

# *Heterogeneous Interfaces Investigated by Photoelectron Spectroscopy*



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Dept. of Physics, UC Davis  
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Materials Sciences Division  
Lawrence Berkeley National Laboratory

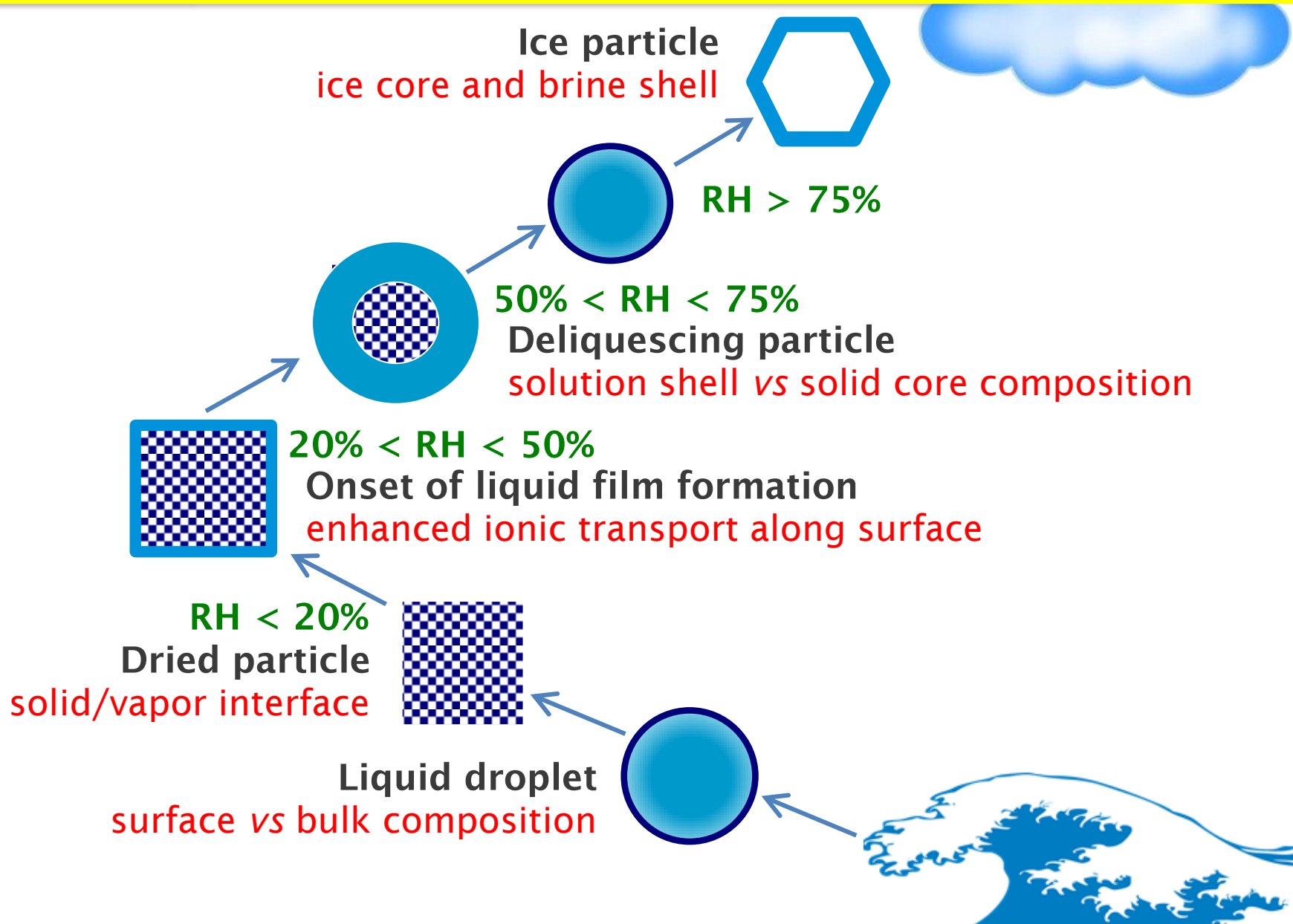
LBNL Interdisciplinary Instrumentation Colloquium  
Wednesday, 27 May 2015



# Outline

- Interfaces in the environment and technology: Solid/gas, liquid/gas, solid/liquid, and solid/solid
- Photoemission spectroscopy: Basic technical considerations; Inelastic mean free path in solids and gases
- Studying interfaces under realistic conditions: Ambient pressure and buried interfaces
- Ambient pressure photoemission-instrumentation
- Solid/solid interfaces: Instrumentation and detectors
- Standing wave photoemission
- Application to the solid/liquid interface
- Application to complex oxide interfaces

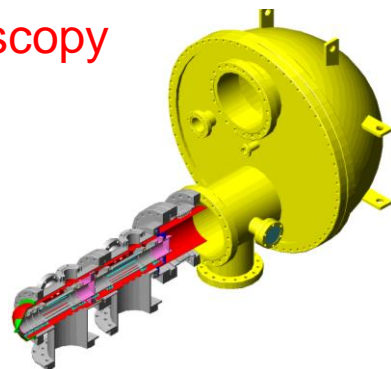
# Complex Interface Chemistry of a Seasalt Aerosol Particle



# Bridging the Materials and Pressure Gap in Catalysis

Pressure

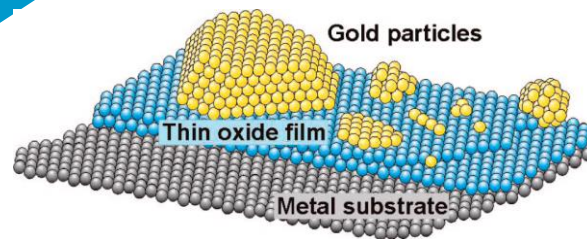
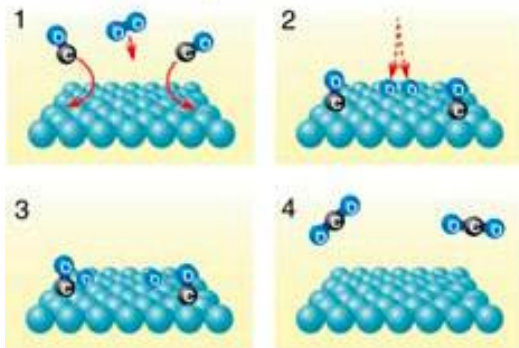
In situ Spectroscopy



Real world

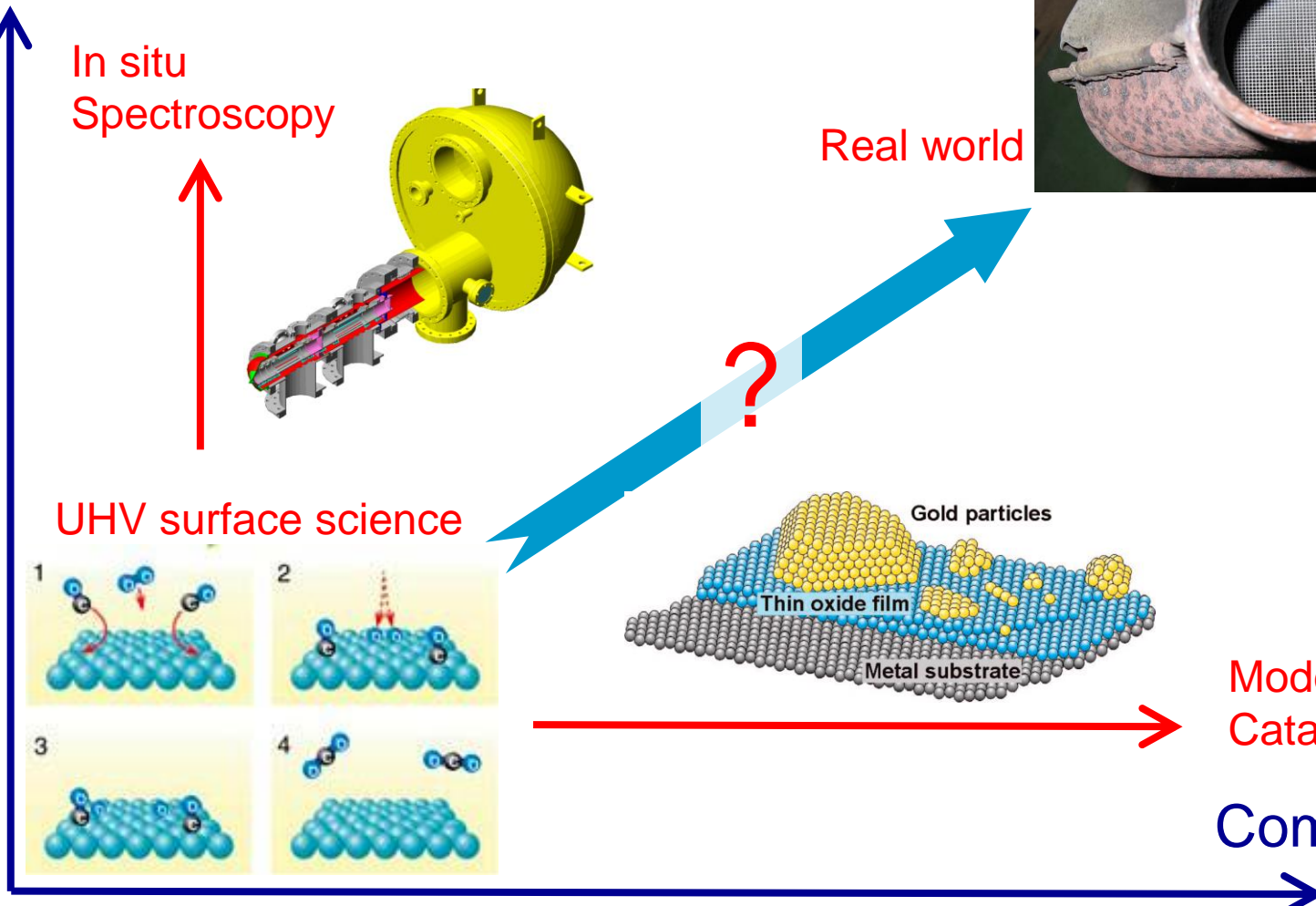
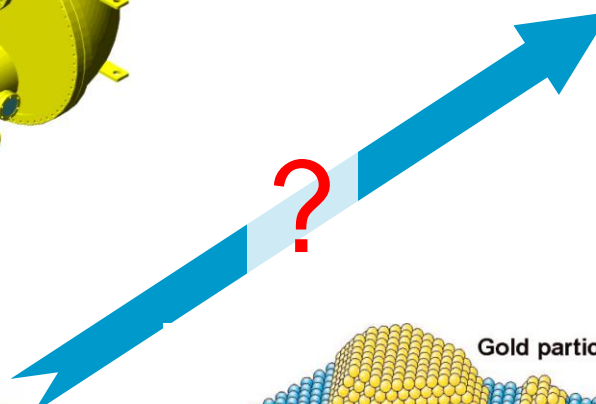


UHV surface science



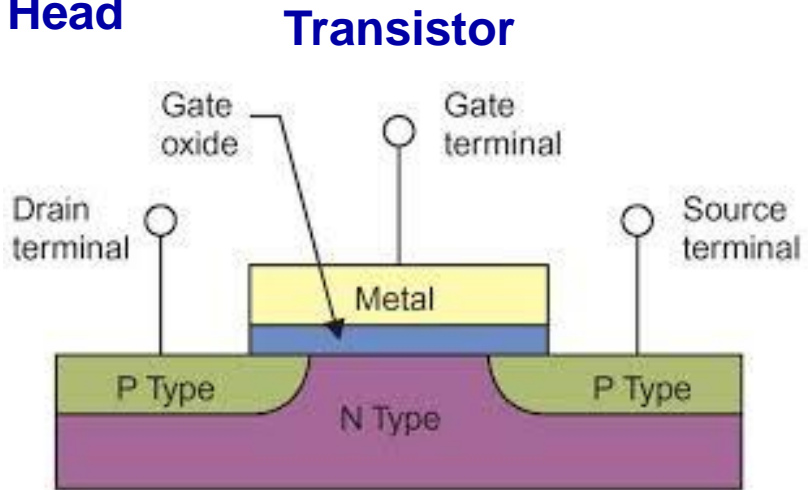
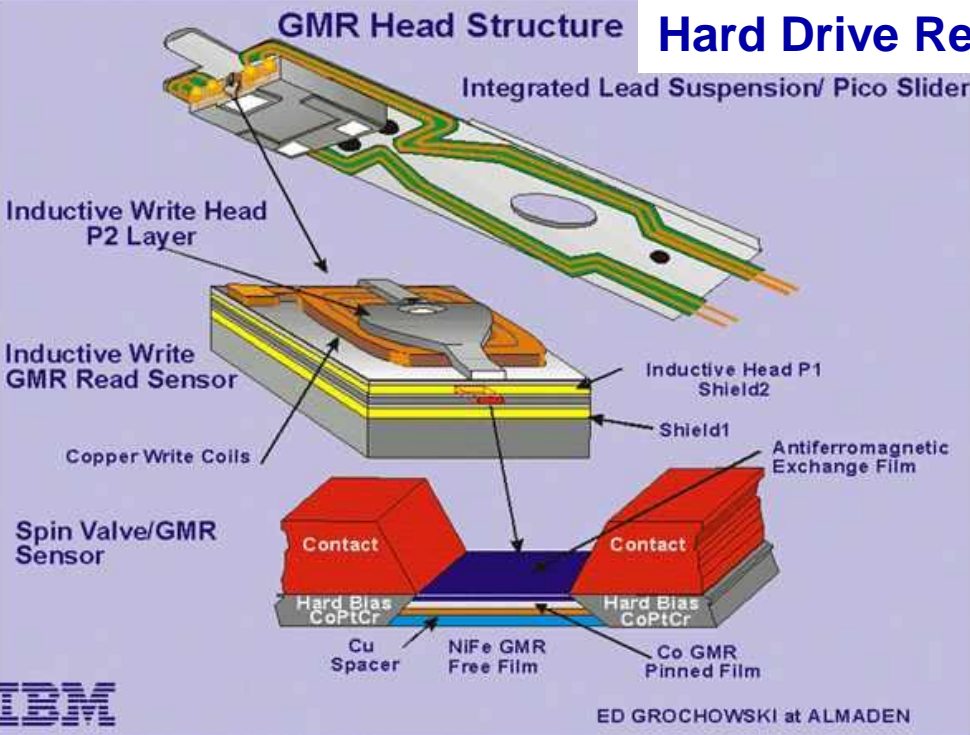
Model Catalysts

Complexity

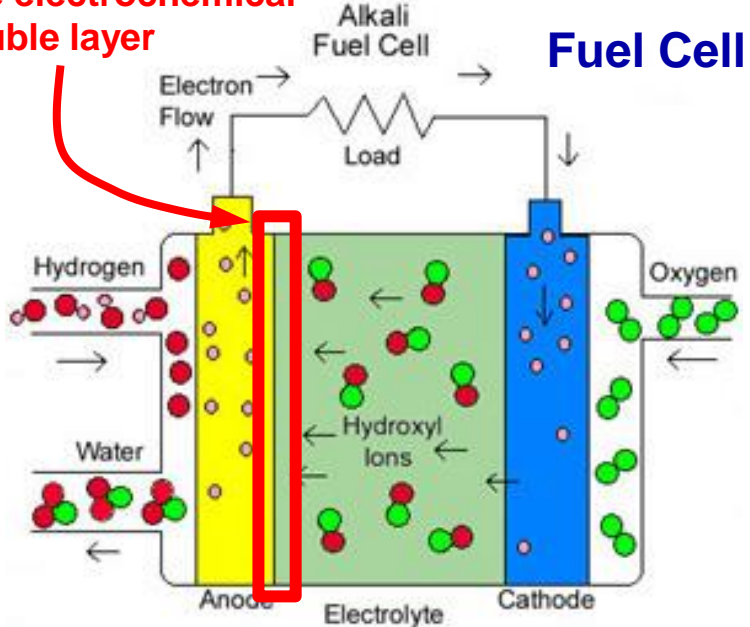




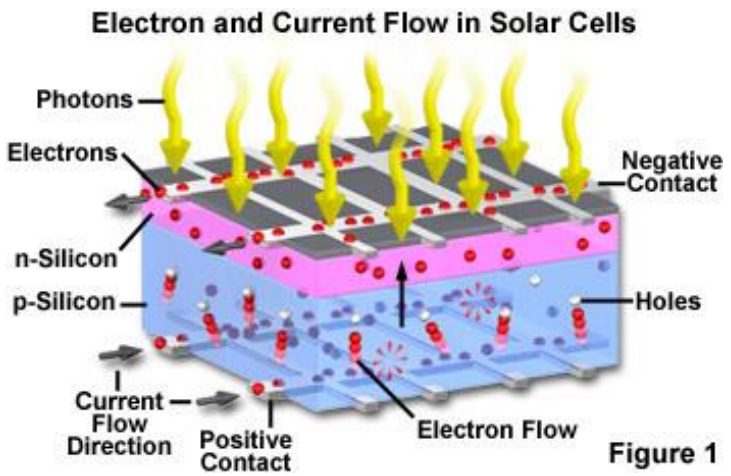
# Interfaces in information and energy technology



The electrochemical double layer



## Photovoltaic Cell

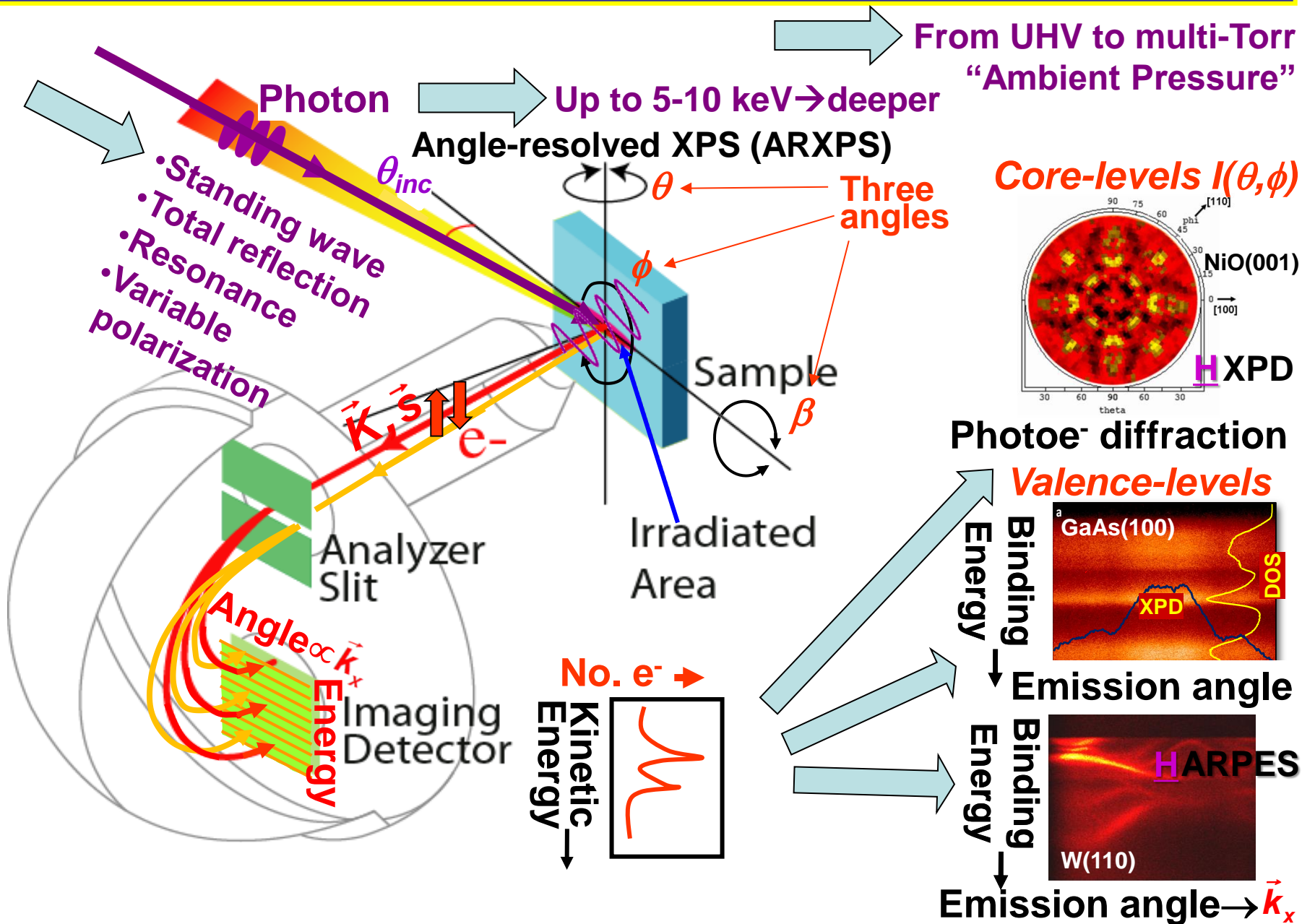


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# X-ray photoemission: some key elements



# Photoemission from surfaces, complex bulk materials, buried layers, interfaces

Photon  
 $h\nu$

Photoelectron

$$E_{\text{kin}}, \vec{p} = \hbar\vec{k}, \vec{s}$$

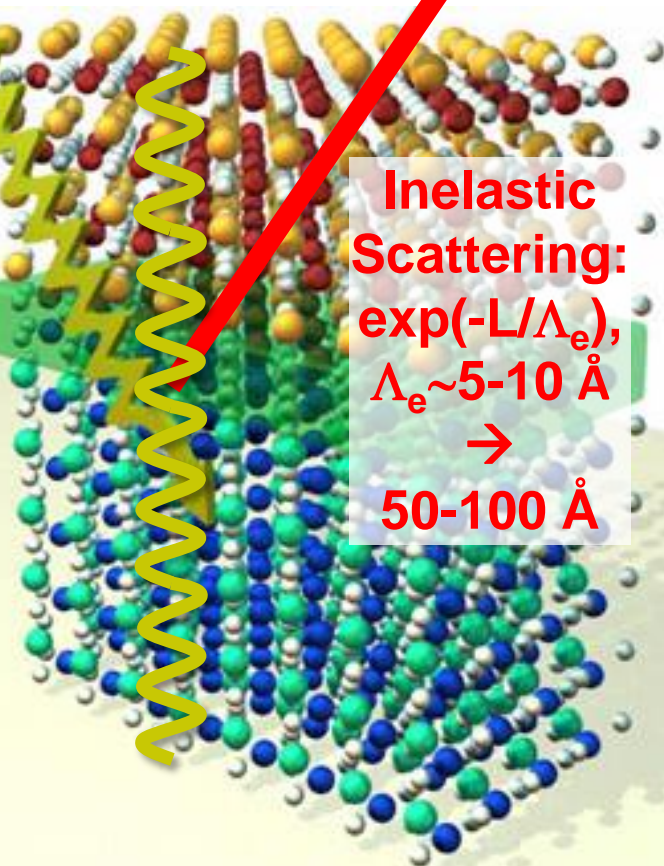
Usually  
ultrahigh  
Vacuum  
→ Multi-Torr

Inelastic  
Scattering:  
 $\exp(-L/\Lambda_e)$ ,  
 $\Lambda_e \sim 5-10 \text{ \AA}$   
→  
 $50-100 \text{ \AA}$

What do we want to know?

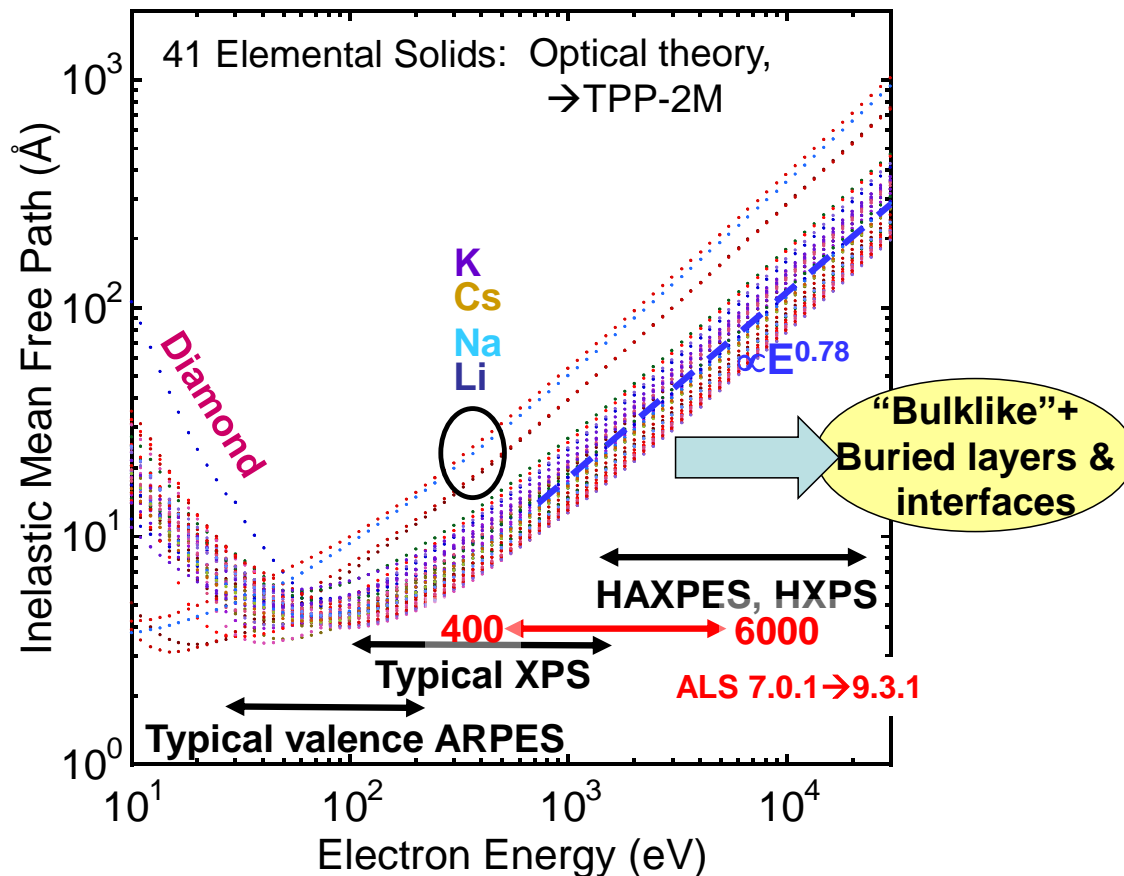
- Atomic structure, lattice distortions
- Depth profiles of composition, optical properties, magnetization, from surface inward, at interfaces
- Core-levels → element-specific binding energies, charge states electronic configurations, magnetic moments/magnetization
- Band offsets, depth-dependent pot'ls.
- Valence-band densities of states bandgaps, behavior near  $E_F$  (XPS)
- Valence-band dispersions, via depth- and angle- resolved photoemission (ARPES)

“The interface is the device.”  
Kroemer, Nobel, 2000  
“The interface is still the device”,  
Nat. Mater., 11, 91-91, (2012)





# The reasons for higher photon energies

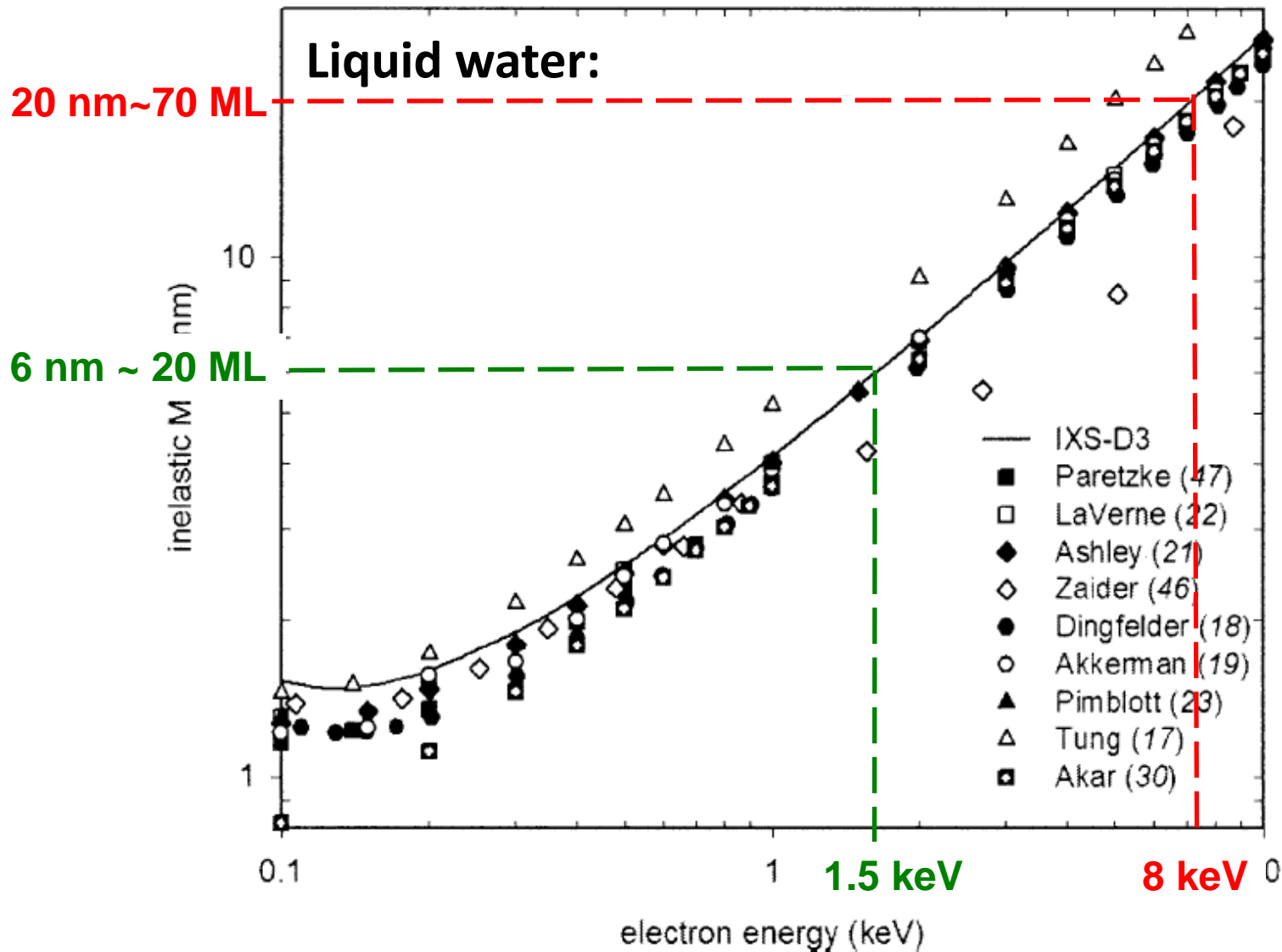


Tanuma, Powell, Penn, Surf. and Interf. Anal. 43, 689 (2011)

- “Recent advances in Hard X-ray Photoelectron Spectroscopy (HAXPES)”, Journal of Electron Spectroscopy and Related Phenomena, Volume 190, Part B, Pages 125-314 (October 2013)
- “Some future perspectives in soft- and hard- x-ray photoemission”, CSF and S. Nemšák, J. Electron Spect. 195, 409–422 (2014)

- More bulk sensitive spectra: a versatile tool for any new material or multilayer nanostructure
- Broad range of applications to date!: alloys, SC materials, oxides, magnetic devices, PVs, batteries, in operando, ambient pressure,...

# Inelastic Mean Free Path of Electrons in Water



**Gas-phase water:**  
 $IMFP(g) \approx IMFP(l) \times \rho(g)/\rho(l)$

**Can limit pressure if energy is too low**

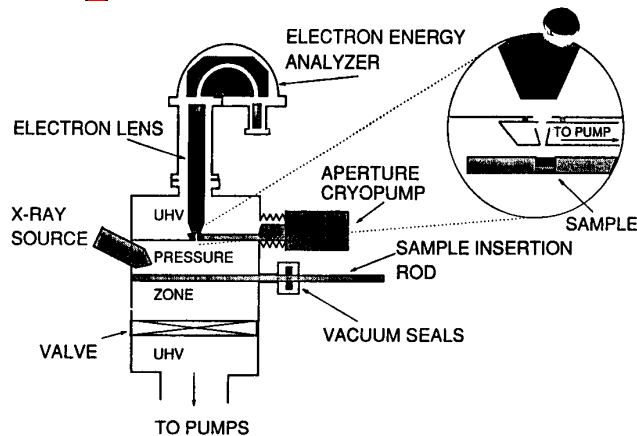
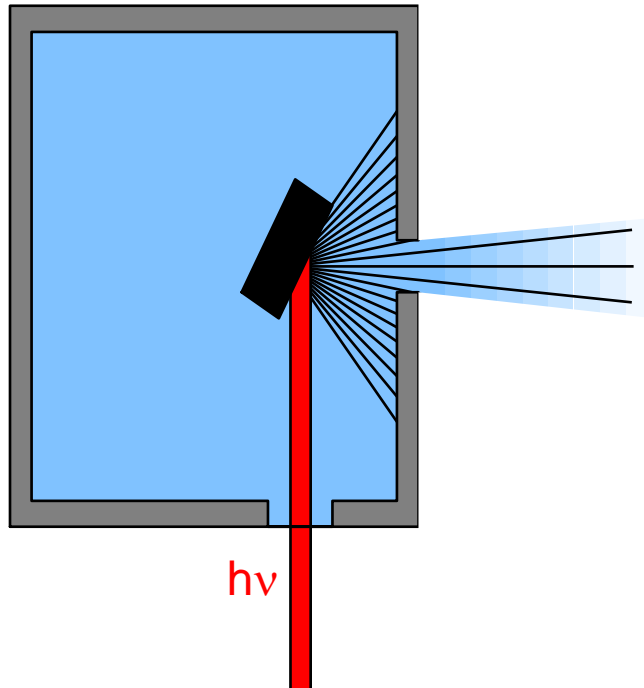


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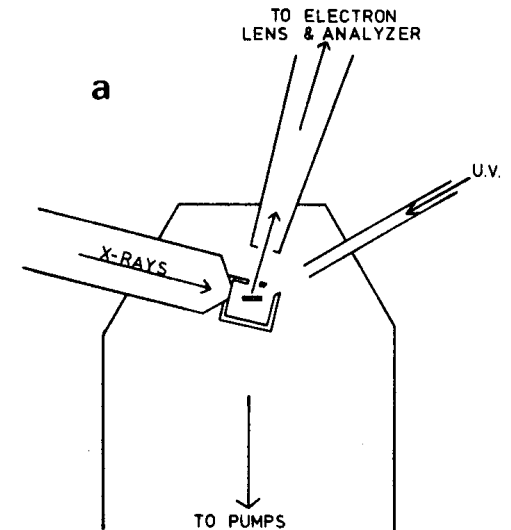
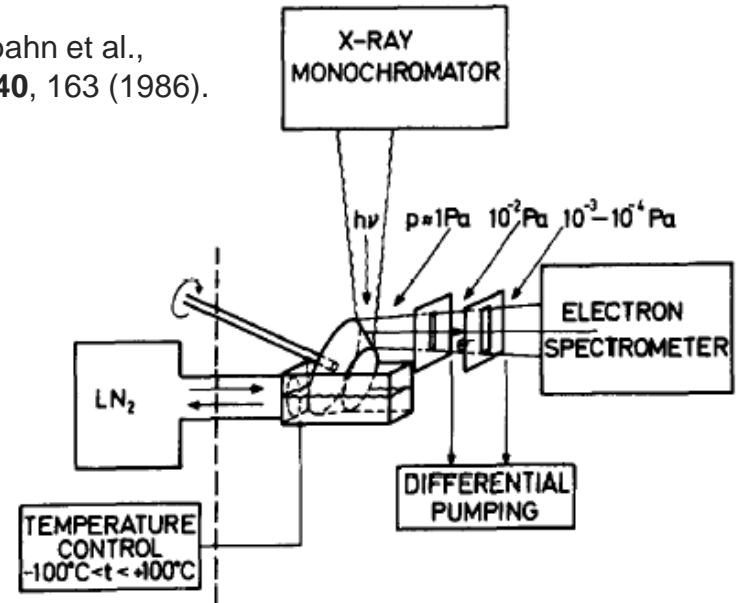
# Early Ambient Pressure XPS Designs

H. & K. Siegbahn et al., 1972 ff.



M. Grunze et al., in: *Surface Science of Catalysis* (1987).

H. Siegbahn et al., *JESRP* 40, 163 (1986).

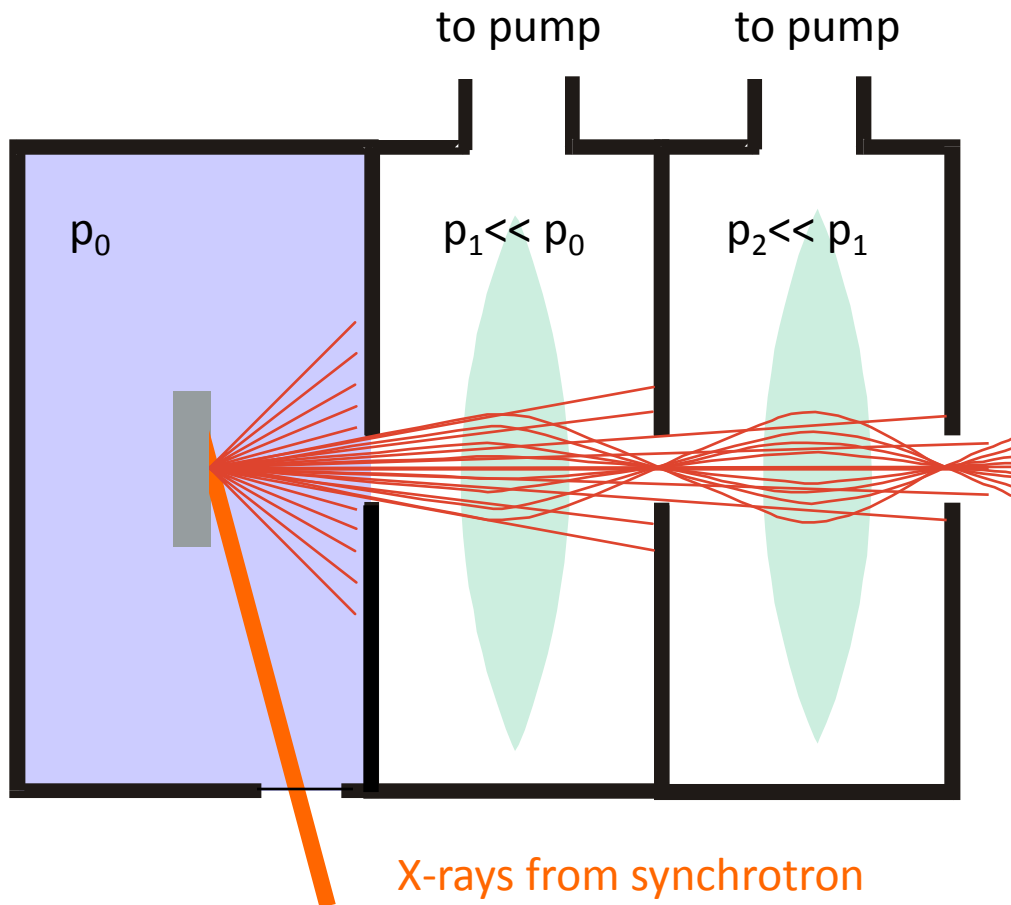


R. Joyner, M.W. Roberts, *Surf. Sci.* 87, 501 (1979)

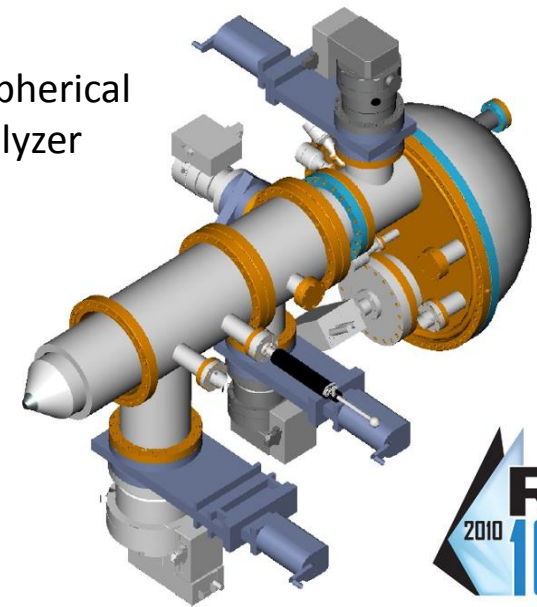


# LBNL Ambient Pressure XPS Design (~2000)

D.F. Ogletree, H. Bluhm, G. Lebedev, C.S. Fadley,  
Z. Hussain, M. Salmeron, Rev. Sci. Instrum. 73 (2002) 3872.



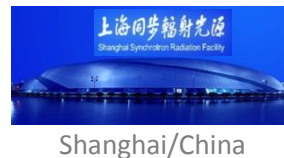
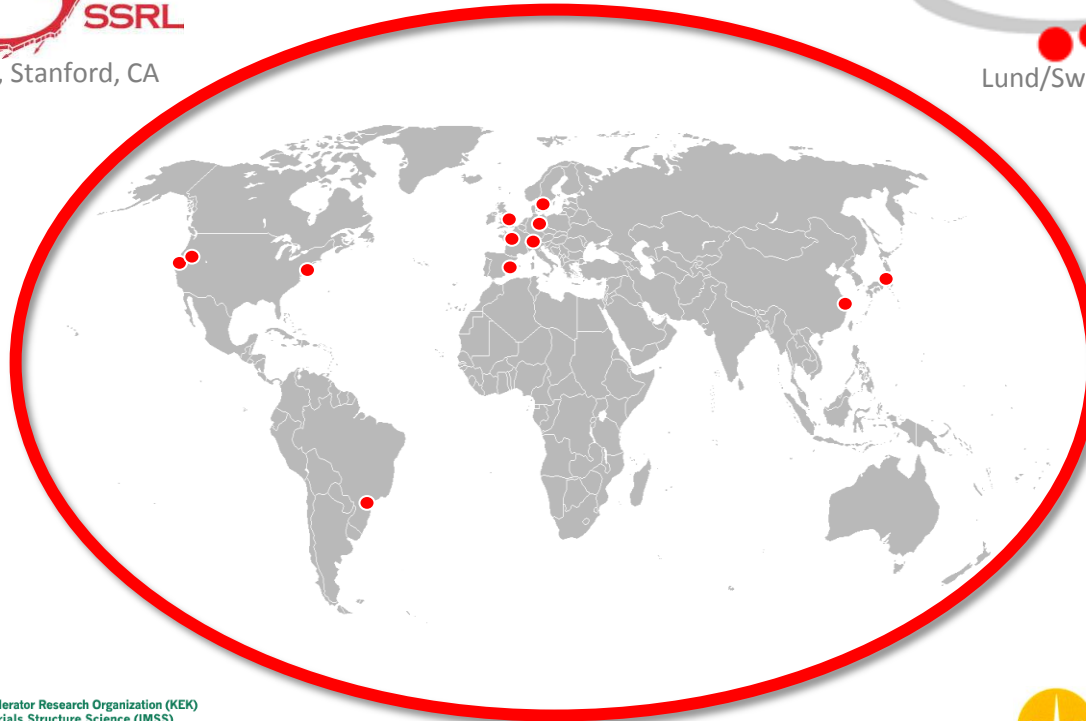
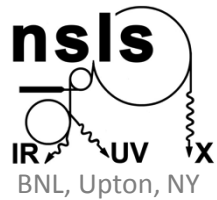
hemispherical  
analyzer



Measurements at 20 Torr water vapor are now possible  
(~equilibrium vapor pressure at room temperature)

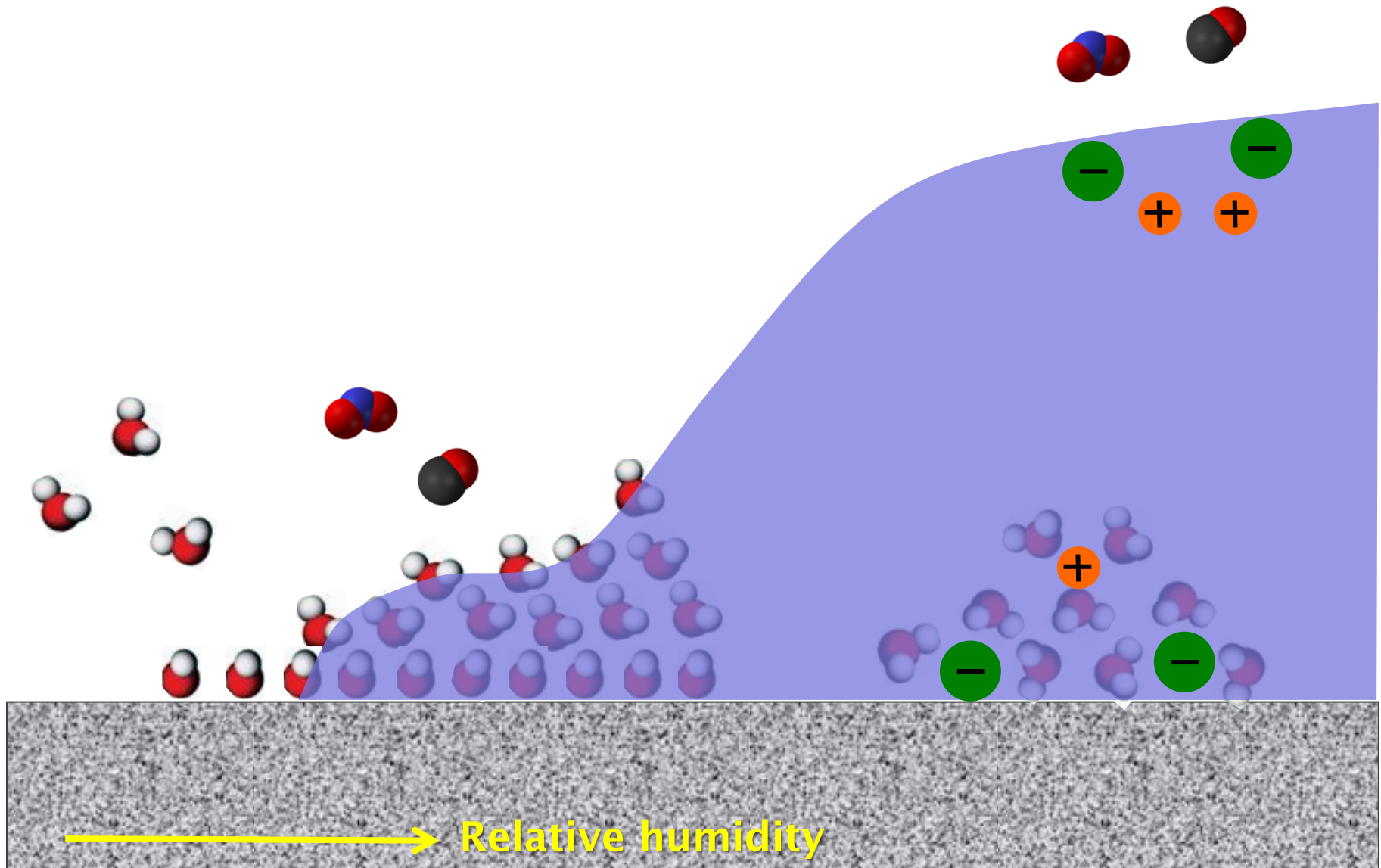
LBNL/FHI Berlin/Specs

# Ambient Pressure XPS Instruments at Synchrotrons





# APXPS for the Investigation of Solid/Vapor, Liquid/Vapor and Solid/Liquid Interfaces

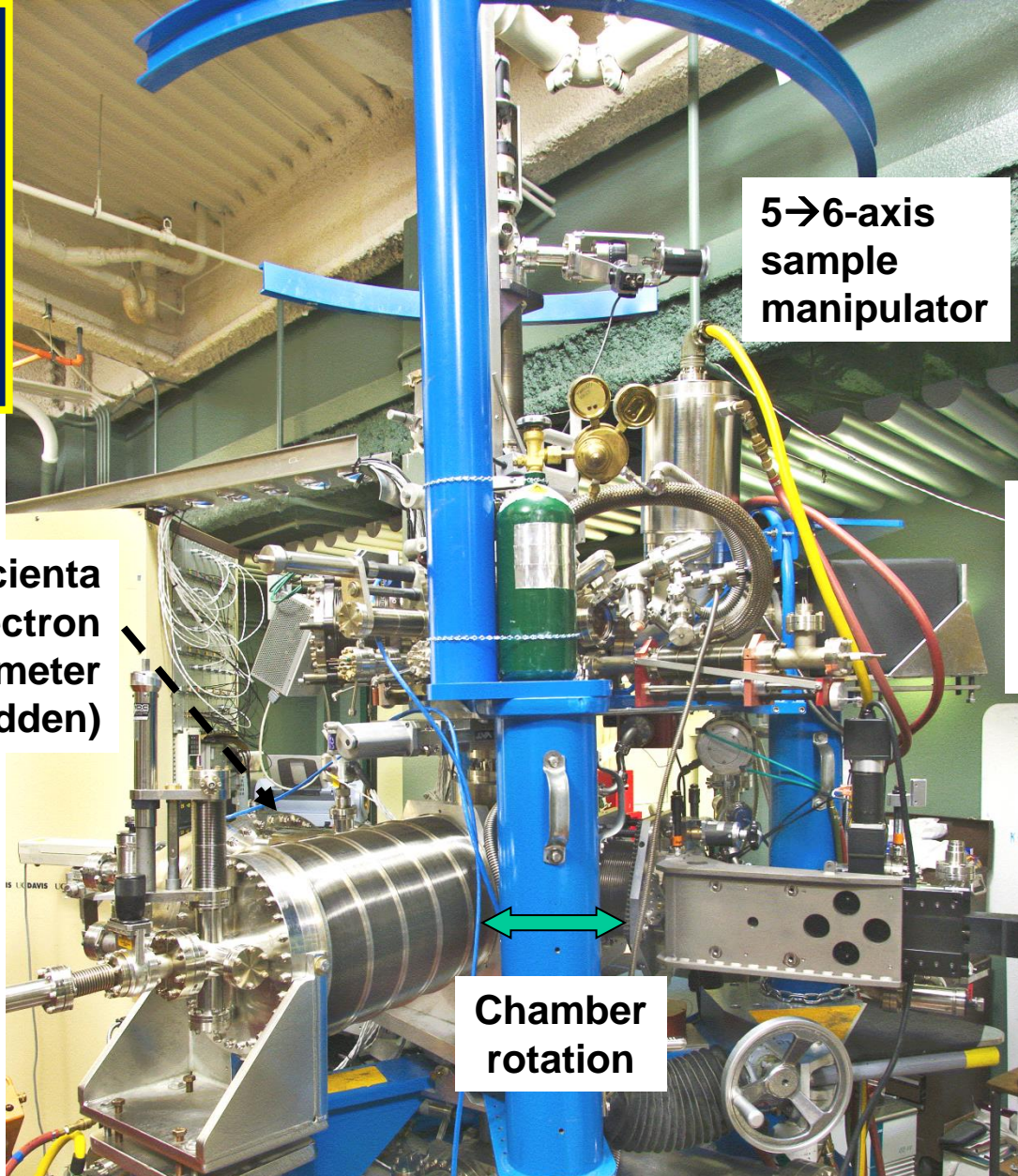


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**Hard X-ray  
Photoemission at  
the Advanced Light  
Source: The Multi-  
Technique  
Spectrometer/  
Diffractometer  
(MTSD)**



Open to  
general user  
program

Scienta  
electron  
spectrometer  
(hidden)

5→6-axis  
sample  
manipulator

Sample prep.  
chamber: LEED,  
Knudsen cells,  
electromagnet,...

ALS  
BL 9.3.1  
 $h\nu = 2-5 \text{ keV}$



Chamber  
rotation

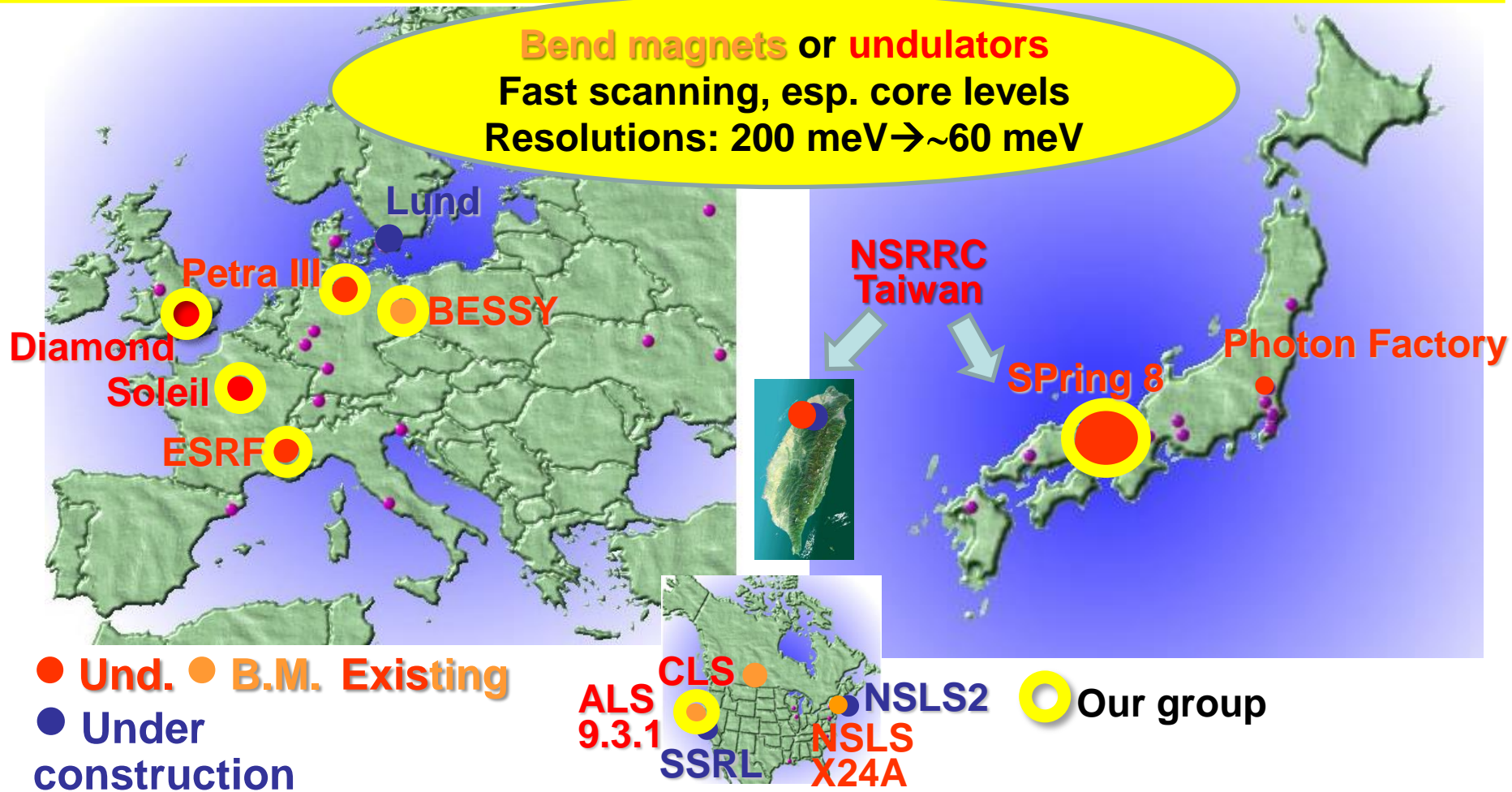
Scienta  
soft x-ray  
spectrometer:  
XES 300



Permits using all relevant spectroscopies on a single sample:  
XPS (incl. Al and Mg  $K\alpha$ ), HXPS, XPD, XAS, soft XES/RIXS. All details at:  
<http://www.physics.ucdavis.edu/fadleygroup/Hard.Xray.Photoemission.at.the.ALS.pdf>



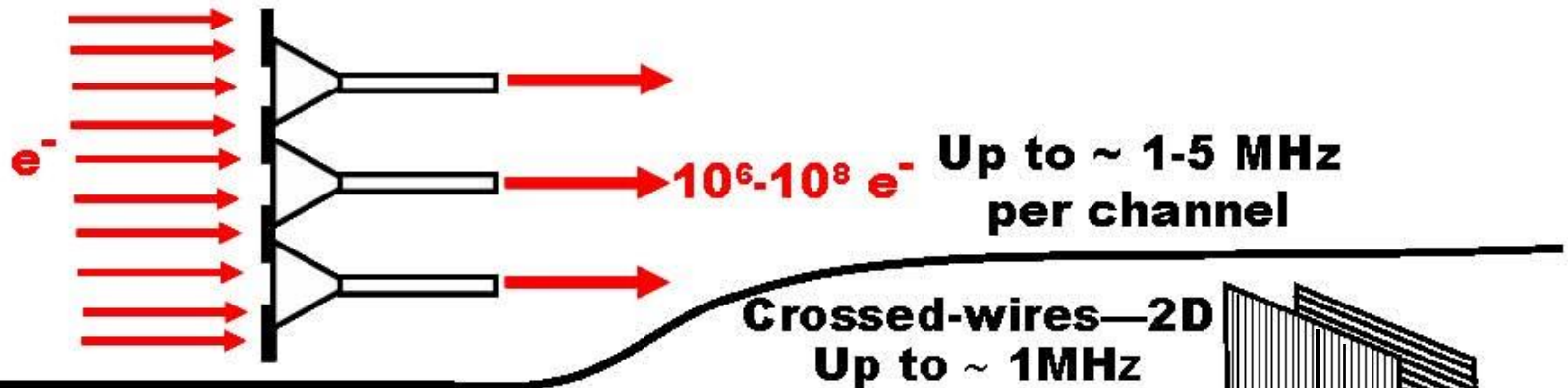
# Hard X-Ray Photoemission (HXPS, HAXPES, HX-PES, HIKE...) in the World



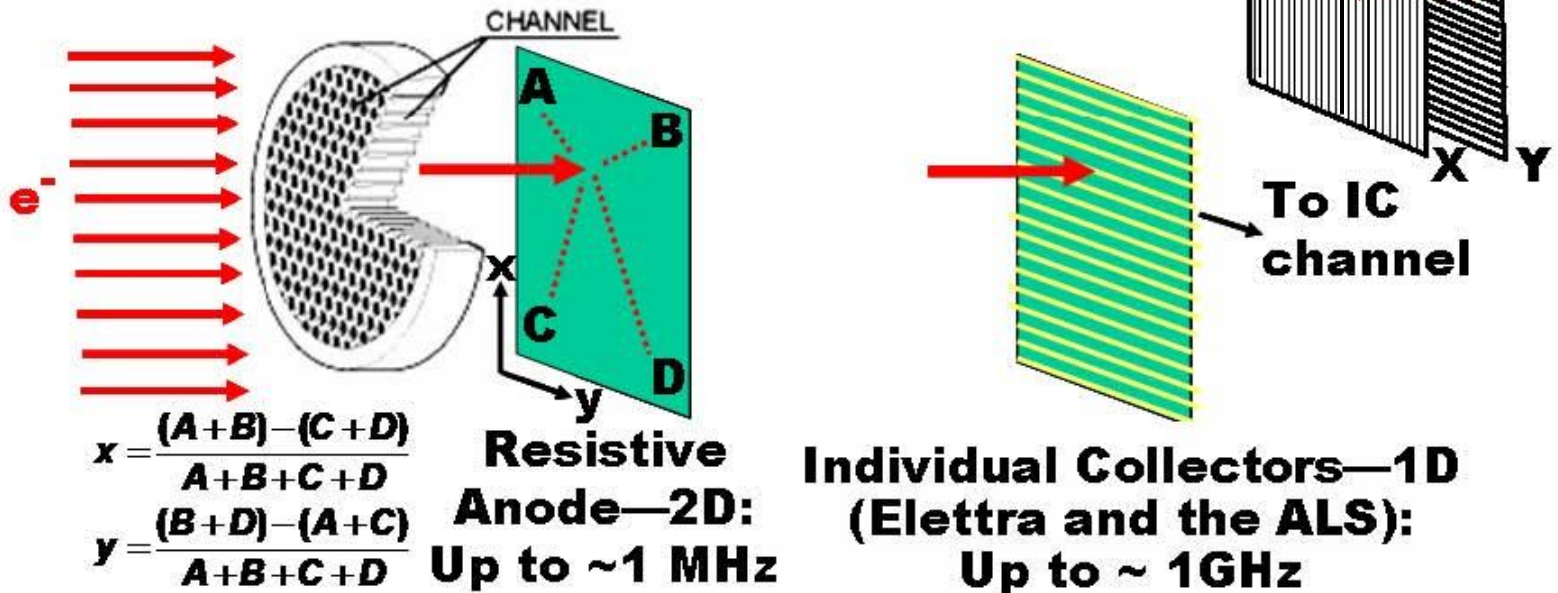
“Recent advances in Hard X-ray Photoelectron Spectroscopy (HAXPES)”,  
 Journal of Electron Spectroscopy and Related Phenomena, Volume 190, Part B,  
 Pages 125-314 (October 2013)

# Multichannel Electron Detectors for Electron Spectroscopy

Multiple channeltrons: brute force

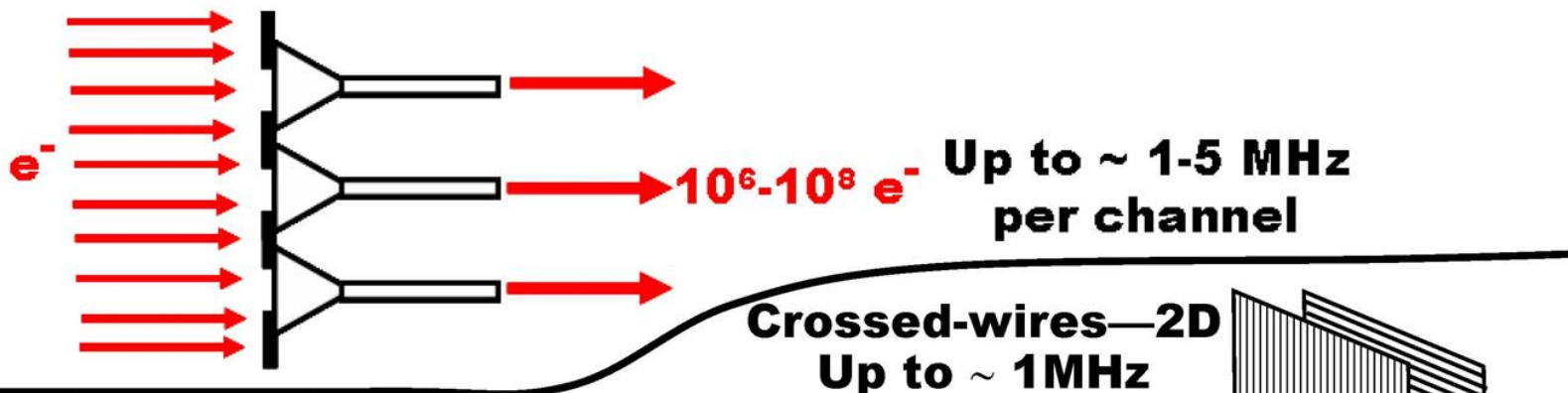


Microchannel plates (MCPs) and

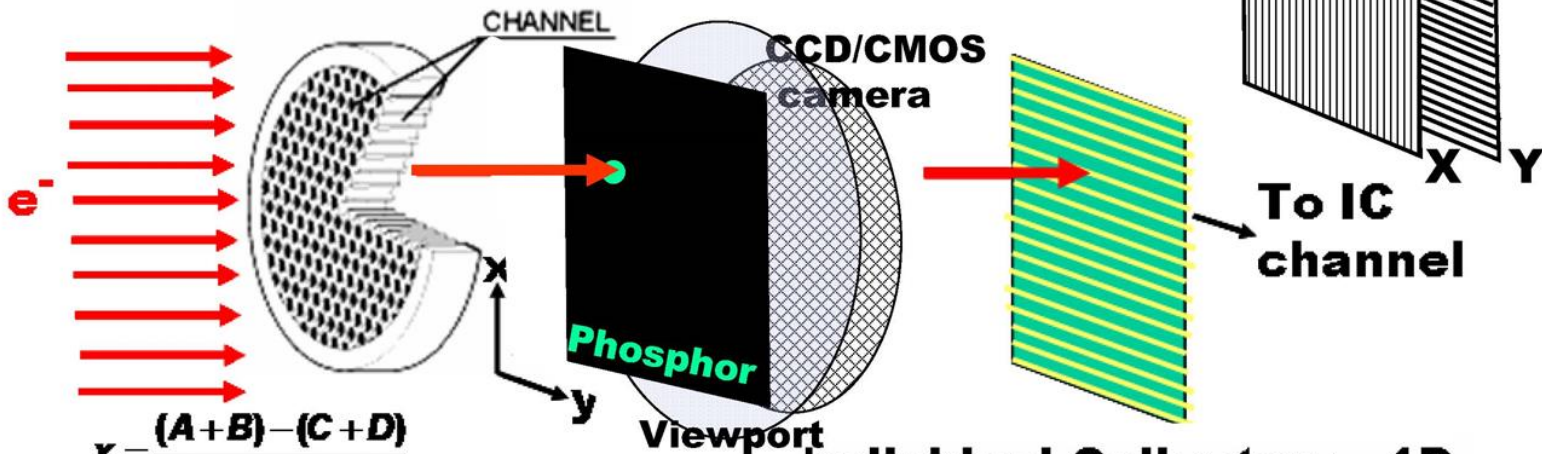


# Multichannel Electron Detectors for Electron Spectroscopy

**Multiple channeltrons: brute force**



**Microchannel plates (MCPs) and**



$$x = \frac{(A+B)-(C+D)}{A+B+C+D}$$

$$y = \frac{(B+D)-(A+C)}{A+B+C+D}$$

**Phosphor +  
Video Camera  
Up to ~10<sup>5</sup> Hz**

**Individual Collectors—1D  
(Elettra and the ALS):  
Up to ~ 1GHz**

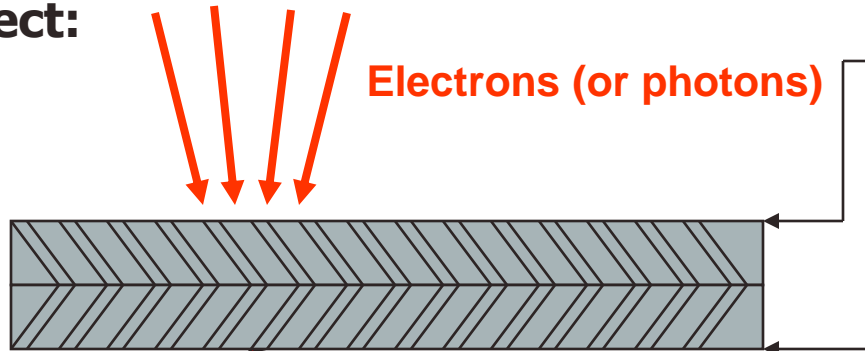


# Next Generation Detectors for Electron Spectroscopy

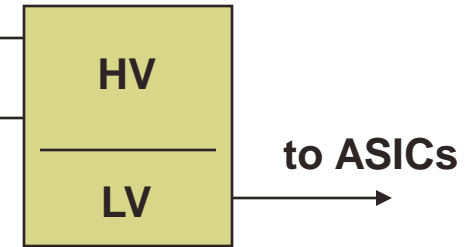
Energy-resolved photoelectrons from a next gen. FEL:  
200 GHz at 270 eV to  $8 \times 10^9 = 8$  GHz at 1200 eV

An ALS project:

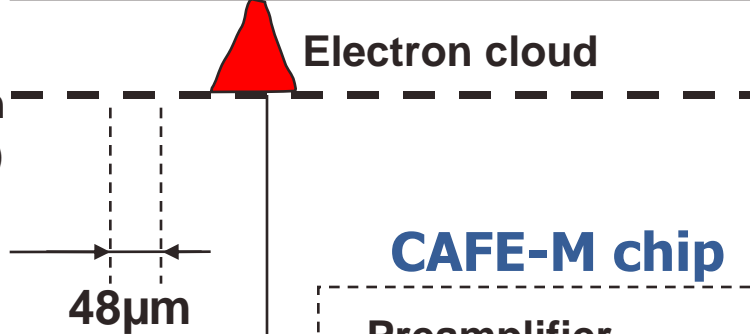
Microchannel plates-MCPs  
(40 mm x 10 mm)



Power supplies



Collectors  
(768 strips with  
48  $\mu$ m spacing)



CAFE-M chip

BMC chip

Preamplifier

Discriminator

Counter

Readout

Data acquisition board (DAQ)

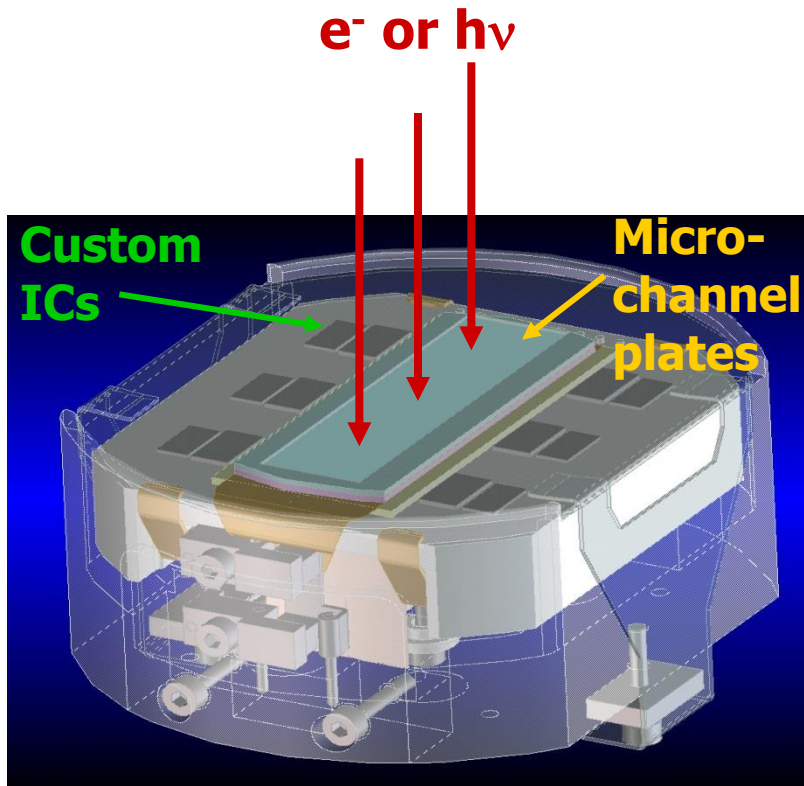
Analog Front end

Binary readout

J.-M. Bussat, C.S. Fadley,  
B.A. Ludewigt, G.J.  
Meddeler, A. Nambu, M.  
Press, H. Spieler, B. Turko,  
M. West, G.J. Zizka, IEEE  
Transactions on Nuclear  
Science 51, 2341 (2004).

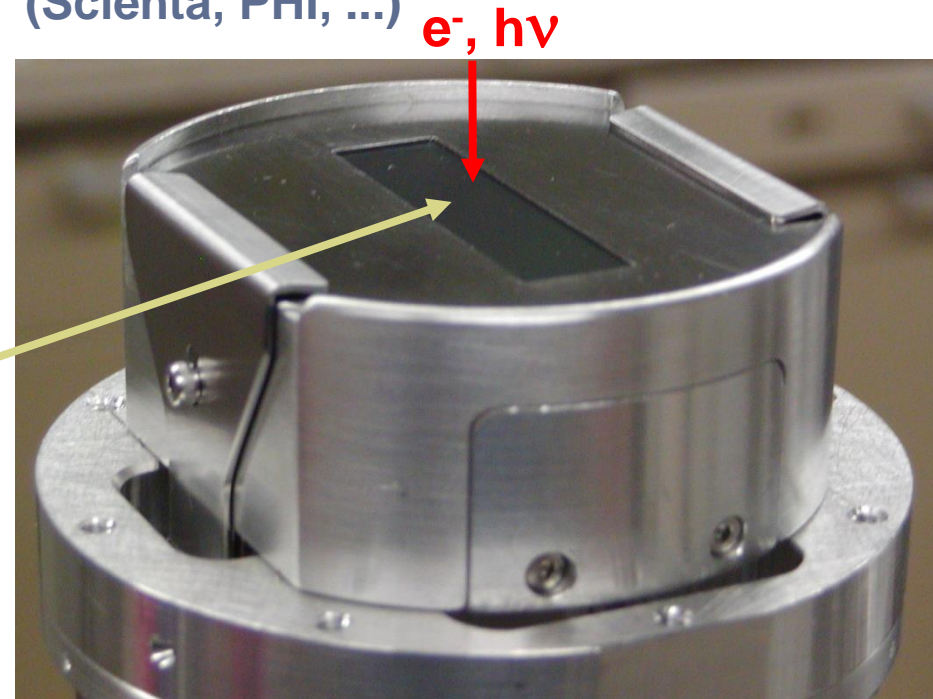


# ALS High-Speed 1D Detector--Project Overview 2003



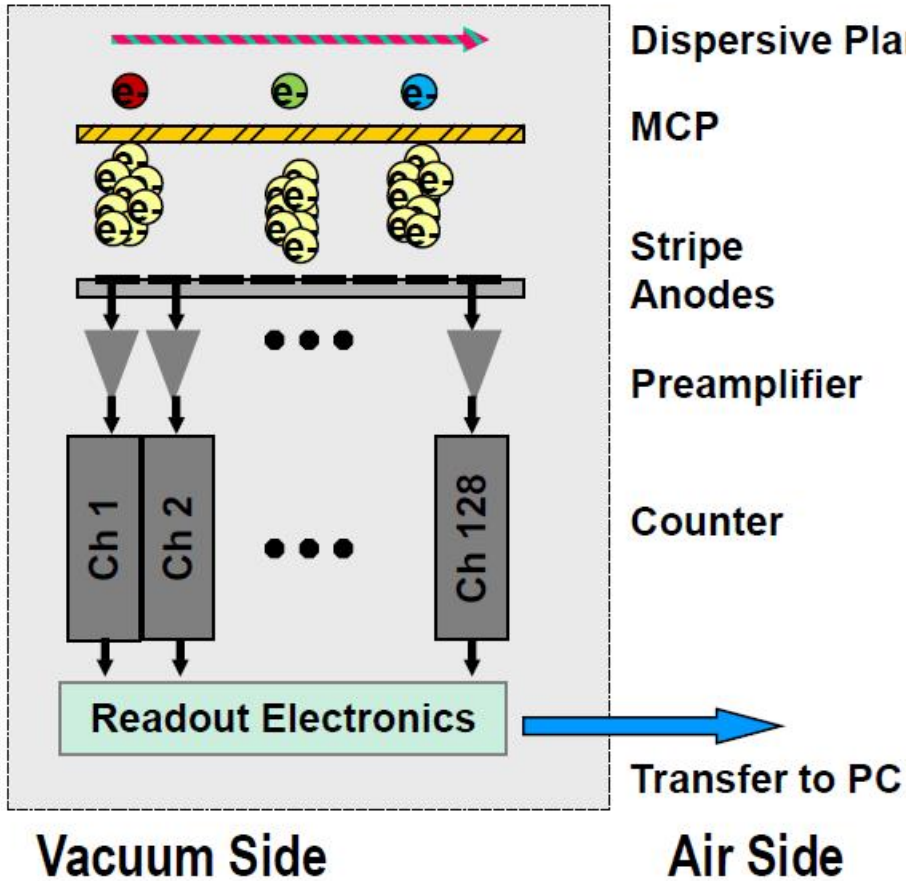
- ◆ ~2 GHz overall linear count-rate→  
**100-1000x faster than present detectors**
- ◆ Position resolution of  $75\ \mu\text{m}$ →  
 $\Delta E/E \approx 1:10^4$
- ◆ Spectral readout in as little as  $150\ \mu\text{s}$ →  
**time-resolved measurements**
- ◆ Programmable, robust
- ◆ Sized to fit existing spectrometers (Scienta, PHI, ...)

Microchannel plates

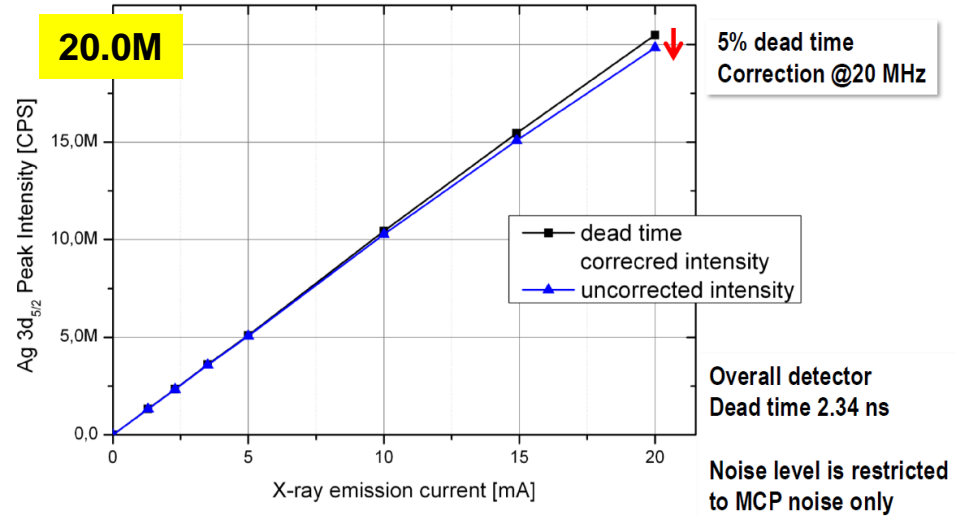


Detector Concept

Multi Channel Detector



Detector Linearity

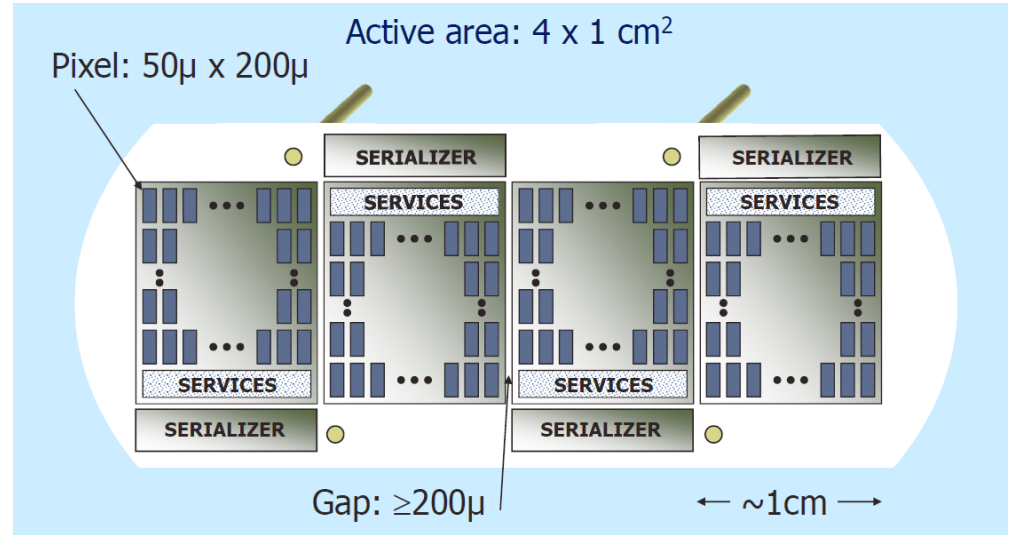




# Ideas for the future

2D version of the  
ALS Detector  
(2003)

Nm-thinned back  
illumination of  
CMOS pixel  
detector with  
electrons  
accelerated to ca.  
 $\geq 5$  keV? (2015)



Nuclear Instruments and Methods in Physics Research A 635 (2011) 69–73



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Contents lists available at ScienceDirect

## Nuclear Instruments and Methods in Physics Research A

journal homepage: [www.elsevier.com/locate/nima](http://www.elsevier.com/locate/nima)



Direct detection in Transmission Electron Microscopy with a  $5 \mu\text{m}$  pitch  
CMOS pixel sensor

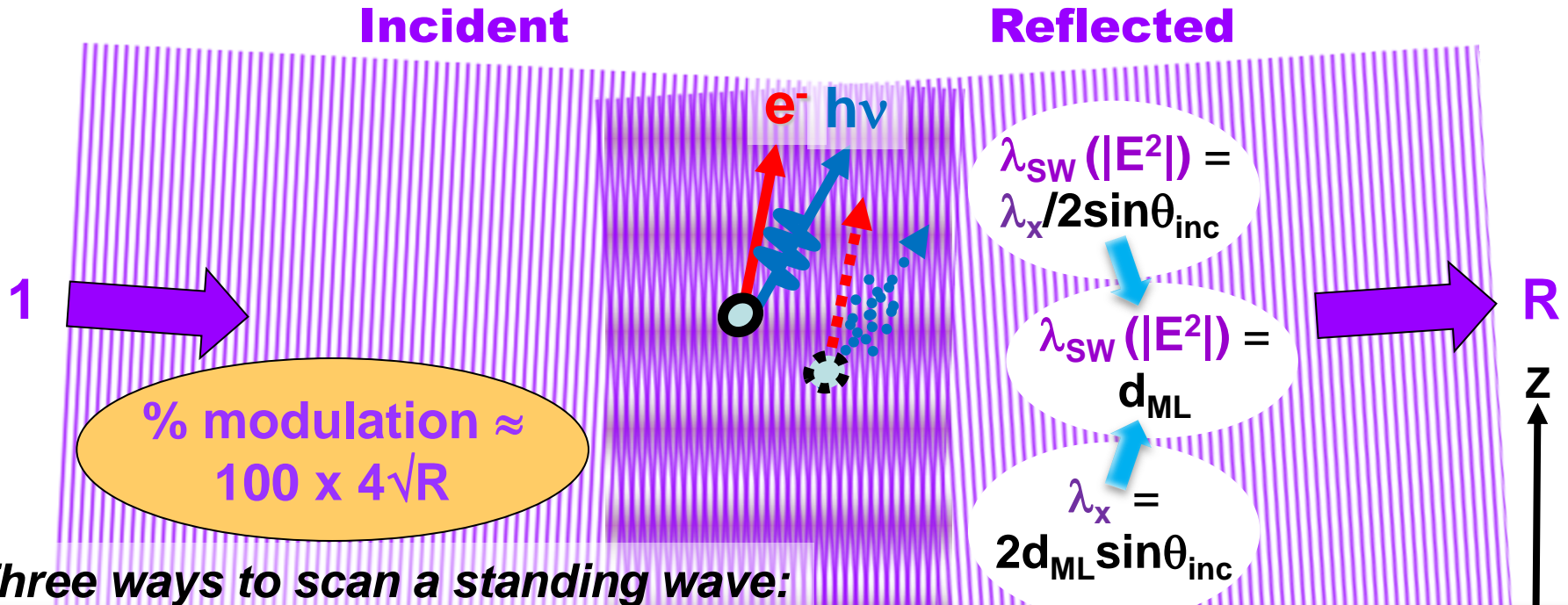
Devis Contarato\*, Peter Denes, Dionisio Doering, John Joseph, Brad Krieger

Lawrence Berkeley National Laboratory, 1 Cyclotron Road, Berkeley, CA 94720, USA

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# Three ways to scan a standing wave formed in reflection from single-crystal Bragg planes, or a multilayer mirror



## 1. Rocking curve:

$$I(\theta_{inc}) \propto 1 + R(\theta_{inc}) + 2\sqrt{R(\theta_{inc})} f \cos[\varphi(\theta_{inc}) - 2\pi(\Delta z / \lambda_{sw})]$$

**Multilayer Mirror**

## 2. Photon energy scan:

$$I(h\nu) \propto 1 + R(h\nu) + 2\sqrt{R(h\nu)} f \cos[\varphi(h\nu) - 2\pi(\Delta z / \lambda_{sw})]$$

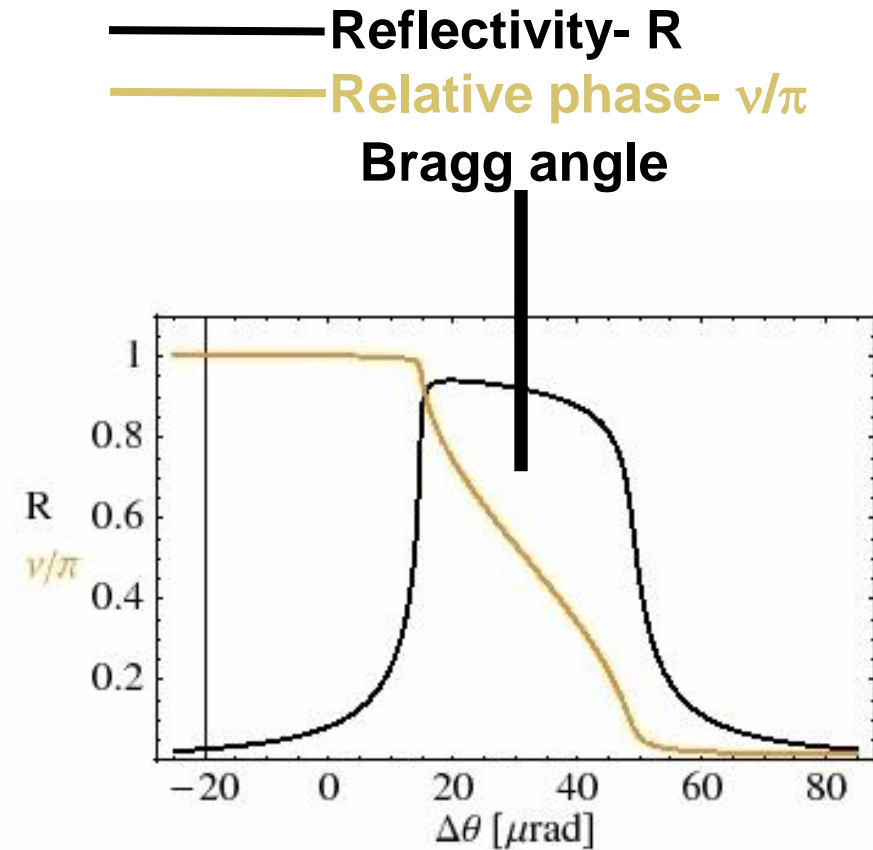
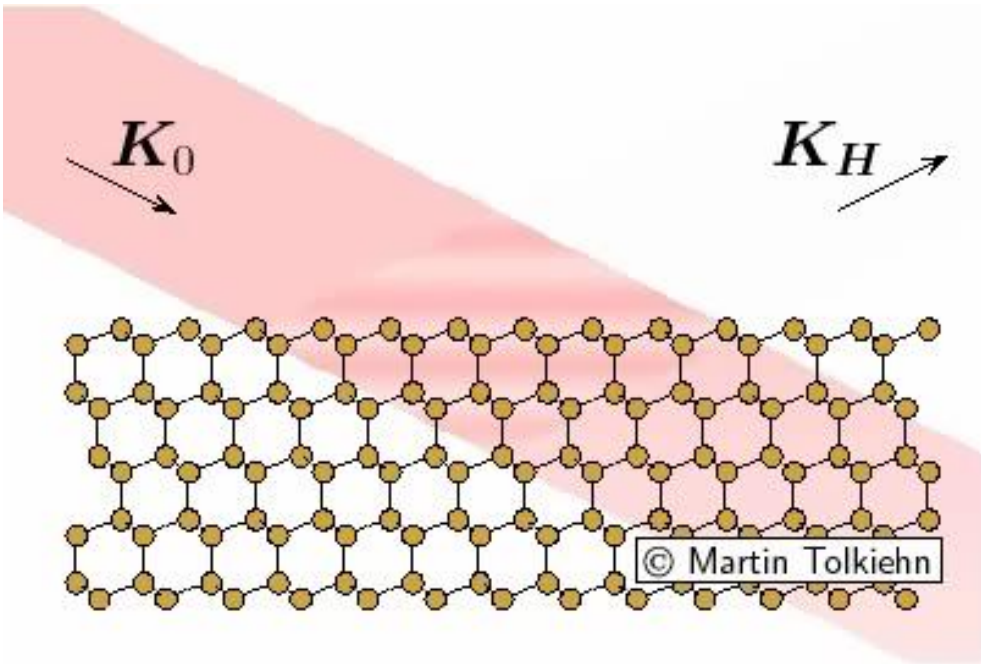
}  $d_{ML}$

with:  $f$  = coherent fraction of atoms,  $\Delta z / \lambda_{sw}$  = phase of coherent-atom position

## 3. Phase scan with wedge-shaped sample ("Swedge" method)



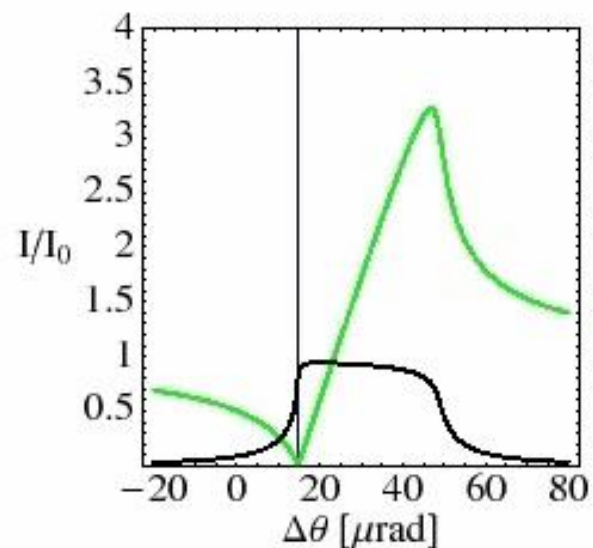
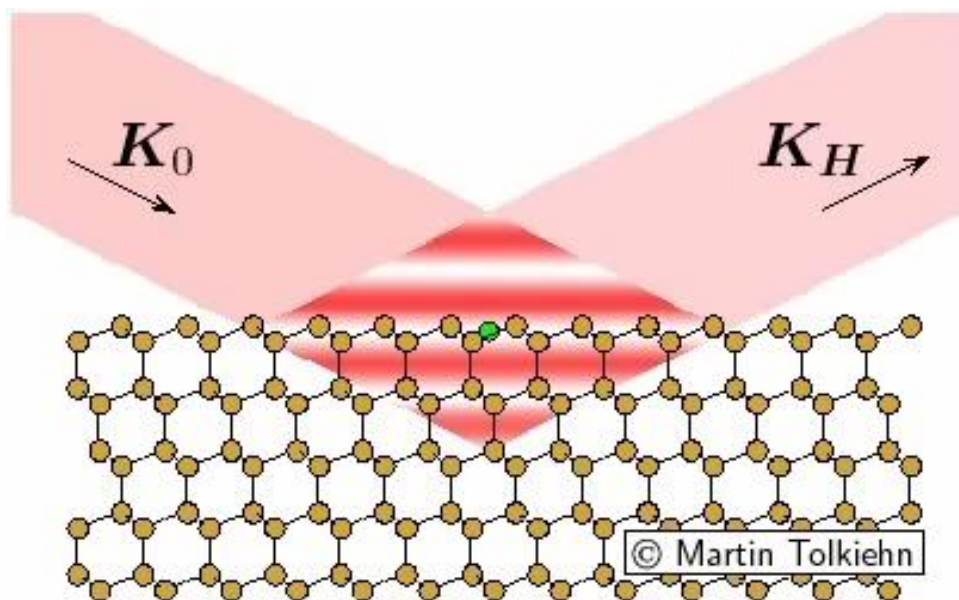
# Standing Wave Behavior During a Rocking Curve or Photon-Energy Scan



+Same general forms if **photon energy** is scanned

# Form of rocking curve is unique to position of emitter

Intensity vs  
atomic position



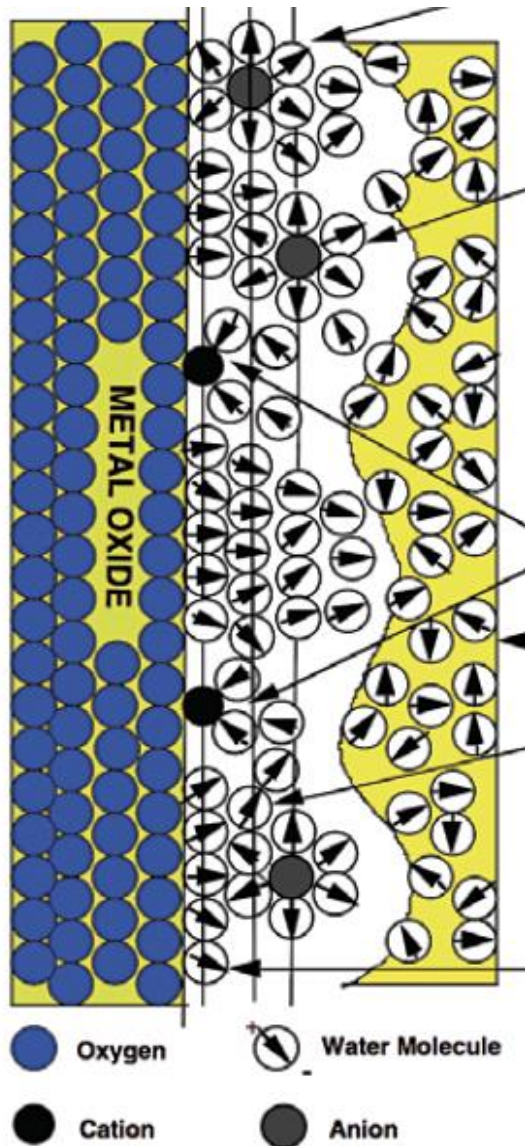
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# Standing Wave APXPS for Solid/Liquid Interface Studies

G.E. Brown, Geochem. Persp. (2012).



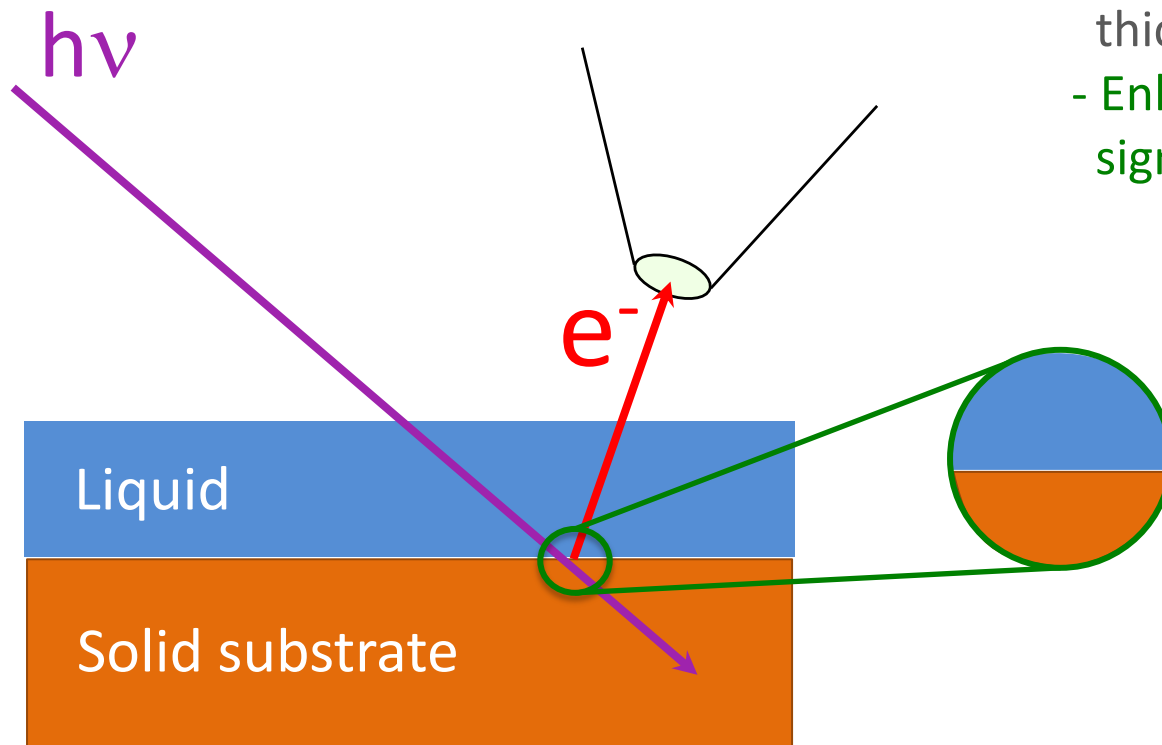
## Open questions

- Correlation between chemistry and electrical potentials
- Structure of the electric double layer
- Charge and mass transfer across interfaces.

## Relevant research areas

- Electrochemistry
- Corrosion
- Geochemistry
- Catalysis

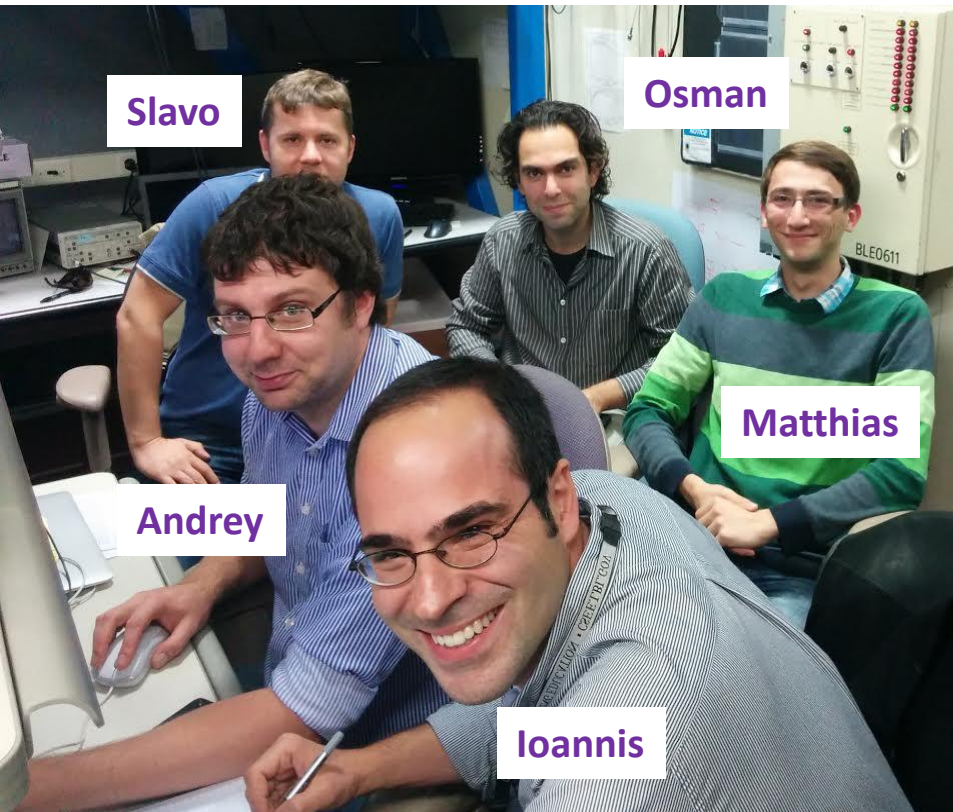
# Challenges to Measuring Solid Liquid Interfaces



## Obstacles:

- Preparation of sufficiently thick/thin liquid films.
- Enhancement of interface signal over that of the bulk.

# Standing wave photoemission from a liquid-like layer: CsOH and NaOH on Fe<sub>2</sub>O<sub>3</sub>



Aru



Catherine



Armela

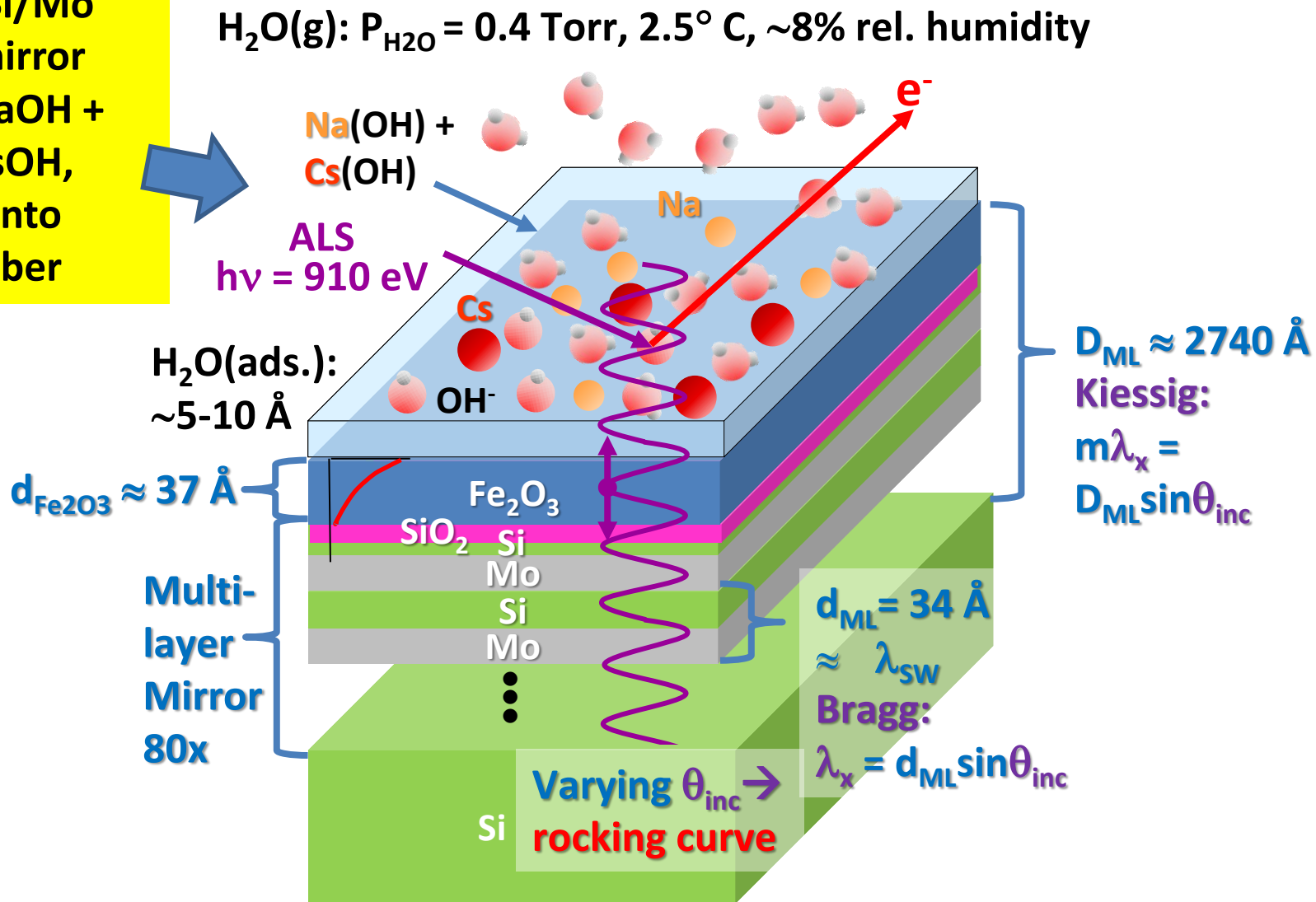
S. Nemšák, A. Shavorskiy, O. Karslioglu, I. Zegkinoglou,  
A. Rattanachata, C.S. Conlon, P.K. Greene, K. Liu, F.  
Salmassi, E.M. Gullikson, H. Bluhm, Ch.S. Fadley,  
Nature Communications 5, 5441 (2014).

**+Samples: Liu Group UCD**  
**+Mirrors: CXRO LBNL**



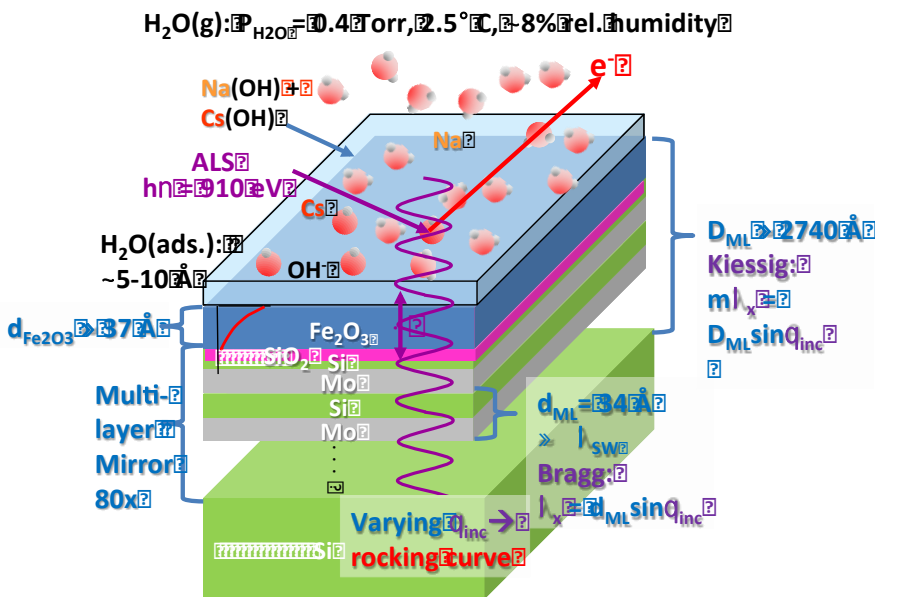
# Standing-wave photoemission at the solid-liquid interface: some first experiments at ambient pressure

- $\text{Fe}_2\text{O}_3$  on Si/Mo multilayer mirror
- $\sim 0.01\text{M}$  NaOH +  $\sim 0.01\text{M}$  CsOH, dried in air, into APXPS chamber



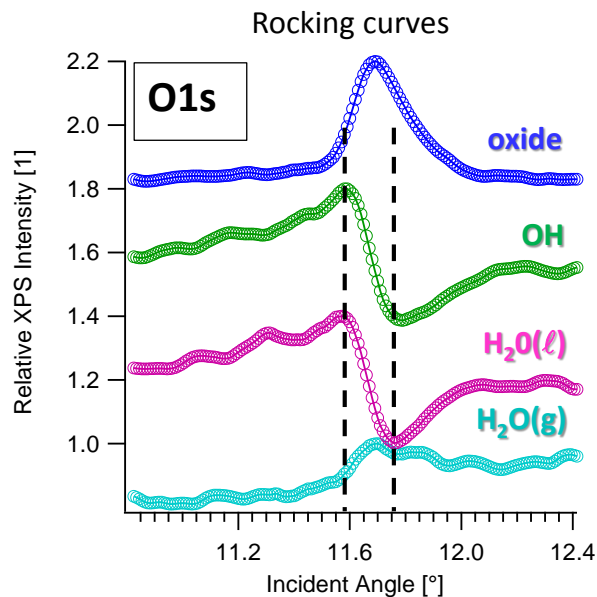
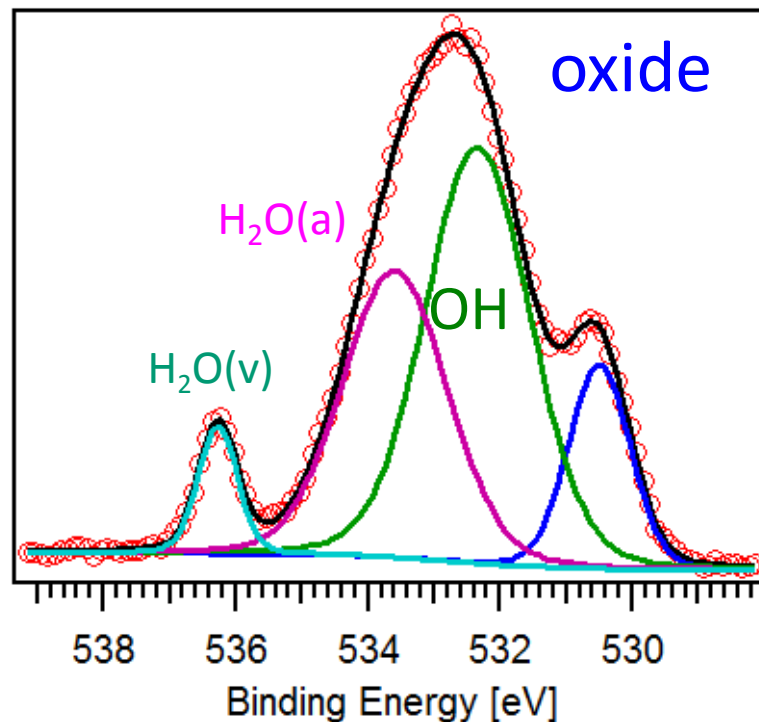
# O 1s Rocking Curves

O 1s



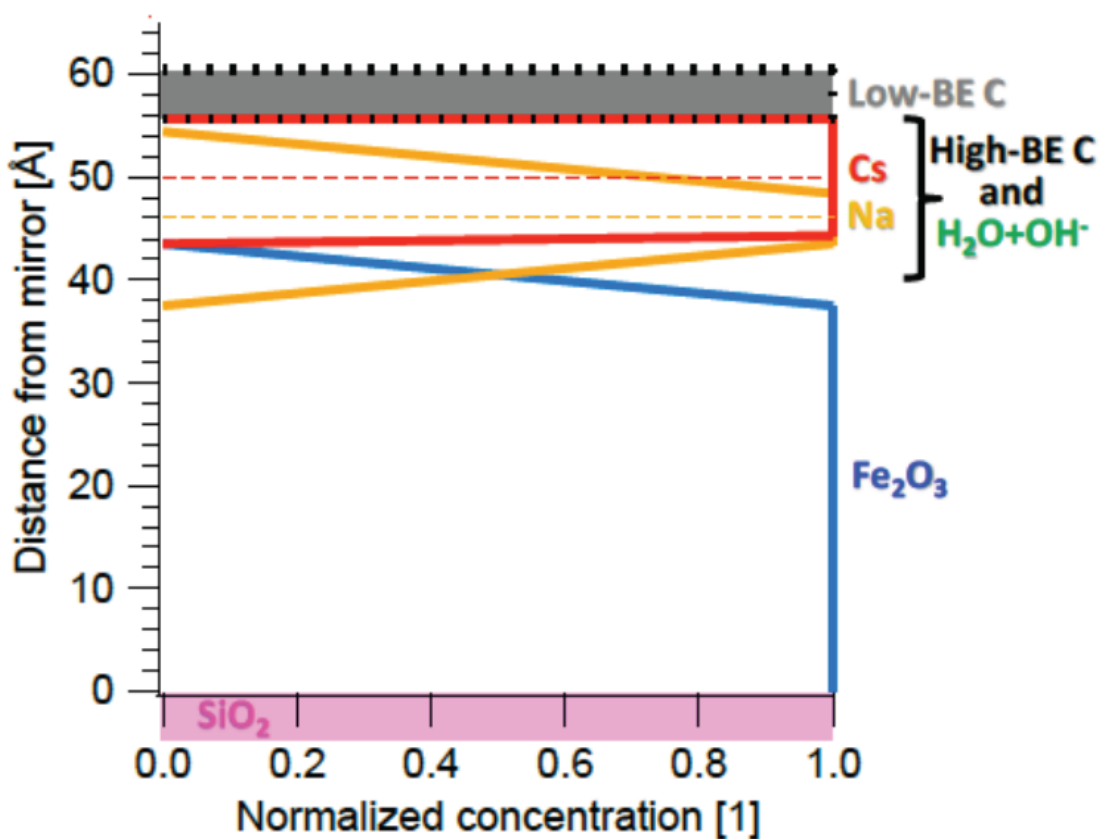
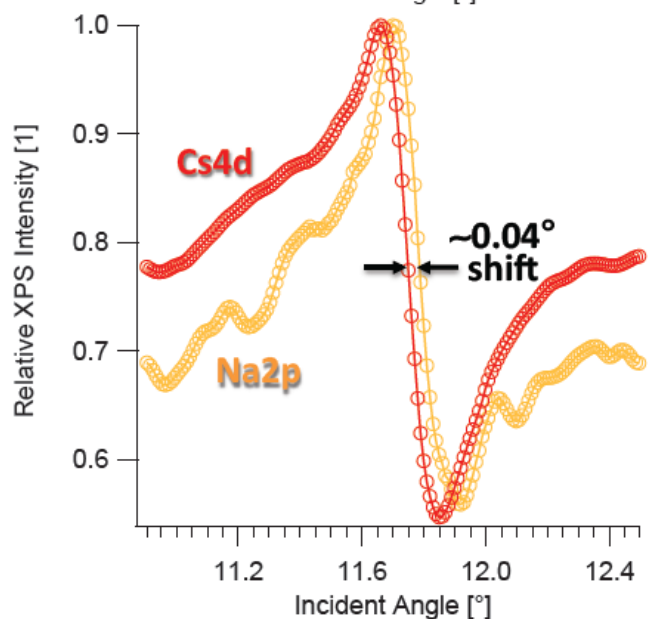
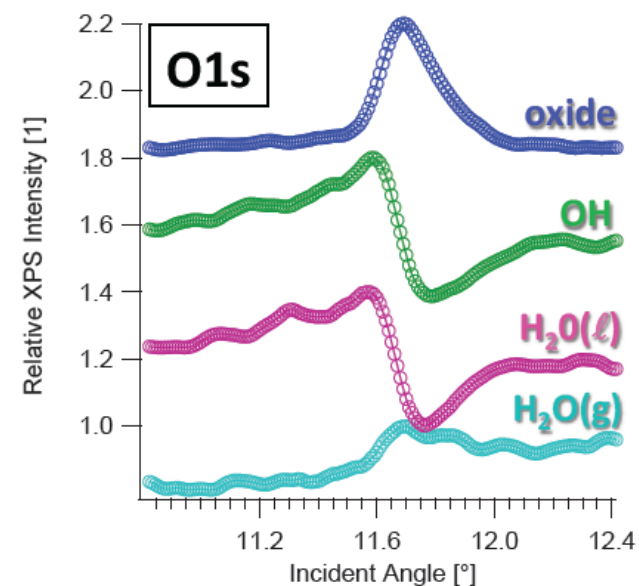
$\theta = 12.49^\circ$

XPS Intensity [arb. u.]



S. Nemšák, A. Shavorskiy, O. Karslioglu, I. Zegkinoglou, A. Rattanachata, C.S. Conlon, P.K. Greene, K. Liu, F. Salmassi, E.M. Gullikson, H. Bluhm, Ch.S. Fadley, Nature Communications 5, 5441 (2014).

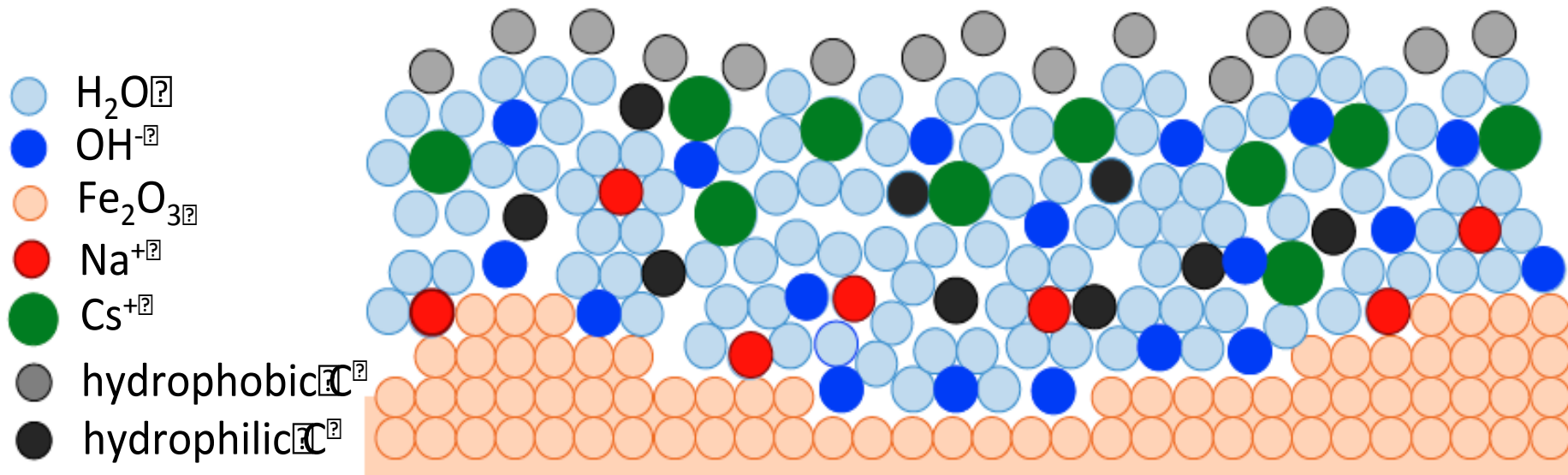
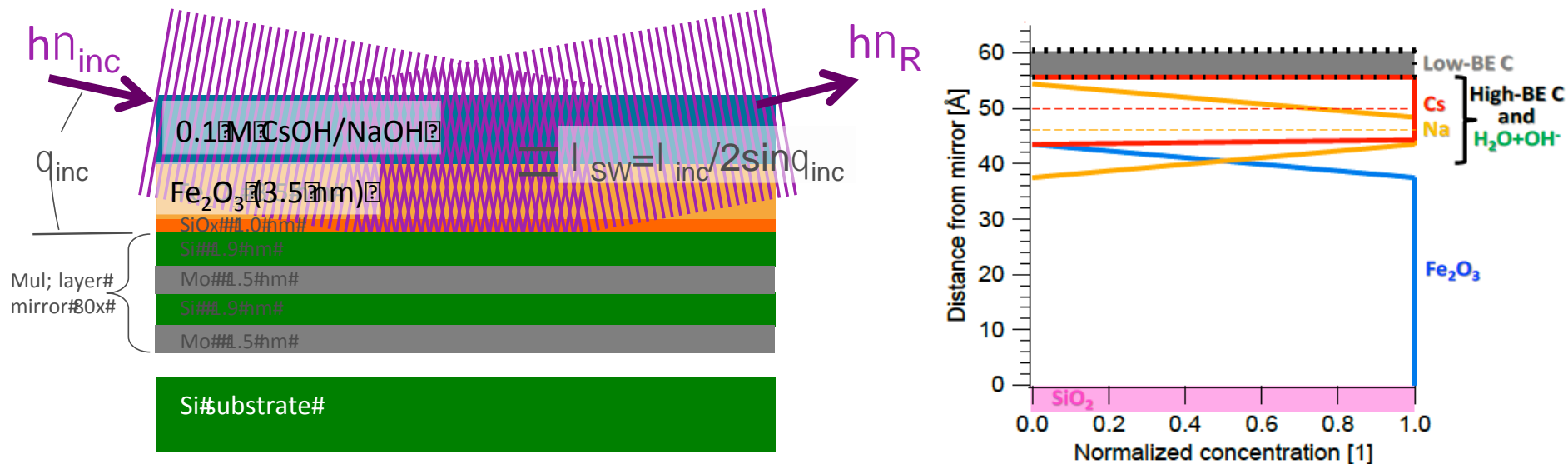
# Chemical Speciation at Interface from Standing Wave APXPS



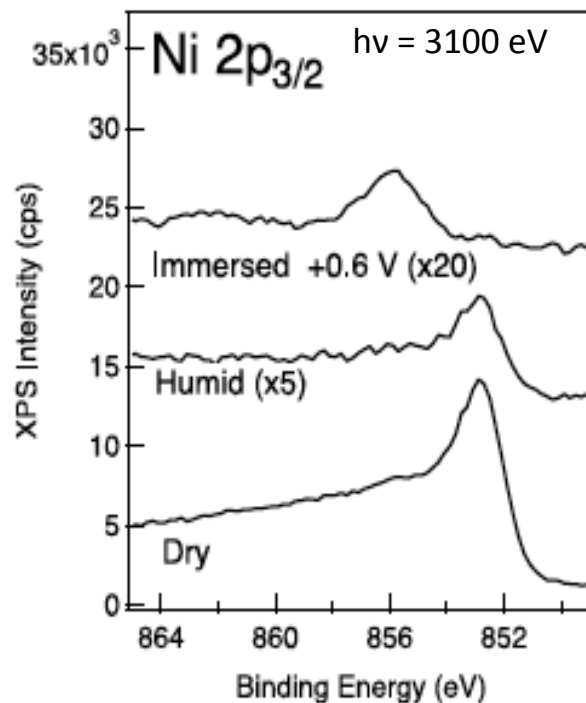
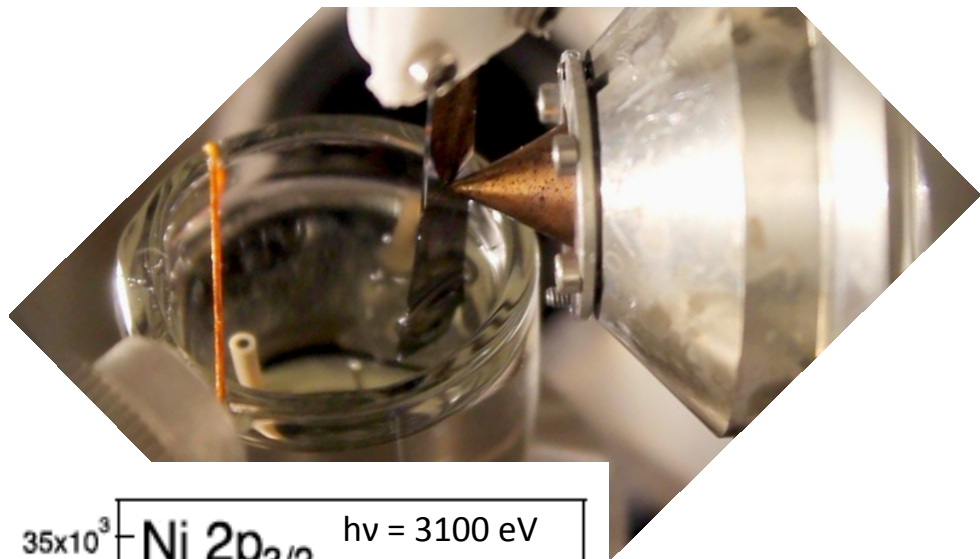
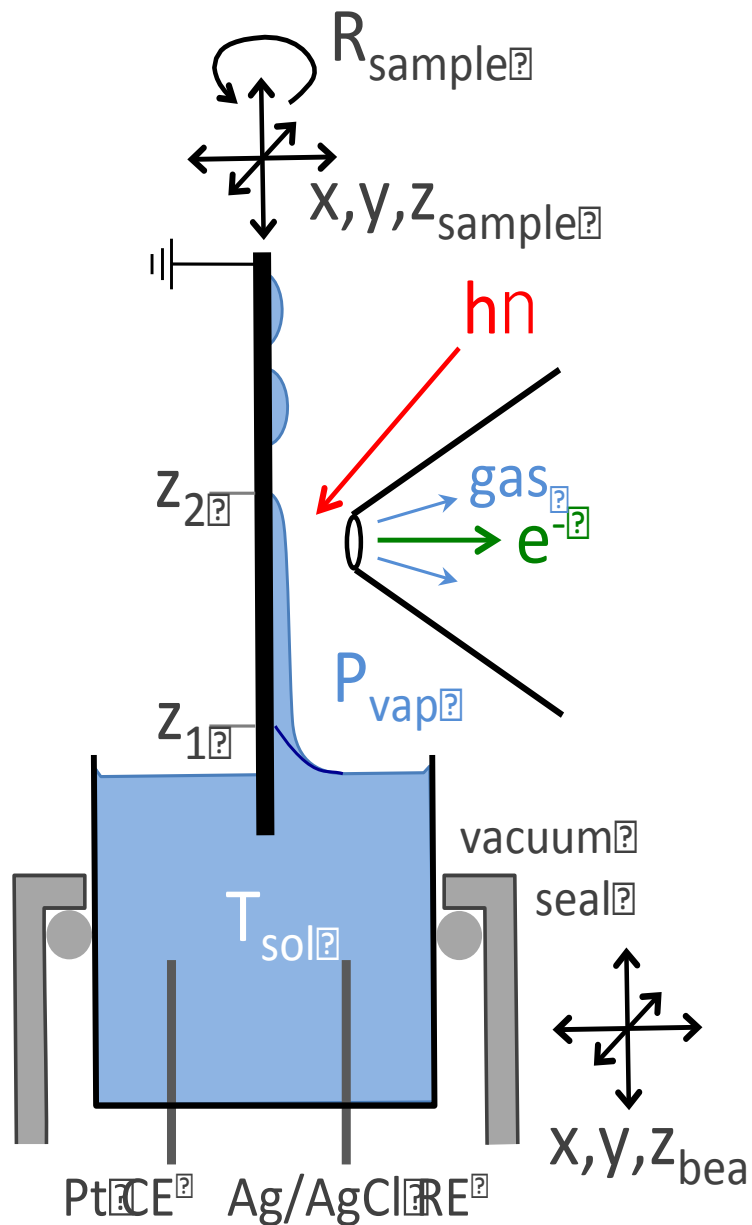
S. Nemšák, A. Shavorskiy, O. Karslioglu, I. Zegkinoglou, A. Rattanachata, C.S. Conlon, P.K. Greene, K. Liu, F. Salmassi, E.M. Gullikson, H. Bluhm, Ch.S. Fadley, Nature Communications 5, 5441 (2014).



# Chemical Speciation at Interface from Standing Wave APXPS



# Preparing Thin Liquid Films Using the "Meniscus Method"



Data taken at  
beamline 6.0.1.

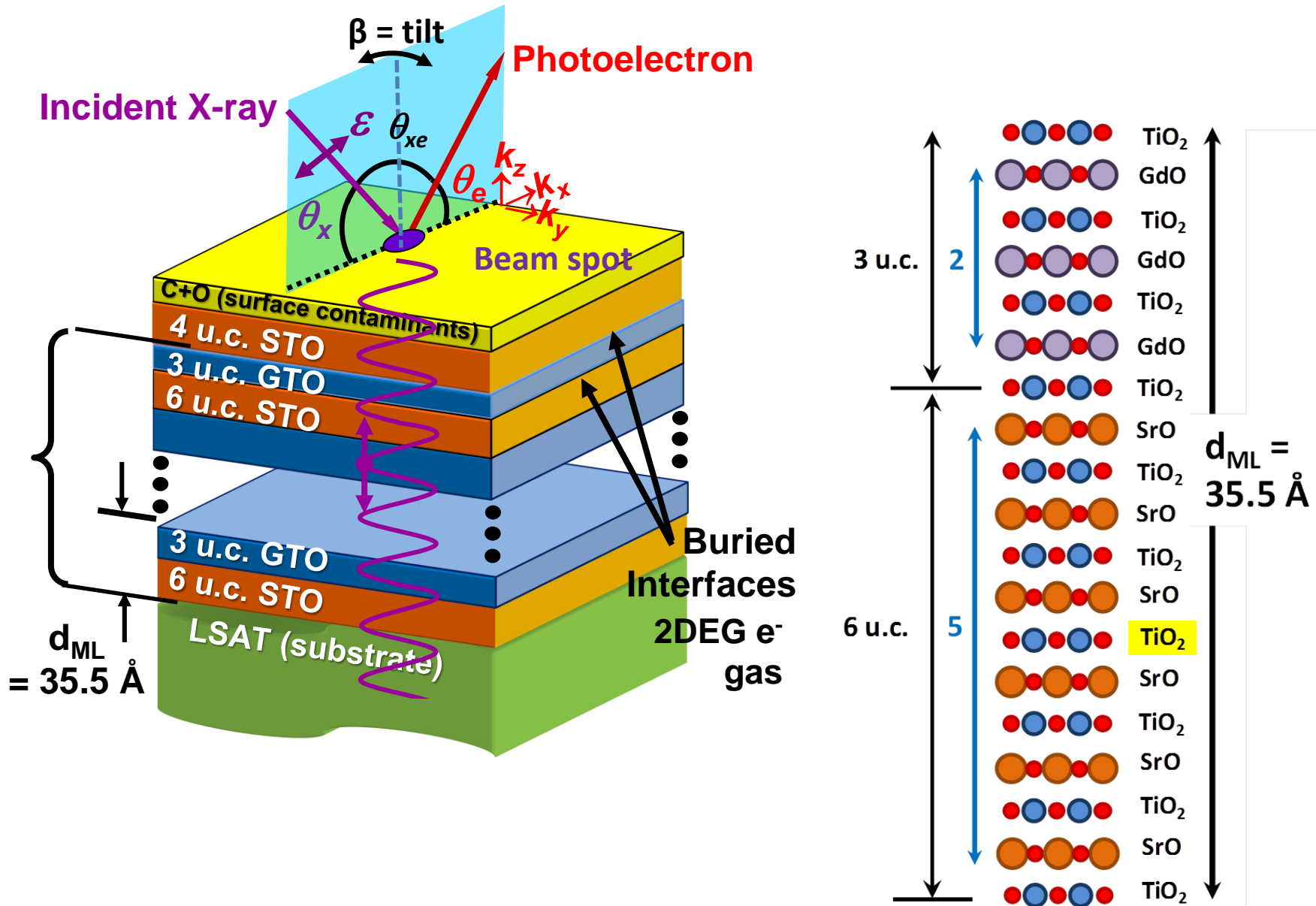
S. Axnanda et al., Sci. Rep. (2015).  
O. Karslioglu et al., Faraday Discussions (2015).

# Outline

- Interfaces in the environment and technology: Solid/gas, liquid/gas, solid/liquid, and solid/solid
- Photoemission spectroscopy: Basic technical considerations; Inelastic mean free path in solids and gases
- Studying interfaces under realistic conditions: Ambient pressure and buried interfaces
- Ambient pressure photoemission-instrumentation
- Solid/solid interfaces: Instrumentation and detectors
- Standing wave photoemission
- Application to the solid/liquid interface
- Application to complex oxide interfaces



# Multilayer $\text{GdTiO}_3/\text{SrTiO}_3$



P. Moetakef, S. Stemmer,  
UCSB

# SrTiO<sub>3</sub>/GdTiO<sub>3</sub> An interface 2D electron gas



S. Nemsák et al.,  
TBP

## SrTiO<sub>3</sub>

- Band insulator ( $E_g=2.3$  eV)
- Low temperature superconductor

## GdTiO<sub>3</sub>

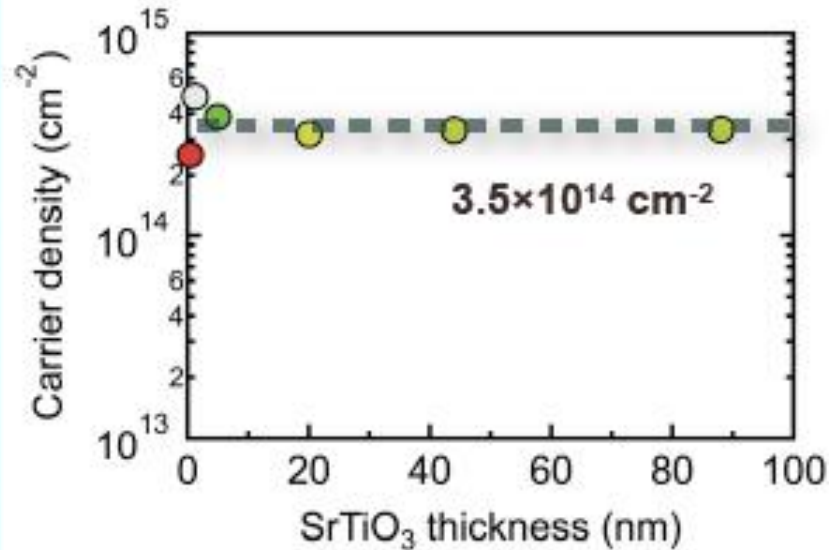
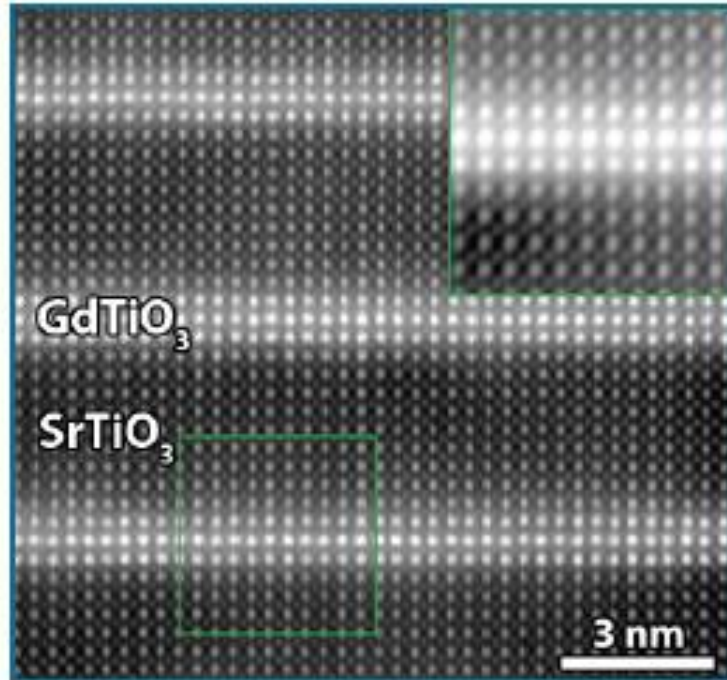
- Mott-Hubbard insulator

## GdTiO<sub>3</sub>/SrTiO<sub>3</sub> interface

- Two-dimensional electron gas (2DEG) at the interface between two insulators (*Appl. Phys. Lett.* **99**, 232116, 2011)
- Sheet carrier density on the order of  $3 \times 10^{14}$  cm<sup>-2</sup>
- Ferromagnetism in the 2DEG at the interface (*Phys. Rev. X* **2**, 021014, 2012)

Stemmer et al., UCSB





Appl. Phys. Lett. 99,  
232116 (2011).

Stemmer et al.

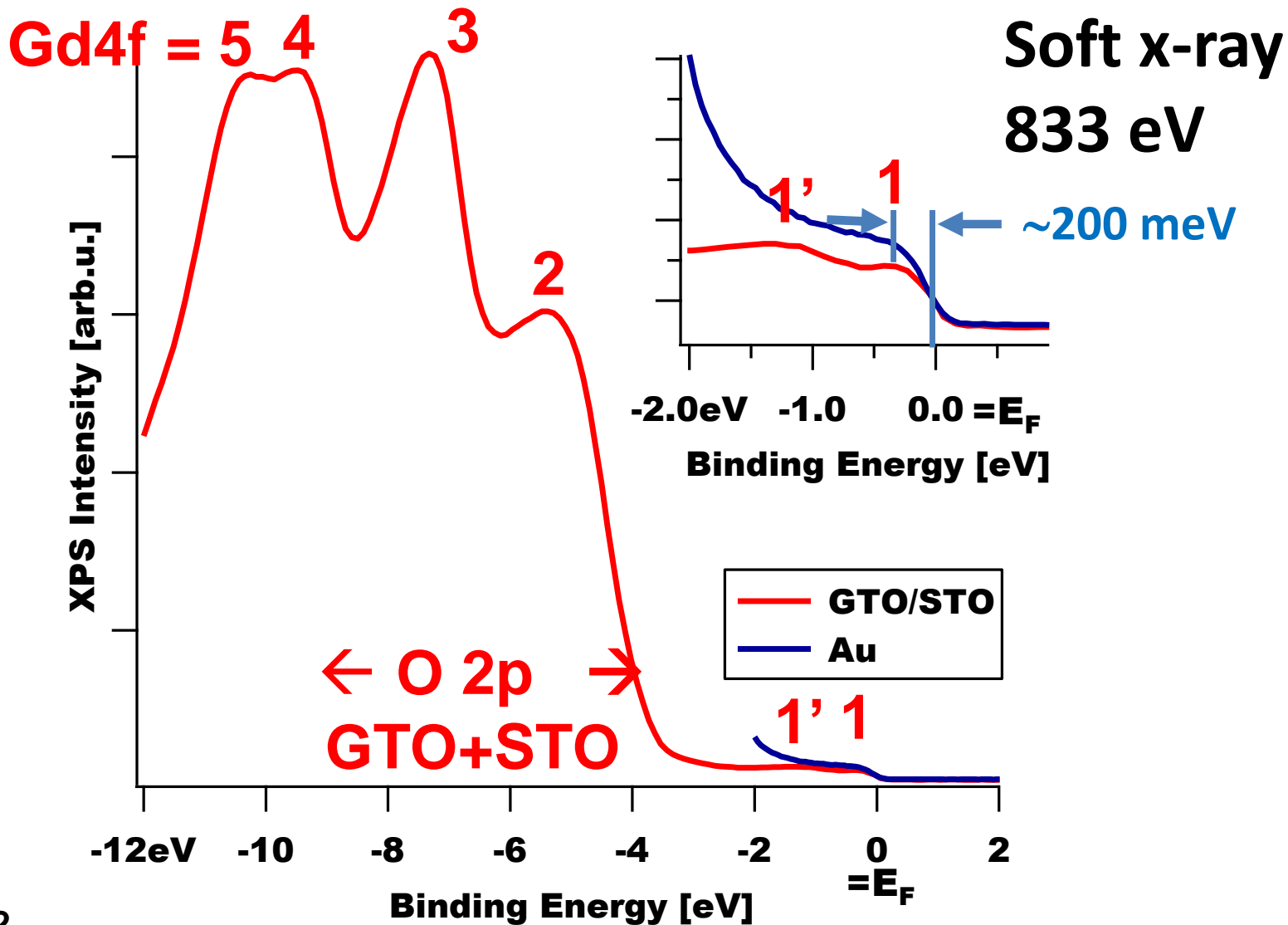
**Can we see this 2DEG with standing wave ARPES, including its momentum dispersion and its depth distribution?**



# GTO/STO multilayer:

Soft x-ray photoemission in the XPS limit @ 833 eV @ 298K

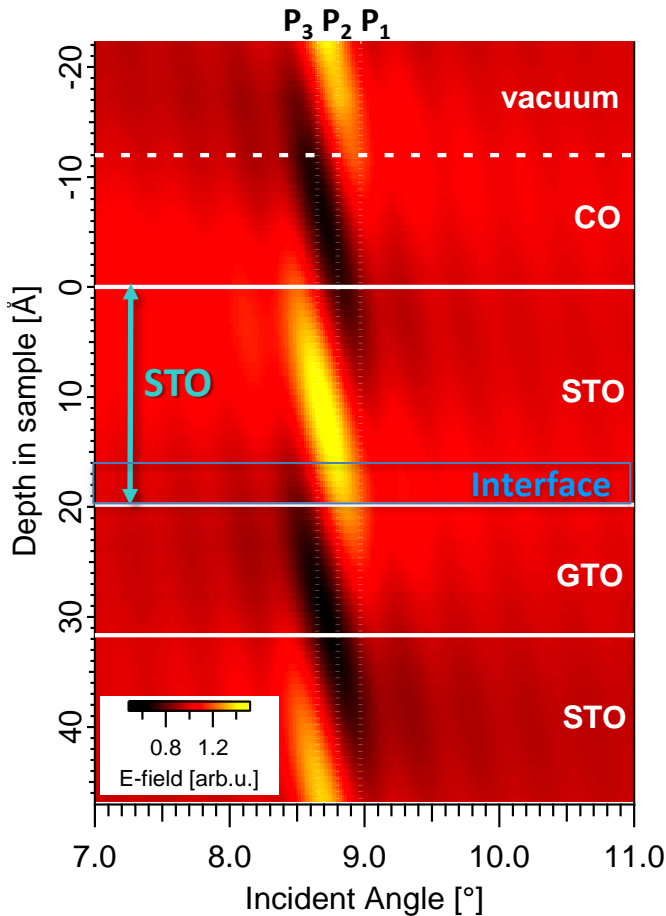
→ Matrix-element-weighted densities of states



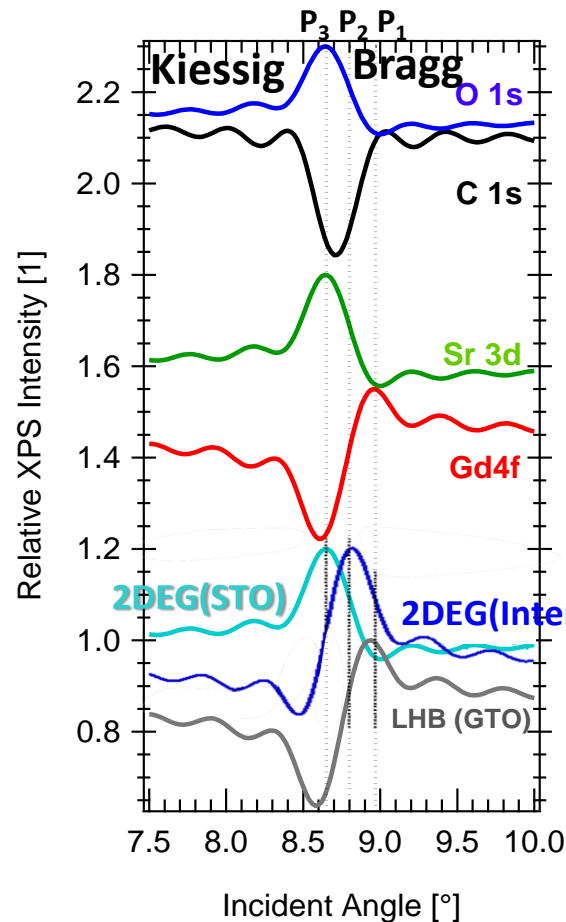
# Theoretical simulations vs. expt.—1187—just above Gd M<sub>5</sub> edge SW emphasizing STO/GTO interface

## Rocking curves

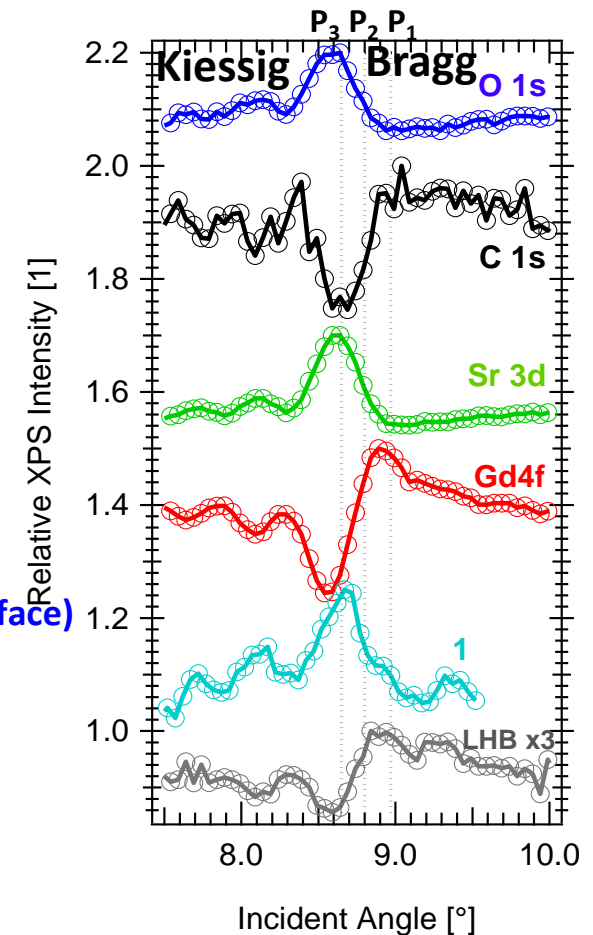
### Theory: E<sup>2</sup> -field strength



### Theory



### Experiment



→ Peak 1 = 2DEG & 2DEG occupies the full STO layer

# Conclusions: Standing-Wave and Resonant PS and ARPES of SrTiO<sub>3</sub>/GdTiO<sub>3</sub>

- **k-resolved bands of GTO LHB and 2DEG, evidence for intermixing of the two**
- **2DEG extends through the entire STO layer from standing-wave rocking curve analysis**
- **Results consistent with 2DEG tunneling subband spacing measurements and tight binding- or LDA + hybrid functional- calculations**
- **Rocking curve forms very sensitive measure of depth distributions near buried interfaces → future applications to other systems**

CSF and S. Nemšák, J. Electron Spect. ,  
195, 409–422 (2014):  
S. Nemšák, et al., TBP



# Summary

- Photoelectron spectroscopy has developed over the last ~40 years from a vacuum-based technique to measurements at elevated pressures and operating conditions, including buried interfaces
  - New facilities and instrumentation:
    - Differential pumping to permit higher pressures near sample
    - Hard x-ray photoelectron spectroscopy: bulk and buried interfaces, higher gas pressures
    - Standing-wave excitation to more precisely probe in depth
  - A development need:
    - Detectors with higher efficiency, time resolution and dynamic range up to multi-GHz range
- 