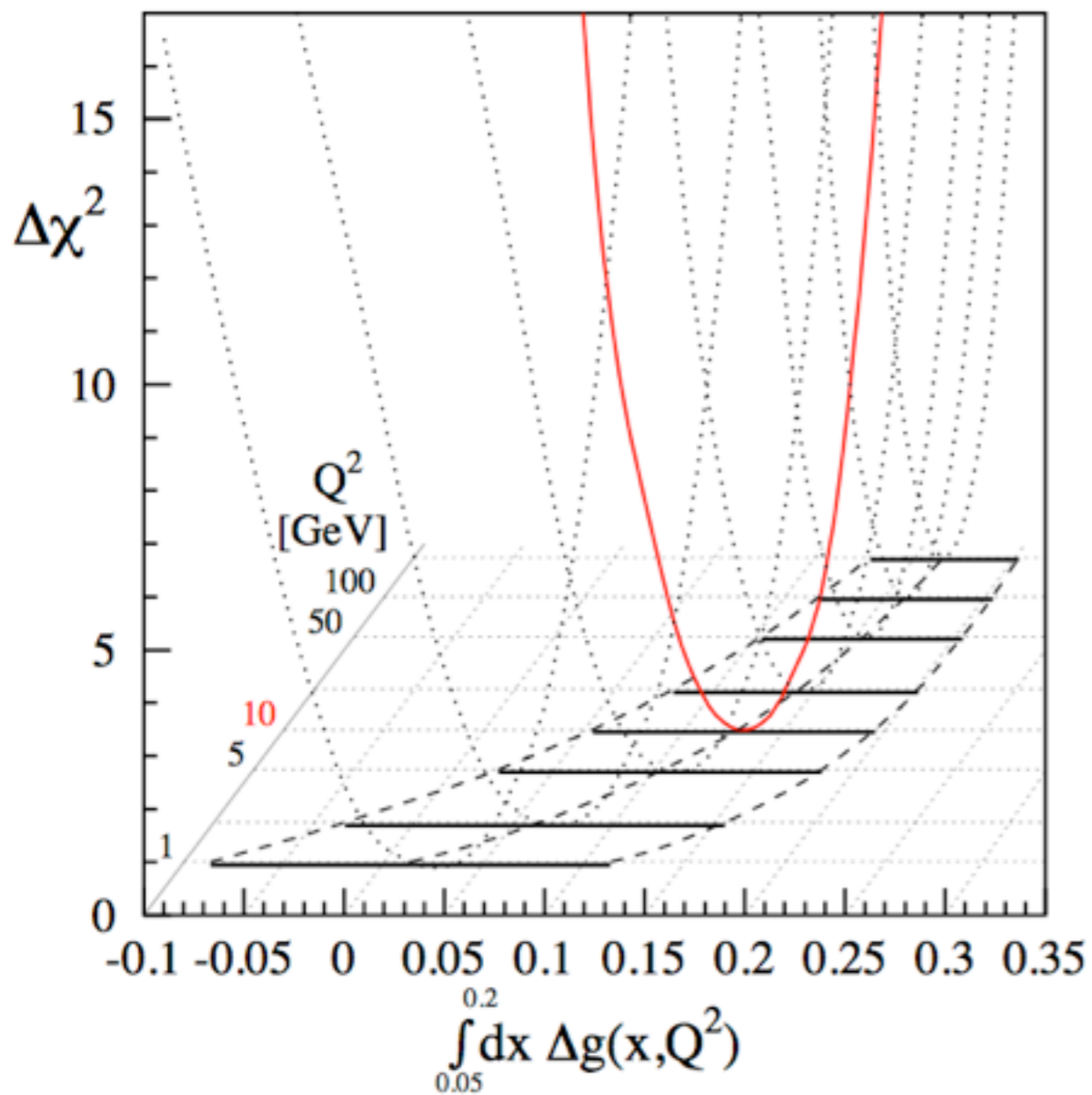
NNPDF, DIS 2014

Gluon polarization is positive in the region of the data; ~ 0.2

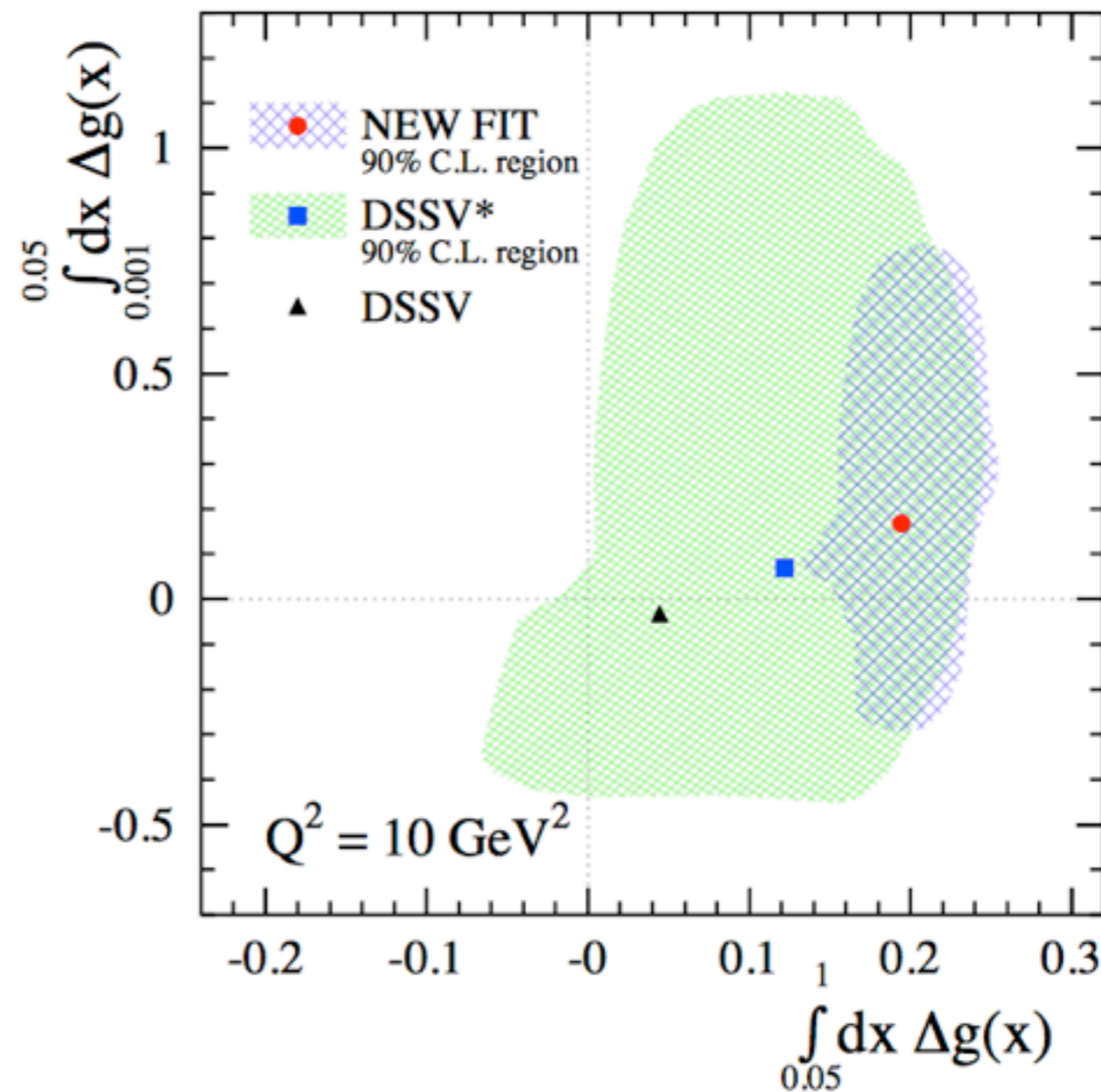
Gluon Polarization - DSSV

Some properties of the DSSV polarized gluon:

DSSV, ArXiv:1404.4293

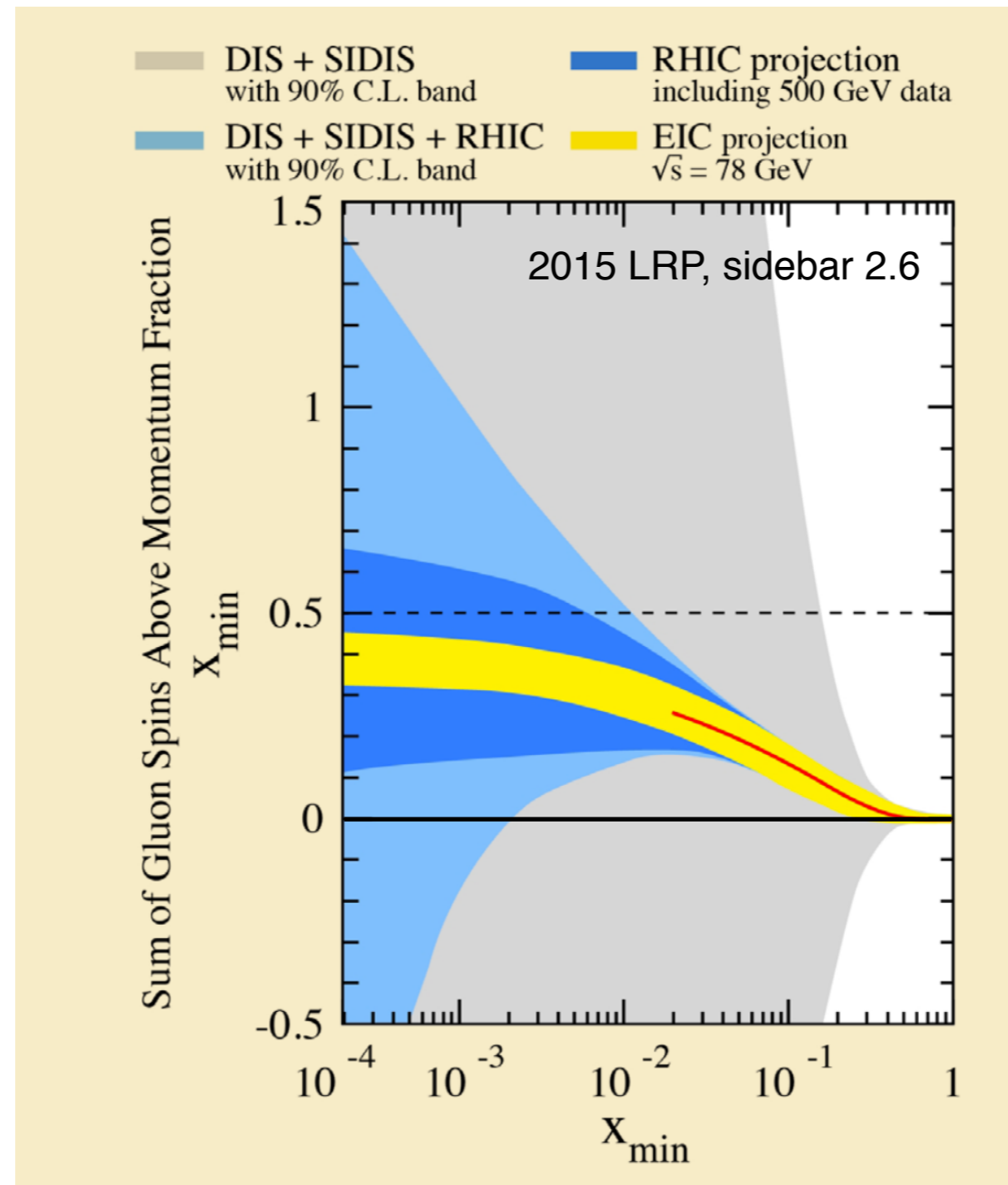


Strong scale dependence in the measured region

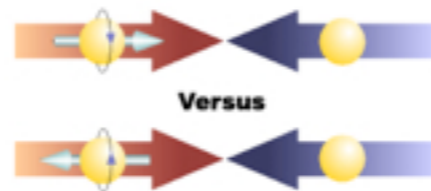


Easy to "hide" 1 h in the unmeasured region

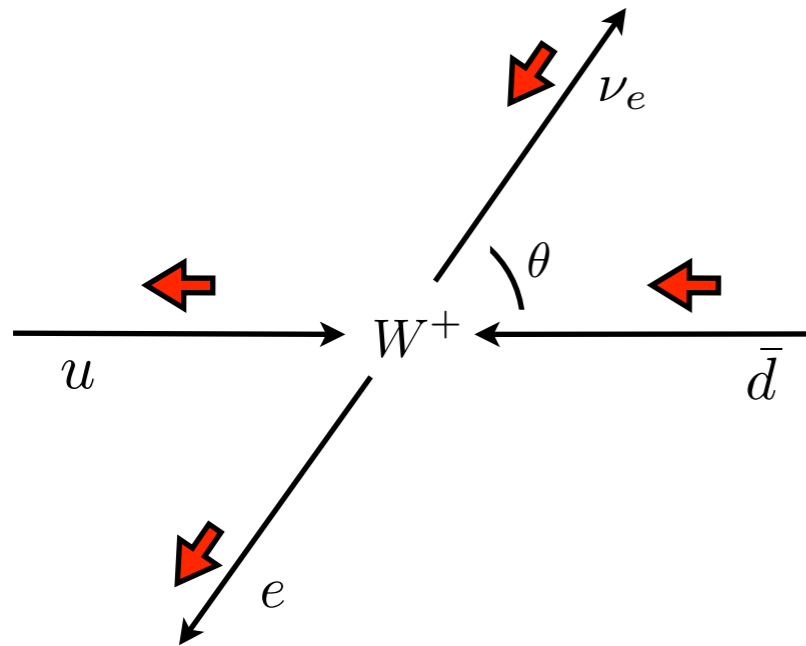
Gluon Polarization - Status and Prospects



Insights from RHIC: Quark Polarization



Quark Polarization at RHIC



$\sqrt{s} = 500$ GeV above W production threshold,

Experiment Signature:

large p_T lepton, missing E_T

Experiment Challenges:

charge-ID at large rapidity
electron/hadron discrimination
luminosity hungry

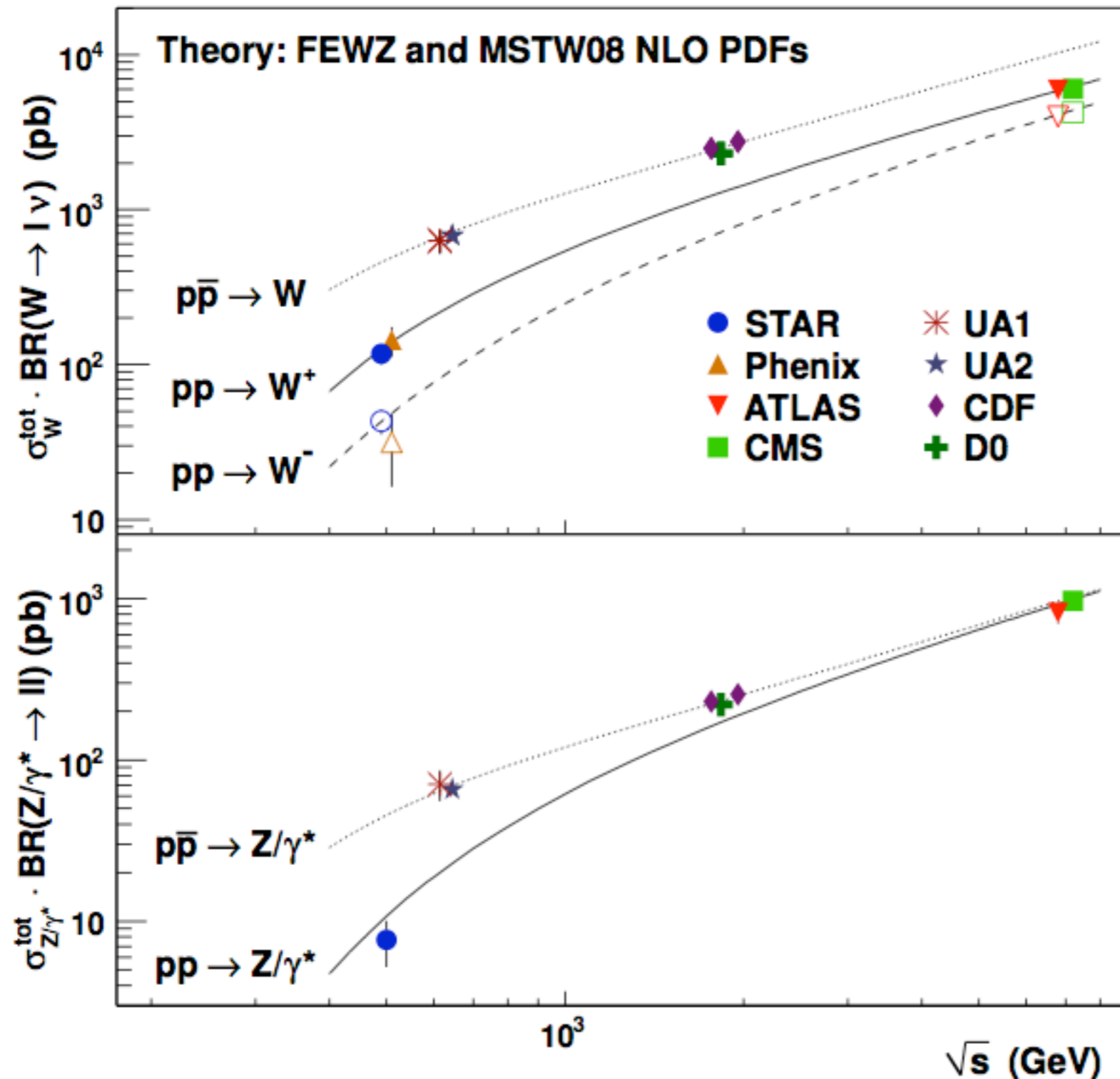
$$\Delta\sigma^{\text{Born}}(\vec{p}p \rightarrow W^+ \rightarrow e^+ \nu_e) \propto -\Delta u(x_a)\bar{d}(x_b)(1+\cos\theta)^2 + \Delta\bar{d}(x_a)u(x_b)(1-\cos\theta)^2$$

Spin Measurements:

$$A_L(W^+) = \frac{-\Delta u(x_a)\bar{d}(x_b) + \Delta\bar{d}(x_a)u(x_b)}{u(x_a)\bar{d}(x_b) + \bar{d}(x_a)u(x_b)} = \begin{cases} -\frac{\Delta u(x_a)}{u(x_a)}, & x_a \rightarrow 1 \\ \frac{\Delta\bar{d}(x_a)}{\bar{d}(x_a)}, & x_b \rightarrow 1 \end{cases}$$

$$A_L(W^-) = \begin{cases} -\frac{\Delta d(x_a)}{d(x_a)}, & x_a \rightarrow 1 \\ \frac{\Delta\bar{u}(x_a)}{\bar{u}(x_a)}, & x_b \rightarrow 1 \end{cases}$$

W and Z Production Cross Sections



PHENIX: first W^+ and W^- production cross sections in proton-proton collisions, Phys.Rev.Lett. **106** (2011) 062001,

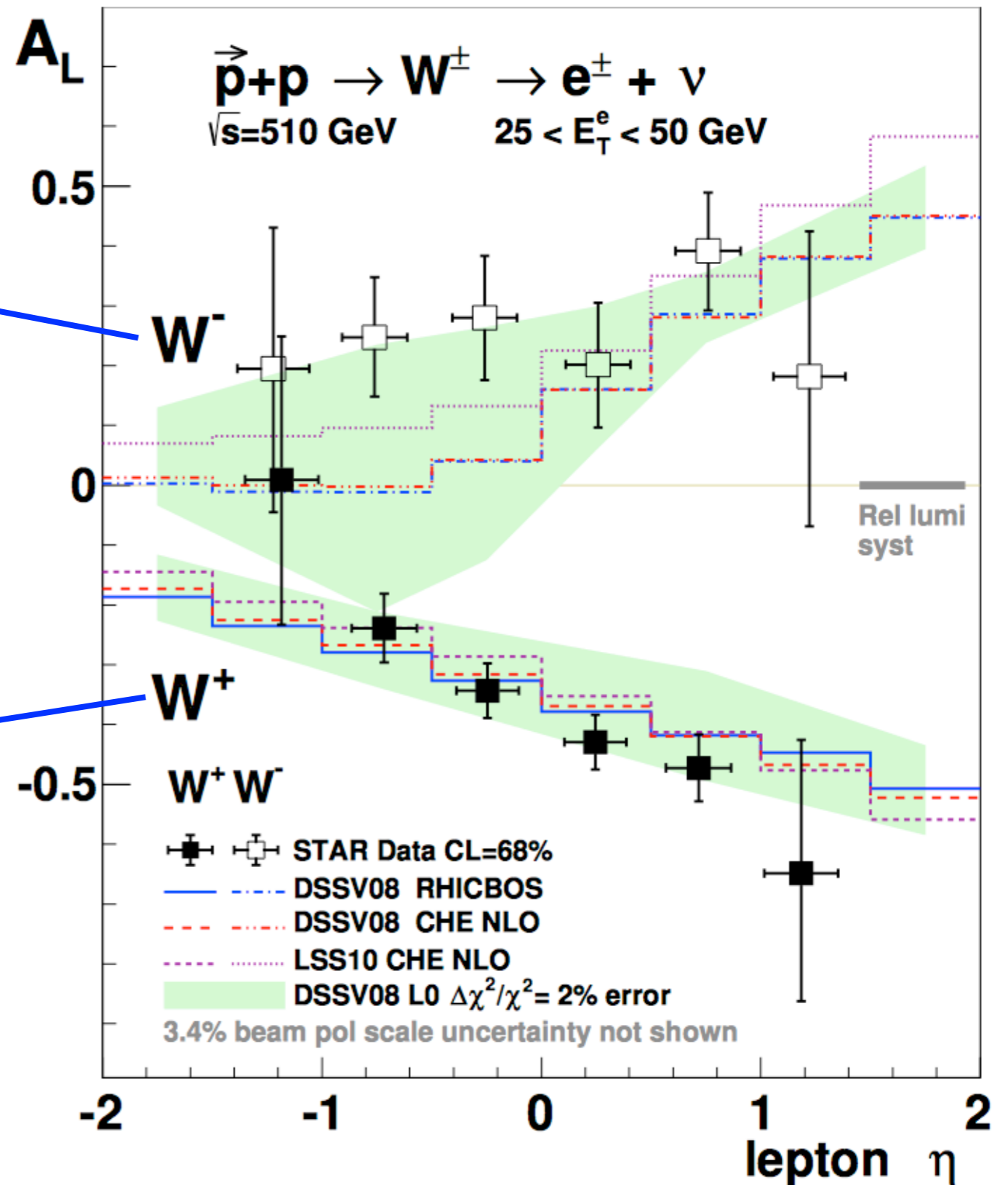
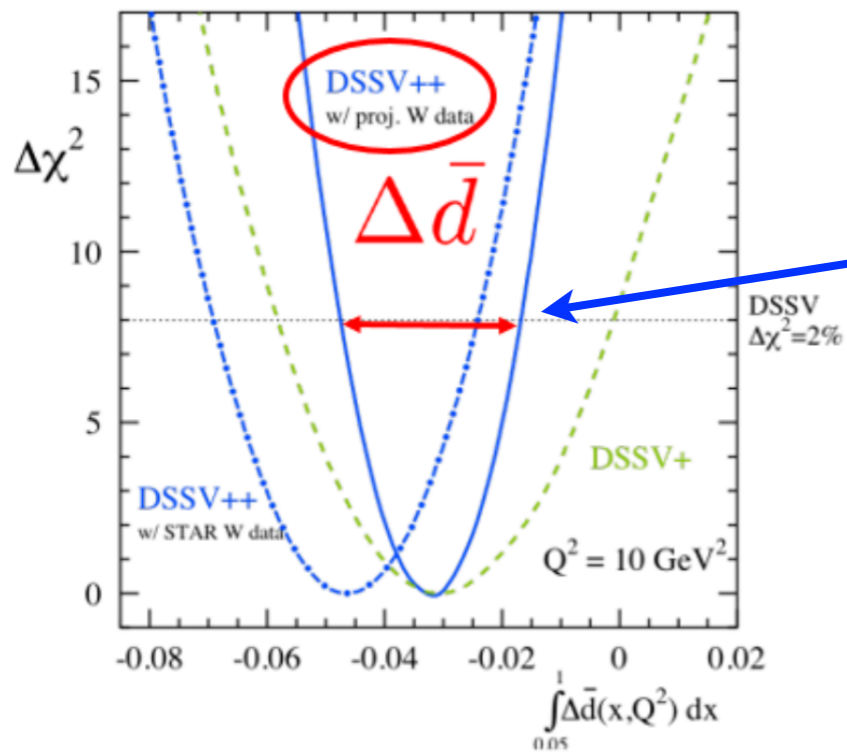
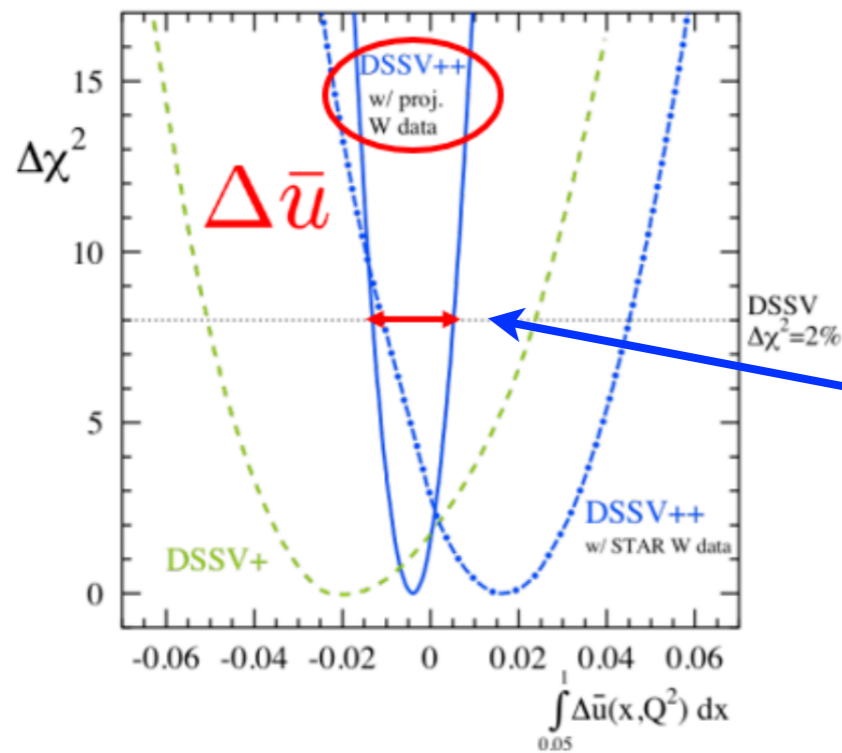
STAR: Initial NC cross section at RHIC, confirmation of PHENIX CC cross section measurements, Phys. Rev. **D85** (2012).

Data are well-described by NLO pQCD theory (FEWZ + MSTW08),

Necessary condition to interpret asymmetry measurements,

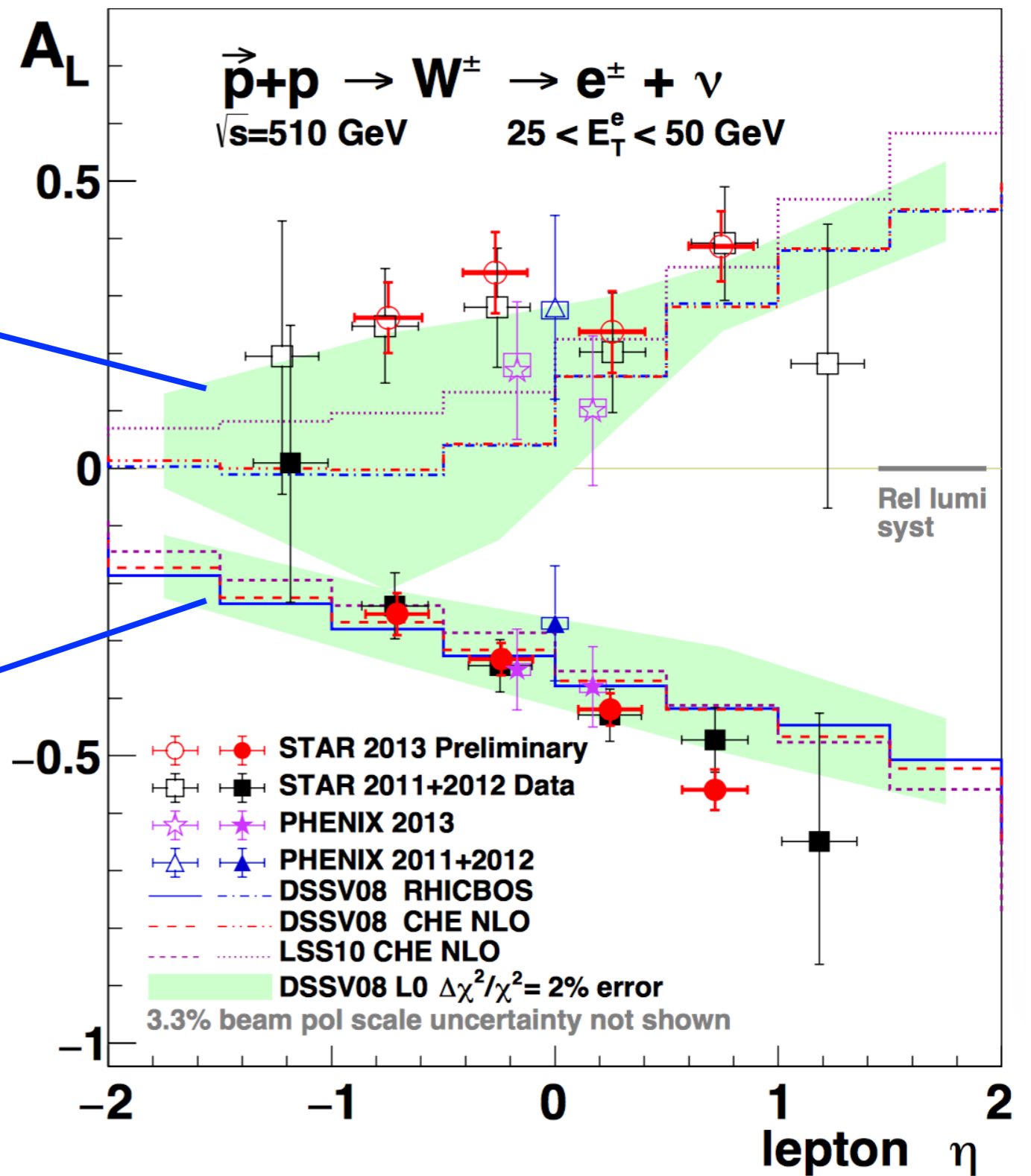
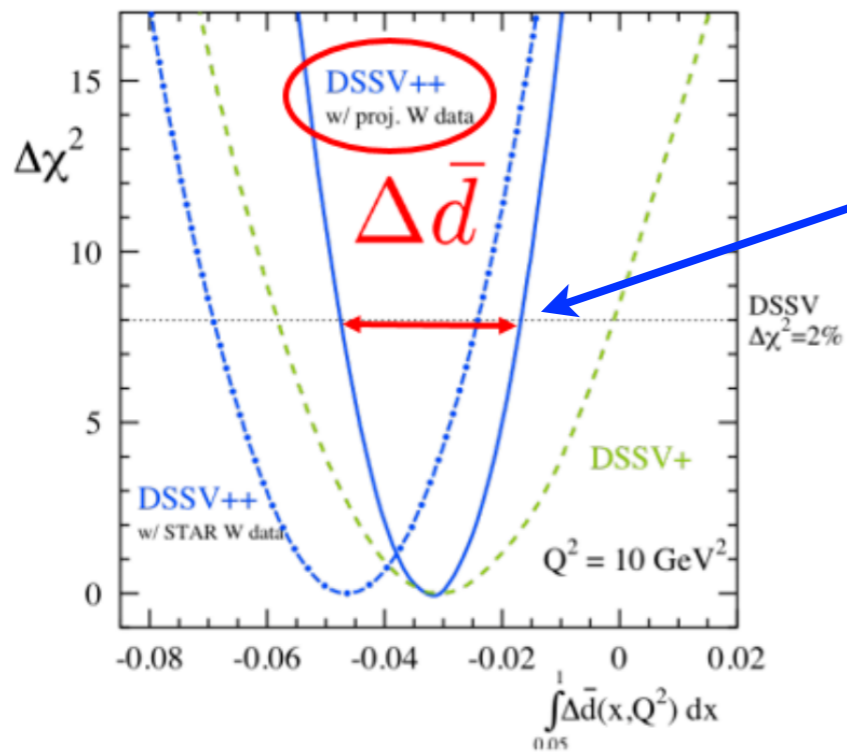
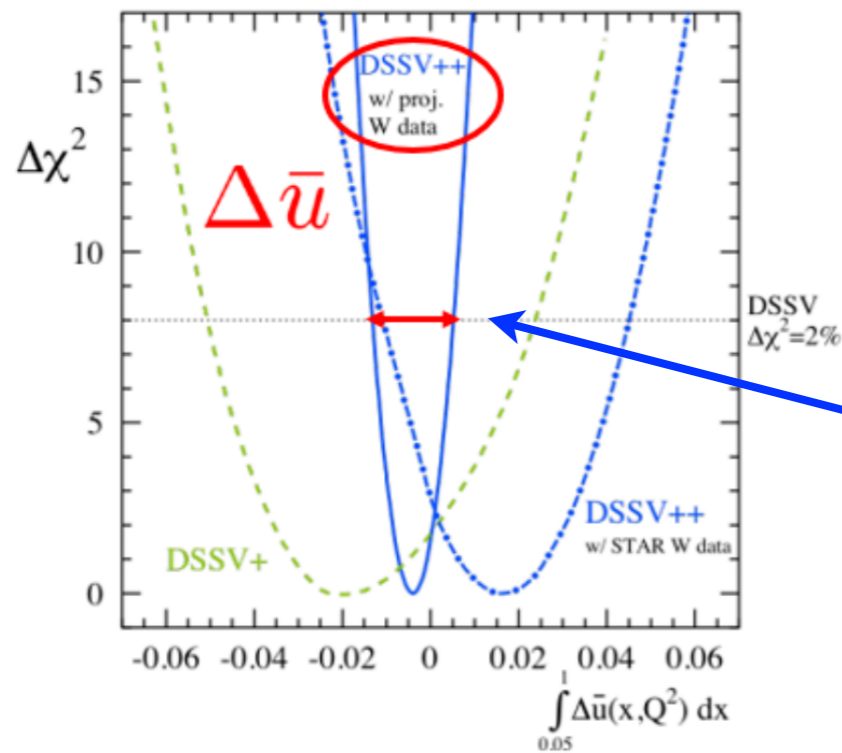
Future ratio measurements may provide insights in unpolarized light quark distributions

Quark Polarization at $\sqrt{s} = 500$ GeV



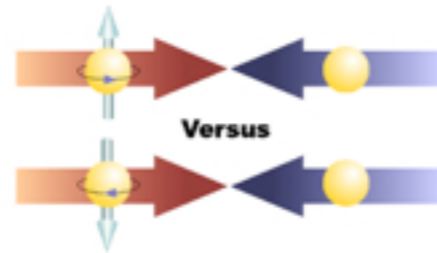
$\Delta\bar{u} > \Delta\bar{d}$, while $\bar{d} > \bar{u}$

Quark Polarization at $\sqrt{s} = 500$ GeV

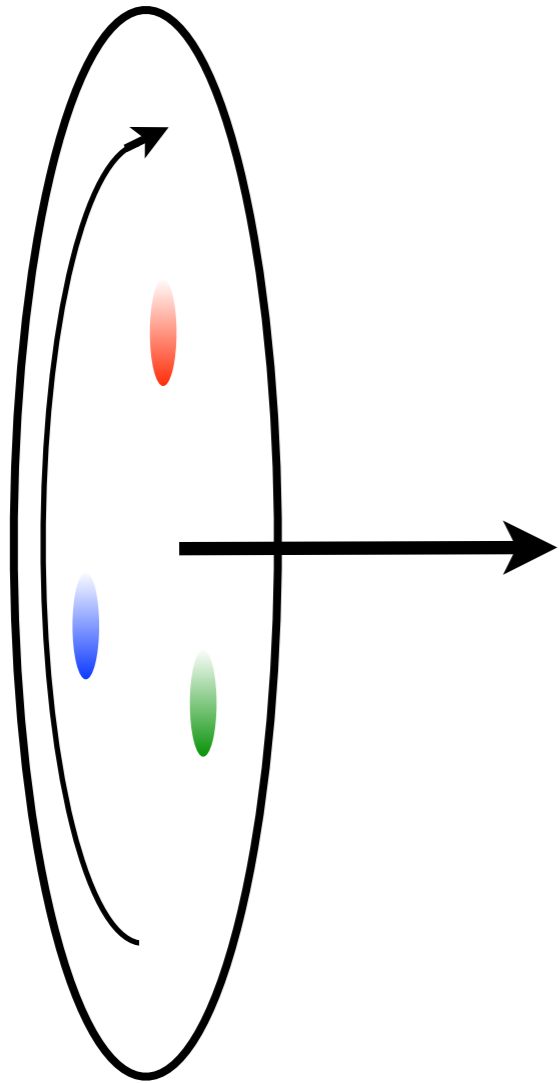


$\Delta\bar{u} > \Delta\bar{d}$, while $\bar{d} > \bar{u}$

Insights from RHIC: Transverse Spin Phenomena

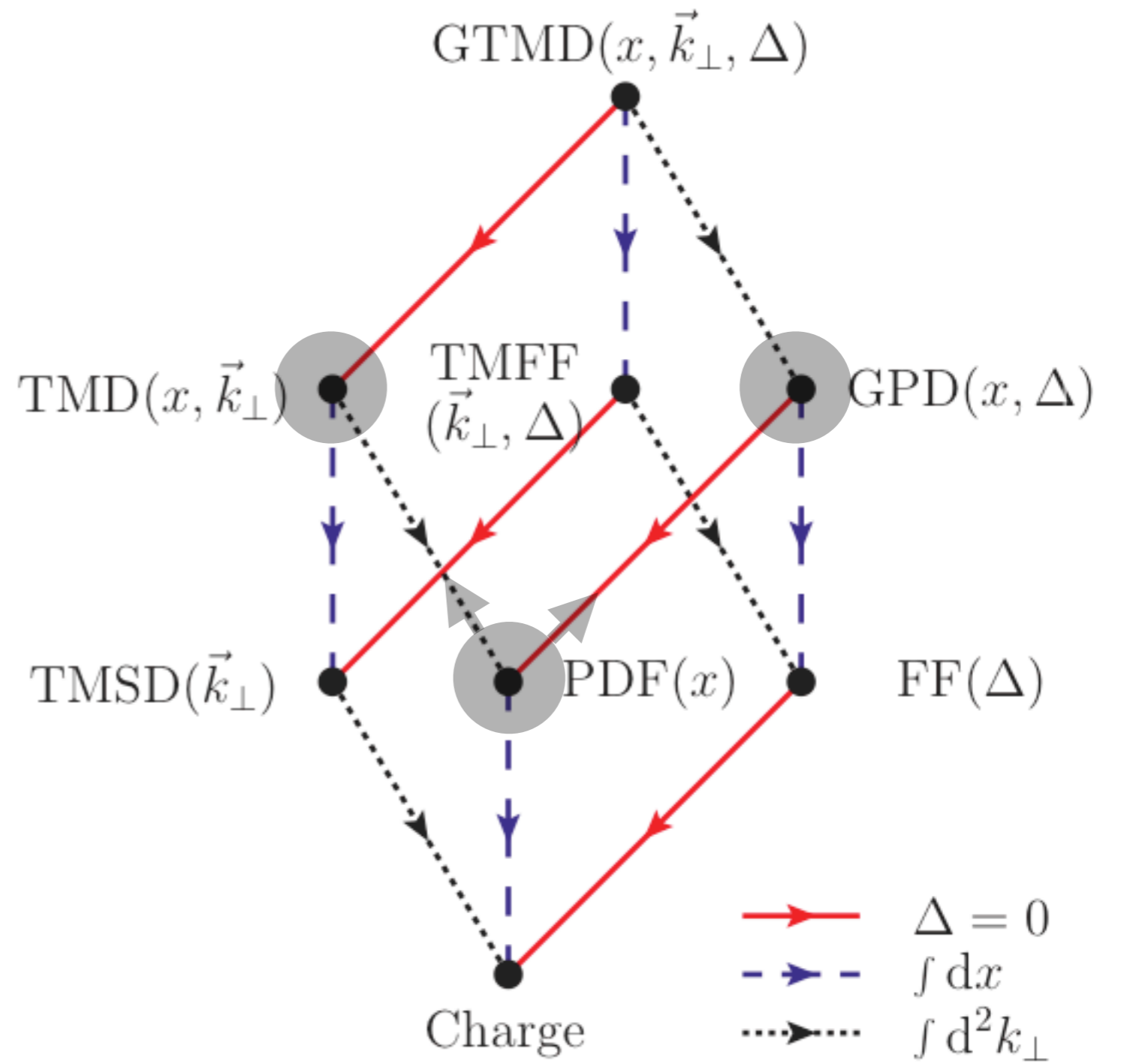
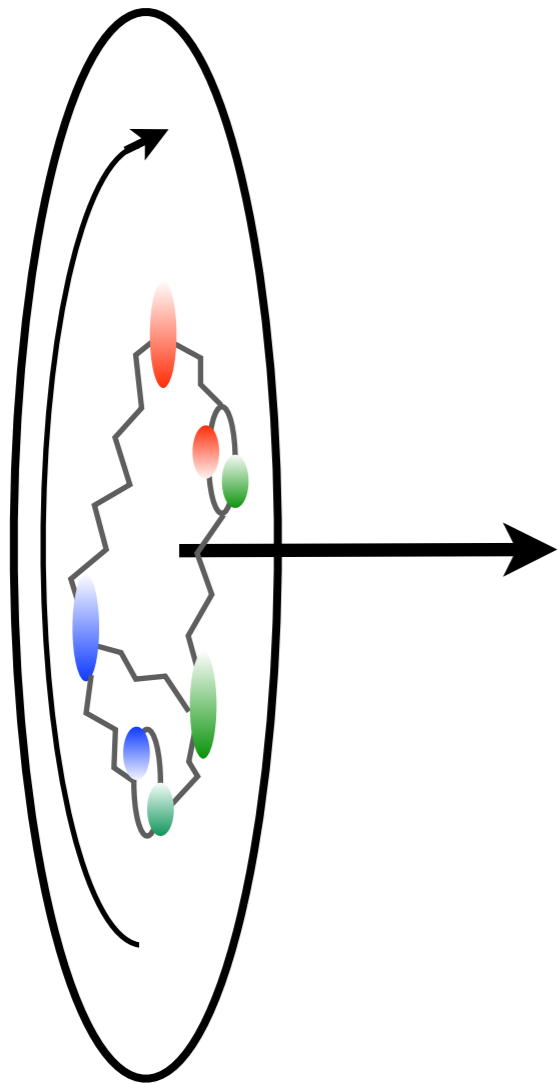


Beyond Helicity Distributions...



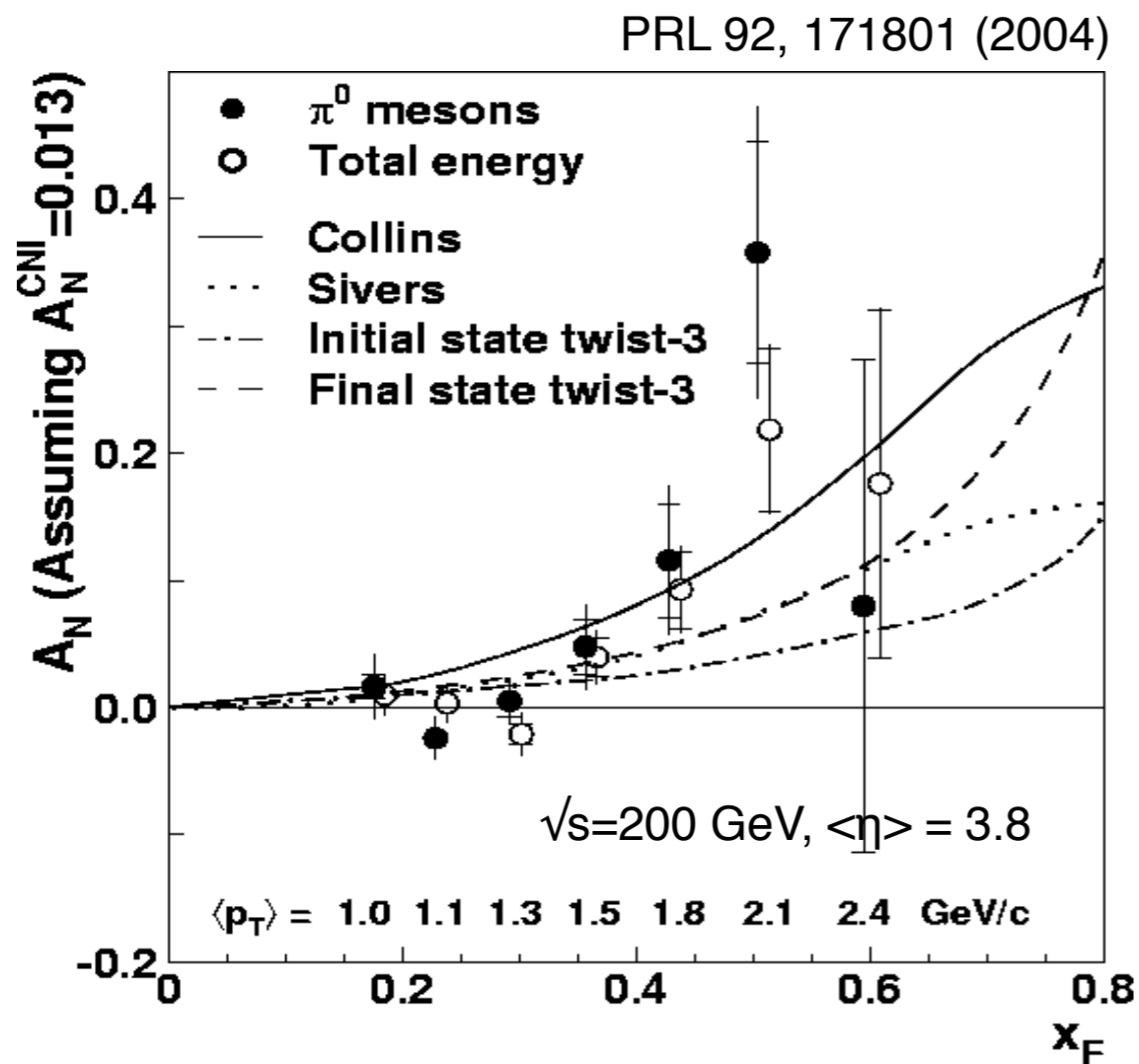
Simple concepts become involved...

Beyond Helicity Distributions...

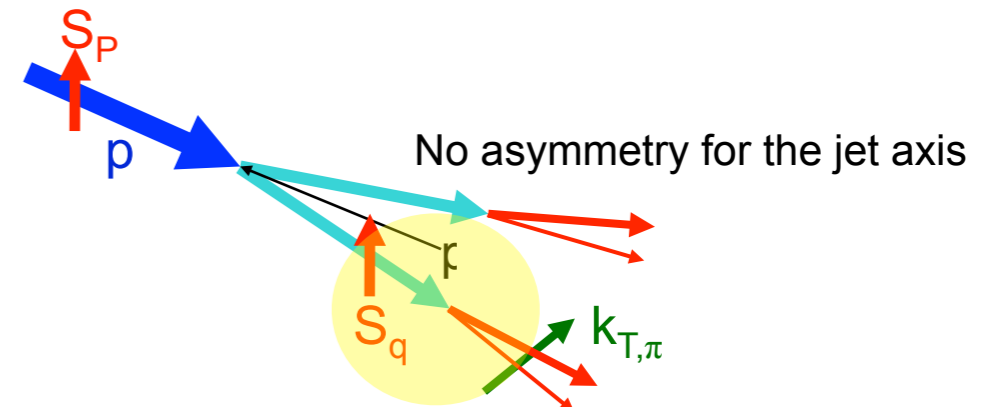


Transverse Spin Phenomena - A_N

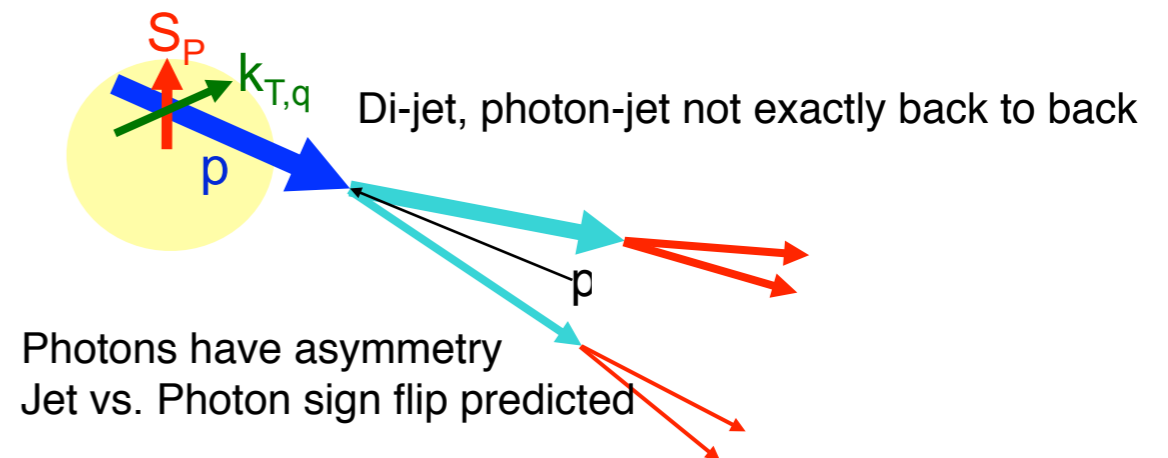
Previously observed large A_N persist at $\sqrt{s} = 200$ GeV,



- **Collins effect:** asymmetry comes from the transversity and the spin dependence of jet fragmentation.



- **Sivers effect:** asymmetry comes from spin-correlated k_T in the initial parton distribution

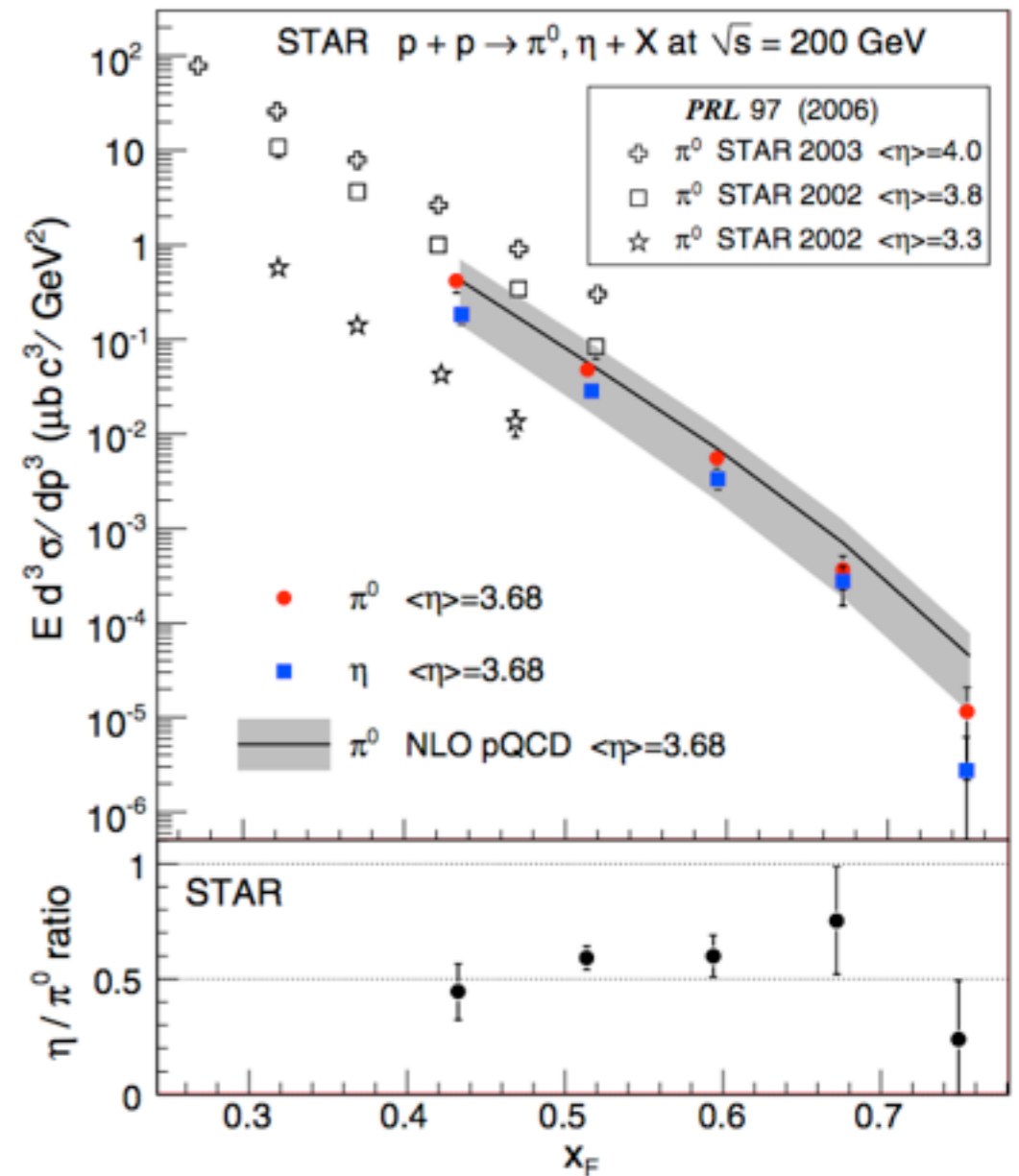
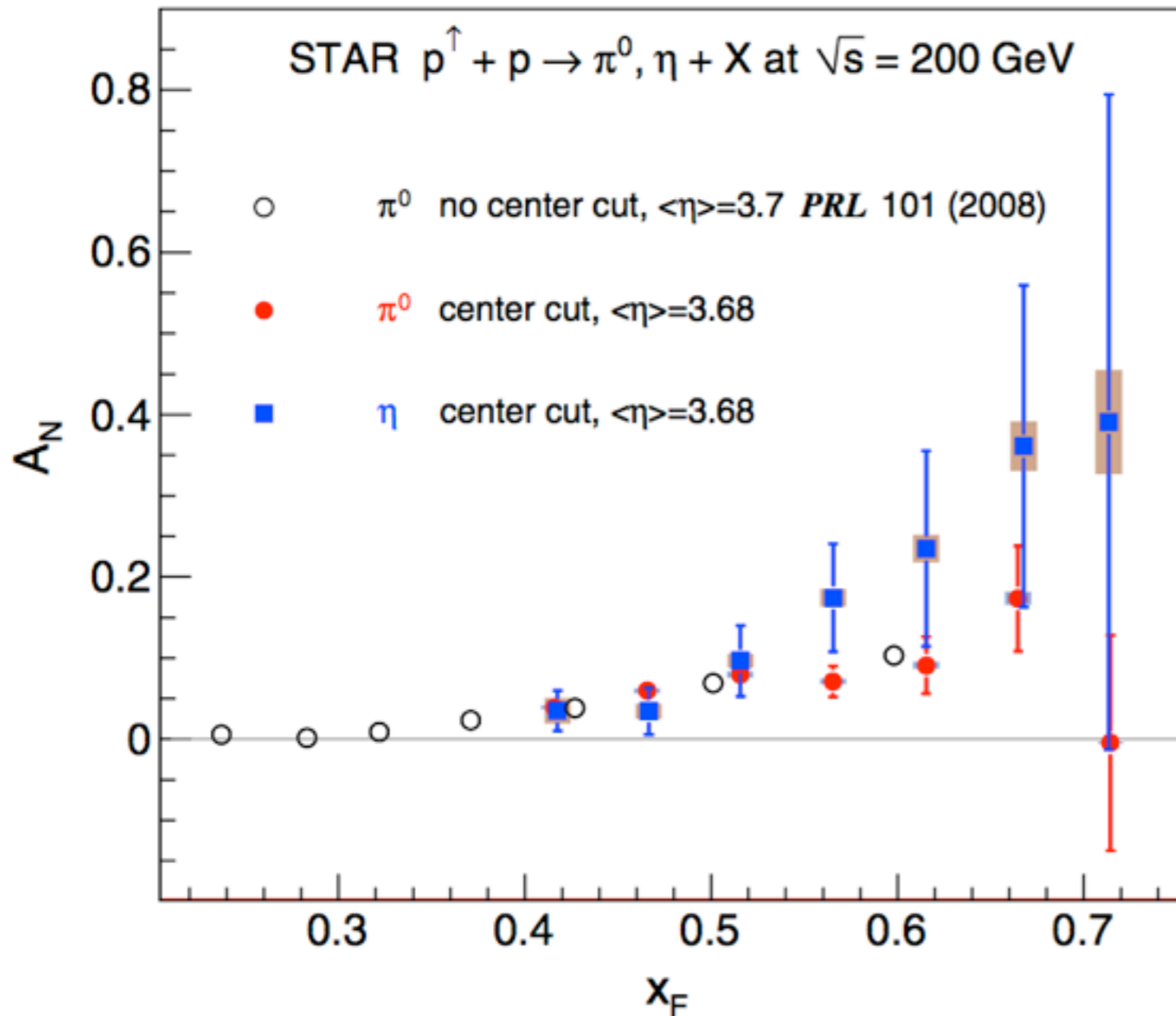


- Other (?)

Renewed interest in transverse spin phenomena in hadroproduction.

Transverse Spin Phenomena - A_N

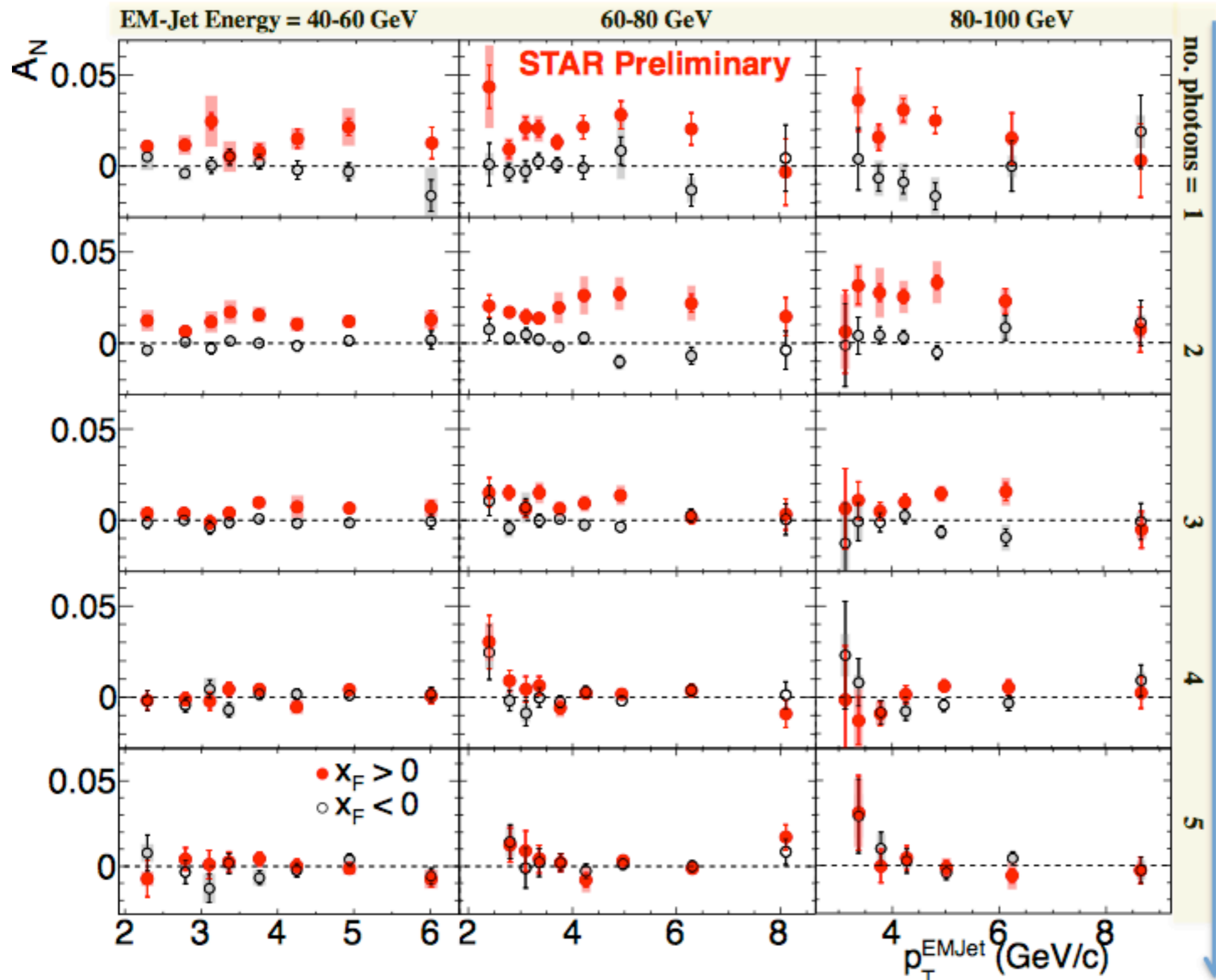
Surprisingly, the η asymmetry is quite possibly even larger than $\pi^0 A_N$:



Phys. Rev. **D86** (2012) 051101(R)

An intricate role for (anti-)strange quarks, also here?

Transverse Spin Phenomena - A_N



✧ 1-photon events, which include a large π^0 contribution in this analysis, are similar to 2-photon events

✧ Three-photon jet-like events have a clear non-zero asymmetry, but substantially smaller than that for isolated π^0 's

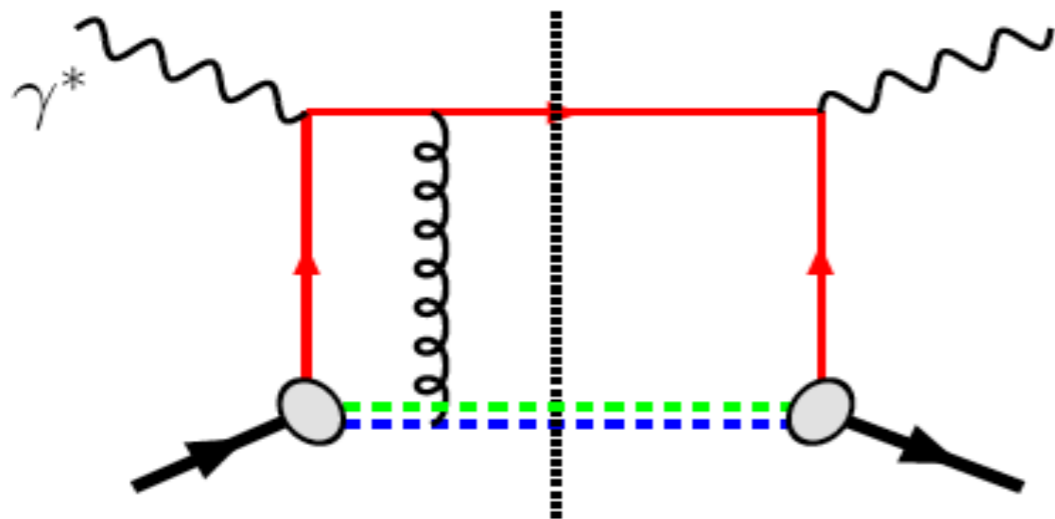
✧ A_N decreases as the event complexity increases (i.e., the "jettiness")

✧ A_N for #photons > 5 is similar to that for #photons = 5

Jettier events

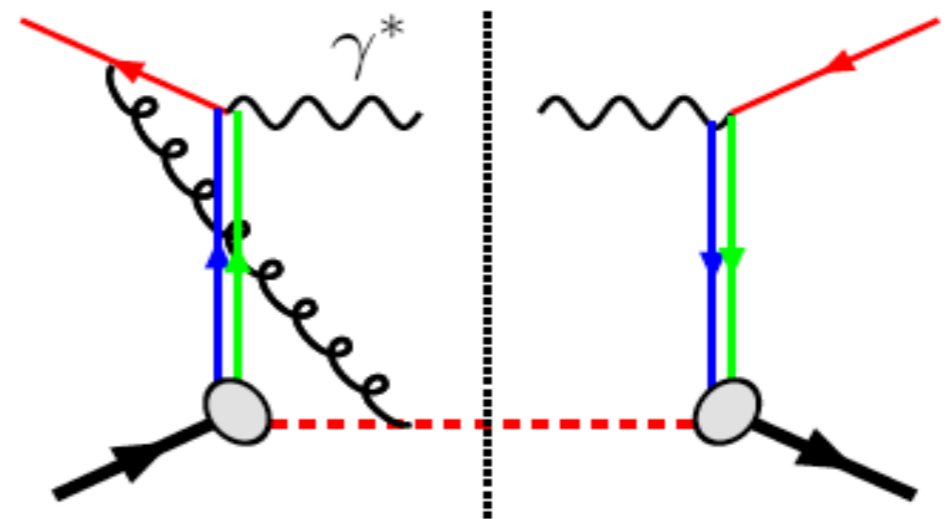
Transverse Spin Phenomena - Sivers Sign-Change

DIS, attractive FSI



Sivers_{DIS}

DY, repulsive ISI



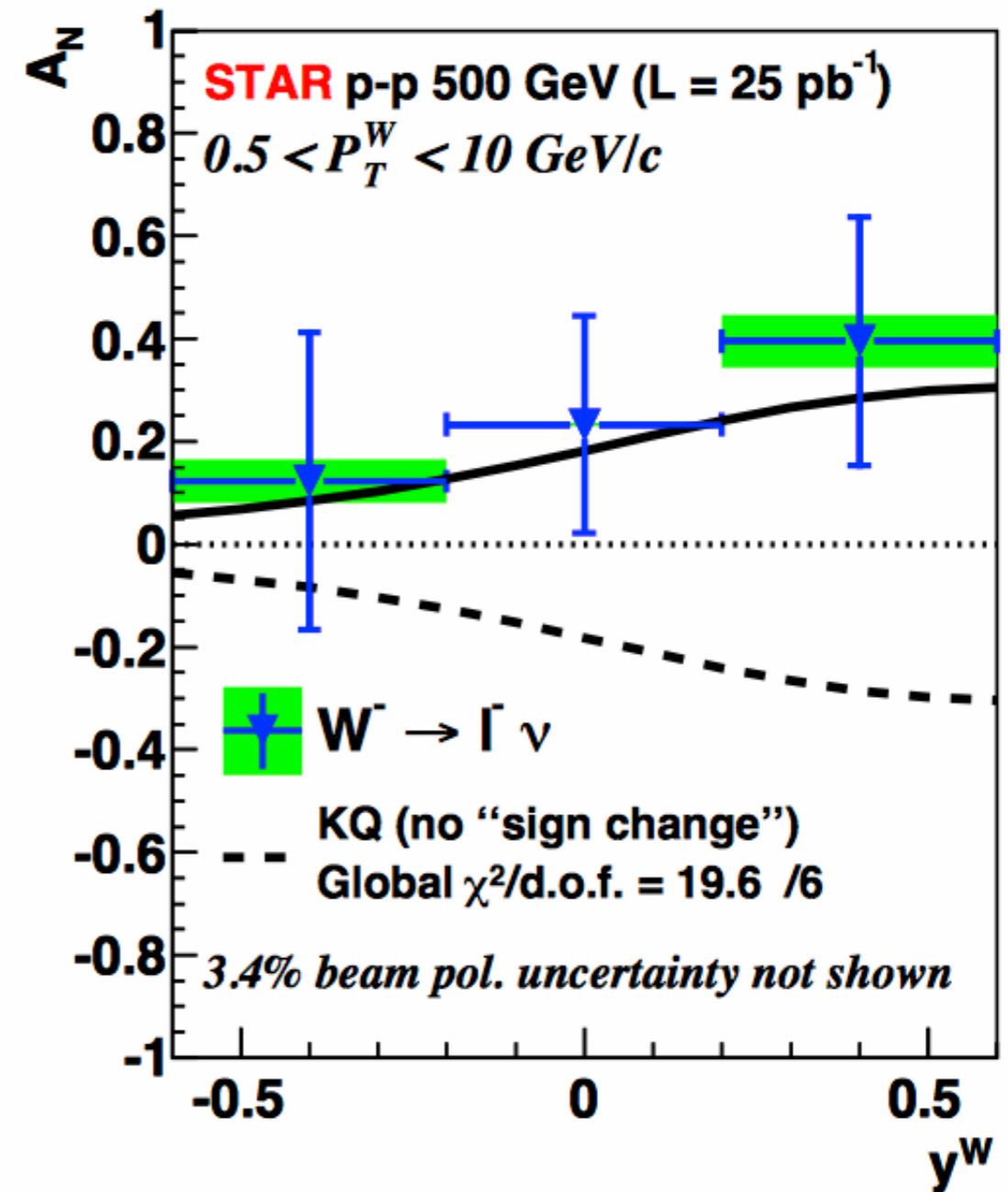
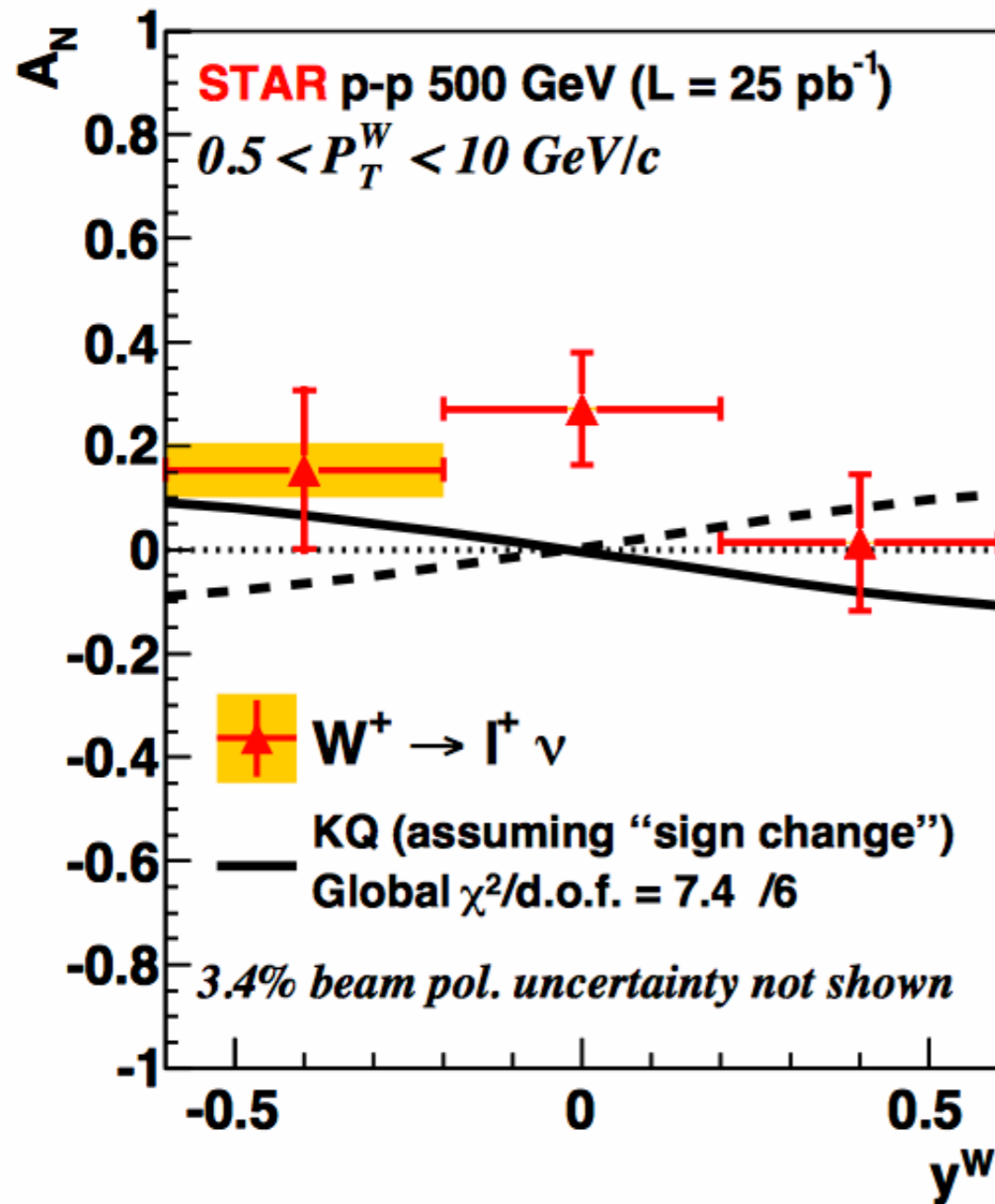
- Sivers_{DY}

=

HP13 (2015): Test unique QCD predictions for relations between single-transverse spin phenomena in p-p scattering and those observed in deep-inelastic lepton scattering

In colloquial english: Quarks with unlike color charge attract one another in QCD.

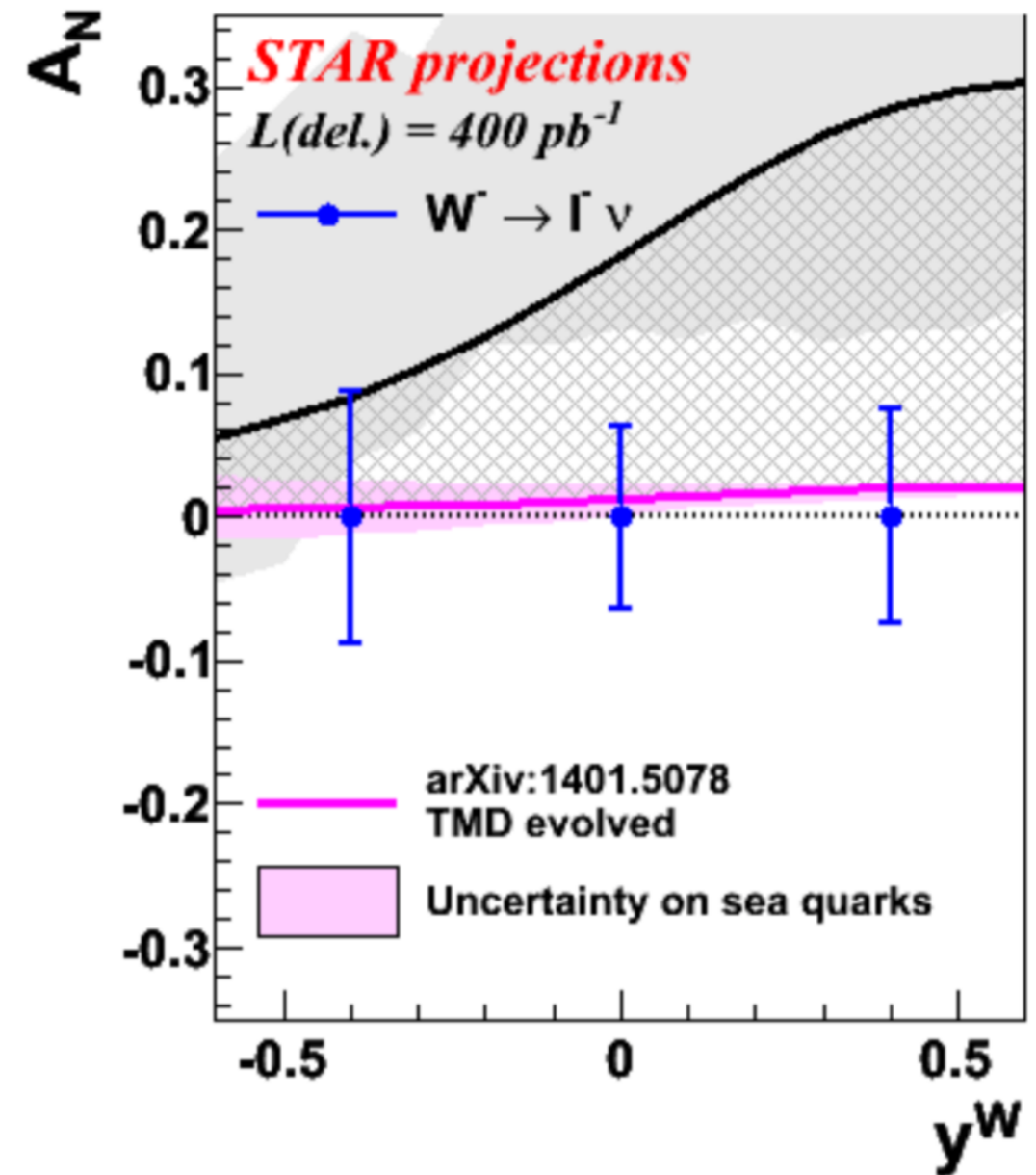
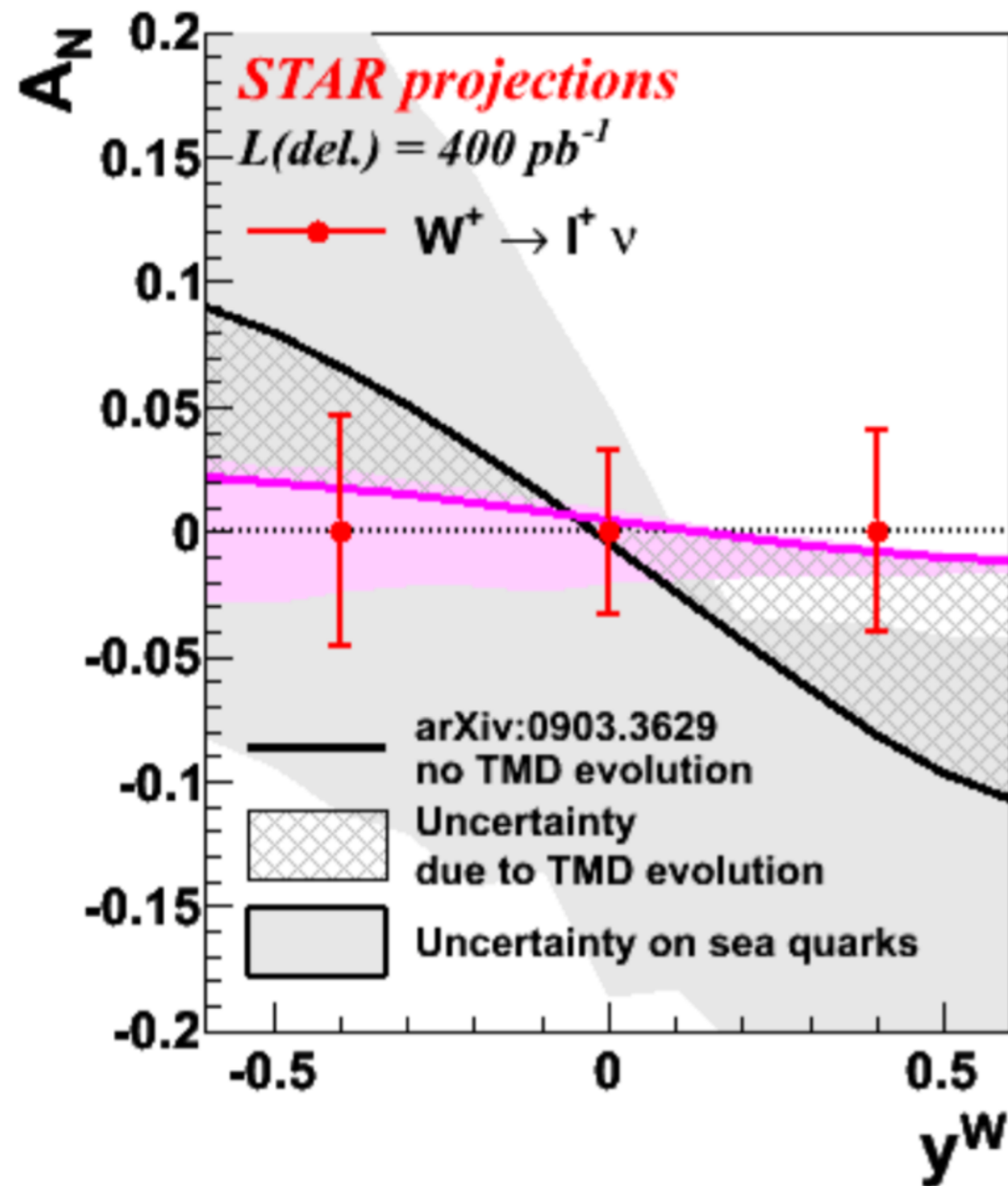
Transverse Spin Phenomena - Sivers Sign-Change



First hint of the anticipated sign-change between DIS and RHIC data,

In colloquial english: Quarks with unlike color charge attract one another in QCD.

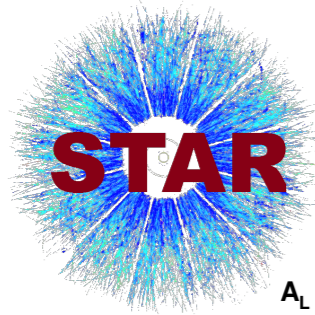
Transverse Spin Phenomena - Sivers Sign-Change



Main goal for RHIC beam-operations this year (2017),

Commissioning is progressing well - expect to see new collisions this upcoming weekend.

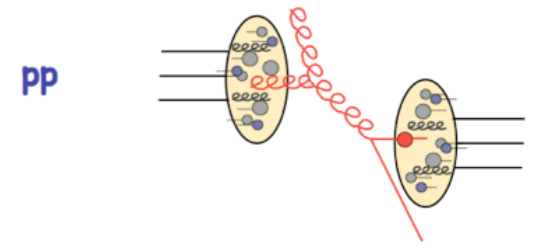
Brief recap:



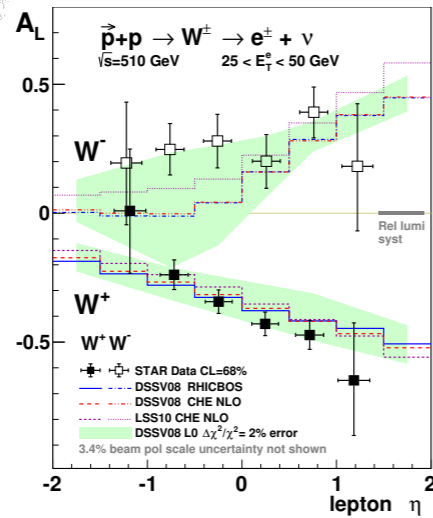
Highlights at



Warsaw, April 28 – May 2

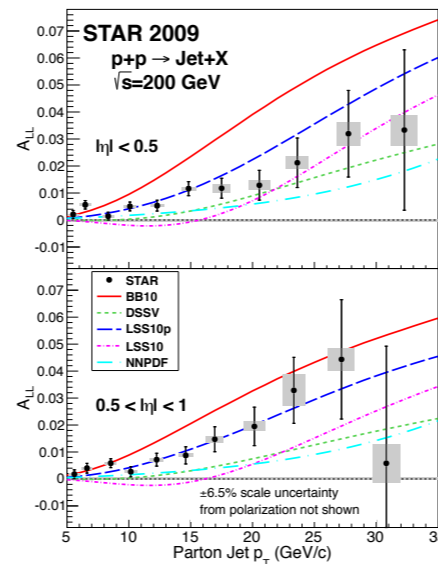


Next Steps



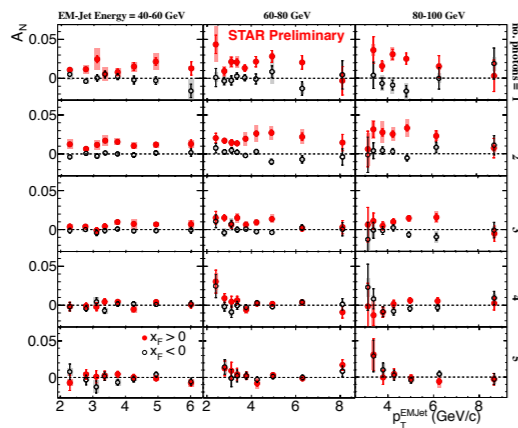
$$\Delta \bar{u} > \Delta \bar{d}$$

Analyze Run-13



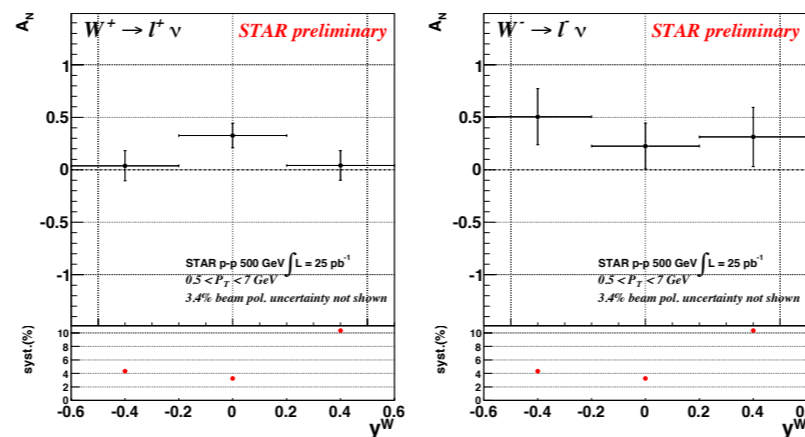
Non-zero ΔG

Increase precision in Run-15



A_N vanishes with increased jettiness

Measure Diffractive A_N with Roman Pots in Run-15



Proof of concept A_N for W

Measure in Run-13/7

Questions or comments, before we move on?

III - DIS, RHIC - A few words on EIC

Electron Ion Collider Initiatives

Past

Possible Future

	HERA @ DESY	LHeC @ CERN	HIAF @ CAS	ENC @ GSI	MEIC/ELIC @ JLab	eRHIC @ BNL
\sqrt{s} [GeV]	320	800 - 1300	12 - 65	14	20 - 140	78 - 145
proton x_{min}	1×10^{-5}	5×10^{-7}	$7 \times 10^{-3} - 3 \times 10^{-4}$	5×10^{-3}	1×10^{-4}	5×10^{-5}
ion	p	p to Pb	p to U	p to $\sim^{40}\text{Ca}$	p to Pb	p to U
polarization	-	-	p, d, ^3He	p, d	p, d, ^3He (^6Li)	p, ^3He
L [$\text{cm}^{-2}\text{s}^{-1}$]	2×10^{31}	10^{34}	$10^{32-33} - 10^{35}$	10^{32}	10^{33-34}	10^{33}
Interaction Points	2	1 (?)	1	1	2+	1-2
Year	1992 - 2007	post ALICE	2019 - 2030	upgrade to FAIR	post 12 GeV	2025

High-Energy Physics

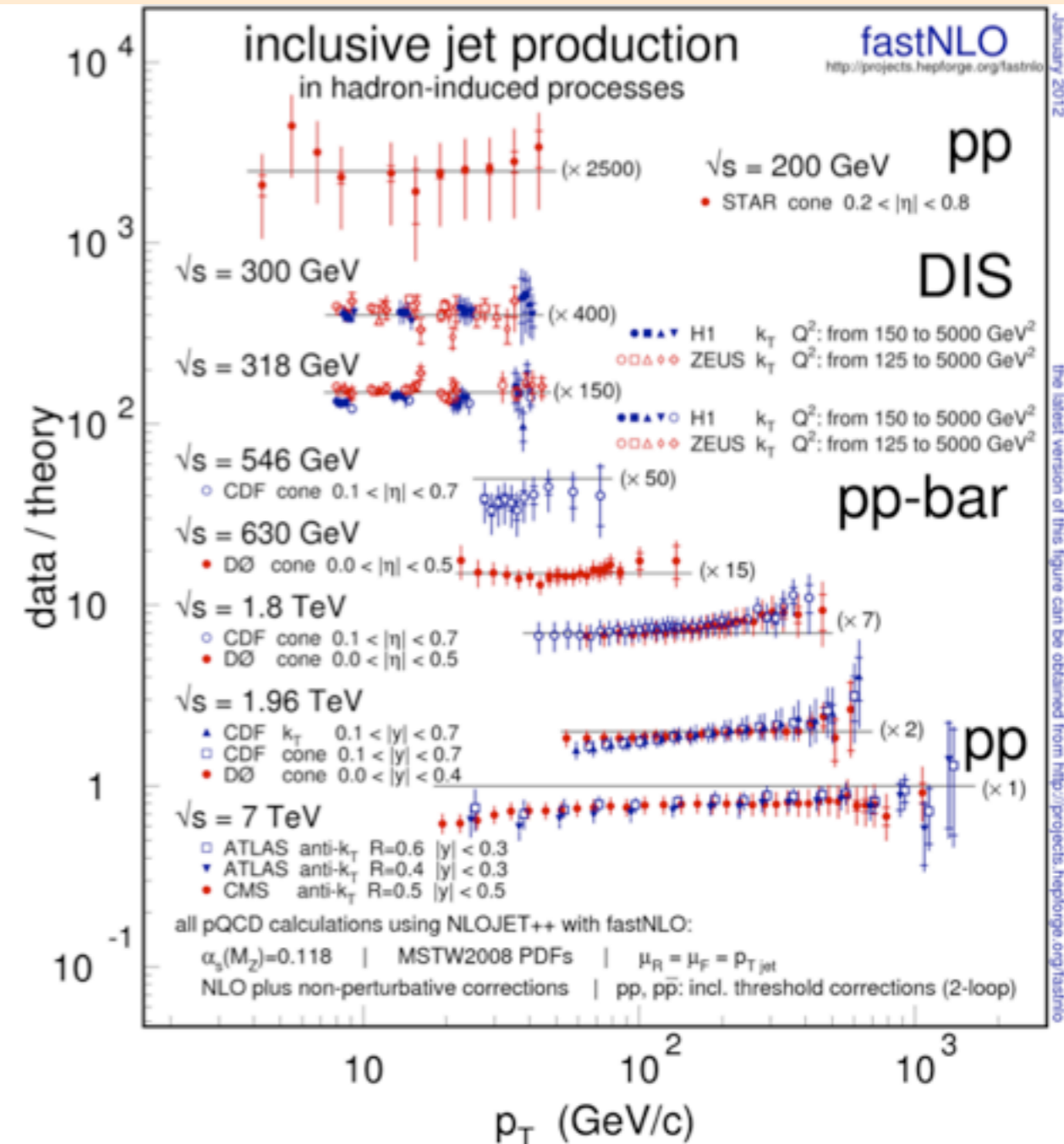
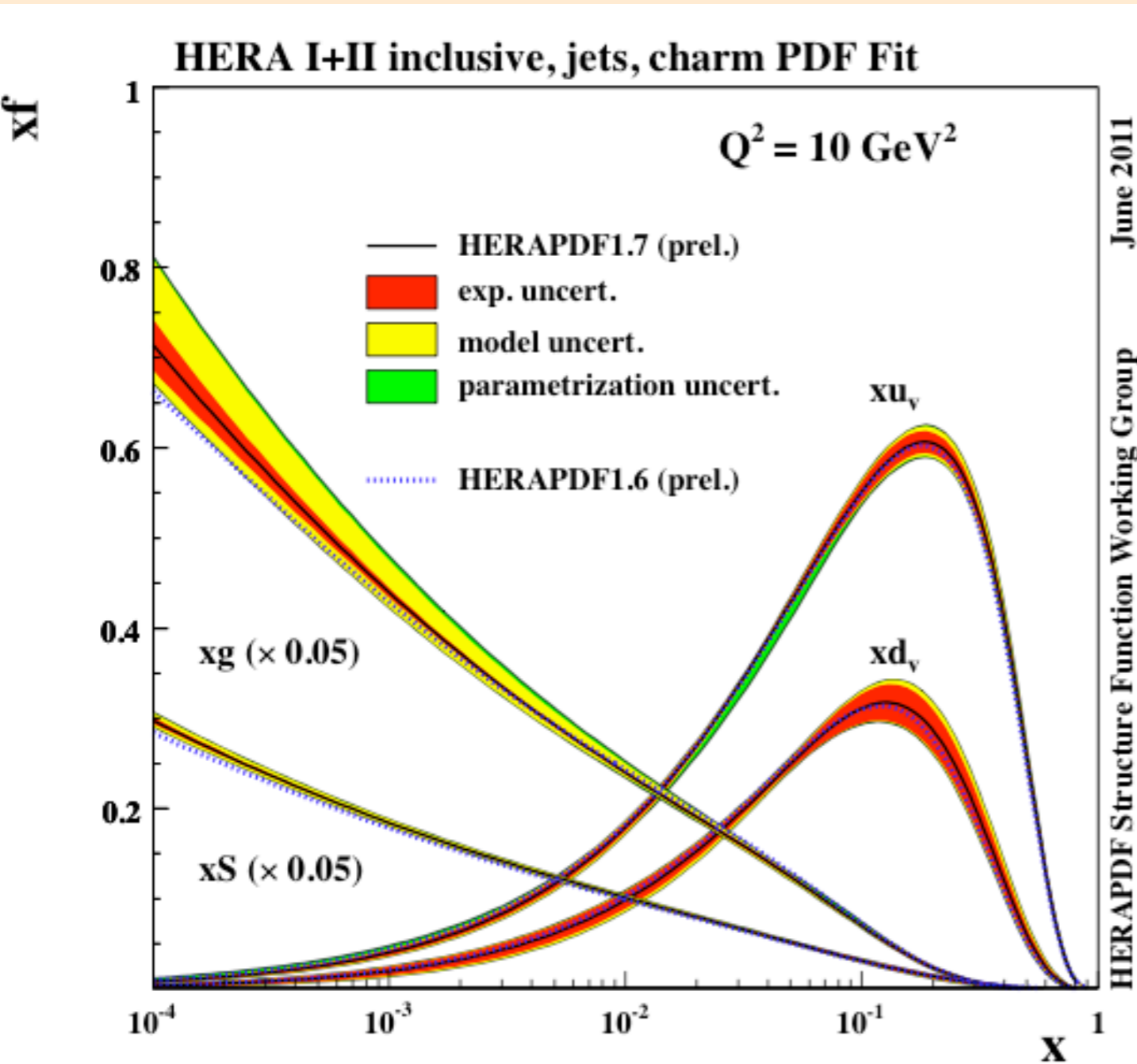
Nuclear Physics

World Wide Interest

HERA's legacy

The proton in terms of gluons and quarks

pQCD at work...

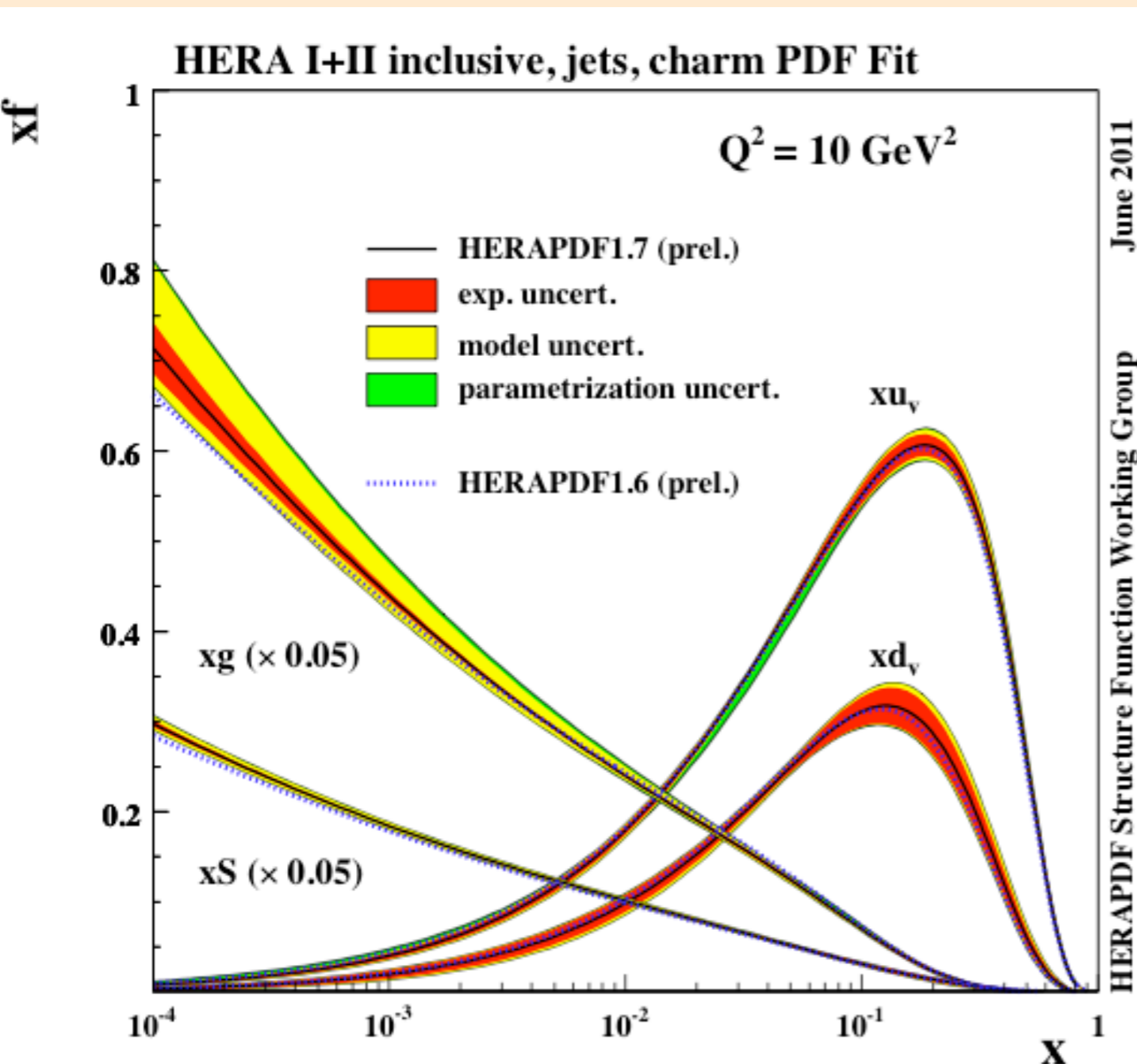


The latest version of this figure can be obtained from <http://projects.hepforge.org/fastnlo>

HERA's legacy

The proton in terms of gluons and quarks

... and quite remarkable voids:



Precision F_L - insufficient time,

Test isospin, u-d, - no deuterons,

d/u at large x - luminosity,

Strange quark distributions - luminosity,

Spin puzzle - no hadron beam polarization,

Quark-gluon dynamics in nuclei - no nuclei,

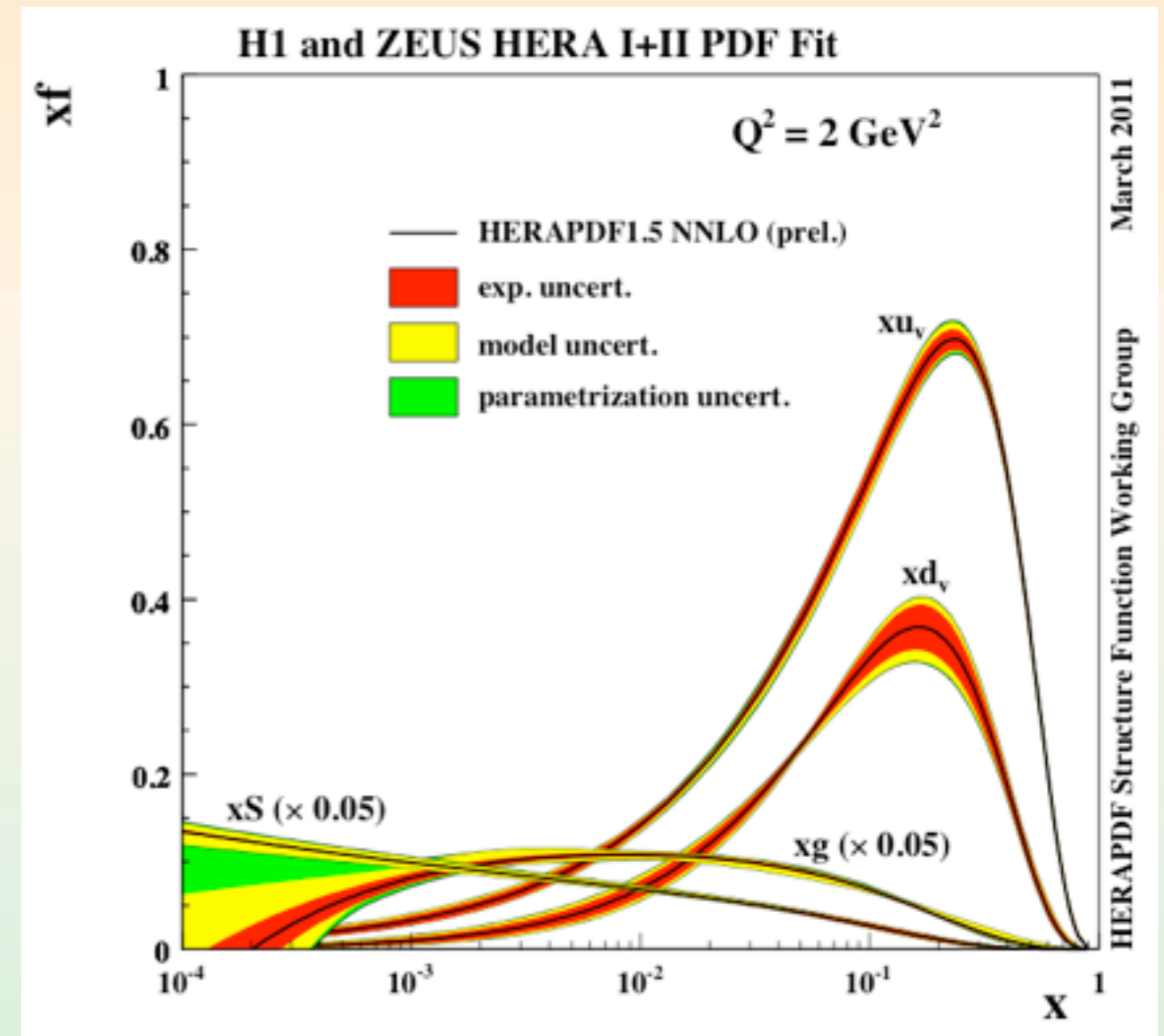
Saturation - insufficient \sqrt{s} / no nuclei,

...

HERA - RHIC

Saturation:

- geometric scaling of the cross section,
- diffractive cross-section independent of W and Q^2 ,
- hints of a negative gluon number distribution (at NLO),
- forward multiplicities and correlations at RHIC,



HERA - RHIC

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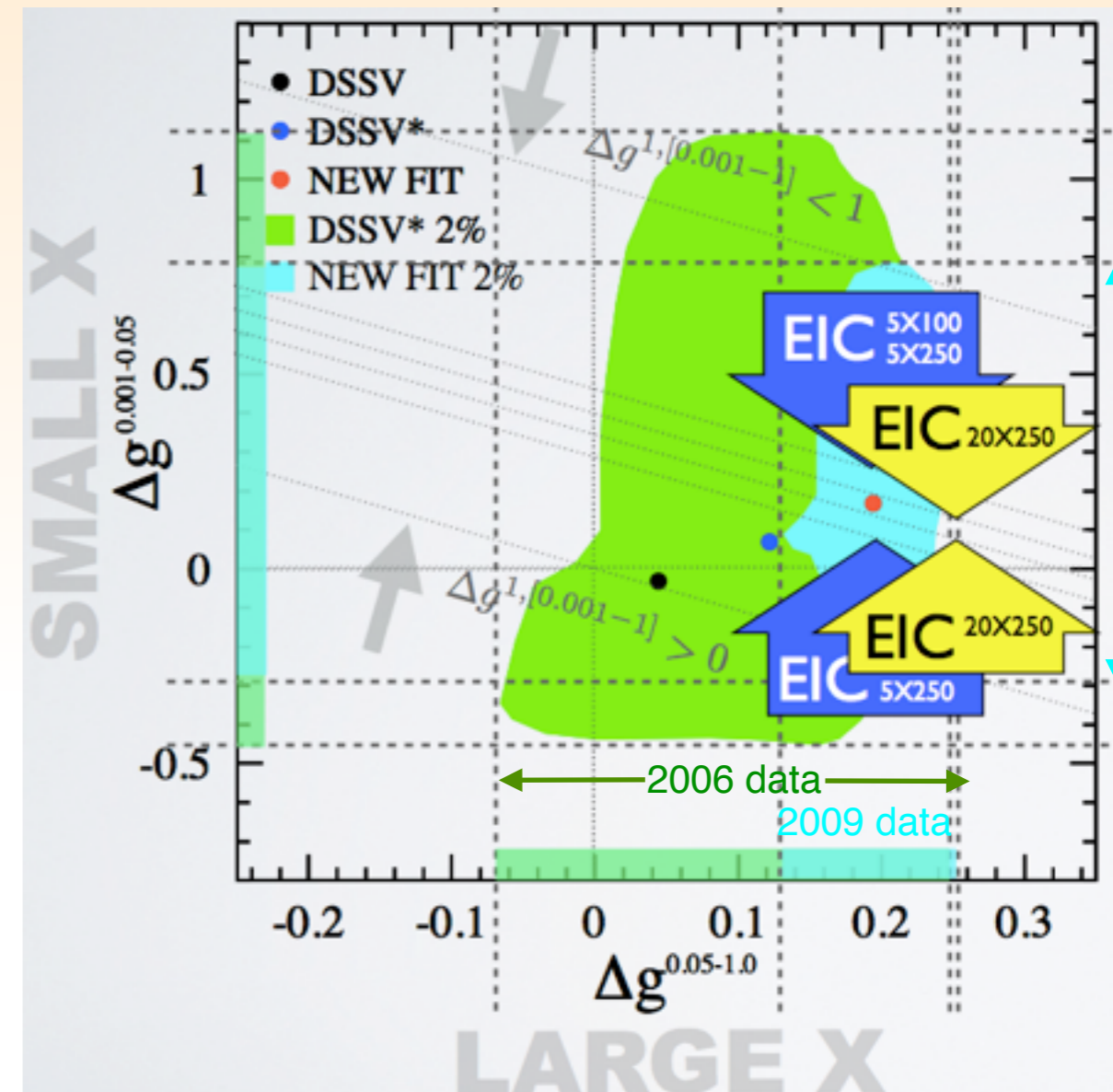
Spin puzzle:

- defining constraint on $\Delta G(x)$ for $x > 0.05$, smaller x is terra-icognita,
- fragmentation-free insight in Δu , Δd , $\Delta \bar{u}$, $\Delta \bar{d}$ strange (anti-)quarks?
- large forward transverse-spin phenomena origin?

Mid-term: forward upgrade(s) at RHIC

Longer-term: EIC

Rodolfo Sassot at 2013 Spin Summer Program



HERA - RHIC, JLab

Saturation:

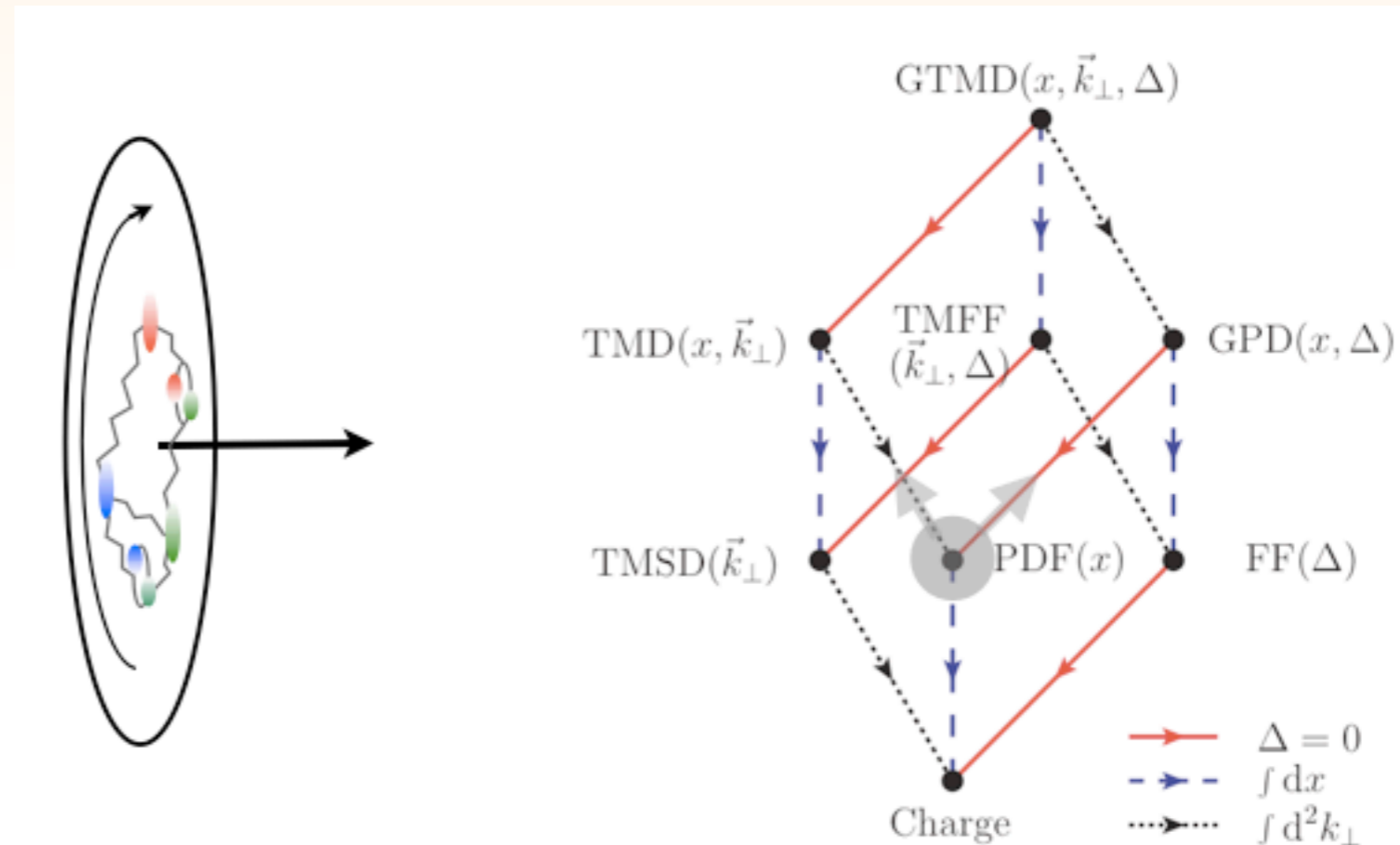
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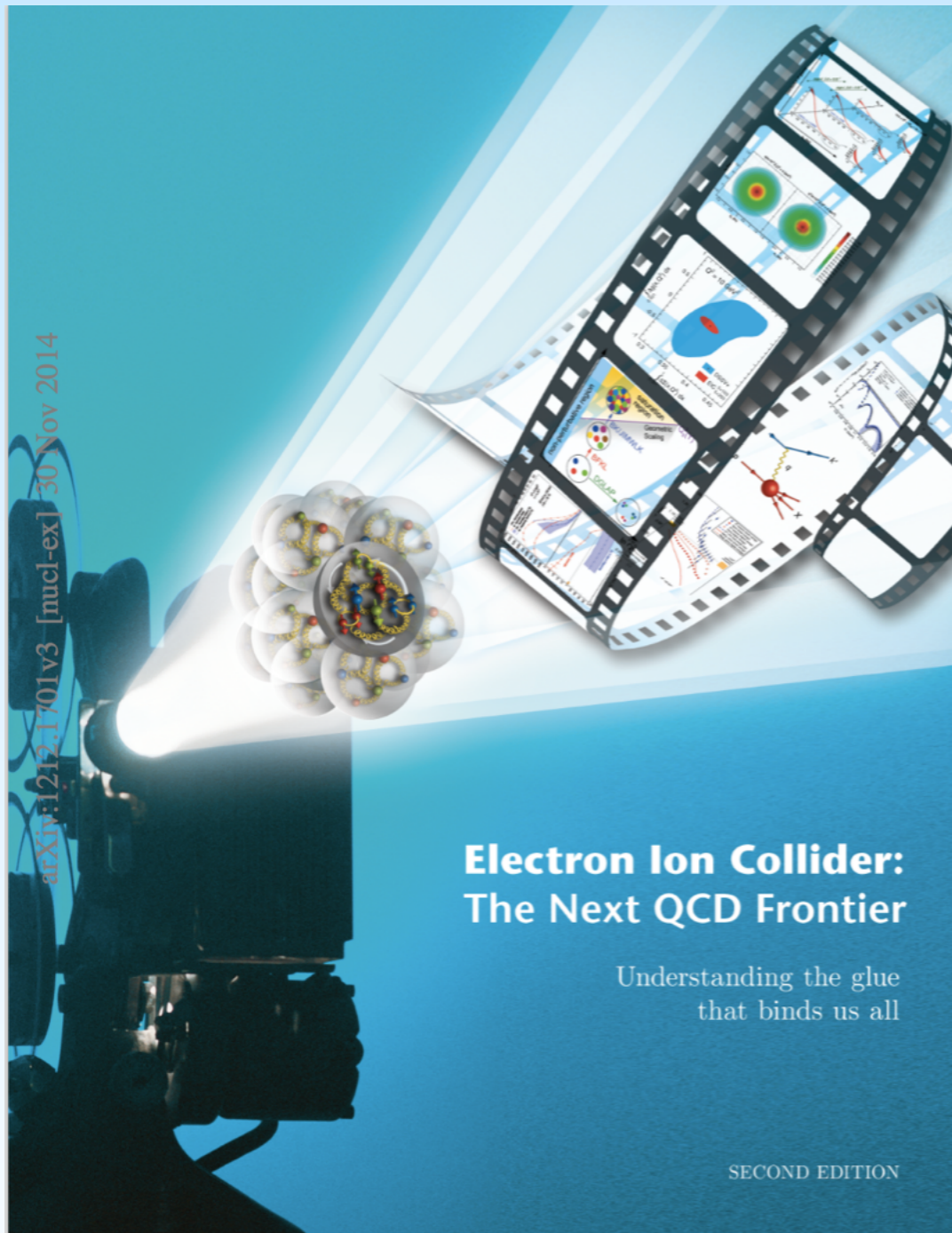
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- large forward transverse-spin phenomena origin?

Imaging / tomography:

- valence quark region, gluon region?



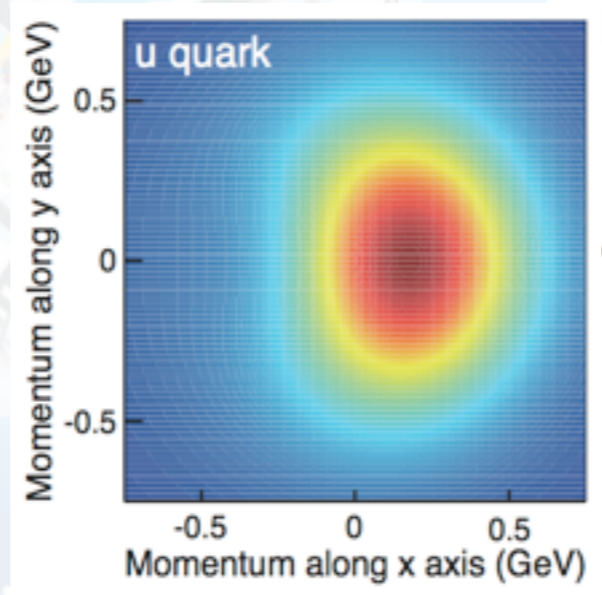
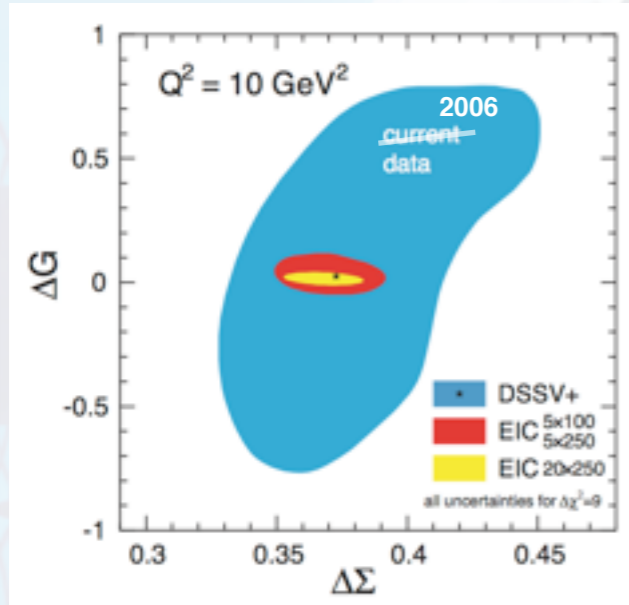
U.S. EIC Science Case



- *How are the sea quarks and gluons, and their spins, distributed in space and momentum inside the nucleus?*
- *Where does the saturation of gluon densities set in?*
- *How does the nuclear environment affect the distribution of quarks and gluons and their interactions in nuclei?*

Eur. Phys. J. A52 (2016) no.9, 268 - 284 citations

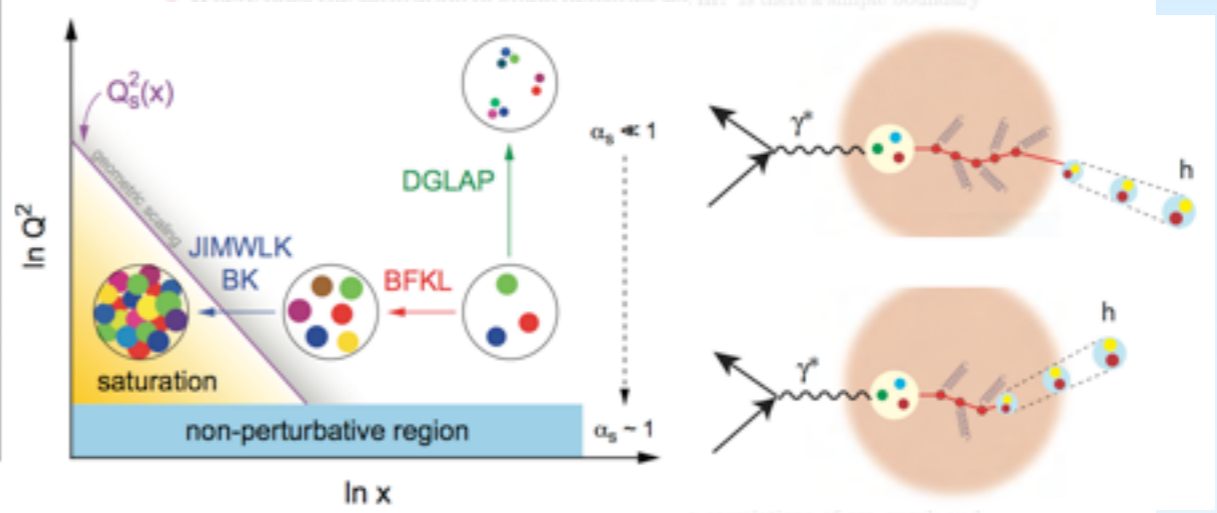
U.S. EIC Science Case and Measurements



coherent contributions from many nucleons effectively amplify the gluon density being probed.

The EIC was designated in the 2007 Nuclear Physics Long Range Plan as "embodying the vision for reaching the next QCD frontier" [1]. It would extend the QCD science programs in the U.S. established at both the CEBAF accelerator at JLab and RHIC at BNL in dramatic and fundamentally important ways. The most intellectually pressing questions that an EIC will address that relate to our detailed and fundamental understanding of QCD in this frontier environment are:

- How are the sea quarks and gluons, and their spins, distributed in space and momentum inside the nucleon? How are these quark and gluon distributions correlated with overall nucleon properties, such as spin direction? What is the role of the orbital motion of sea quarks and gluons in building the nucleon spin?
- Where does the saturation of gluon densities set in? Is there a simple boundary



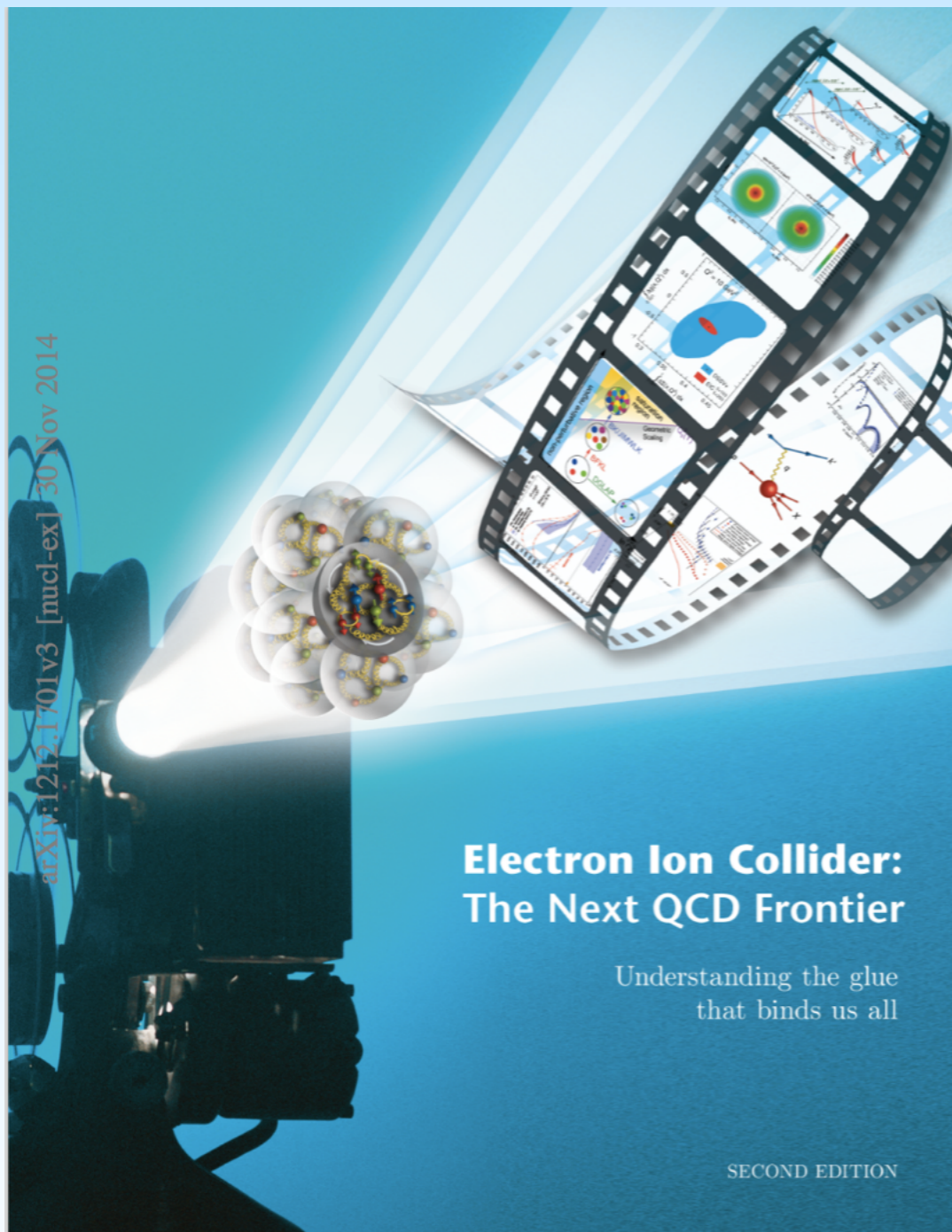
- correlations of sea quark and gluon distributions with the nucleon spin;
- Heavy ion beams are needed to provide precocious access to the regime of saturated gluon densities and offer a precise dial in the study of propagation-length for color charges in nuclear matter.

The EIC would be distinguished from all past, current, and contemplated facilities around the world by being at the intensity frontier with a versatile range of kinematics and beam polarizations, as well as beam species, allowing the above questions to be tackled at one facility. In particular, the EIC design exceeds the capabilities of HERA, the only electron-proton collider to date, by adding a) polarized proton and light-ion beams; b) a wide variety of heavy-ion beams; c) two to three orders of magnitude increase in luminosity to facilitate tomographic imaging; and d) wide energy variability to enhance the sensitivity to gluon distributions. Achieving these challenging technical improvements in a single facility will extend U.S. leadership in accelerator sci-

The Next QCD Frontier

Understanding the glue that binds us all

U.S. EIC Capabilities



Eur. Phys. J. A52 (2016) no.9, 268 - 284 citations

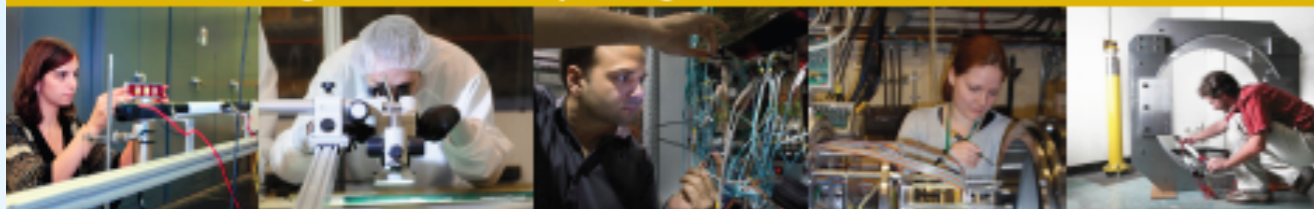
- *A collider to provide kinematic reach well into the gluon dominated regime,*
- *Electron beams provide the unmatched precision of the electromagnetic interaction as a probe,*
- *Polarized nucleon beams to determine the correlations of sea quark and gluon distributions with the nucleon spin,*
- *Heavy Ion beams to access the gluon-saturated regime and as a precise dial to study propagation of color charges in nuclear matter.*
- *Facility concepts (upgrades) at RHIC and at Jefferson Laboratory.*

U.S. - Electron Ion Collider

REACHING FOR THE HORIZON



The Site of the Wright Brothers' First Airplane Flight



The 2015
LONG RANGE PLAN
for **NUCLEAR SCIENCE**



Recommendation III

Gluons, the carriers of the strong force, bind the quarks together inside nucleons and nuclei and generate nearly all of the visible mass in the universe. Despite their importance, fundamental questions remain about the role of gluons in nucleons and nuclei. These questions can only be answered with a powerful new electron ion collider (EIC), providing unprecedented precision and versatility. The realization of this instrument is enabled by recent advances in accelerator technology.

We recommend a high-energy high-luminosity polarized EIC as the highest priority for new facility construction following the completion of FRIB.

The EIC will, for the first time, precisely image gluons in nucleons and nuclei. It will definitively reveal the origin of the nucleon spin and will explore a new quantum chromodynamics (QCD) frontier of ultra-dense gluon fields, with the potential to discover a new form of gluon matter predicted to be common to all nuclei.

This science will be made possible by the EIC's unique capabilities for collisions of polarized electrons with polarized protons, polarized light ions, and heavy nuclei at high luminosity.

April 2013
DIS at
Marseille

Possible QCD Developments

AdS/CFT

Instantons

Odderons

Non pQCD

QGP

N^k LO

Resummation

Non-conventional PDFs ...

Breaking of Factorisation

Free Quarks

Unconfined Color

New kind of coloured matter

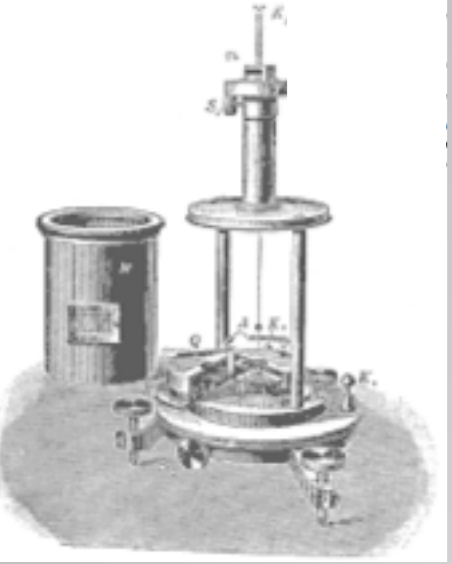
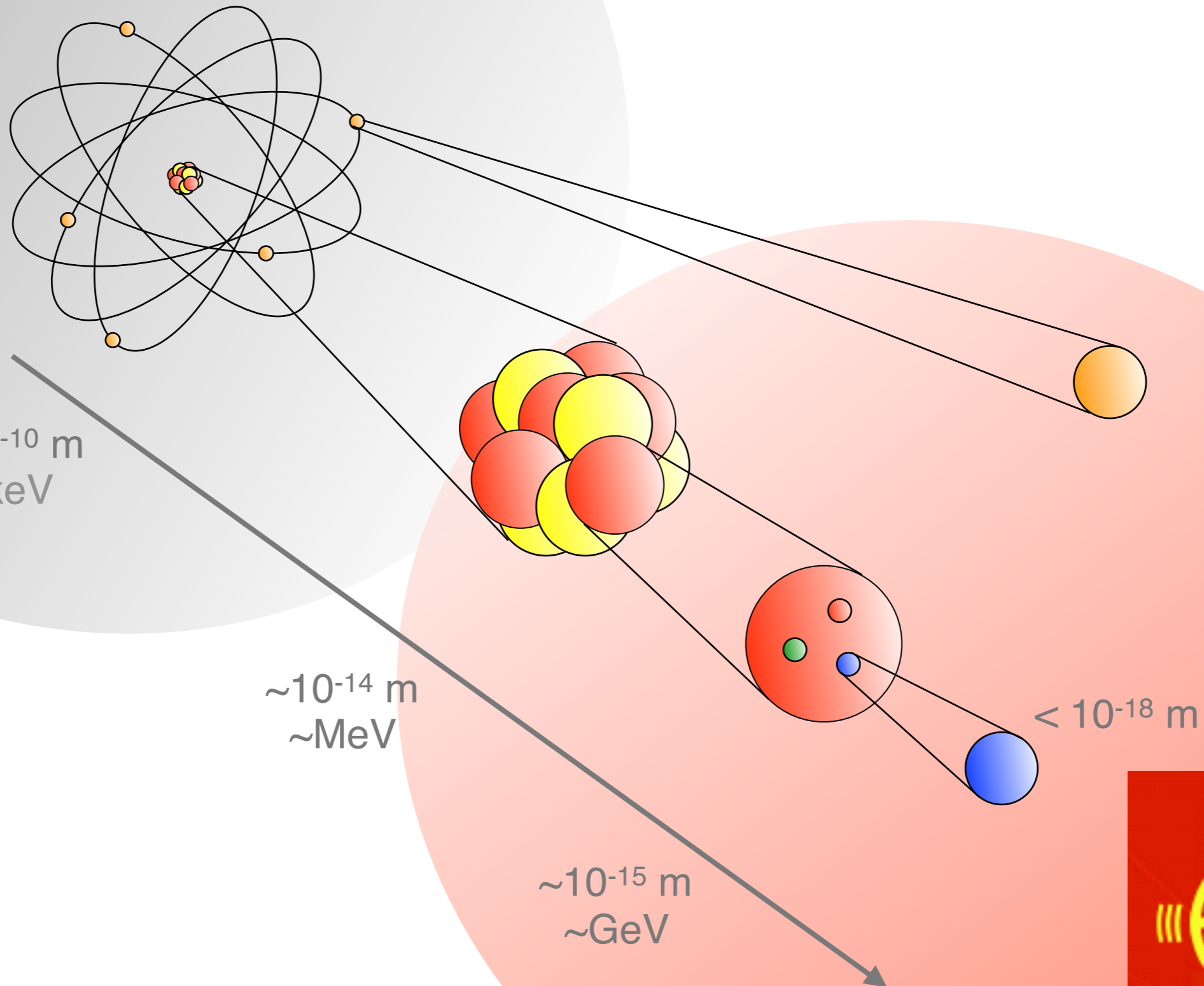
Quark substructure

New symmetry embedding QCD

QCD may break .. (Quigg DIS13)

QCD is the richest part of the Standard Model Gauge Field Theory and will (have to) be developed much further, on its own and as background

The future for experimental QCD can be broad and bright,



Let's make it happen.

