

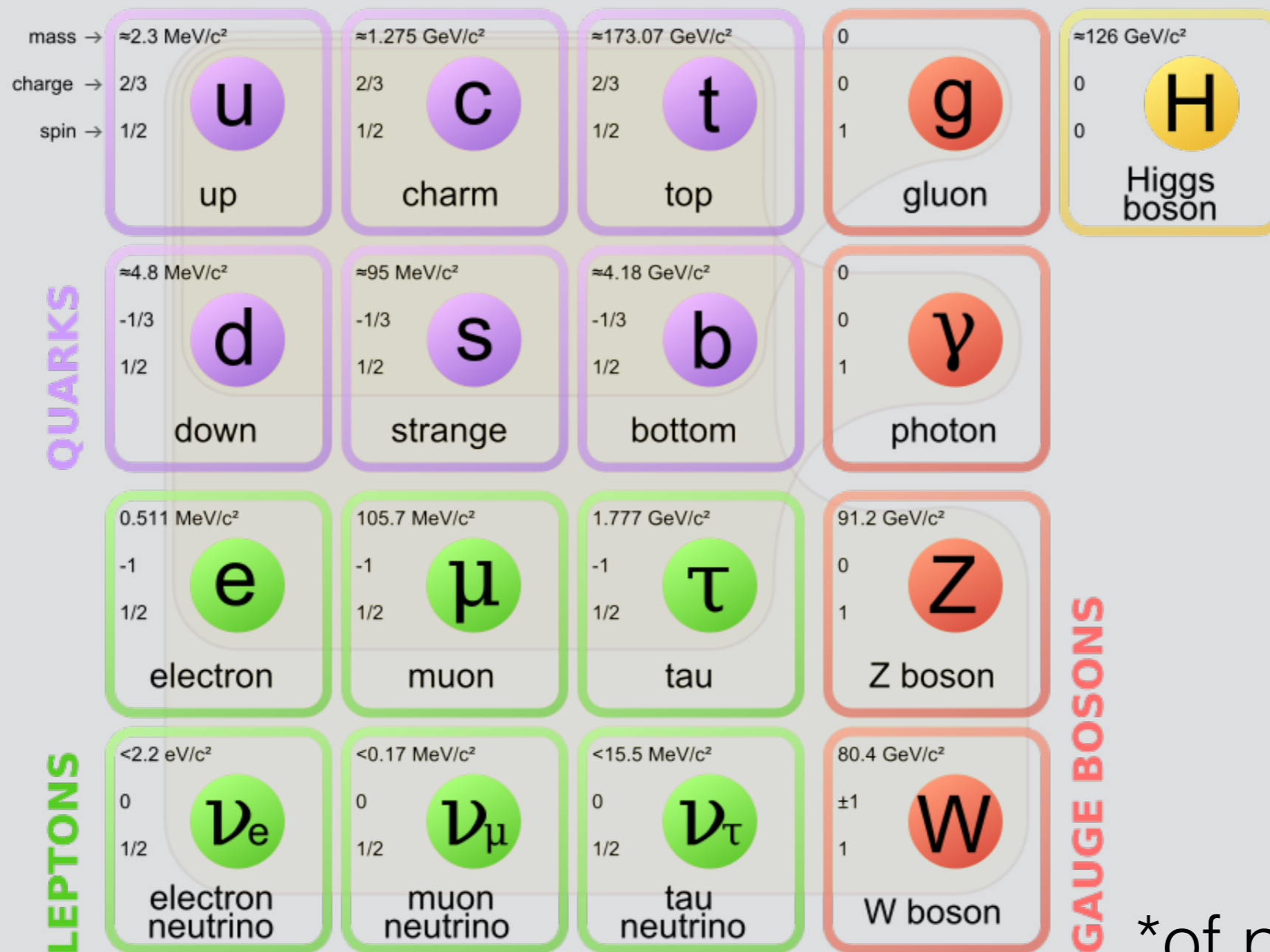
# Hadron spectroscopy and structure from Lattice QCD

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# The Standard Model\*

Modern picture with 28 free parameters

- gauge couplings ( $\alpha_s$ ,  $\alpha_W$ ,  $\alpha_{QED}$ ), masses ( $m_l$ ,  $m_q$ ), CKM and PMNS matrix, EWSB scale



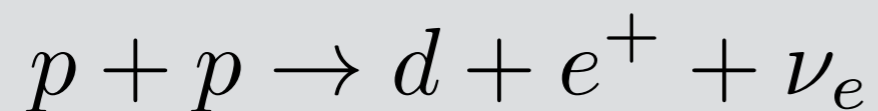
\*of particle physics

# Quantum Chromodynamics

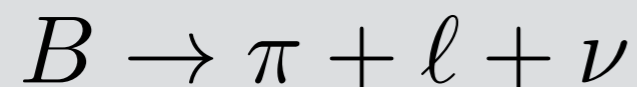
Theory of the strong interaction

Hadrons governed by QCD (which is most of our world!)

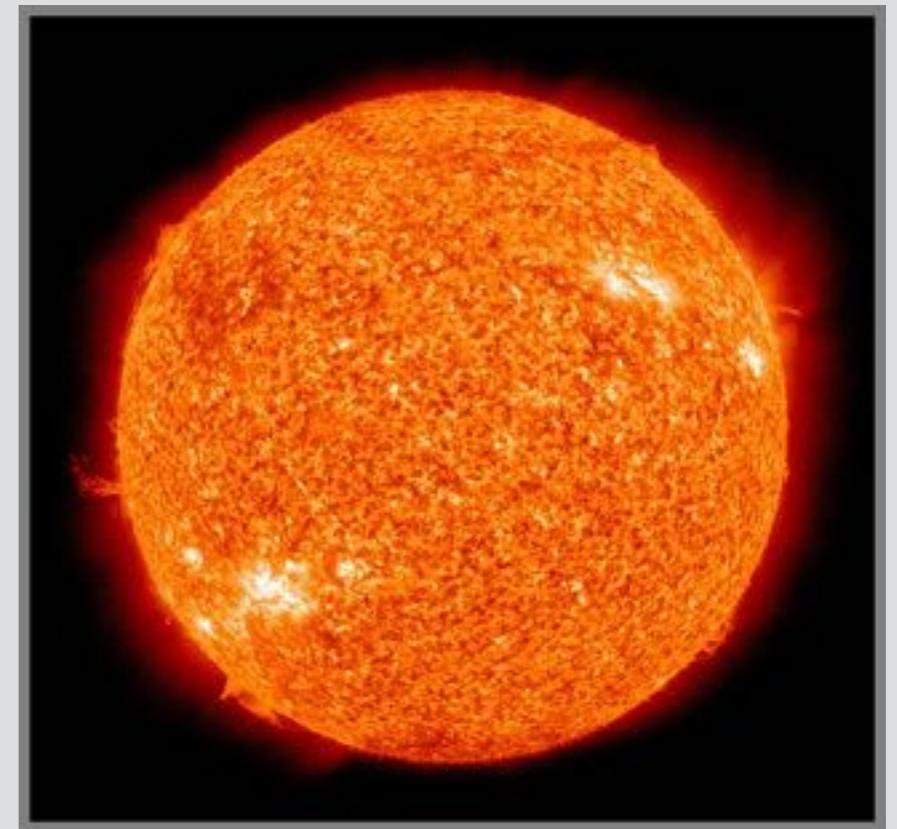
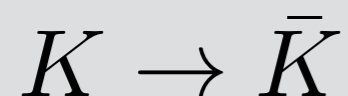
How our sun works!



Particle decay

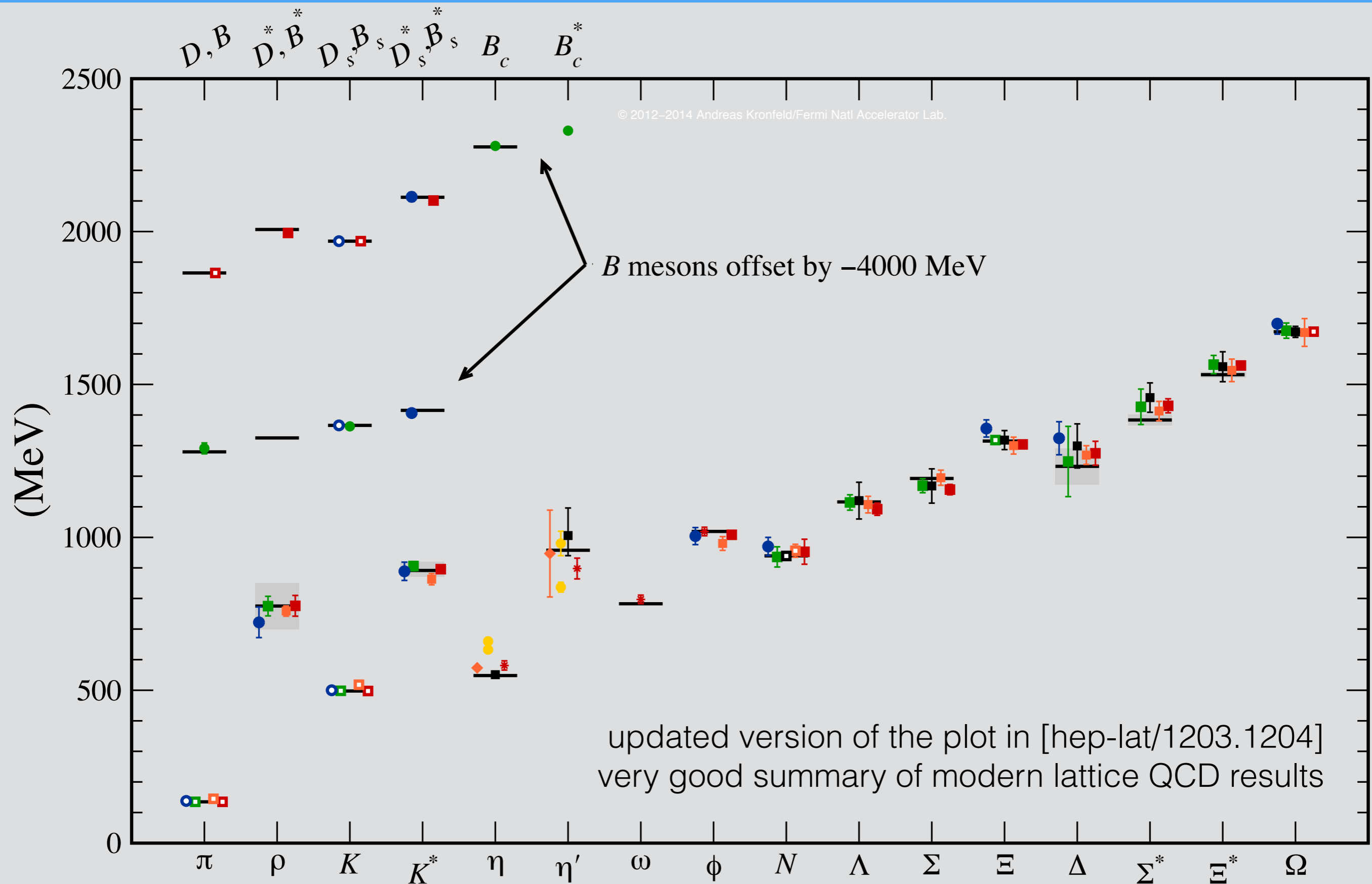


Neutral meson oscillations



Experiments involving hadrons need theoretical input from QCD at typical hadronic energy which is *non-perturbative*

# Lattice QCD spectroscopy



# Outline

Generating data from a QCD simulation

- How to construct a hadron (spectroscopy)
- How to describe hadrons interactions (structure)

What did we actually simulate?! (it's messy)

- Spectral decomposition of correlation functions

How do we get physics from all this mess!

- A sample of some data analysis techniques

# QCD vacuum on the computer

Numerically tackle QCD from Path Integral formulation

For any observable  $A$

$$\langle A \rangle = \frac{1}{Z} \int [d\psi][d\bar{\psi}][dU] A[\psi, \bar{\psi}, U] e^{-S_U - S_D}$$

Wick-rotate to imaginary time

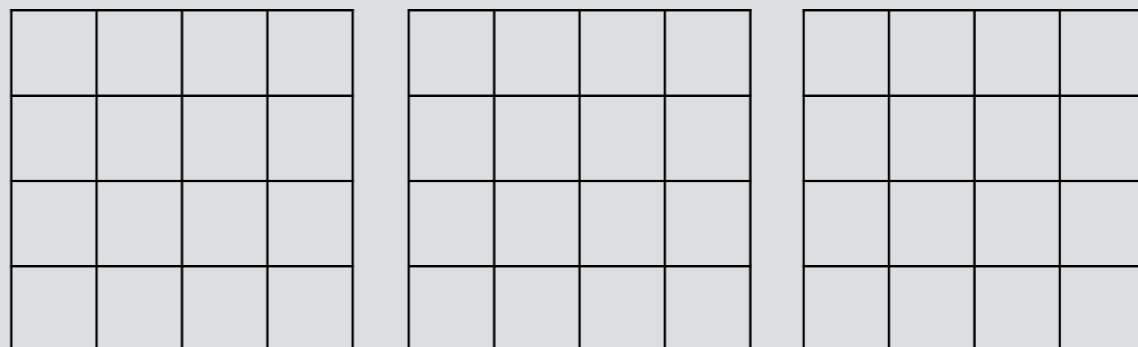
(integral becomes local so it fits on a computer)

(Very) High-dimensional integral (x, y, z, t, spin, color)

Monte Carlo integration only affordable method

$$U \sim e^{-S_U + \ln \det(\not{D} + m)}$$

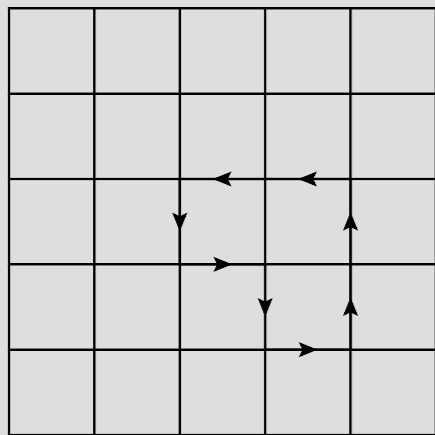
(importance sampling)



weighted average is now a simple average

$$\langle \Omega | A | \Omega \rangle \simeq \frac{1}{N} \sum_{n=1}^N A(U_n) \quad \text{where} \quad U \sim e^{-S_U + \ln \det(\not{D} + m)}$$

reuse gauge configurations for different  $A$  (otherwise calculations will be unaffordable) at 1 cent / cpu hour (electric bill) the U's cost millions of dollars

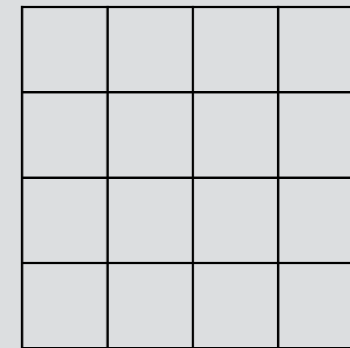


- valence quarks live on lattice sites
- sites are connected by links (gauge fields, parallel transport operators)
- observables are closed loops (gauge invar.)

[hep-lat/0506036] (very good introductory paper to lattice QCD)

# Making hadrons on the lattice

Have many observations of the QCD vacuum  
Now make a hadron!



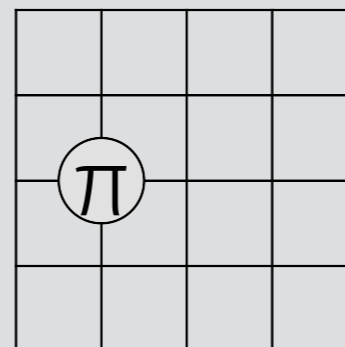
What is a ground state pion? (look at PDG)

- two valence light quarks (isospin symmetric limit)
- spin zero
- angular momentum zero (s-wave)
- radial excitation zero
- negative parity

$$J^P = 0^-$$

Guess the creation operator!

$$\pi \equiv \bar{q}_i^a \gamma_5^{ij} q_j^a$$



spherical symm.  
pseudoscalar  
no spin



# Making correlation functions

Two-point correlation function

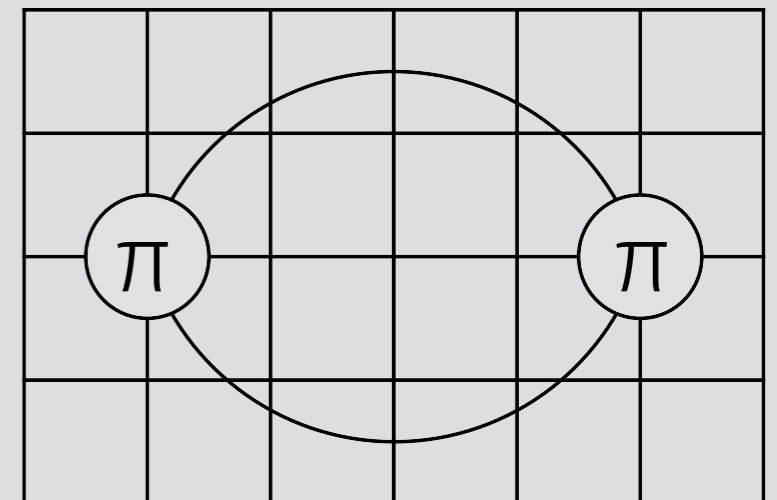
$$C^{2pt}(x, 0) = \langle T \{ \bar{q}_i^a(x) \gamma_5^{ij} q_j^a(x) \bar{q}_k^b(0) \gamma_5^{kl} q_l^b(0) \} \rangle$$

Rewrite as quark propagators  $L_{ij}^{ab}(x, 0) = q_i^a(x) \bar{q}_j^b(0)$

$$\begin{aligned} C^{2pt}(x, 0) &= - L_{li}^{ba}(0, x) \gamma_5^{ij} L_{jk}^{ab}(x, 0) \gamma_5^{kl} \\ &= - \text{Tr}_{c,D} \{ L^\dagger(x, 0) L(x, 0) \} \end{aligned}$$

Propagator is inverse of Dirac operator (a matrix)

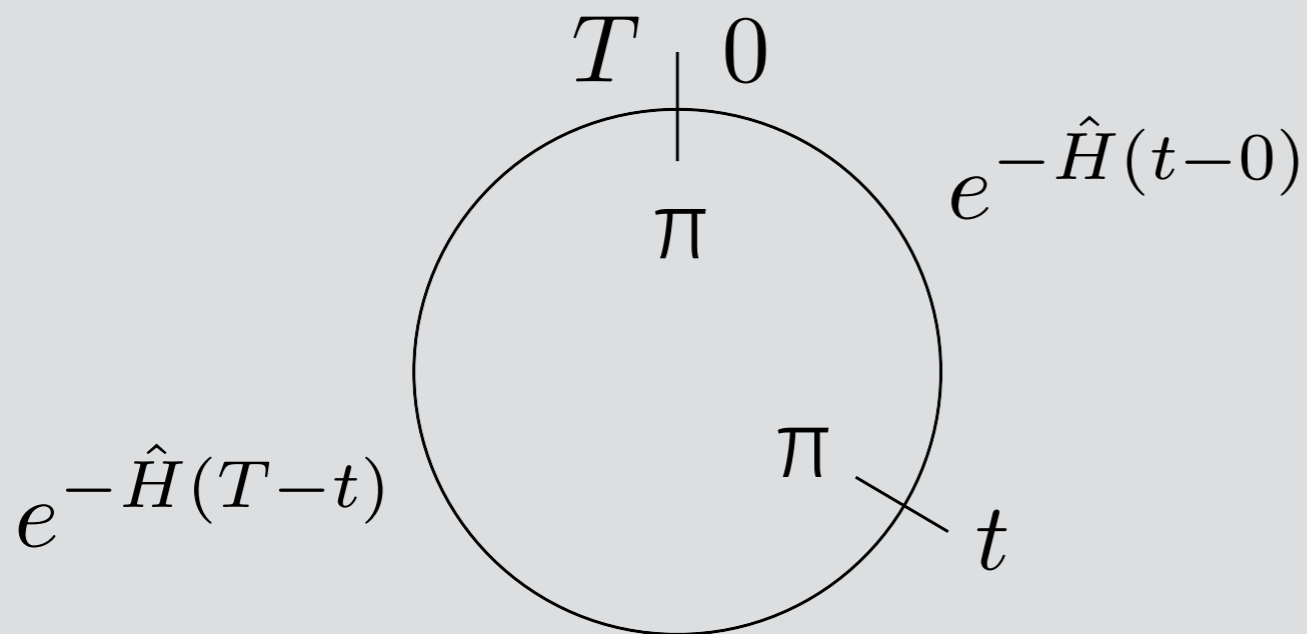
This is how a correlation function is calculated!



# Spectral decomposition

We calculated a pion + junk

How do we get the pion out of this?



resolution of the identity

$$1 = \sum_n \frac{|n\rangle\langle n|}{2E_n}$$

the pion creation operator couples to radial excitations

$$C^{2pt}(t) = \sum_{n,m} \frac{1}{4E_n E_m} \langle n | \bar{\pi} e^{-\hat{H}(t)} | m \rangle \langle m | \pi e^{-\hat{H}(T-t)} | n \rangle$$

# Spectral decomposition

Project out energy eigenstates

Assume zero temperature (Large box size in time)

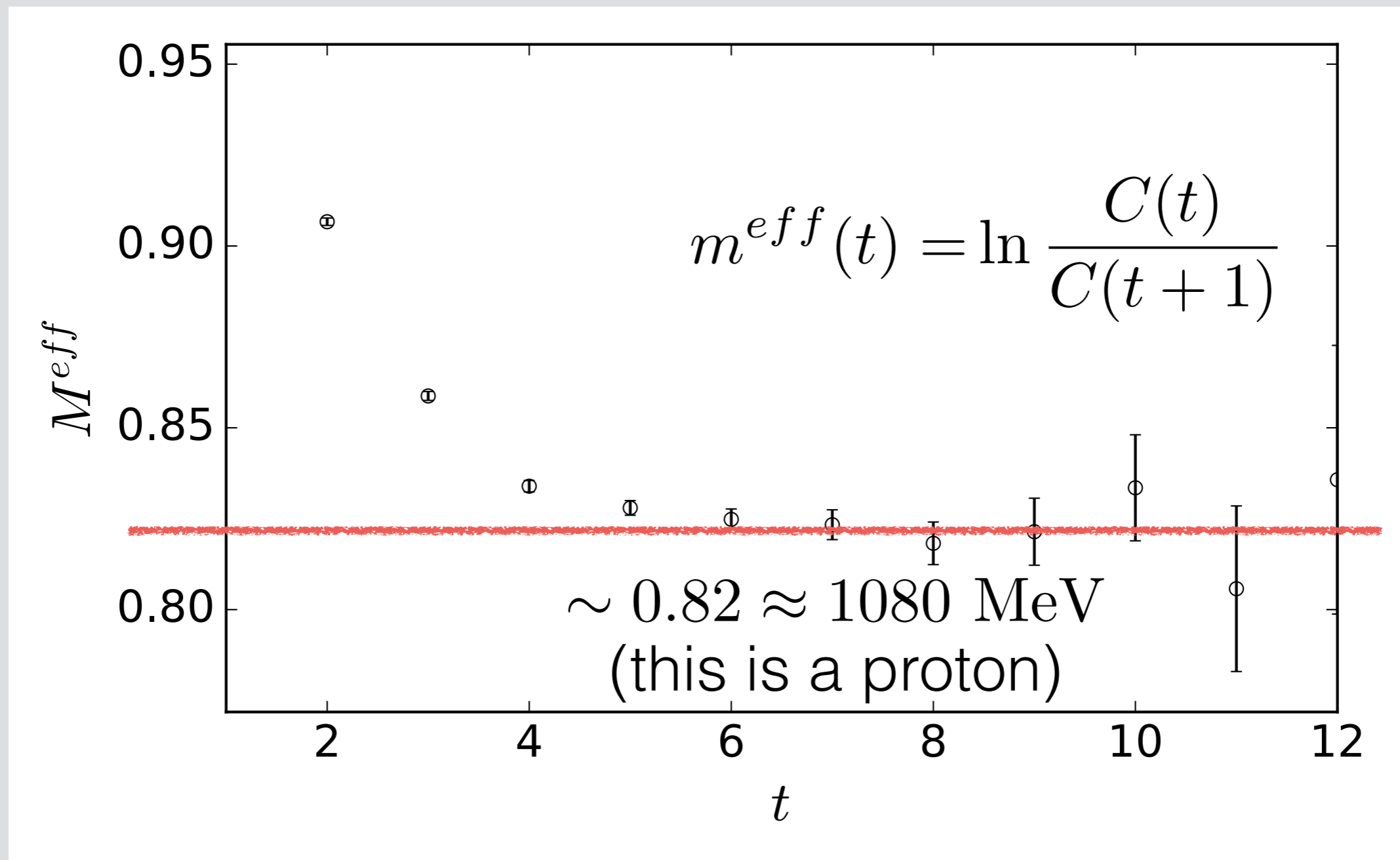
$$\begin{aligned} C^{2pt}(t) &= \sum_{n,m} \frac{1}{4E_n E_m} \langle n | \bar{\pi} e^{-\hat{H}(t)} | m \rangle \langle m | \pi e^{-\hat{H}(T-t)} | n \rangle \\ &= \sum_{n,m} \frac{e^{-E_m t} e^{-E_n (T-t)}}{4E_n E_m} \langle n | \bar{\pi} | m \rangle \langle m | \pi | n \rangle \\ &\simeq \sum_n \left[ \frac{e^{-E_n t}}{2E_n} \langle \Omega | \bar{\pi} | n \rangle \langle n | \pi | \Omega \rangle + \frac{e^{-E_n (T-t)}}{2E_n} \langle \Omega | \pi | n \rangle \langle n | \bar{\pi} | \Omega \rangle \right] \end{aligned}$$

We have an infinite sum of exponentials...

# Getting the pion mass

We have 1) data 2) spectral decomposition

$$C^{2pt}(t) = - \sum_{\vec{x}} \text{Tr}_{c,D} \{L^\dagger L\} \quad C^{2pt}(t) \simeq \sum_n \frac{Z_n^2}{2E_n} e^{-E_n t}$$



# Data distribution

We want the distribution of the **mean**

central limit theorem promises multivariate normal

$$P(C_t|Z, E) = \frac{1}{\sqrt{(2\pi)^\nu |\Sigma|}} e^{-\frac{1}{2} (y-\mu)_{t_1} \Sigma_{t_1, t_2}^{-1} (y-\mu)_{t_2}}$$
$$\propto e^{-\chi_{\text{data}}^2/2}$$

where  $\Sigma$  is the standard error of the mean squared

$$\Sigma_{t_1, t_2} = \frac{1}{N} \left[ \frac{1}{N} \sum_{i,j} (C_i - \mu)_{t_1} (C_j - \mu)_{t_2} \right]$$

# Getting mass the frequentist way

Perform a maximum likelihood estimate of parameters

Likelihood = Probability of finding data given parameters

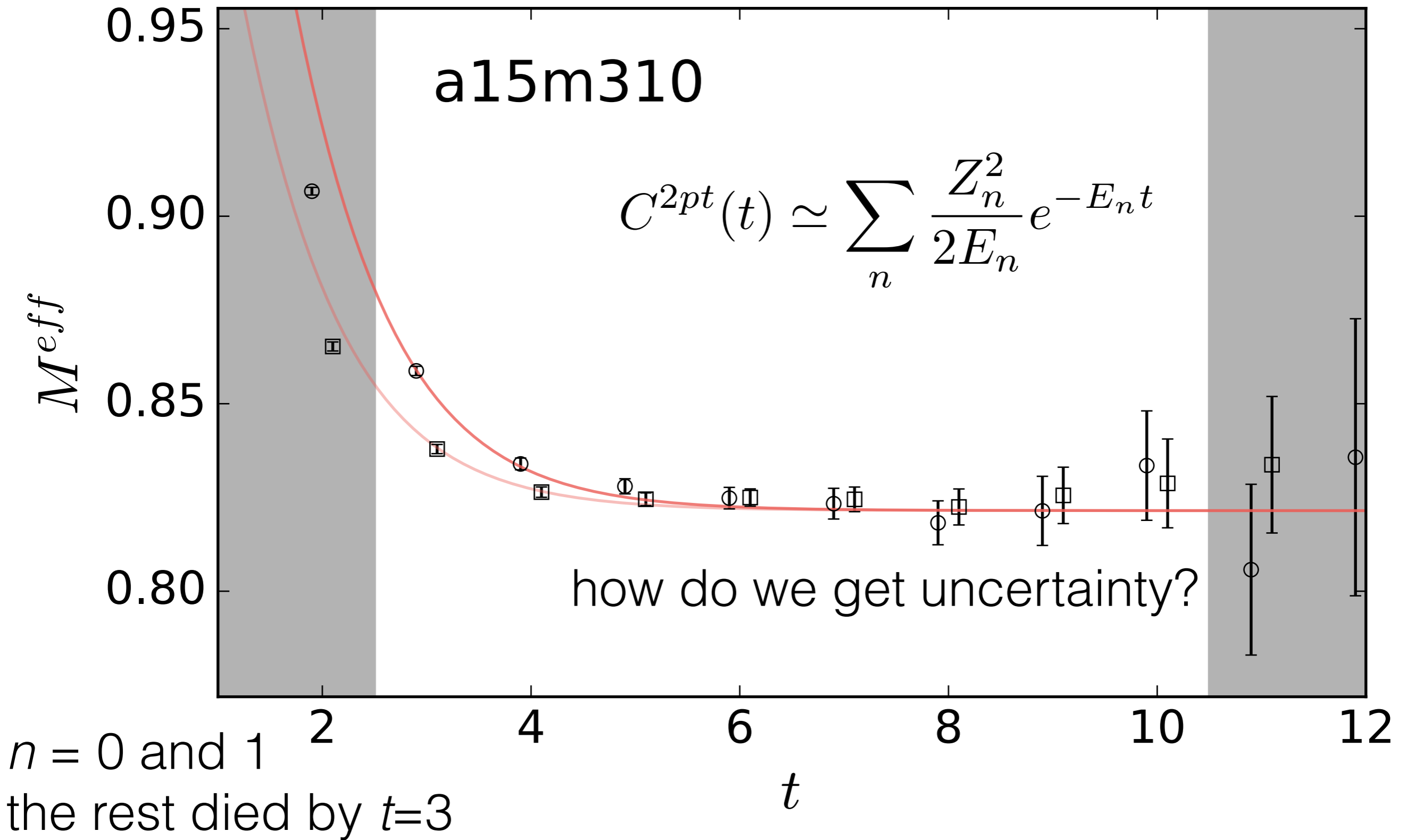
$$L \sim e^{-\frac{1}{2} [C^{2pt}(t) - \overline{\text{data}}(t)]^T \Sigma^{-1} [C^{2pt}(t) - \overline{\text{data}}(t)]}$$

Minimize the  $\chi^2$  to get best fit to data

Take data and try to fit with  
(truncate the sum)

$$C^{2pt}(t) \simeq \sum_n \frac{Z_n^2}{2E_n} e^{-E_n t}$$

# Getting mass the frequentist way



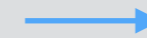
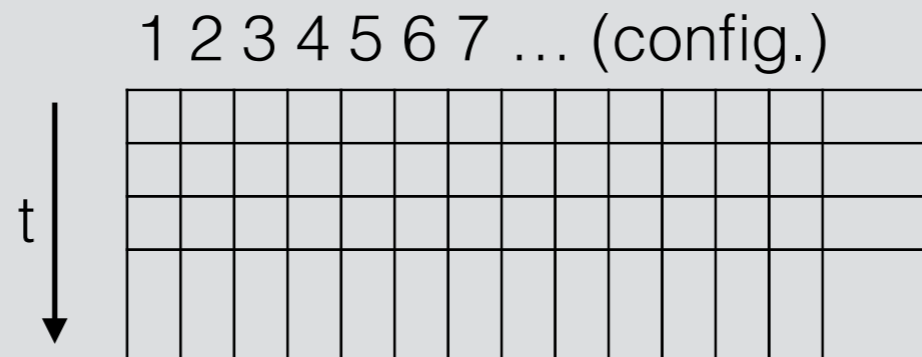
# Frequentist error estimation

Bootstrap resampling

**boot0**

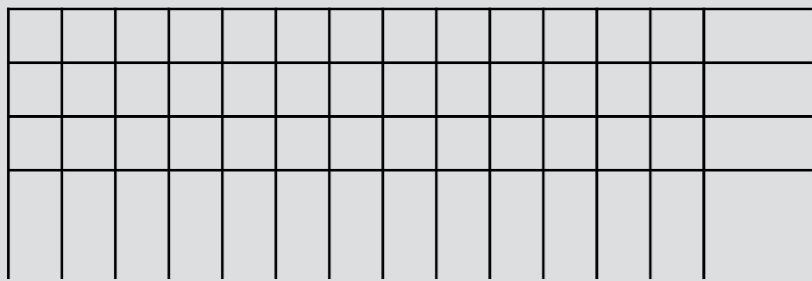
fit this data  
get results for  
fit parameters  
(masses, etc)

original data



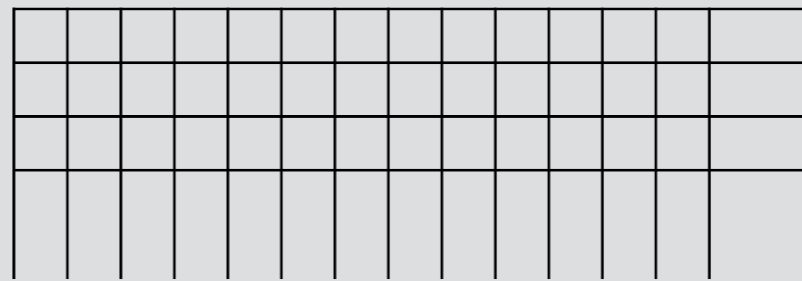
draw with replacement

4 7 7 8 2 1 9 1 2 ...



**bootstrap resample 1**

5 7 8 8 2 1 9 8 3 ...



**bootstrap resample 2**

... repeat

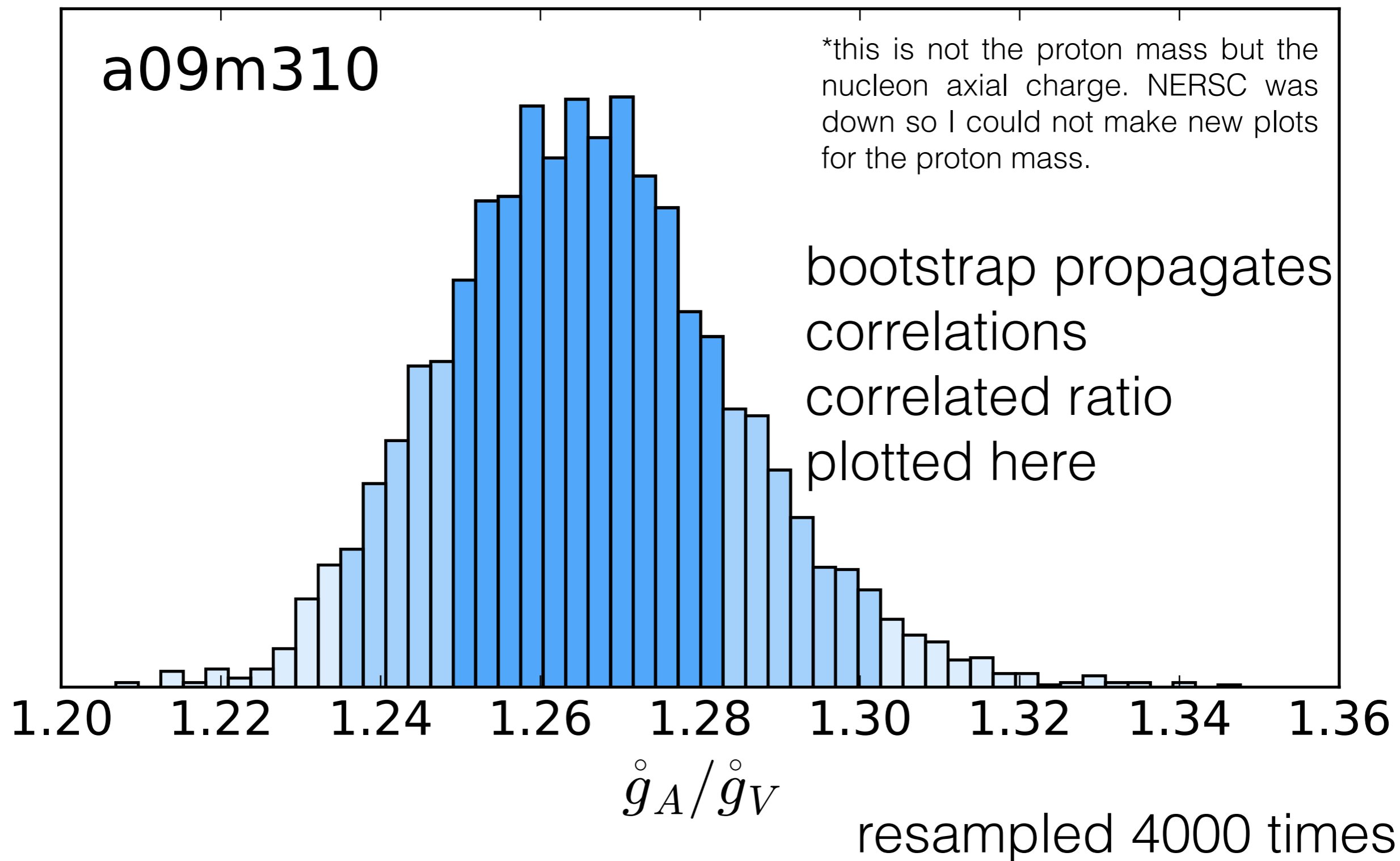
fit data get result

fit data get result

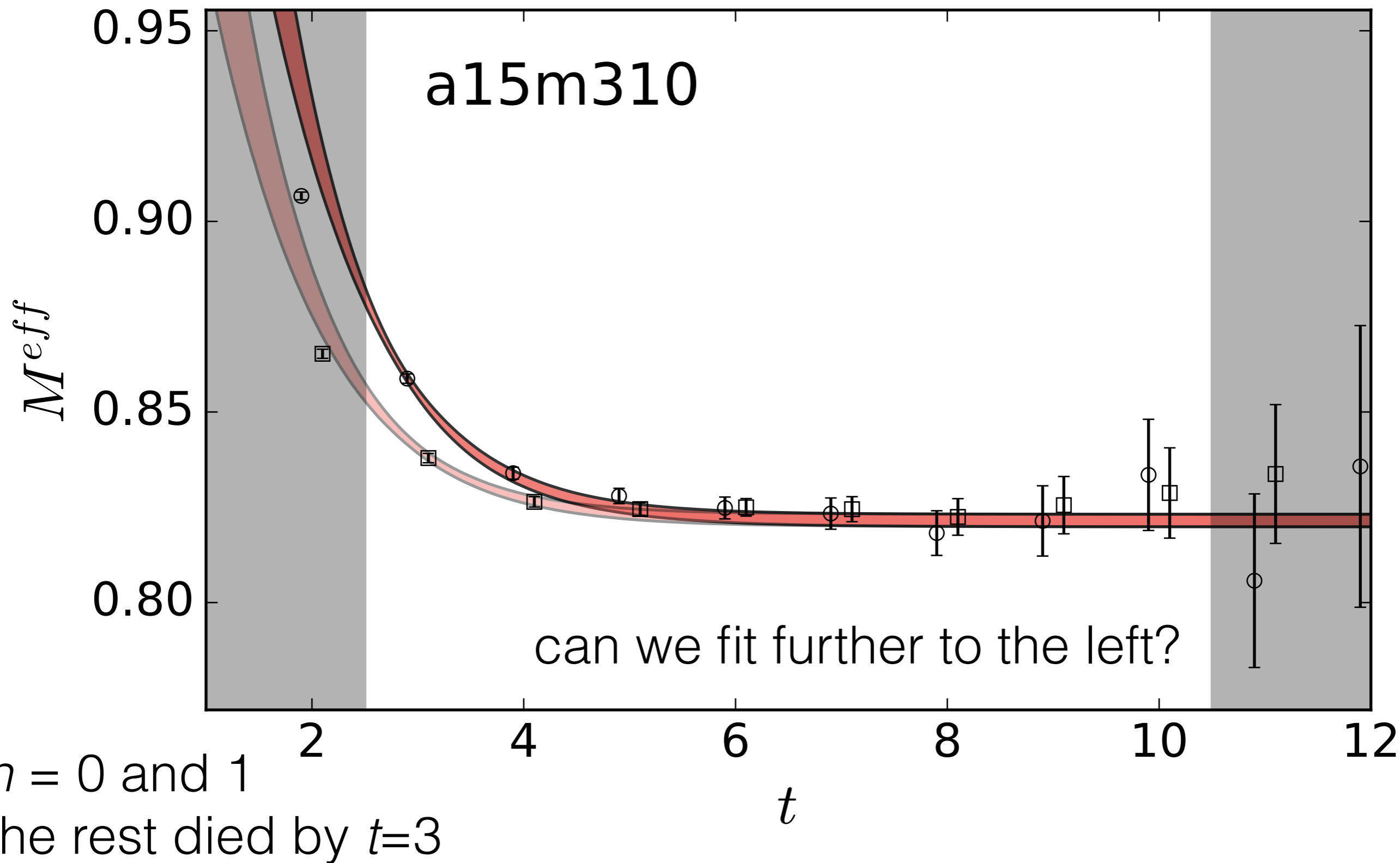


# Bootstrap histograms

Result of the bootstrap resampling on a fit parameter



# Reconstruct fit with bootstrap



# Bayesian constraint curve fit

sum of exponentials is ill-conditioned  
motivate constraint via Bayes theorem

$$P(A|B) = \frac{P(B|A)P(A)}{P(B)}$$

mean distribution of fit parameters are normal also

$$P(Z, E) \propto e^{-\chi_{\text{prior}}^2/2}$$

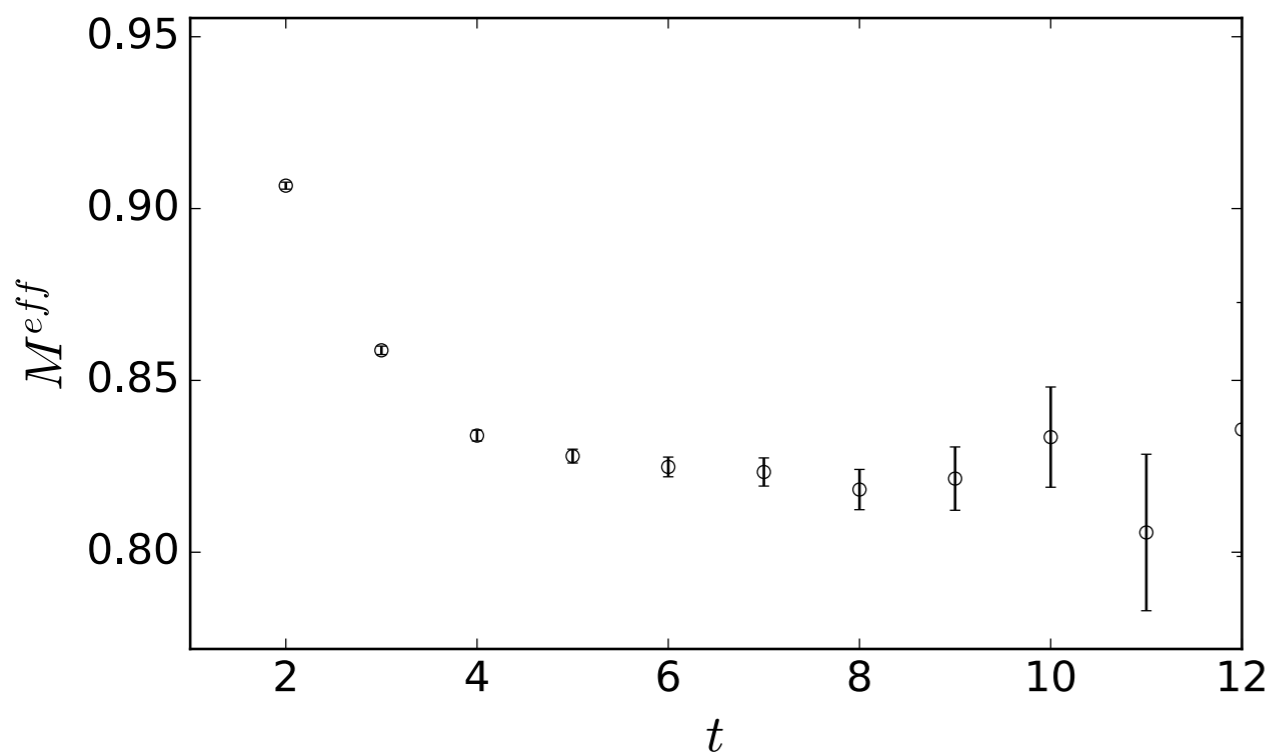
- we have approximate conjugate priors
- normalization factor is trivial

we do not need MC to obtain the posterior distribution

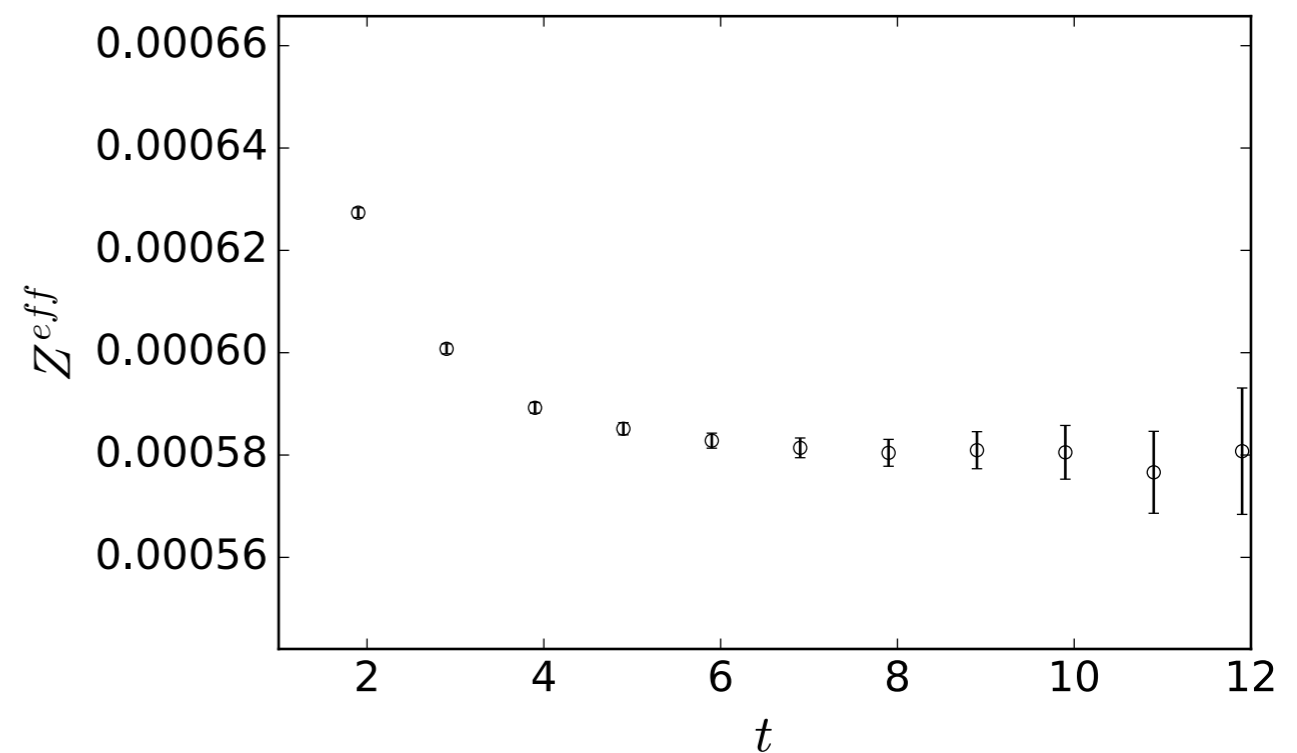
$$P(Z, E|C_t) \propto e^{-(\chi_{\text{data}}^2 + \chi_{\text{prior}}^2)/2}$$

# Prior constraints

## Ground state energy



## Ground state overlap

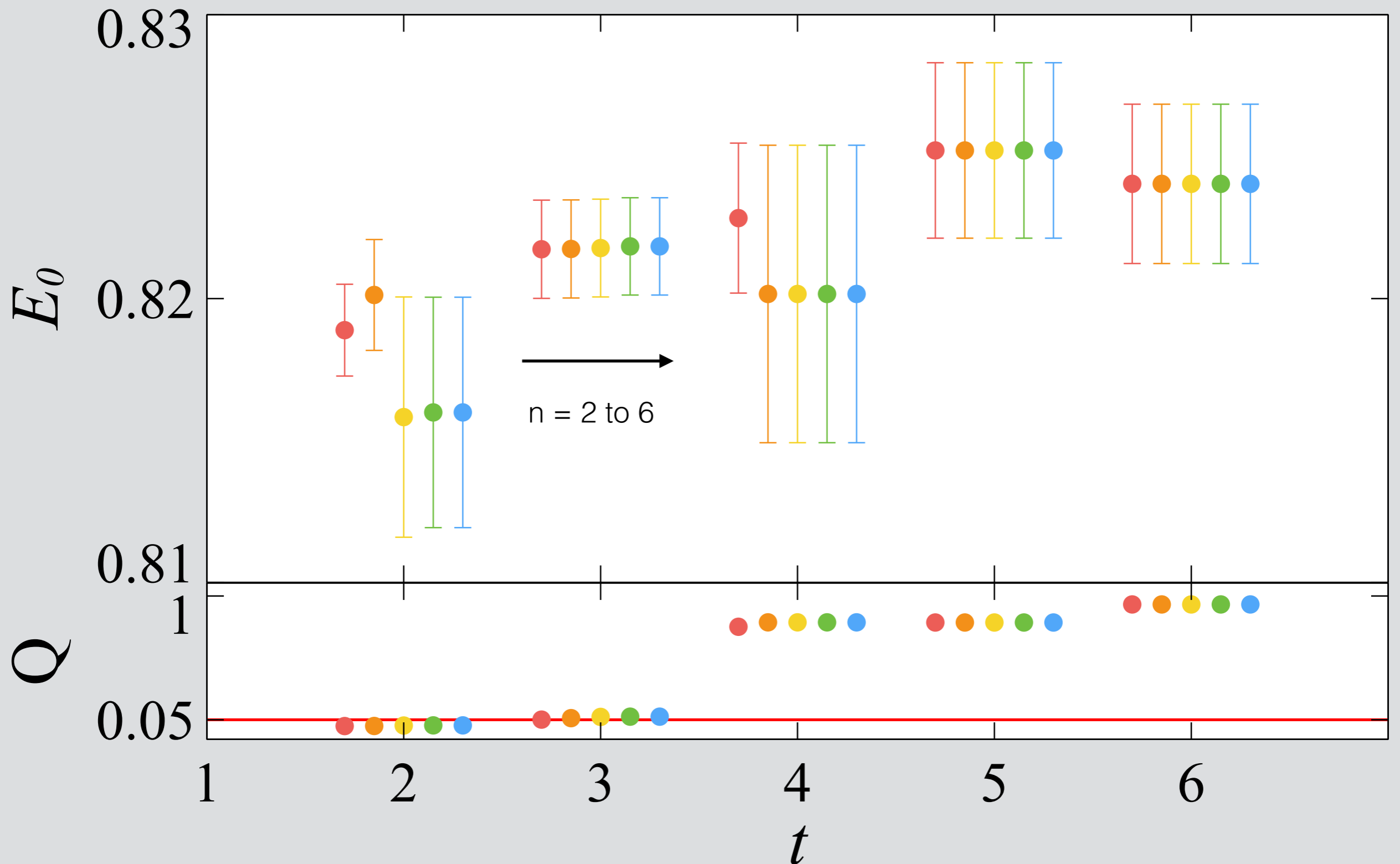


Excited state energy  $\sim$  Roper resonance

Excited state overlap  $\sim$  same order of magnitude

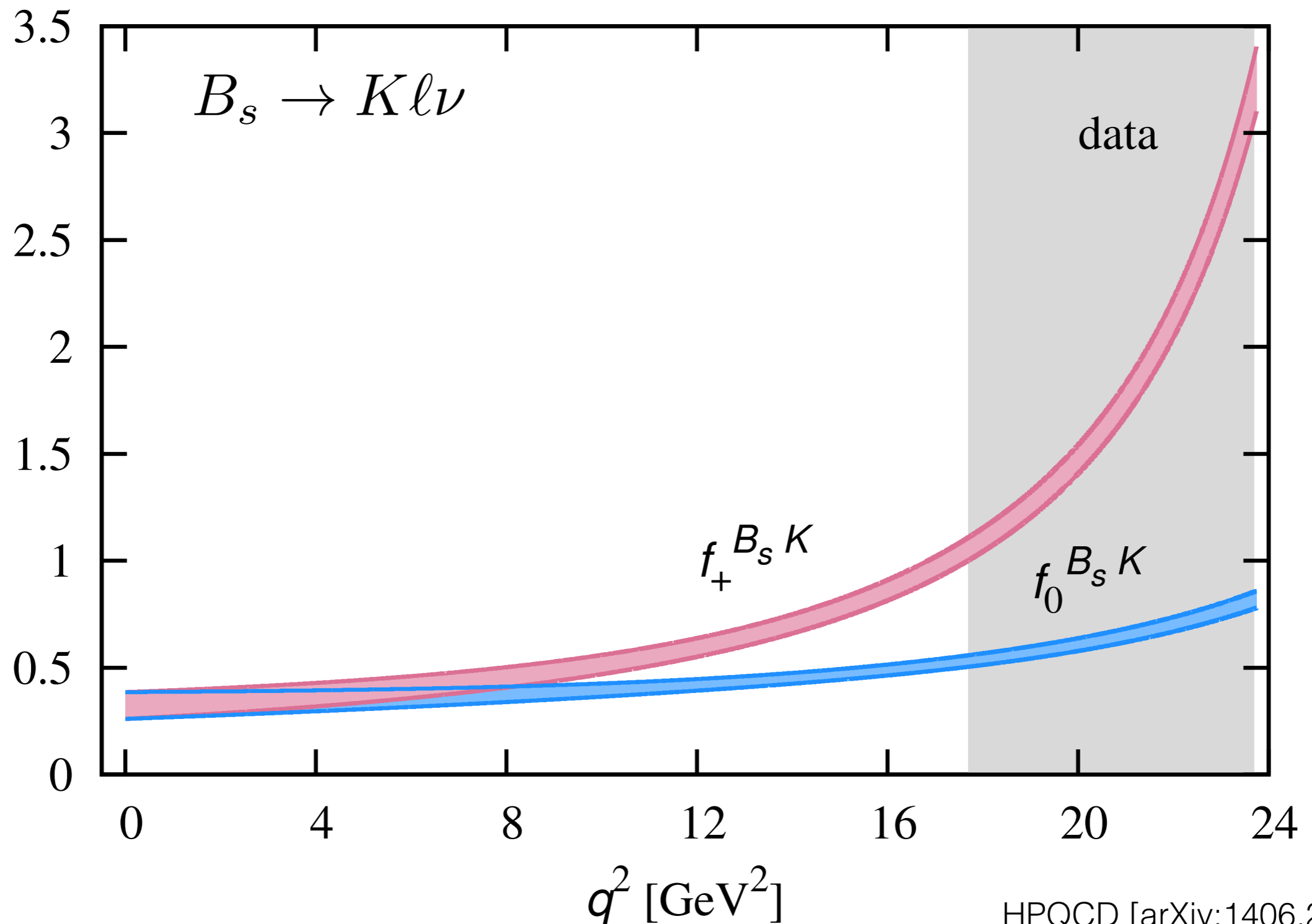
# Stability plot

Ok... for this dataset  $t=3$  is the best we can do...



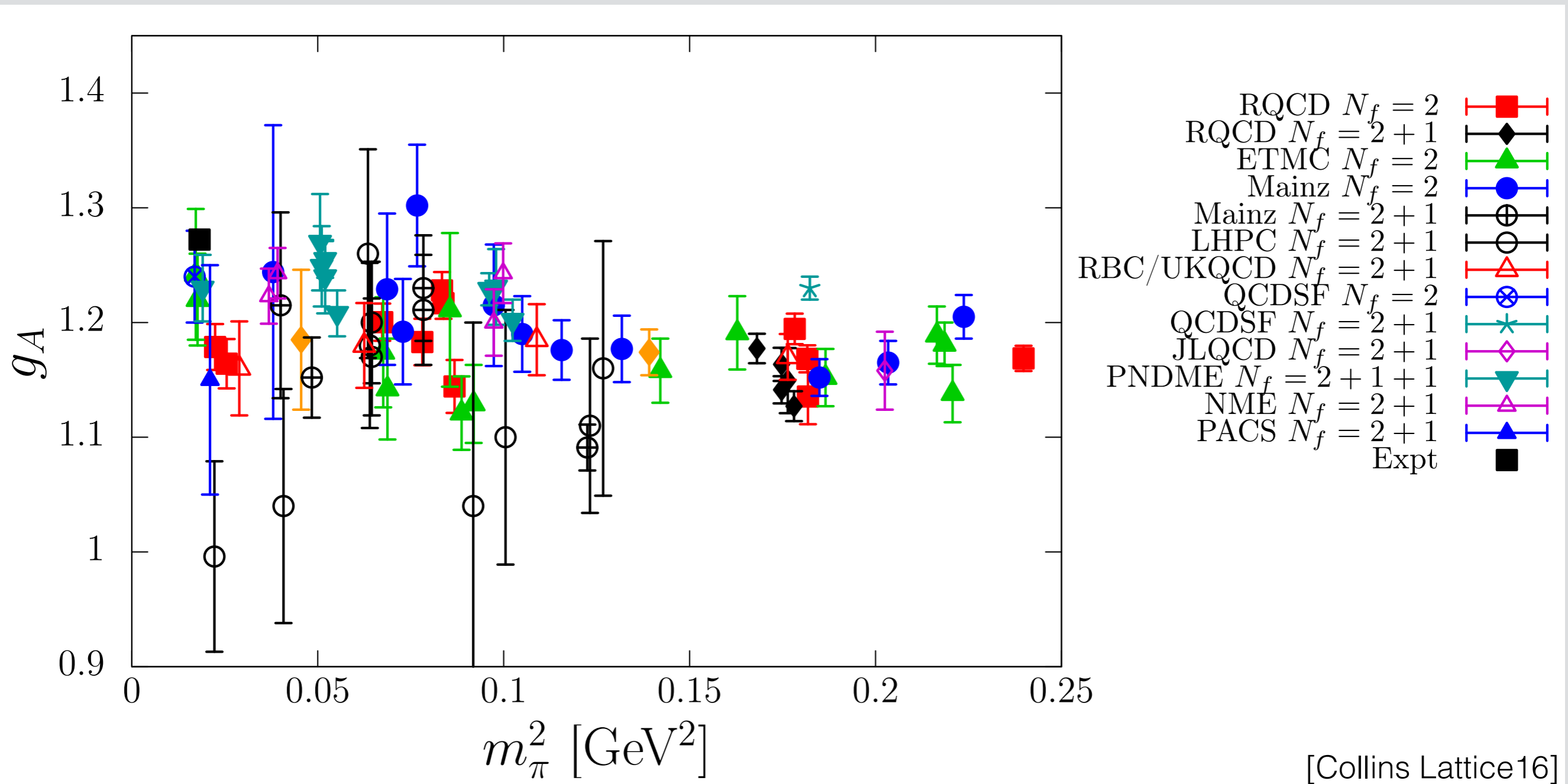
# Structure calculations

Understand how hadrons interact with other particles



# Nucleon axial charge

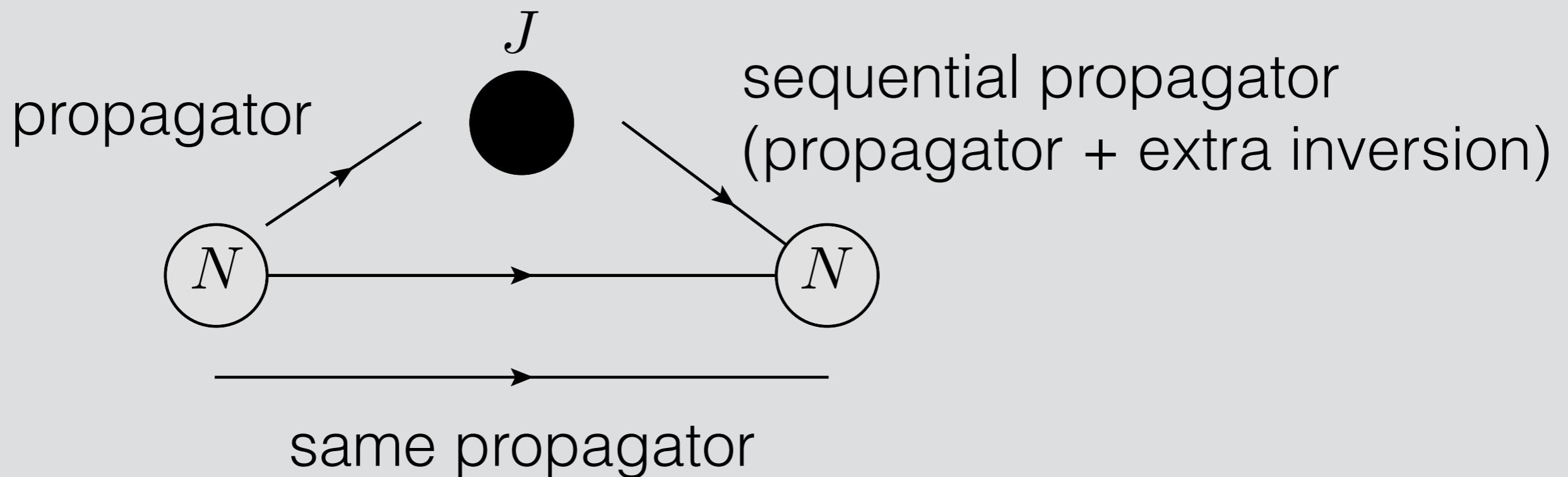
Benchmark calculation of lattice QCD for nuclear physics



Simplest structure calculation for baryons  
Lattice calculations systematically low (problem!)

# Three-point correlation functions

Insert axial-vector current between nucleons



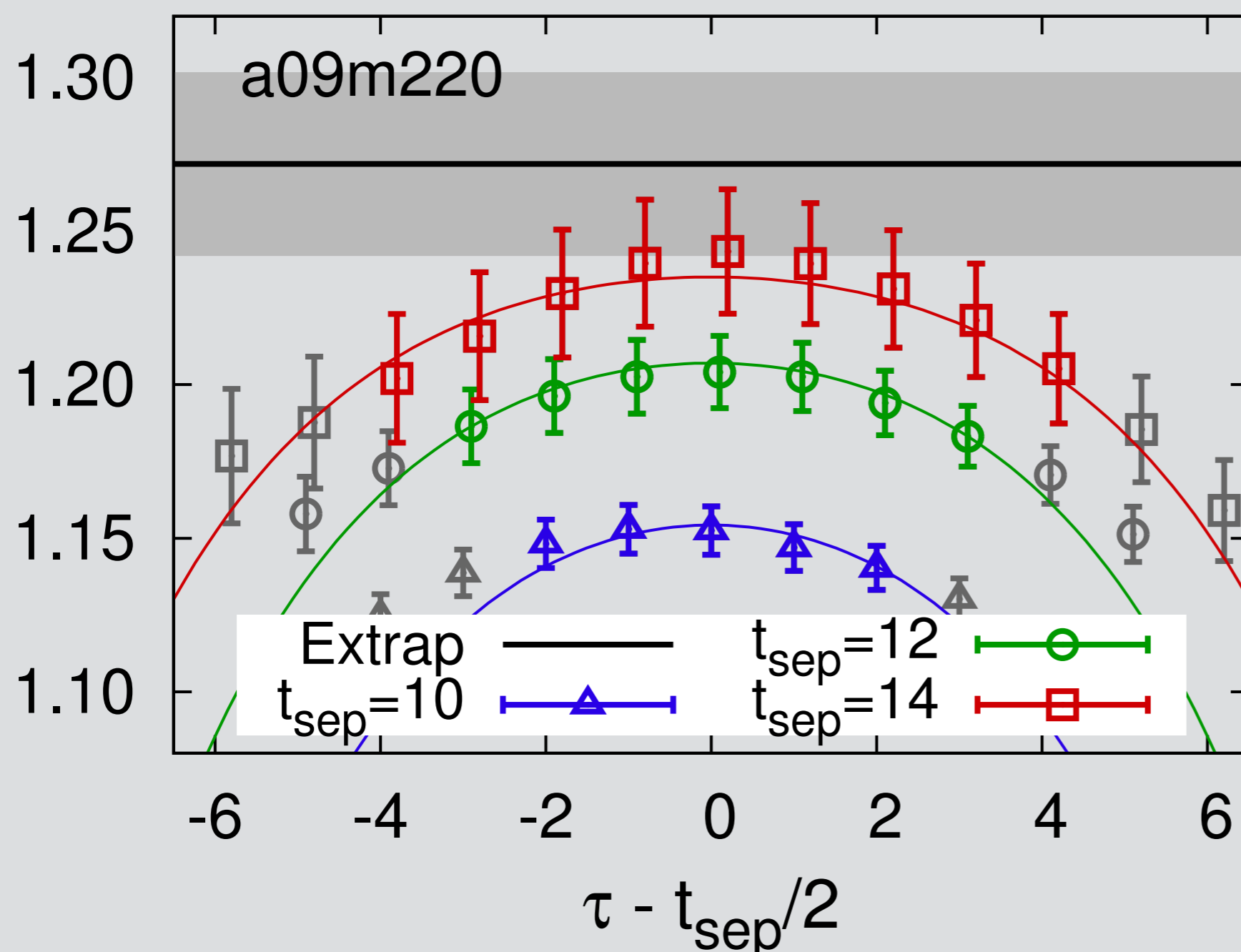
sequential propagators invert off hadronic sink  
source-sink separation has to be fixed as a result  
can insert any current once propagators are created



# PNDME nucleon axial current

[1606.07049]

At fixed  $t_{\text{sep}}$  excited state contamination is sum of constants



excited states disappear at large  $t_{\text{sep}}$  where large is not large enough

signal degrades exponentially

better data generation?  
better analysis?

x-axis is current insertion time

# Feynman-Hellmann Theorem

Matrix elements are related to variations in the spectrum with respect to an external source

$$\frac{\partial E_n}{\partial \lambda} = \langle n | H | n \rangle$$

where

$$S = S_{\text{QCD}} + S_\lambda$$

The external source is

$$S_\lambda = \lambda \int d^4x j(x)$$

of some bilinear current density (*e.g.* axial-vector current)

An interesting new way to calculate nucleon structure

# FHT on the lattice

Reminder: Effective mass  $m^{eff}(t) = \ln \frac{C(t)}{C(t+1)}$

Take the analytic derivative w.r.t. external source

$$\left. \frac{\partial m^{eff}}{\partial \lambda} \right|_{\lambda=0} = \left[ \frac{\partial_\lambda C_\lambda(t+1)}{C_\lambda(t+1)} - \frac{\partial_\lambda C_\lambda(t)}{C_\lambda(t)} \right] \Big|_{\lambda=0}$$

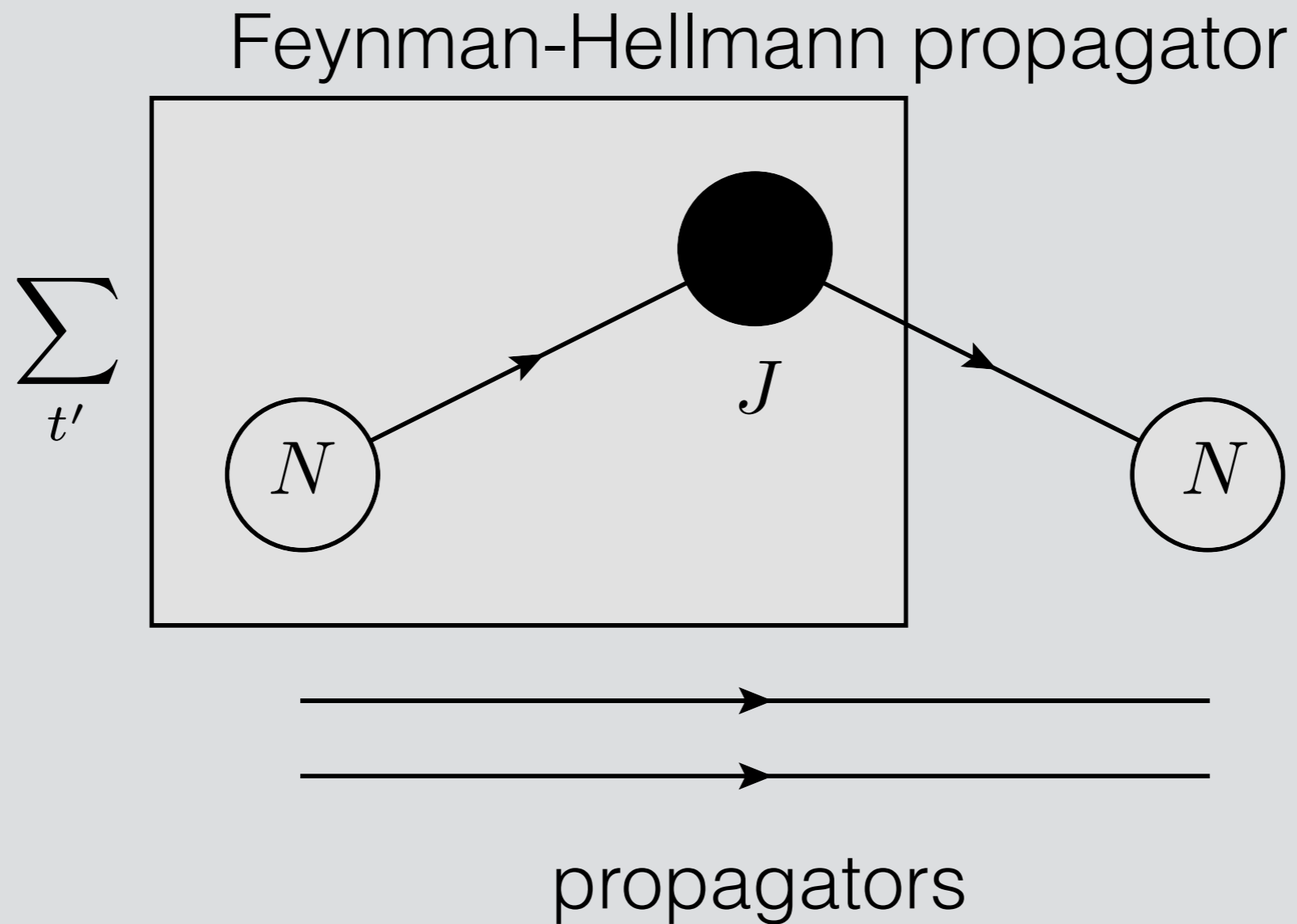
where

$$\begin{aligned} -\partial_\lambda C_\lambda(t) \Big|_{\lambda=0} &= -C(t) \int dt' \langle \Omega | J(t') | \Omega \rangle \\ &+ \int dt' \langle \Omega | T \{ N(t) J(t') N^\dagger(0) \} | \Omega \rangle \end{aligned}$$

First term vanishes unless it is a scalar current

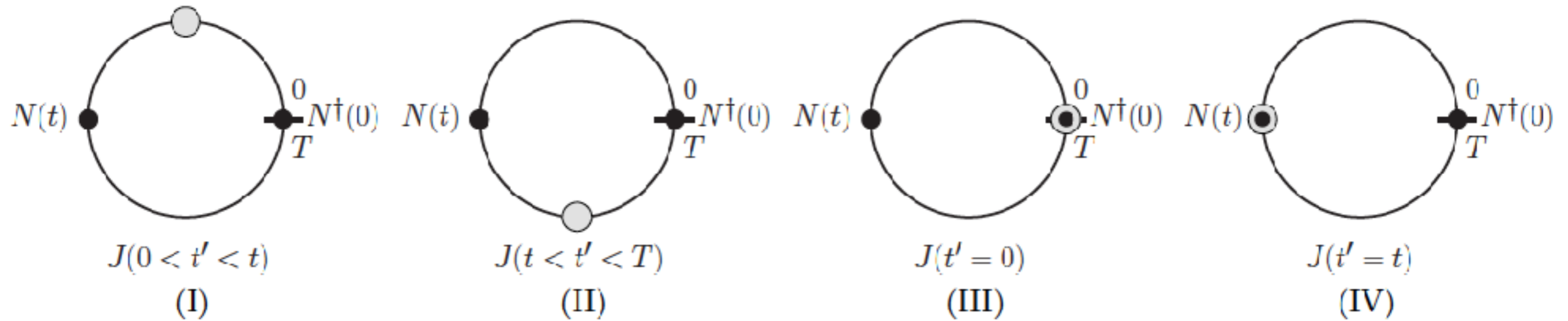
Second term is sequential propagator through the current

# Feynman-Hellmann propagator



Current is point-like so sum over time (and space) is valid  
Generate data as a function of source-sink separation

# Spectral decomposition



signal

artifacts from summing over all current time

Go to Heisenberg picture and insert identity...

$$\partial_\lambda C_\lambda(t)|_{\lambda=0} = \sum_n [(t-1)z_n g_{nn} z_n^\dagger + d_n] e^{-E_n t}$$

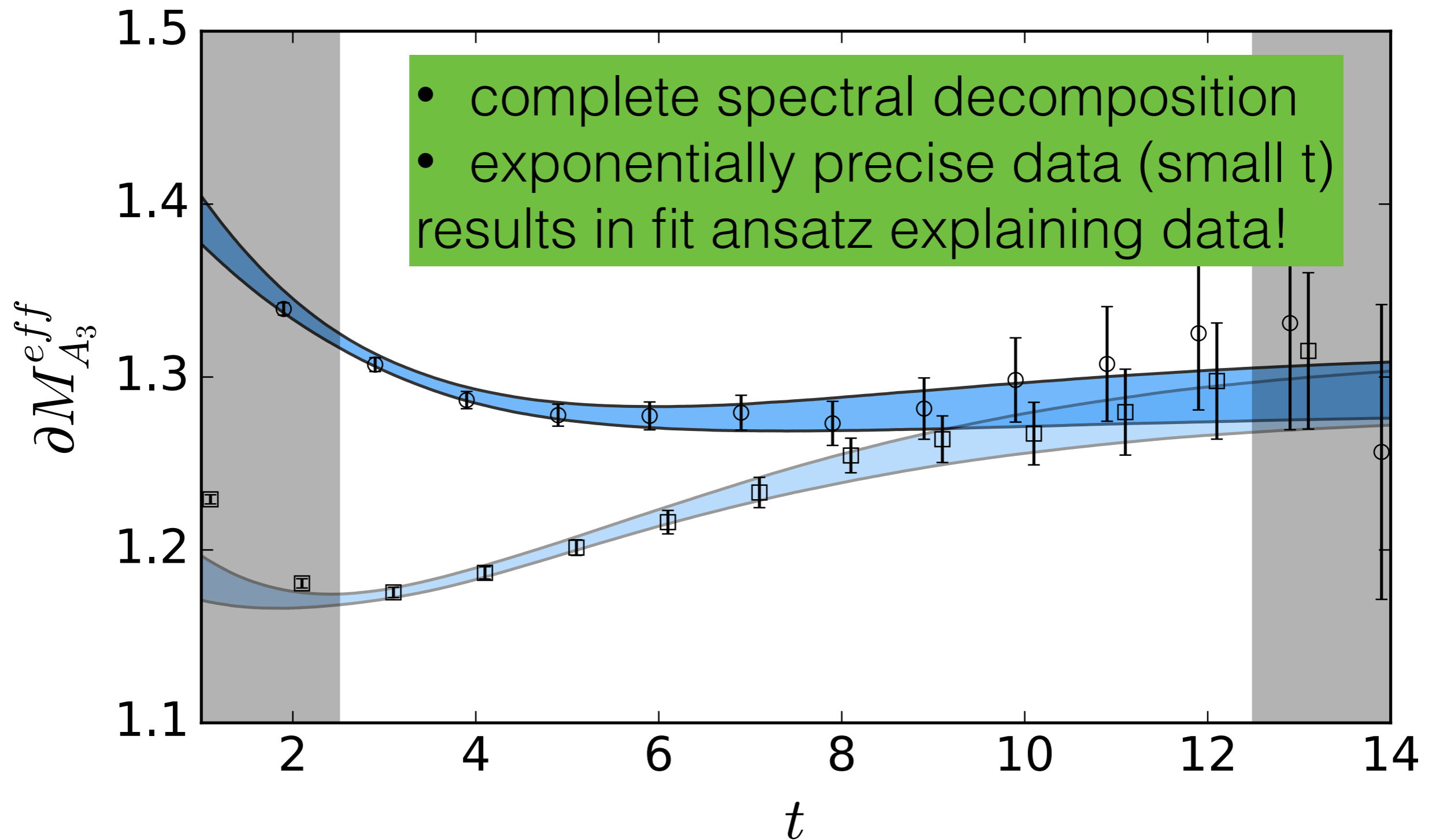
$$+ \sum_{n \neq m} z_n g_{nm} z_m^\dagger \frac{e^{-E_n t} e^{\Delta_{nm}/2} - e^{-E_m t} e^{\Delta_{mn}/2}}{e^{\Delta_{mn}/2} - e^{\Delta_{nm}/2}}$$

luckily all the artifacts can be absorbed into  $d_n$

still the spectral decomposition is quite daunting

# Feynman-Hellmann fits

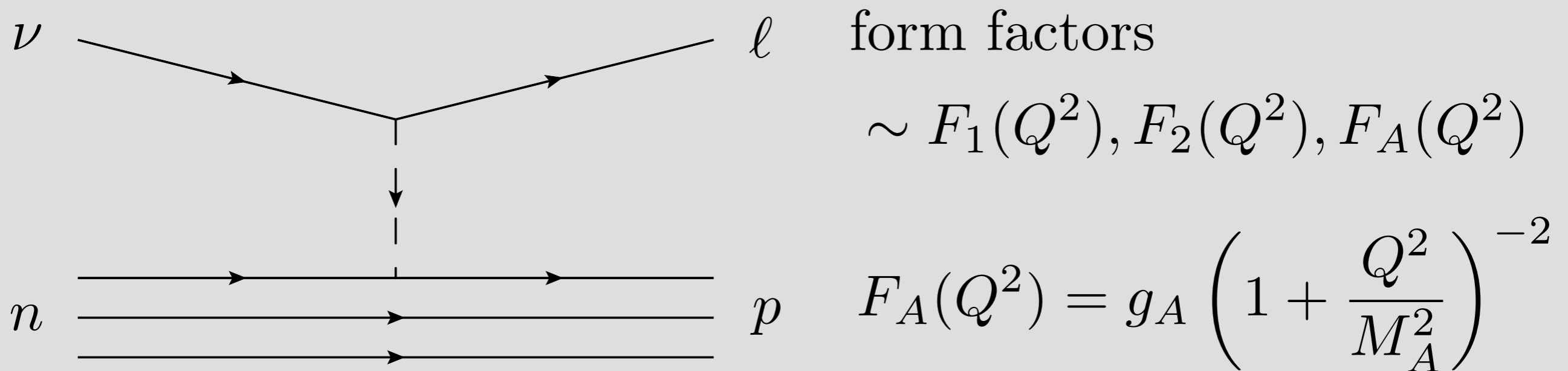
Just be brave



# $\nu$ - $N$ quasi-elastic scattering

Llewellyn-Smit formalism

$$\frac{d\sigma}{dQ^2} \begin{pmatrix} \nu n \rightarrow \ell^- p \\ \bar{\nu} p \rightarrow \ell^+ n \end{pmatrix} = \frac{M_N^2 G_F^2 |V_{ud}|^2}{8\pi E_\nu^2} \times \left[ A(Q^2) \mp B(Q^2) \frac{s-u}{M_N^2} + \frac{C(Q^2)(s-u)^2}{M_N^4} \right]$$



$\sim 1\%$  uncertainty for  $g_A$  before isospin & EM effects dominate

# Proton charge radius

Gordon decomposition of vector current

$$\begin{aligned}\langle 0|V_4|q_3\rangle &= \bar{u}(0) \left( \gamma_4 F_1(Q^2) + \frac{i}{2M_N} \sigma_{43} q^3 F_1(Q^2) \right) u(q_3) \\ &= 2E_N F_1(Q^2)\end{aligned}$$

calculate slope of  $F_1$  on the lattice

$$\left. \frac{\partial G_E(Q^2)}{\partial Q^2} \right|_{Q^2=0} = -\frac{1}{6} \langle r^2 \rangle = \left. \frac{\partial F_1(Q^2)}{\partial Q^2} \right|_{Q^2=0} - \frac{F_2(0)}{4M_N^2}$$

7 $\sigma$  experimental  $e$  vs.  $\mu$  discrepancy

~2% uncertainty can discriminate 4% exp. difference

lattice can provide model independent values for radii



# Moment methods

## Relate spatial moments to momentum derivatives

Issues with moment methods:

Wilcox - Moments on lattice yields wrong ground state.

[0204024v1]

Existing methods:

Isgur-Wise slope - position space method [9410013]

HVP - time moment current current correlator [1403.1778v2]

Rome - expand lattice operators [1208.5914v2][1407.4059]

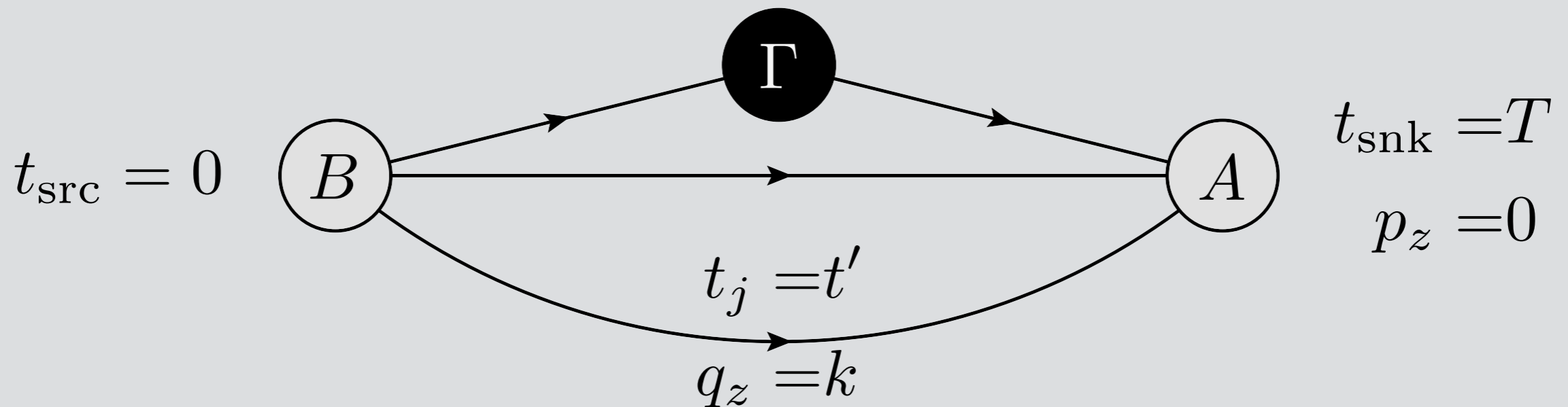
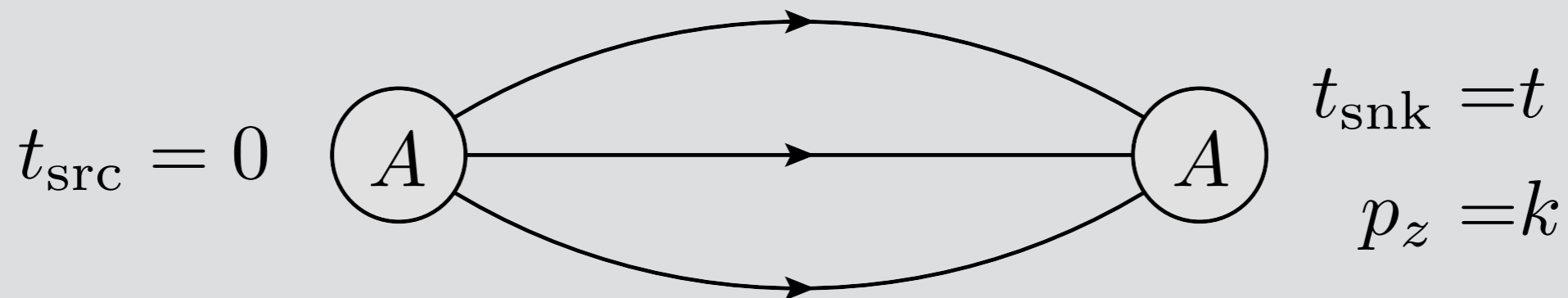
ETMC - position space method [1605.07327v1]

Most existing methods take  $\partial/\partial q_j$  derivatives all at  $q^2 = 0$

Our method takes  $\partial/\partial q^2$  generalized to all momenta

# Kinematic setup

Work done in collaboration with W&M JLab [1610.02354]



For charge radius  $A = B = N^a$  the nucleon interp. operator

# Two-point correlator and moment

two-point correlator

$$C_{2\text{pt}}(t) = \sum_{\vec{x}} \langle N_{t,\vec{x}}^b \overline{N}_{0,\vec{0}}^b \rangle e^{-ikx_z}$$

two-point moment

$$C'_{2\text{pt}}(t) = \sum_{\vec{x}} \frac{-x_z}{2k} \sin(kx_z) \langle N_{t,\vec{x}}^b \overline{N}_{0,\vec{0}}^b \rangle$$

$$\lim_{k^2 \rightarrow 0} C'_{2\text{pt}}(t) = \sum_{\vec{x}} \frac{-x_z^2}{2} \langle N_{t,\vec{x}}^b \overline{N}_{0,\vec{0}}^b \rangle$$

only have even spatial moments

# Three-point correlator and moment

three-point correlator

$$C_{3\text{pt}}(t, t') = \sum_{\vec{x}, \vec{x}'} \langle N_{t, \vec{x}}^a \Gamma_{t', \vec{x}'} \overline{N}_{0, \vec{0}}^b \rangle e^{-ikx'_z}$$

three-point moment

$$C'_{3\text{pt}}(t, t') = \sum_{\vec{x}, \vec{x}'} \frac{-x'_z}{2k} \sin(kx'_z) \langle N_{t, \vec{x}}^a \Gamma_{t', \vec{x}'} \overline{N}_{0, \vec{0}}^b \rangle$$

$$\lim_{k^2 \rightarrow 0} C'_{3\text{pt}}(t, t') = \sum_{\vec{x}, \vec{x}'} \frac{-x'^2_z}{2} \langle N_{t, \vec{x}}^a \Gamma_{t', \vec{x}'} \overline{N}_{0, \vec{0}}^b \rangle$$

moments are with respect to current insertion

given correlators, moments are computationally free

# Spectral decomposition

two-point fit function

$$C_{2\text{pt}}(t) = \sum_m \frac{Z_m^{b\dagger}(k^2) Z_m^b(k^2)}{2E_m(k^2)} e^{-E_m(k^2)t}$$

two-point moment fit function

$$C'_{2\text{pt}}(t) = \sum_m C_m^{2\text{pt}}(t) \left( \frac{2Z_m^{b'}}{Z_m^b} - \frac{1}{2[E_m(k^2)]^2} - \frac{t}{2E_m(k^2)} \right)$$

definitions

$$Z_m^b(k^2) \equiv \langle m, p_i = (0, 0, k) | \bar{N}^b | \Omega \rangle$$

$$E_m(k^2) = \sqrt{M_m^2 + k^2}$$

$Z_m^{b'} = 0$  for point source/sink

two-point constrains all parameters except  $Z_m^{b'}$

# More spectral decomposition

three-point fit function

$$C_{3\text{pt}}(t, t') = \sum_{n,m} \frac{Z_n^{a\dagger}(0) \Gamma_{nm}(k^2) Z_m^b(k^2)}{4M_n E_m(k^2)} e^{-M_n(t-t')} e^{-E_m(k^2)t'}$$

three-point moment fit function

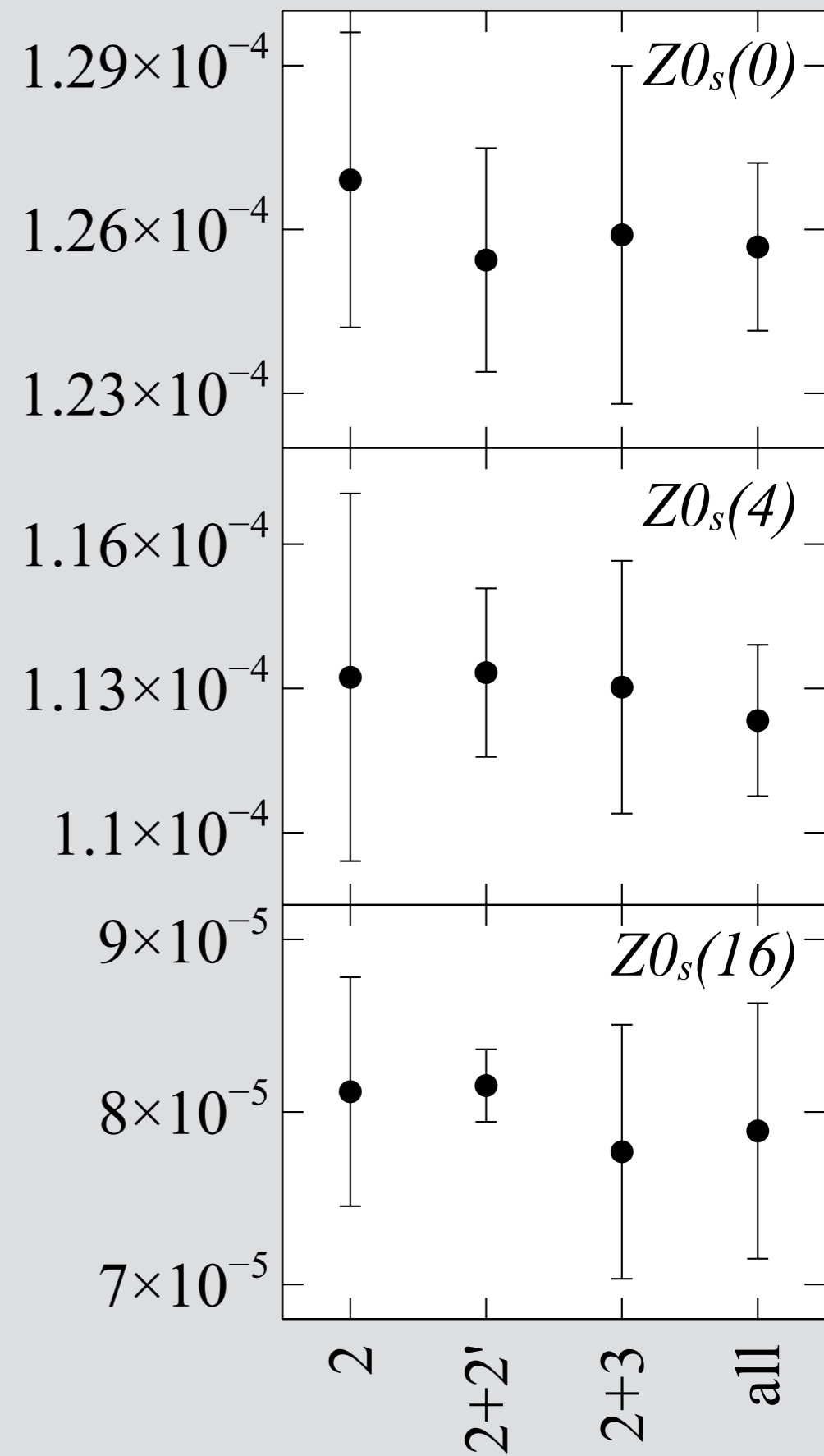
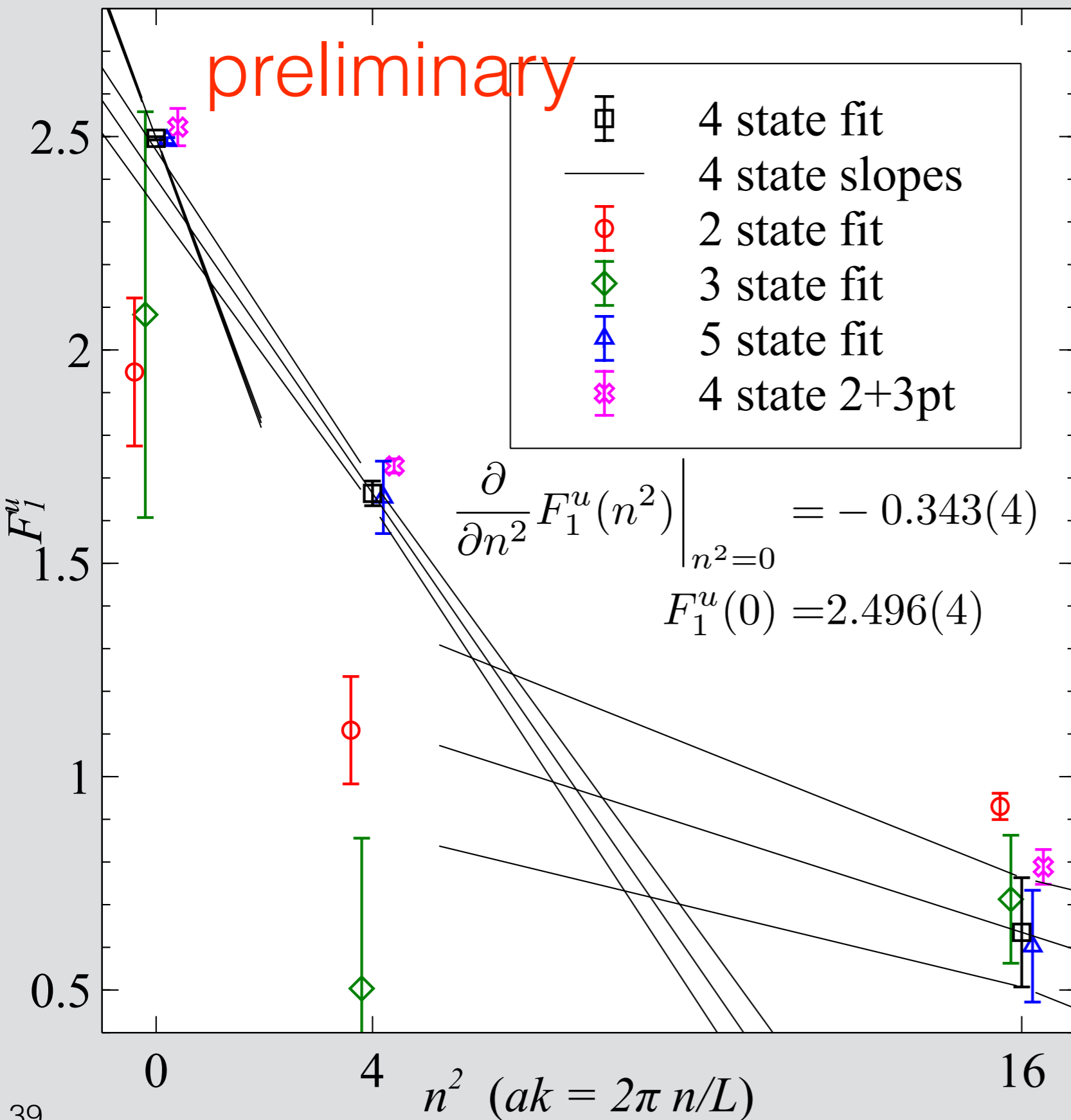
$$C'_{3\text{pt}}(t, t') = \sum_{n,m} C_{nm}^{3\text{pt}}(t, t') \left\{ \frac{\Gamma'_{nm}}{\Gamma_{nm}} + \frac{Z_m^{b'}}{Z_m^b} - \frac{1}{2E_m^2} - \frac{t'}{2E_m} \right\}$$

2pt and 3pt constraints all params. except slopes

2pt moment needed for smeared source/sink

3pt moment constrains slope of form factor

# Slope of nucleon vector form factor



# Summary and Outlook

Lattice QCD is the only first principles method for studying spectroscopy and structure of hadrons

Hadronic matrix elements are essential for interpreting experimental results

Improved lattice calculation involves finding ever smarter ways to generate data (on top of hardware development)

Sophisticated analysis techniques are needed to extract the most out of very expensive data

Analysis and computing skills are very sexy in the Bay Area