

Small- x Factorization from Effective Field Theory

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(+ thank you, Ira Rothstein)

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Outline

Introduction

- The small- x region and the BFKL equation
- LL resummation by Catani and Hautmann

EFT modes and power counting

Small- x factorization from Glauber SCET

- Factorization formula
- IR divergences
- Collinear function & BFKL evolution

BFKL & DGLAP resummation

- Consistency with twist factorization
- BFKL resummation of F_2 and F_L
- Comparison with previous work

Backup slides

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DIS review: Twist expansion

Consider unpolarized, inclusive DIS:

$$Q^2 = -q^2 > 0, \quad x_b = \frac{Q^2}{2P \cdot q},$$

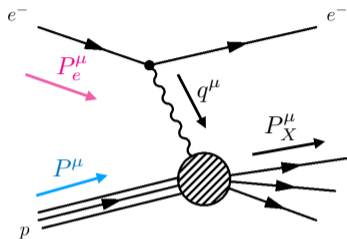
$$\frac{d^2\sigma}{dx_b dQ^2}(e^- p \rightarrow e^- X) = \frac{2\pi y \alpha^2}{Q^4} L_{\mu\nu}(P_e, q) W^{\mu\nu}(P, q).$$

$$W^{\mu\nu} = e_L^{\mu\nu} \frac{1}{x_b} F_L(x_b, Q^2) + e_2^{\mu\nu} \frac{1}{x_b} F_2(x_b, Q^2).$$

Well-known twist-2 factorization:

$$\frac{1}{x_b} F_a(x_b, Q^2) = \sum_{\kappa} \int_{x_b}^1 \frac{d\xi}{\xi} H_a^{(\kappa)}\left(\frac{x_b}{\xi}, Q, \mu\right) f_{\kappa/p}(\xi, \mu) + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}^2}{Q^2}\right).$$

PDF absorbs all the IR divergences.

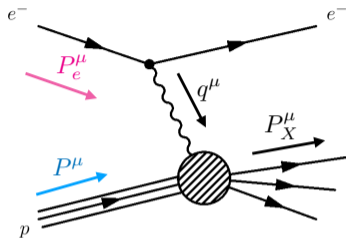


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Take Mellin Transform:

$$\bar{F}_p(N, Q^2) = \int_0^1 \frac{dx}{x} x^N \left(\frac{1}{x} F_p(x) \right), \quad \Rightarrow \quad \bar{F}_p^{(\kappa)}(N) = \sum_{\kappa'} \bar{H}_p^{(\kappa')}(N) \times \underbrace{\bar{\Gamma}_{\kappa'\kappa}(N, \epsilon)}_{\text{PDF}}.$$

IR divergences are exponentiated into PDFs (transition functions),

$$\bar{\Gamma}_{\kappa'\kappa}(\alpha_s(\mu^2), N, \epsilon) \equiv \text{P exp} \left(\int_0^{\alpha_s(\mu^2)} \frac{d\alpha}{\beta(\epsilon, \alpha)} \gamma^s(\alpha, N) \right)_{\kappa'\kappa}.$$

Mellin Transform

Mellin transform is very useful: (set $N = n + 1$)

$$\bar{f}(n) \equiv \int_0^1 \frac{dx}{x} x^{n+1} f(x)$$

Let us note that

$f(x)$	$\bar{f}(n)$	singularity in $x \rightarrow 0$
$\frac{1}{x} \ln^{\ell-1}(x)$	$\sim \frac{1}{n^\ell}$	pole at $n = 0$
$x^{p-1} \ln^{\ell-1}(x)$	$\sim \frac{1}{(n+p)^\ell}$	pole at $n = -p$



Small- x limit

Leading terms in $x_b \rightarrow 0$ limit:

$$\frac{\alpha_s^\ell}{x} \ln^{\ell-1}(x) ,$$

Both coefficient function and the DGLAP anomalous dimension become singular in $x_b \rightarrow 0$ limit:

$$\begin{aligned}\bar{H}_a^{(\kappa)}(n) &\sim \alpha_s \frac{\alpha_s}{n} + \alpha_s \left(\frac{\alpha_s}{n}\right)^2 + \alpha_s \left(\frac{\alpha_s}{n}\right)^3 + \dots , \\ \gamma_{gg}(n) &\sim \frac{\alpha_s}{n} + \left(\frac{\alpha_s}{n}\right)^2 + \left(\frac{\alpha_s}{n}\right)^3 + \dots , \\ \gamma_{qg}(n) &\sim \alpha_s \frac{\alpha_s}{n} + \alpha_s \left(\frac{\alpha_s}{n}\right)^2 + \alpha_s \left(\frac{\alpha_s}{n}\right)^3 + \dots\end{aligned}$$

Our goal is to resum these leading logarithmic series.

> Notice that the leading terms in the coefficient function start at $\mathcal{O}(\alpha_s^2)$

The BFKL equation

Resummation of small- x_b logs involves solving the BFKL equation. For a function $f(x, \mathbf{q}_\perp)$

$$f(x, \mathbf{q}_\perp) \sim x^{p-1} (\text{logs of } x),$$

that satisfies BFKL equation in 4 dimensions:

$$x \frac{d}{dx} f(x, \mathbf{q}_\perp) = (p-1)f(x, \mathbf{q}_\perp) + c[K \otimes_\perp f](\mathbf{q}_\perp)$$

where

$$[K \otimes_\perp f](\mathbf{q}_\perp) \equiv (2\pi) \int \frac{d^2 k_\perp}{(2\pi)^2} \left\{ \frac{2f(\mathbf{k}_\perp)}{(\mathbf{q}_\perp - \mathbf{k}_\perp)^2} - \frac{\mathbf{q}_\perp^2}{\mathbf{k}_\perp^2 (\mathbf{q}_\perp - \mathbf{k}_\perp)^2} f(\mathbf{q}_\perp) \right\},$$

In the n -space we have an iterative equation

$$\bar{f}(n, \mathbf{q}_\perp) = \frac{1}{n+p} \times \underbrace{f(x=1, \mathbf{q}_\perp)}_{\text{Boundary condition}} - \frac{c}{n+p} [K \otimes_\perp \bar{f}(n)](\mathbf{q}_\perp)$$

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Eigenfunctions of BFKL Kernel:

$$\left[K \otimes_\perp \left(\frac{1}{\mathbf{k}_\perp^{2(1-\gamma)}} e^{in\phi} \right) \right] (\mathbf{q}_\perp) = \chi(n, \gamma) \frac{1}{q_\perp^{2(1-\gamma)}} e^{in\phi}, \quad 0 < \text{Re } \gamma < 1 .$$

Bad boundary condition = IR divergence!

What happens for $\gamma = 0$?

$$\gamma = 0 : \quad \left[K \otimes_{\perp} \frac{1}{\mathbf{k}_{\perp}^2} \right] (\mathbf{q}_{\perp}) = \frac{1}{\mathbf{q}_{\perp}^2} (2\pi) \int \frac{d^2 k_{\perp}}{(2\pi)^2} \frac{\mathbf{q}_{\perp}^2}{\mathbf{k}_{\perp}^2 (\mathbf{q}_{\perp} - \mathbf{k}_{\perp})^2}$$

This Integral is divergent! But we can make sense of it in dimensional regularization:

$$\begin{aligned} (2\pi) I_{\epsilon} [\mathbf{q}_{\perp}^2] &\equiv (2\pi) \left(\frac{\mu^2 e^{\gamma_E}}{4\pi} \right)^{\epsilon} \int \frac{d^{2-2\epsilon} k_{\perp}}{(2\pi)^{2-2\epsilon}} \frac{\mathbf{q}_{\perp}^2}{\mathbf{k}_{\perp}^2 (\mathbf{q}_{\perp} - \mathbf{k}_{\perp})^2} \\ &= \left(\frac{\mathbf{q}_{\perp}^2}{\mu^2} \right)^{-\epsilon} \Gamma(-\epsilon) e^{\epsilon \gamma_E} \frac{\Gamma(1-\epsilon) \Gamma(1+\epsilon)}{\Gamma(1-2\epsilon)} \\ &= -\frac{1}{\epsilon} + \log \left(\frac{\mathbf{q}_{\perp}^2}{\mu^2} \right) + \mathcal{O}(\epsilon). \end{aligned}$$

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This is relevant: Nature produces bad boundary conditions for the BFKL equation and these **IR divergences** go into the PDF, **but not every IR divergence is generated this way.**

LL small- x resummation by Catani and Hautmann

LL small- x_b resummation consistent with twist factorization by Catani and Hautmann [CH94]:

$$\bar{F}_L^{(g)}(n) = h_L(\gamma_{gg}) \times R(n) \times \left(\frac{Q^2}{\mu^2}\right)^{\gamma_{gg}} \times \bar{\Gamma}_{gg},$$

- > h_L : describes coupling with photon, IR finite, defined via an *off-shell* cross section.
- > R : scheme chosen to factorize the IR divergences.

[CH94] resummed $\gamma_{gg}(\alpha_s, n)$ using a separate calculation of *gluon Green's function* that satisfies

$$\bar{\mathcal{F}}_g^{(0)}(n, \mathbf{q}_\perp) = \delta^{(2-2\epsilon)}(\mathbf{q}_\perp) + \frac{\bar{\alpha}_s}{n} [K \otimes_\perp \bar{\mathcal{F}}_g^{(0)}(n)](\mathbf{q}_\perp), \quad \bar{\alpha}_s \equiv \frac{\alpha_s C_A}{\pi}$$

A special property of the LL series and F_L channel: All the IR divergences at LL for F_L are generated by BFKL equation and can be absorbed into the same $\bar{\Gamma}_{gg}$:

$$\bar{\mathcal{F}}_g^{(0)}(n, \mathbf{q}_\perp) = \frac{1}{\pi \mathbf{k}_\perp^2} \times \gamma_{gg} \times \tilde{R}(n, \mathbf{k}_\perp, \epsilon) \times \bar{\Gamma}_{gg}.$$



LL small- x resummation by Catani and Hautmann

Notice how BFKL kernel acts on $\delta^{(2-2\epsilon)}(\mathbf{q}_\perp)$:

$$\begin{aligned}
 K \otimes_\perp \delta^{(2-2\epsilon)}(\mathbf{q}_\perp) &\sim \frac{1}{\mathbf{k}_\perp^{2-2\epsilon}} \left(\frac{\mathbf{k}_\perp^2}{\mu^2} \right)^{-\epsilon} \\
 K \otimes_\perp \frac{1}{\mathbf{k}_\perp^{2-2\epsilon}} \left(\frac{\mathbf{k}_\perp^2}{\mu^2} \right)^{-\epsilon} &\sim \frac{1}{\epsilon} \frac{1}{\mathbf{k}_\perp^{2-2\epsilon}} \left(\frac{\mathbf{k}_\perp^2}{\mu^2} \right)^{-2\epsilon} \\
 &\vdots \\
 K \otimes_\perp \frac{1}{\mathbf{k}_\perp^{2-2\epsilon}} \left(\frac{\mathbf{k}_\perp^2}{\mu^2} \right)^{-\ell\epsilon} &\sim \frac{1}{\ell\epsilon} \frac{1}{\mathbf{k}_\perp^{2-2\epsilon}} \left(\frac{\mathbf{k}_\perp^2}{\mu^2} \right)^{-(\ell+1)\epsilon}
 \end{aligned}$$

This generates a series solution for $\bar{\mathcal{F}}_g^{(0)}$ (= resummation):

$$\bar{\mathcal{F}}_g^{(0)} \sim \delta^{(2-2\epsilon)}(\mathbf{q}_\perp) + \frac{1}{\mathbf{k}_\perp^{2-2\epsilon}} \sum_{\ell=1}^{\infty} c_\ell(\epsilon) \left(\frac{\bar{\alpha}_s}{n} \left(\frac{\mathbf{k}_\perp^2}{\mu^2} \right)^{-\epsilon} \right)^\ell, \quad c_\ell(\epsilon) = \frac{1}{\ell!} \left(-\frac{1}{\epsilon} \right)^\ell \left(1 + \mathcal{O}(\epsilon^2) \right)$$

In the case of F_L channel, these are all the IR divergences at LL, so we know $\gamma_{gg}(n)$ and $\bar{\Gamma}_{gg}$. Rest goes into $\bar{H}_L^{(g)}$ coefficient function.

LL small- x resummation by Catani and Hautmann

Notice how BFKL kernel acts on $\delta^{(2-2\epsilon)}(\mathbf{q}_\perp)$:

$$\begin{aligned} K \otimes_\perp \delta^{(2-2\epsilon)}(\mathbf{q}_\perp) &\sim \frac{1}{\mathbf{k}_\perp^{2-2\epsilon}} \left(\frac{\mathbf{k}_\perp^2}{\mu^2} \right)^{-\epsilon} \\ K \otimes_\perp \frac{1}{\mathbf{k}_\perp^{2-2\epsilon}} \left(\frac{\mathbf{k}_\perp^2}{\mu^2} \right)^{-\epsilon} &\sim \frac{1}{\epsilon} \frac{1}{\mathbf{k}_\perp^{2-2\epsilon}} \left(\frac{\mathbf{k}_\perp^2}{\mu^2} \right)^{-2\epsilon} \\ &\vdots \\ K \otimes_\perp \frac{1}{\mathbf{k}_\perp^{2-2\epsilon}} \left(\frac{\mathbf{k}_\perp^2}{\mu^2} \right)^{-\ell\epsilon} &\sim \frac{1}{\ell\epsilon} \frac{1}{\mathbf{k}_\perp^{2-2\epsilon}} \left(\frac{\mathbf{k}_\perp^2}{\mu^2} \right)^{-(\ell+1)\epsilon} \end{aligned}$$

- > Resummation of F_2 and γ_{qg} is not straightforward in this framework, because F_2 involves IR divergences NOT generated by BFKL evolution alone!. They introduced a new quark's Green's function to capture this non-BFKL divergence. This approach has not been extended beyond LL.

Goal of this work: provide a new framework for higher order resummation using a factorization derived in SCET with Glauber operators of Rothstein and Stewart [RS16].

See also Ciafaloni et al. [Cia+04], Altarelli, Ball, and Forte [ABF06], and Thorne [Tho01] and references therein.

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EFT modes and power counting

Center of mass light cone coordinates:

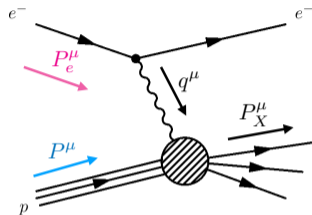
$$P^\mu = \frac{\sqrt{s}}{2} n^\mu, \quad P_e^\mu = \frac{\sqrt{s}}{2} \bar{n}_\mu$$

Power counting parameters:

$$\lambda \sim x_b$$

and

$$\lambda' \sim \frac{\Lambda_{\text{QCD}}}{Q}$$



Two possible scenarios based on the scaling of the invariant mass of hadronic state:

Hard scattering

Forward scattering

$$\frac{P_X^2}{s} = \frac{(q + P)^2}{s} = \frac{Q^2}{s} \frac{(1 - x_b)}{x_b}$$

$$\sim \lambda^0$$

or

$$\sim \lambda$$

$$q^\mu = -\frac{Q^2}{\sqrt{s}} \frac{n^\mu}{2} + \frac{Q^2}{x_b \sqrt{s}} \frac{\bar{n}^\mu}{2} + q_\perp^\mu$$

$$\sim \sqrt{s}(1, \lambda, \sqrt{\lambda})$$

or

$$\sim \sqrt{s}(\lambda, \lambda^2, \lambda)$$

(collinear to e^-)

(\bar{n}_s Glauber)

EFT modes and power counting

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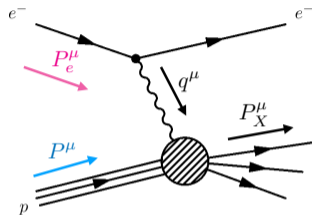
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Power counting parameters:

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Collinear modes in the proton:

Small- x_b resummation requires **collinear modes with higher virtuality** $p_n^2 \sim Q^2$:

$$p_c^\mu \sim \sqrt{s} \left(\frac{\Lambda_{\text{QCD}}^2}{s}, 1, \Lambda_{\text{QCD}} \right) \sim \sqrt{s} \left((\lambda\lambda')^2, 1, \lambda\lambda' \right)$$

$$p_n^\mu \sim \sqrt{s} (\lambda^2, 1, \lambda)$$

We do not enforce $\lambda' \ll 1$ until later.

EFT modes and power counting

Forward scattering

$$\begin{aligned}
 P_X^2/s &\sim \lambda \\
 q^\mu &\sim \sqrt{s}(\lambda, \lambda^2, \lambda) \\
 p_n^\mu &\sim \sqrt{s}(\lambda^2, 1, \lambda)
 \end{aligned}$$

The photon cannot interact directly with collinear mode without knocking it offshell. The leading terms start at $\mathcal{O}(\alpha_s^2)$ due to **intermediate soft sector**:

$$p_s = (p_s^+, p_s^-, p_{s\perp}) \sim \sqrt{s}(\lambda, \lambda, \lambda).$$

Need additional Glauber modes for soft-collinear interaction:

$$q_G^\mu = q'^\mu \sim \sqrt{s}(\lambda^2, \lambda, \lambda).$$

Consistent with $P_X^2/s \sim \lambda$ since now we have either soft or collinear particles in the final state:

$$P_X^2 \sim (p_n + p_s)^2 \sim p_n^- p_s^+ \sim s\lambda.$$

$$\begin{aligned}
 p_{\bar{n}} &\sim \sqrt{s}(\underbrace{1}_{p^+}, \underbrace{\lambda^2}_{p^-}, \underbrace{\lambda}_{p_\perp}) \\
 &\quad q_\gamma \downarrow \quad \quad \quad \downarrow \\
 k_s &\sim \sqrt{s}(\underbrace{\lambda}_{p^+}, \underbrace{\lambda}_{p^-}, \underbrace{\lambda}_{p_\perp}) \\
 &\quad \quad \quad \text{qG} \quad \quad \quad \downarrow \quad \quad \quad \downarrow \\
 p_n &\sim \sqrt{s}(\underbrace{\lambda^2}_{p^+}, \underbrace{1}_{p^-}, \underbrace{\lambda}_{p_\perp})
 \end{aligned}$$

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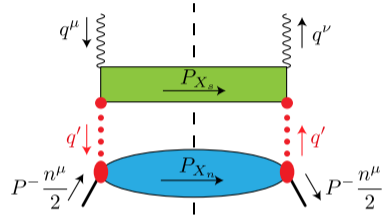
Small- x factorization formula

We include *two insertions* of the n_s Glauber action:

$$S_G^{n_s} = 8\pi\alpha_s \sum_{i,j,A} \int d^d y \int d^d x \int \frac{d^d q'}{(2\pi)^d} \frac{e^{i(x-y)\cdot q'}}{\mathbf{q}'_{\perp}{}^2} \mathcal{O}_n^{iA}(x) \mathcal{O}_s^{j_n A}(y).$$

Factorization formula at NLL:

$$W^{\alpha\beta}(q, P) = \int d^{d-2} q'_{\perp} S^{\alpha\beta}\left(q, q'_{\perp}, \frac{\nu}{x_b P^-}, \epsilon\right) C\left(q'_{\perp}, P, \frac{\nu}{P^-}, \epsilon\right) + \dots$$



The collinear and soft functions are defined as

$$C \equiv \frac{1}{\pi\nu} \frac{1}{\mathbf{q}'_{\perp}{}^2} \sum_{i,j,A} \int \frac{d\mathbf{q}'^+}{2\pi} \int d^d x e^{i\frac{x^- q'^+}{2} + i\mathbf{x}_{\perp} \cdot \mathbf{q}'_{\perp}} \langle P | \mathcal{O}_n^{iA}(x) \mathcal{O}_n^{j_n A}(0) | P \rangle_{\nu},$$

$$S^{\alpha\beta} \equiv \frac{\nu}{\mathbf{q}'_{\perp}{}^2} \frac{(2\pi i \mu^2)^{4-d} (8\pi\alpha_s (\mu^2))^2}{16\pi^2 (N_c^2 - 1)} \sum_{i,j,A} \int \frac{d\mathbf{q}'^-}{4\pi} \int d^d z e^{iz\cdot q} \int d^d y_L d^d y_R$$

$$\times e^{-i\frac{q'^-(y_L^+ - y_R^+)}{2} - i\mathbf{q}'_{\perp} \cdot (\mathbf{y}_{L\perp} - \mathbf{y}_{R\perp})} \langle 0 | \bar{T} \{ J^{\alpha}(z) \mathcal{O}_s^{i_n A}(y_L) \} T \{ J^{\beta}(0) \mathcal{O}_s^{j_n A}(y_R) \} | 0 \rangle_{\nu}.$$

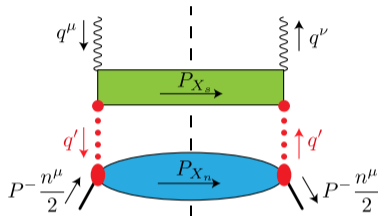
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Factorization formula at NLL:

$$W^{\alpha\beta}(q, P) = \int d^{d-2} q'_{\perp} S^{\alpha\beta}\left(q, q'_{\perp}, \frac{\nu}{x_b P^-}, \epsilon\right) C\left(q'_{\perp}, P, \frac{\nu}{P^-}, \epsilon\right) + \dots$$



Here small- x_b logs are resummed via *rapidity evolution* for $\nu_S \sim x_b P^-$ and $\nu_C \sim P^-$

$$\frac{\nu_S}{\nu_C} = x_b$$

IR divergences

The convolution itself generates IR divergences as nothing prevents q'_\perp from entering the IR region:

$$\frac{1}{x_b} F_a(q, P) = \int_0^\infty d^{d-2} q'_\perp S_a\left(q, q'_\perp, \frac{\nu}{x_b P^-}, \epsilon\right) C\left(q'_\perp, \frac{\nu}{P^-}, \epsilon\right), \quad [S^{\mu\nu}] = 4 - d, \quad [C] = -2.$$

To see this explicitly, let us note that the SCET_{II} collinear function has the all-orders expansion:

$$C(q'_\perp, \epsilon) = \frac{1}{q'^2_\perp} \sum_{\ell=0}^{\infty} C^{(\ell)}(\alpha_s, \epsilon) \left(\frac{q'^2_\perp}{\mu^2}\right)^{-\ell\epsilon}.$$

Alternative form of the factorization formula:

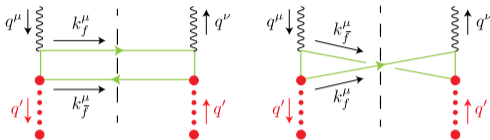
$$\frac{1}{x_b} F_a = \sum_{\ell=0}^{\infty} \left(\frac{q'^2_\perp}{\mu^2}\right)^{-(\ell+2)\epsilon} C^{(\ell)} \times \tilde{S}_a(\gamma = -\ell\epsilon).$$

The γ -transform of the soft function:

$$\tilde{S}_a(\gamma) \sim \int \frac{d^{2-2\epsilon} q'_\perp}{q'^2_\perp} \left(\frac{q'^2_\perp}{\mu^2}\right)^\gamma S_a(q_\perp, q'_\perp, \epsilon).$$

IR divergences

Leading order soft function calculated from



is IR finite for $\gamma \neq 0$:

$$\tilde{S}_2^{\text{LO}}(\gamma) = \alpha_s^2 n_f T_F \left(\frac{\nu}{x_b P^-} \right) \left(\frac{\pi^2 (-3\gamma^2 + 3\gamma + 2) \csc^2(\pi\gamma)}{8\Gamma(\frac{5}{2} - \gamma)\Gamma(\frac{3}{2} + \gamma)} \right) + \mathcal{O}(\epsilon),$$

$$\tilde{S}_L^{\text{LO}}(\gamma) = \alpha_s^2 n_f T_F \left(\frac{\nu}{x_b P^-} \right) \left(\frac{\pi^2 (-\gamma + 1) \csc^2(\pi\gamma)}{4\Gamma(\frac{5}{2} - \gamma)\Gamma(\frac{3}{2} + \gamma)} \right) + \mathcal{O}(\epsilon).$$

But notice the LO collinear function:

$$C_\kappa^{\text{LO}}(q'_\perp) = \frac{P^-}{\nu} \frac{c_\kappa}{\pi q'_\perp{}^2}, \quad c_\kappa = C_F, C_A \quad (\text{bad boundary condition!})$$

IR divergences

In the convolution **the collinear function** forces us to set $\gamma = -\ell\epsilon$,

$$\frac{1}{x_b} F_a = \sum_{\ell=0}^{\infty} \left(\frac{q_{\perp}^2}{\mu^2} \right)^{-(\ell+2)\epsilon} C^{(\ell)} \times \tilde{S}_a(\gamma = -\ell\epsilon).$$

which implies

$$\lim_{\epsilon \rightarrow 0} \tilde{S}_2^{\text{LO}}(-\ell\epsilon) = \frac{2\alpha_s^2 n_f T_F}{3\pi} \frac{1}{(\ell+1)(\ell+2)} \left(\frac{1}{\epsilon^2} + \frac{2}{\epsilon} + \mathcal{O}(\epsilon^0) \right),$$
$$\lim_{\epsilon \rightarrow 0} \tilde{S}_L^{\text{LO}}(-\ell\epsilon) = \frac{2\alpha_s^2 n_f T_F}{3\pi} \frac{1}{(\ell+1)} \left(-\frac{1}{\epsilon} + \mathcal{O}(\epsilon^0) \right).$$

The \tilde{S}_a soft function will contribute to the PDF despite being a vacuum matrix element.

IR divergences

In the convolution **the collinear function** forces us to set $\gamma = -\ell\epsilon$,

$$\frac{1}{x_b} F_a = \sum_{\ell=0}^{\infty} \left(\frac{\mathbf{q}_{\perp}^2}{\mu^2} \right)^{-(\ell+2)\epsilon} C^{(\ell)} \times \tilde{S}_a(\gamma = -\ell\epsilon).$$

We find that for $\gamma \neq 0$, \tilde{S}_L and \tilde{S}_2 are proportional to the off-shell cross section that appear in [CH94]:

$$\begin{aligned}\tilde{S}_2(\gamma, \epsilon = 0) &= \left(\frac{\nu}{x_b P^-} \right) \alpha_s \frac{h_2(\gamma)}{\gamma^2}, \\ \tilde{S}_L(\gamma, \epsilon = 0) &= \left(\frac{\nu}{x_b P^-} \right) \alpha_s \frac{h_L(\gamma)}{\gamma}.\end{aligned}\tag{1}$$

This is not the right limit for us and the full ϵ dependence is needed to perform small- x_b resummation.

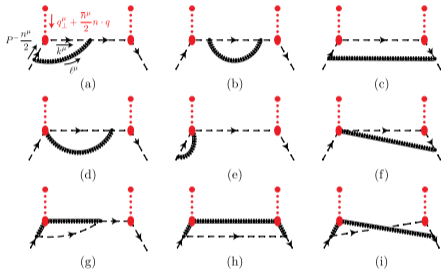
- > In [CH94] these IR divergences were separately captured in the gluon and quark Green's functions for F_2 and F_L . For us they are automatically accounted for via the same soft function \tilde{S}_a .

Collinear function at NLO

We computed the collinear function at NLO

$$C_q^{\text{NLO}} = \bar{\alpha}_s C_q^{\text{LO}} \times (-2\pi) I_\epsilon[\mathbf{q}'^2] \left(\frac{1}{\eta} + \ln\left(\frac{\nu}{P^-}\right) + \frac{3}{4} \right),$$

$$C_g^{\text{NLO}} = \bar{\alpha}_s C_g^{\text{LO}} \times (-2\pi) I_\epsilon[\mathbf{q}'^2] \times \left(\frac{1}{\eta} + \ln\left(\frac{\nu}{P^-}\right) + \frac{11}{12} - \frac{n_f T_R}{4C_A} \left(1 - \frac{1}{3(1-\epsilon)}\right) \right),$$



$$(2\pi)I_\epsilon[\mathbf{r}_\perp^2] = -\frac{1}{\epsilon} + \ln\left(\frac{\mathbf{r}_\perp^2}{\mu^2}\right) + \mathcal{O}(\epsilon), \quad \bar{\alpha}_s \equiv \frac{\alpha_s C_A}{\pi}$$

We see that the one-loop contribution is IR divergent and exhibits a rapidity divergence.

Process independence and the BFKL equation

Rothstein and Stewart [RS16] showed that for $pp \rightarrow pp$ forward scattering

$$\sigma^{pp \rightarrow pp} \sim C_n \otimes S^{pp} \otimes C_{\bar{n}}$$

and S^{pp} satisfies the BFKL equation:

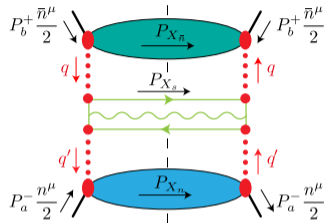
$$\nu \frac{d}{d\nu} S^{pp} \sim +2\bar{\alpha}_s t^\epsilon K \otimes_{\perp} S^{pp}$$

The collinear function is **process independent** and is expected to satisfy the BFKL equation from RG consistency:

$$\nu \frac{d}{d\nu} C = -C - \bar{\alpha}_s t^\epsilon K \otimes_{\perp} C.$$

The predicted rapidity logarithm agrees with our NLO result:

$$C_{\kappa LL} = \frac{\nu}{P^-} \frac{c_{\kappa}}{\pi \mathbf{q}'_{\perp}{}^2} \left(1 - \bar{\alpha}_s (2\pi) I_{\epsilon}[\mathbf{q}'_{\perp}{}^2] \ln\left(\frac{\nu}{P^-}\right) \right) + \mathcal{O}(\alpha_s^2).$$



Drell-Yan

Leading log small- x_b resummation

Setting $\nu = \nu_S$ trivializes rapidity logs in the soft function:

$$\frac{1}{x_b} F_a^\kappa(x_b, Q^2) = \int \mathbf{d}^{d-2} q'_\perp S_a(1, q_\perp, q'_\perp, \epsilon) C_\kappa(x_b, q'_\perp, \epsilon)$$

Mellin space :

$$\bar{C}_\kappa(n, q'_\perp, \epsilon) = \frac{c_\kappa}{n\pi \mathbf{q}'_\perp{}^2} + \frac{\bar{\alpha}_s \ell^\epsilon}{n} K \otimes_\perp \bar{C}_\kappa(n, q'_\perp, \epsilon) \quad , \quad c_\kappa = C_F, C_A, \quad \bar{\alpha}_s = \frac{\alpha_s C_A}{\pi}$$

Solve for \bar{C}_κ as a power series as before:

$$\bar{C}_{\kappa, \text{LL}}(n, q'_\perp, \epsilon) = \frac{1}{n} \frac{c_\kappa}{\pi \mathbf{q}'_\perp{}^2} \sum_{\ell=0}^{\infty} c_{\ell+1}(\epsilon) \left(\frac{\bar{\alpha}_s}{n} \frac{e^{\epsilon\gamma_E}}{\Gamma(1-\epsilon)} \left(\frac{\mathbf{q}'_\perp{}^2}{\mu^2} \right)^{-\epsilon} \right)^\ell, \quad c_\ell(\epsilon) = \frac{1}{\ell!} \left(\frac{-1}{\epsilon} \right)^\ell \left(1 + \mathcal{O}(\epsilon^2) \right)$$

Now include the soft contribution to arrive at small- x_b resummed structure functions:

$$\bar{F}_{a, \text{LL}}^\kappa(n, Q^2) = \frac{c_\kappa}{n\pi} \left(\frac{\mathbf{q}'_\perp{}^2}{\mu^2} \right)^{-2\epsilon} \sum_{\ell=0}^{\infty} d_{a, \ell+1}(\epsilon) \left(\frac{\bar{\alpha}_s}{n} \frac{e^{\epsilon\gamma_E}}{\Gamma(1-\epsilon)} \left(\frac{\mathbf{q}'_\perp{}^2}{\mu^2} \right)^{-\epsilon} \right)^\ell, \quad d_{a, \ell+1}(\epsilon) \equiv c_{\ell+1}(\epsilon) \tilde{S}_a(1, -\ell\epsilon, \alpha_s, \epsilon)$$

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Small- x vs. twist expansion

Here we are dealing with two different power expansions simultaneously:

$$\lambda \sim x_b \quad \text{and} \quad \lambda' \sim \frac{\Lambda_{\text{QCD}}}{Q} .$$

Key subtleties:

- > Small- x_b and twist expansions *do not commute*.
- > Both expansions have terms that are leading power in one but subleading in the other.

Consider the fixed order series: Leading twist-2 contributions at $\mathcal{O}(\alpha_s^0)$ and $\mathcal{O}(\alpha_s)$ are actually power suppressed in x_b -expansion. For example,

$$H_L^{(g)}(x) \sim \alpha_s x(1-x) + \mathcal{O}(\alpha_s^2) \quad \Leftrightarrow \quad \bar{H}_L^{(g)}(x) \sim \alpha_s \left(\frac{1}{n+2} - \frac{1}{n+3} \right) + \mathcal{O}(\alpha_s^2)$$

Thus in connecting with the twist expansion we will have to **include power suppressed pieces**.
(See an illustration in the backup.)

BFKL Resummation of F_L

We set $\mu^2 = Q^2$ and start with formula involving **unknown pieces** (HP = higher power)

$$\bar{F}_{L,HP}^g + \bar{F}_{L,LL}^g(n) = \bar{H}_L^{(g)}\left(n, \frac{Q^2}{\mu^2} = 1, \alpha_s\right) \bar{\Gamma}_{gg}(\alpha_s, n) .$$

Parameterize the the terms we want to determine for LL results as

$$\begin{aligned}\bar{H}_L^{(g)} &= \frac{\alpha_s}{\pi} \sum_{k=0}^{\infty} \epsilon^k h_{L,g}^{(0,k)} + \frac{\alpha_s}{\pi} \sum_{\ell=1}^{\infty} \left(\frac{\alpha_s}{\pi n}\right)^\ell \sum_{k=0}^{\infty} \epsilon^k h_{L,g}^{(\ell,k)} , \\ \gamma_{gg} &= \sum_{\ell=1}^{\infty} \gamma_{gg,\ell-1} \left(\frac{\bar{\alpha}_s}{\pi}\right)^\ell , \\ \bar{F}_{L,HP}^g &= \frac{\alpha_s}{\pi} \sum_{k=-1}^{\infty} \epsilon^k f_{L,g}^{(k)} .\end{aligned}$$

We have truncated the higher power pieces to $\mathcal{O}(\alpha_s)$ which is sufficient for LL resummation in small- x_b .

BFKL Resummation of F_L

We set $\mu^2 = Q^2$ and start with formula involving **unknown pieces** (HP = higher power)

$$\bar{F}_{L,HP}^g + \bar{F}_{L,LL}^g(n) = \bar{H}_L^{(g)}\left(n, \frac{Q^2}{\mu^2} = 1, \alpha_s\right) \bar{\Gamma}_{gg}(\alpha_s, n) .$$

By sequentially comparing the coefficients of $(\alpha_s/\epsilon)^\ell$, $\alpha_s(\alpha_s/\epsilon)^\ell$, ... terms we find

$$\begin{aligned}\gamma_{gg} &= \frac{\bar{\alpha}_s}{n} + 2\zeta_3 \left(\frac{\bar{\alpha}_s}{n}\right)^4 + \dots , \\ \bar{H}_L^{(g)} &= \frac{2\alpha_s n_f T_F}{3\pi} \left(1 - \frac{1}{3} \frac{\bar{\alpha}_s}{n} + \left(\frac{34}{9} - \zeta_2\right) \left(\frac{\bar{\alpha}_s}{n}\right)^2 + \left(-\frac{40}{27} + \frac{\pi^2}{18} + \frac{8}{3}\zeta_3\right) \left(\frac{\bar{\alpha}_s}{n}\right)^3 + \dots \right) , \\ \bar{F}_{L,HP}^g &= \frac{2\alpha_s n_f T_F}{3\pi} \left(1 + 3\epsilon + \left(6 - \frac{1}{2}\zeta_2\right)\epsilon^2 + \left(12 - \frac{\pi^2}{4} - \frac{7}{3}\zeta_3\right)\epsilon^3 + \dots \right) .\end{aligned}$$

Series agree with LL results in Catani and Hautmann [CH94]. Interestingly, we **simultaneously determine the LL results for γ_{gg} and $\bar{H}_L^{(g)}$** . Also we **determine the unknown power suppressed pieces** in the structure function and the coefficient function **self-consistently!**

Resummation of F_2

For F_2 , we write

$$\bar{F}_{2,\text{HP}}^g + \bar{F}_{2,\text{LL}}^g(n) = 2n_f \bar{\Gamma}_{qg} + \bar{H}_2^{(g)} \bar{\Gamma}_{gg}$$

Following the same steps as before, we find

$$\begin{aligned}\gamma_{qg} &= \frac{\alpha_s T_F}{3\pi} \left(1 + \frac{5}{3} \frac{\bar{\alpha}_s}{n} + \frac{14}{9} \left(\frac{\bar{\alpha}_s}{n} \right)^2 + \left(\frac{82}{81} + 2\zeta_3 \right) \left(\frac{\bar{\alpha}_s}{n} \right)^3 + \dots \right), \\ \bar{H}_2^{(g)} &= \frac{\alpha_s n_f T_F}{3\pi} \left(1 + \left(\frac{43}{9} - 2\zeta_2 \right) \frac{\bar{\alpha}_s}{n} + \left(\frac{1234}{81} - \frac{13}{3} \zeta_2 + \frac{4}{3} \zeta_3 \right) \left(\frac{\bar{\alpha}_s}{n} \right)^3 + \dots \right), \\ \bar{F}_{2,\text{HP}}^g &= \frac{\alpha_s n_f T_F}{3\pi} \left(-\frac{2}{\epsilon} + 1 + (1 + \zeta_2)\epsilon + \left(1 - \frac{1}{2}\zeta_2 + \frac{14}{3}\zeta_3 \right) \epsilon^2 + \dots \right).\end{aligned}$$

The IR pole in $\bar{F}_{2,\text{HP}}^g$ does not result from BFKL evolution. This required [CH94] to introduce a new auxiliary object, the quark Green's function (see backup). For us it results straightforwardly from our soft function \tilde{S}_2 .

Comparison with previous work

> **Objects in factorization:**

[CH94] Made use of **off-shell cross sections** which can only be guaranteed to be gauge invariant at leading order.

here Employed **individually gauge invariant** (to all orders) collinear and soft functions.

> **Resummation of F_L vs. F_2 :**

[CH94] Needed to define a **separate quark Green's function** for F_2

here Resummation of both F_2 and F_L follow from the **same soft function**.

> **Manifest power counting**

[CH94] **Included $\mathcal{O}(\alpha_s)$ higher power pieces** from the beginning.

here The resummed structure function $\bar{F}_{a,LL}^\kappa$ is **manifestly leading power**. We could self-consistently determine the power suppressed pieces by demanding consistency with twist factorization.

> **NLO computation**

[CC99] Calculated *impact factor* analogous to our collinear function, but required a careful subtraction of Green's function pieces, inducing **factorization scheme dependencies**.

here Computation of factorized functions in our formalism follow straightforwardly from operator definitions. **No process or factorization scheme dependence**.

Conclusion

- > We have shown how to **construct from the SCET framework with Glauber interactions**
 - small- x_b factorization to NLL,
 - and resummation done explicitly to LL.
- > Factorization involves **a universal collinear function**. Such universality is not obvious in the traditional approach.
- > **Advantages of the EFT approach:**
 - Factorization functions gauge invariant to all orders.
 - No separate Green's functions needed to be calculated.
 - Off-shell cross sections replaced by one soft function $S^{\alpha\beta}$ for all DIS channels.
 - Manifest power counting.
 - No factorization or scheme dependencies.
 - Universal, process independent, collinear-function.
- > This work provides a **new framework for extending resummed calculations** for coefficient functions and anomalous dimensions to higher logarithmic orders.



Thank you!

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Backup



Resummation of γ_{gg} by Catani and Hautmann [CH94]

LL resummation by Catani and Hautmann [CH94]:

$$\bar{F}_L^{(g)}\left(n, \frac{Q^2}{\mu^2}\right) = h_L(\gamma_{gg}(\alpha_s, n)) R(\alpha_s, n) \left(\frac{Q^2}{\mu^2}\right)^{\gamma_{gg}(\alpha_s, n)} \bar{\Gamma}_{gg}(\alpha_s, n, \epsilon).$$

Interaction with photons in terms of IR finite, off-shell cross section:

$$h_L(\gamma) = \gamma \int_0^\infty \frac{d\mathbf{k}_\perp^2}{\mathbf{k}_\perp^2} \left(\frac{\mathbf{k}_\perp^2}{Q^2}\right)^\gamma \hat{\sigma}_L^g\left(\frac{\mathbf{k}_\perp^2}{Q^2}, \alpha_s, \epsilon = 0\right).$$

Resummation of $(\alpha_s/n)^\ell$ poles in γ_{gg} via a separate calculation of gluon Green's function:

$$\mathcal{F}_g^{(0)}(n, \mathbf{k}_\perp, \alpha_s, \mu, \epsilon) = \frac{\gamma_{gg}(n, \alpha_s)}{\pi \mathbf{k}_\perp^2} \tilde{R}(n, \mathbf{k}_\perp, \mu_F, \alpha_s; \mu, \epsilon) \bar{\Gamma}_{gg}(\alpha_s, n, \epsilon).$$

Special property of LL BFKL: all the LL IR divergences in F_L are generated by BFKL evolution, and captured in the gluon Green's function. R describes scheme dependence. Consistency with DGLAP allows a closed form determination of γ_{gg} and a differential equation or a series solution for R .

Resummation of γ_{qg} by Catani and Hautmann [CH94]

For F_2 structure function, they showed

$$\gamma_{gg}(N, \alpha_s) \bar{H}_2^{(g)}(n, Q^2/\mu^2 = 1, \alpha_s) + 2n_f \gamma_{qg}(\alpha_s, n) = h_2(\gamma) R(n, \alpha_s),$$

where

$$h_2(\gamma) = \gamma \int_0^\infty \frac{d\mathbf{k}_\perp^2}{\mathbf{k}_\perp^2} \left(\frac{\mathbf{k}_\perp^2}{Q^2}\right)^\gamma \frac{\partial}{\partial \ln Q^2} \hat{\sigma}_2^g\left(\frac{\mathbf{k}_\perp^2}{Q^2}, \alpha_s, \epsilon = 0\right).$$

Notice that they needed to take $\ln Q^2$ derivative as $\hat{\sigma}_2^g$ is not collinear safe. The structure of IR divergences in γ_{qg} gets polluted by $1/\epsilon$ divergence in $\hat{\sigma}_2^g$, so define a new *quark Green function*:

$$G_{qg}^{(0)}(n, \alpha_s, \epsilon) = \int d^{d-2} \mathbf{k}_\perp \hat{K}_{qg}\left(\frac{\mathbf{k}_\perp^2}{Q^2}, \alpha_s, \mu, \epsilon\right) \mathcal{F}_g^{(0)}(n, \mathbf{k}_\perp, \alpha_s, \mu, \epsilon).$$

K_{qg} includes the $1/\epsilon$ pole associated with $\hat{\sigma}_2^g$ (same as what we saw in $\bar{F}_{2,HP}^g$ above). Consistency with DGLAP resummation then enables determination of γ_{qg} anomalous dimension using $G_{qg}^{(0)}$, although not in a closed form as in γ_{gg} .

How do IR poles exponentiate?

After resumming the leading $(\bar{\alpha}_s/n)^\ell$ terms:

$$\bar{F}_{a,LL}^{\kappa}(n, Q^2) = \frac{c_\kappa}{n\pi} \left(\frac{\mathbf{q}_\perp^2}{\mu^2}\right)^{-2\epsilon} \sum_{\ell=0}^{\infty} d_{a,\ell+1}(\epsilon) \left(\frac{\bar{\alpha}_s}{n} \frac{e^{\epsilon\gamma_E}}{\Gamma(1-\epsilon)} \left(\frac{\mathbf{q}_\perp^2}{\mu^2}\right)^{-\epsilon}\right)^\ell$$

In twist expansion the bare structure function (in dim-reg) factorizes as

$$\bar{F}_p^{\kappa}(n, Q^2) = \sum_{\kappa'} \bar{H}_p^{(\kappa')} \left(n, \frac{Q^2}{\mu^2}, \alpha_s(\mu^2)\right) \bar{\Gamma}_{\kappa'\kappa}(\alpha_s(\mu^2), n) + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}^2}{Q^2}\right).$$

In the fixed coupling approximation the partonic PDF is

$$\bar{\Gamma}_{\kappa'\kappa}(\alpha_s(\mu^2), n) = \text{P exp} \left(-\frac{1}{\epsilon} \int_0^{\alpha_s(\mu^2)} \frac{d\alpha}{\alpha} \gamma^s(\alpha, n) \right)_{\kappa'\kappa}.$$

For parton $\kappa \rightarrow \kappa'$ it captures the infra-red divergences of the perturbative calculation.

How do IR poles exponentiate?

After resumming the leading $(\bar{\alpha}_s/n)^\ell$ terms:

$$\bar{F}_{a,\text{LL}}^\kappa(n, Q^2) = \frac{c_\kappa}{n\pi} \left(\frac{\mathbf{q}_\perp^2}{\mu^2}\right)^{-2\epsilon} \sum_{\ell=0}^{\infty} d_{a,\ell+1}(\epsilon) \left(\frac{\bar{\alpha}_s}{n} \frac{e^{\epsilon\gamma_E}}{\Gamma(1-\epsilon)} \left(\frac{\mathbf{q}_\perp^2}{\mu^2}\right)^{-\epsilon}\right)^\ell$$

Let us illustrate how the leading $(\alpha_s/\epsilon)^\ell$ IR poles exponentiate. The $d_{a,\ell}$ coefficients for $a = L$ behave as

$$\frac{1}{n} \left(\frac{\bar{\alpha}_s}{n}\right)^\ell d_{L,\ell+1}(\epsilon) = \frac{2\alpha_s n_f T_F}{3\pi} \left[\frac{1}{(\ell+1)!} \left(-\frac{1}{\epsilon} \frac{\bar{\alpha}_s}{n}\right)^{\ell+1} + \mathcal{O}(\epsilon^{-\ell}) \right]$$

Thus,

$$\begin{aligned} \bar{F}_{L,\text{LL}}^g(n) + \frac{2\alpha_s n_f T_F}{3\pi} &= \frac{2\alpha_s n_f T_F}{3\pi} \left[\sum_{\ell=0}^{\infty} \frac{1}{\ell!} \left(-\frac{1}{\epsilon} \frac{\bar{\alpha}_s}{n}\right)^\ell (1 + \mathcal{O}(\epsilon)) \right] \\ &= \frac{2\alpha_s n_f T_F}{3\pi} \exp\left(-\frac{1}{\epsilon} \frac{\bar{\alpha}_s}{n}\right) \left(1 + \mathcal{O}\left(\frac{\bar{\alpha}_s}{n}\right)\right) + \mathcal{O}\left(\frac{1}{\epsilon} \left(\frac{\bar{\alpha}_s}{n}\right)^2\right) \end{aligned}$$

Necessary to add by hand the $\mathcal{O}(\alpha_s)$ term to factorize IR divergences.

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