

The image shows the interior of a large particle detector, likely the Super-Kamiokande. The detector is a massive cylindrical structure filled with thousands of photomultiplier tubes (PMTs) arranged in a spherical pattern. The PMTs are illuminated from within, creating a warm, golden glow. The perspective is from the center of the detector, looking outwards towards the walls. The text "Proton Decay" is overlaid in large white letters, and "William Matava" is overlaid in smaller white letters below it. In the background, a small platform with a railing is visible, where two people in white protective suits are standing, providing a sense of scale to the massive structure.

# Proton Decay

William Matava



# Roadmap

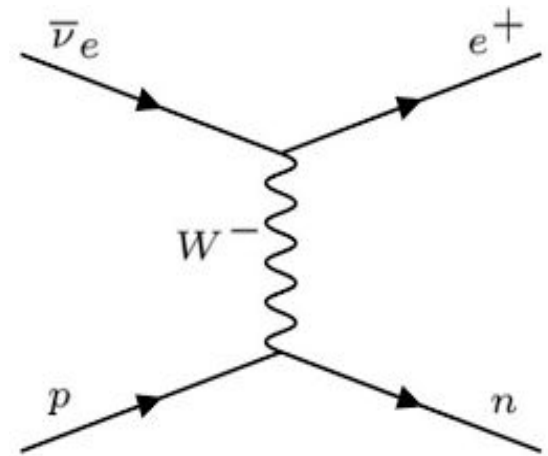
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- What/Why proton decay?
- Iron-Calorimeter-Based Searches
  - Frejús Experiment
  - Soudan Experiments
- Water-RICH-Based Searches
  - IBM Experiment
  - (Super)-Kamiokande Experiment
- Where We are Now
  - Current limits
  - Future experiments

# What/Why Proton Decay?

# 'Proton Decay' in the Standard Model

- We know protons can decay inside nuclei:  $p \rightarrow n \nu_e e^+$ 
  - Only energetically permissible due to nuclear potential
- If we're looking free-proton decay:
  - Lightest baryon => Can't decay to another baryon
  - Baryon number +1 => Needs to decay to at least one baryon
- Nothing interesting here...



# Proton Decay Modes in BSM Physics

- B and L conservation are baked into the standard model
  - GUTs relax these symmetries!
- Ex: ‘dimension 6’ operators (B-L conservation):
  - $\mathcal{L}_6 \approx \frac{1}{M^2} qqql + \text{h.c.}$
  - Proton can decay to anti-lepton and meson!
- Dominant decay path is model dependent
  - Pick your favorite GUT!

$$p \rightarrow e^+ \pi^0$$

$$p \rightarrow \mu^+ \pi^0$$

$$p \rightarrow \nu K^+$$

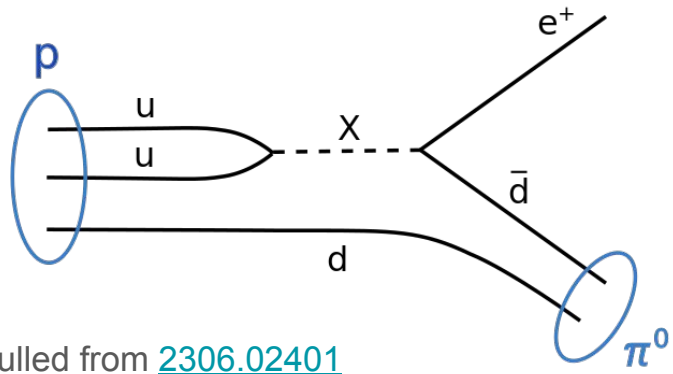


Diagram pulled from [2306.02401](https://arxiv.org/abs/2306.02401)

# Diversity in Lifetimes

- Theorists can't agree on the total lifetime of a proton should be
  - For reference, I have  $O(10^{28})$  protons in me!
  - I'll be waiting between 1 and  $10^{11}$  years to feel one decay...

Model class	References	Lifetime [years]
Minimal SU(5)	Georgi & Glashow [21]	$10^{30} - 10^{31}$
Minimal SUSY SU(5)	Dimopoulos & Georgi [22]; Sakai & Yanagida [23]	$10^{28} - 10^{34}$
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SUSY (MSSM/ESSM) SO(10)/G(224)	Babu, Pati & Wilczek [25]	$2 \cdot 10^{34}$
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SUSY SO(10) + U(1) <sub>R</sub>	Shafi & Tavartkiladze [28]	$10^{32} - 10^{35}$
SUSY ( $d = 5$ ) SU(5) – option I	Hebecker & March-Russell [29]	$10^{34} - 10^{35}$
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Table pulled from [2306.02401](https://arxiv.org/abs/2306.02401)

# Detector Shopping List

- A **bunch** of protons
  - High mass
    - (ton-yrs. exposure will start to scratch the lower bounds)
  - Scalable/cheap
  - Ideally 'free' protons (hydrogen)
- Sensitivity to relativistic leptons; photons
  - Neutral pion decays to two gammas
  - Need particle identification (resolve individual tracks!)
- Low backgrounds
  - Radiopurity considerations
  - Underground laboratory

$$p \rightarrow e^+ \pi^0$$

$$p \rightarrow \mu^+ \pi^0$$

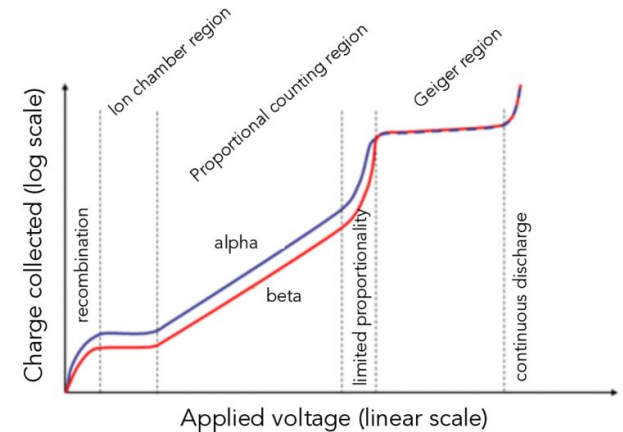
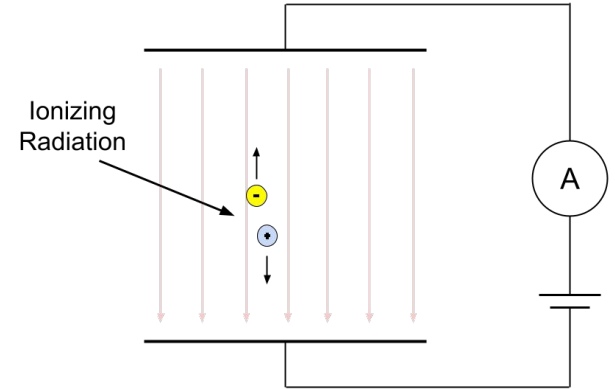
$$p \rightarrow \nu K^+$$

# **Iron-Calorimeter Detectors**



# Gas Ionization Detectors

- Biased capacitor with ionizable gas as a dielectric
  - Impinging radiation produce  $e^-$  via primary ionization
  - $e^-$  pulled to electrode, producing secondary ionization (gain!)
  - Electrons reach electrode, read as a current
- Bias determines amount of secondary ionization
  - Geiger Mode:
    - All ionizations looks the same (counter)
  - Proportional Mode
    - Signal scales w/ original ionization
- Cons:
  - Dead Time
  - No position sensitivity



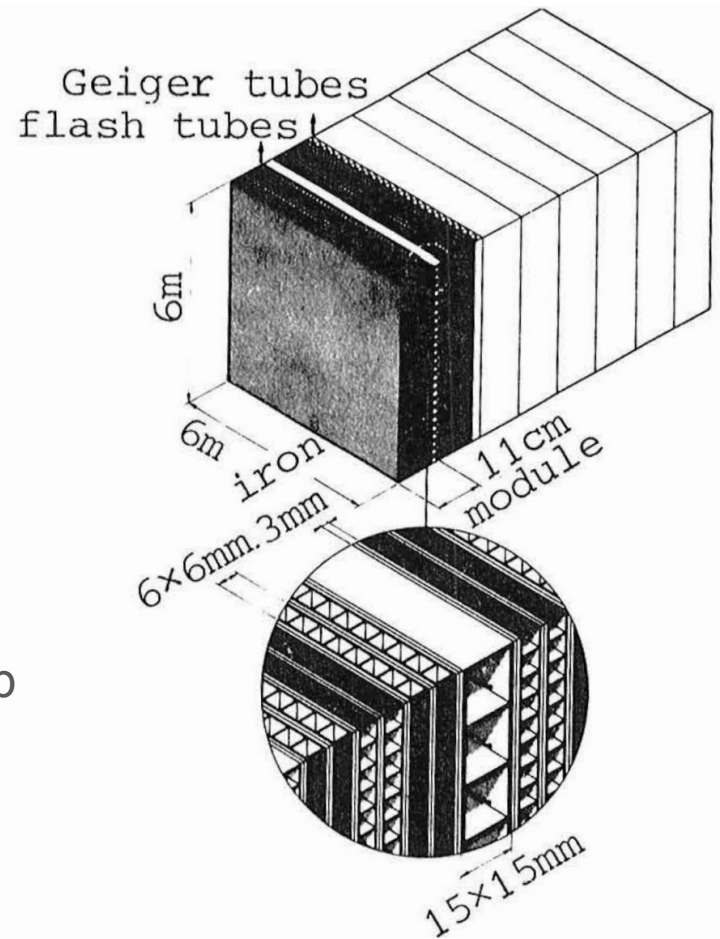
Plot pulled from [Wikipedia](#)

# Iron-Calorimeters

- One ionization detector isn't much good for proton decay
  - We need to be able to reconstruct tracks of leptons and gammas!
- Idea: Get a bunch of independent ionization detectors together
  - Position resolution limited by size of detectors!
- Separate detectors with sheets of iron
  - Particles flying through iron will produce secondary particles to interact in ionization chamber
  - $dE/dx$  also helps with PID

# Frejús Experiment

- 912-Layer 'Sandwich'
  - 'Flash tubes' ( $5 \times 5 \text{ mm}^2$ )
    - Gaseous ionization chambers
    - $O(1 \text{ s})$  dead time; triggered by geiger tubes
    - Operated in proportional-mode
  - Geiger tubes' ( $15 \times 15 \text{ mm}^2$ )
    - ~coaxial gaseous ionization chambers
    - Used for triggering; in geiger mode
  - Iron layers (provide attenuation; PID)
- Original occupant of Modane Underground Lab
  - Operational from 1984 to 1988

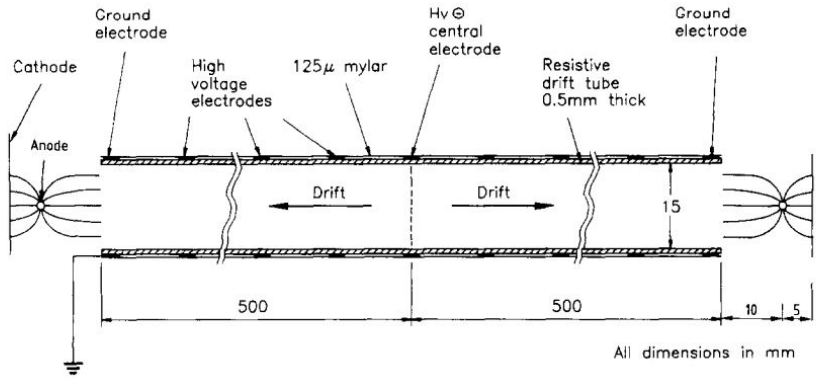
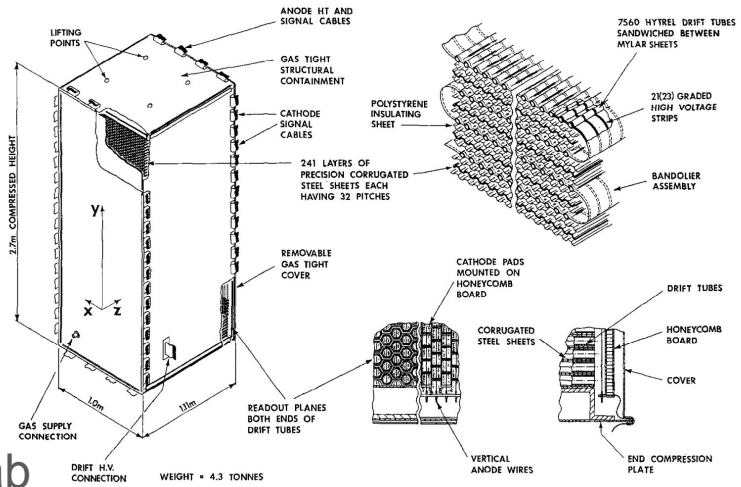


# Soudan Experiments

- High density ionization drift chambers
  - 7650 close-packed cylindrical drift tubes (x/y res.)
    - Separated by corrugated iron sheets
  - Electrons drift along long dimension
    - Drift time => z resolution (TPC!)

- Original experiment at Soudan Underground Lab

- Soudan I (30 T) operational from 1981-1982
- Soudan II (960 T) operational from 1989-2001



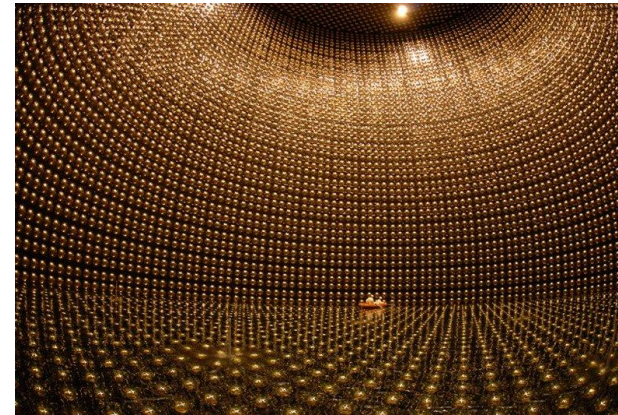
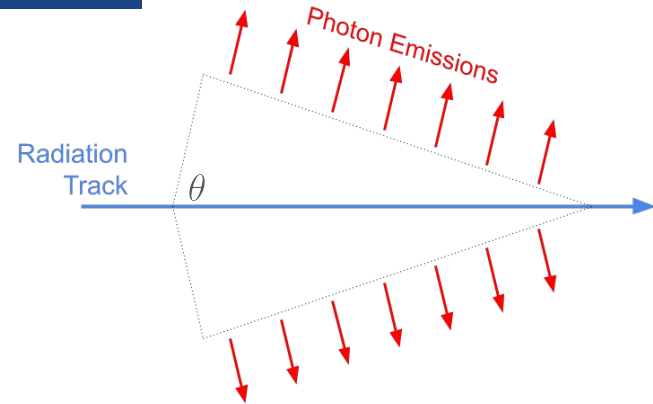
Figures pulled from [The Soudan 2 Proton Decay Experiment](#)

# **Water-RICH Detectors**



# Ring-Imaging Cherenkov (RICH) Detectors

- Iron and gas are expensive...
- Idea: Fill a tank with water; look for cherenkov light!
  - Threshold:  $\beta > \frac{1}{n}$
  - Opening Angle:  $\cos \theta = \frac{1}{n\beta}$
  - PMTs along the outer wall to detect light
- Advantages:
  - 'Cheap' (water has  $n \sim 1.33$ )
    - Allow developing kT/MT scales!
    - Ultra-purity helps with transparency; radiopurity
    - 2 H's per molecule (free protons!)
  - Multipurpose (neutrino physicists will help!)
  - Really cool pictures



Figures pulled from [DOE Office of Science](#)

# Irvine-Michigan-Brookhaven (IMB) Experiment

- Water-base RICH detector
  - 8 kTon ultra-pure water
  - ~1 % photocathode coverage
    - <1% of photons detected...
  - No muon veto
- Located in Fairport salt mine
  - Simultaneous to Soudan/Frejus experiments
  - Operational from 1982 to 1991
  - Tragic ending with a leak...



Picture pulled from [University of Michigan](https://www.umich.edu/~rich)

# (Super) KamiokaNDE

- Water-base RICH detector
  - (50 kTon) 1 kTon of ultra-pure water
  - Inner detector (containing fiducial volume):
    - Bulk of the volume
    - (40%) 20% photocathode coverage
  - Outer detector (muon veto):
    - Optically isolated from ID
- Located at Kamioka Observatory
  - Kamiokande operational 1983-1995
  - Super-K operational from 1996-Present
  - Hyper-K planned for 2027

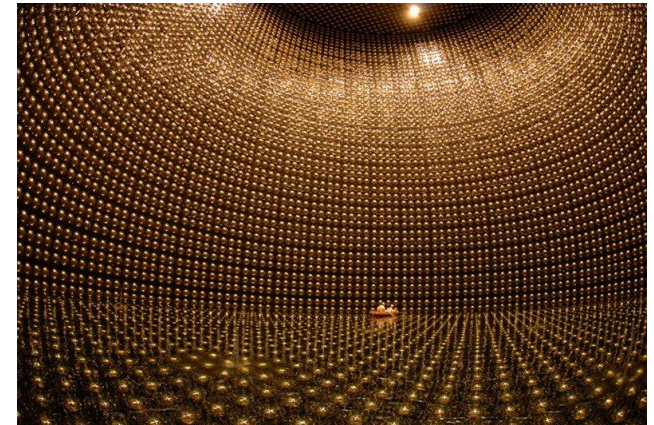
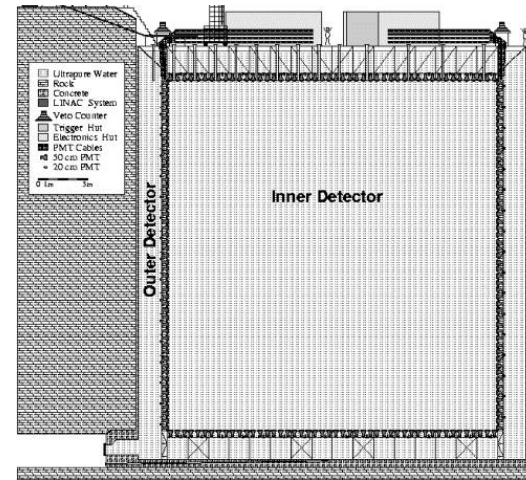


Diagram pulled from [The Super-Kamiokande Detector](#)

# Event Reconstruction @ Super-K

- Raw Signal is list of detections + times
  - Vertex determined by picking position with tightest bounds on photon emission time
  - Directions come from ring shapes/vertex
  - Energy comes from opening angle; signal size
  - PID come from ring shape; more
    - ‘Showering’ particles produce fuzzy ring edges
    - ‘Non-Showering’ particles produce crisp ring edges

## Super-Kamiokande IV

Run 999999 Sub 2 Event 7  
16-04-13:05:43:18  
Inner: 8104 hits, 30188 pe  
Outer: 3 hits, 2 pe  
Trigger: 0x07  
D\_wall: 1130.7 cm  
Evis: 3.3 GeV

## Charge (pe)

- >26.7
- 23.3-26.7
- 20.2-23.3
- 17.3-20.2
- 14.7-17.3
- 12.2-14.7
- 10.0-12.2
- 8.0-10.0
- 6.2- 8.0
- 4.7- 6.2
- 3.3- 4.7
- 2.2- 3.3
- 1.3- 2.2
- 0.7- 1.3
- 0.2- 0.7
- < 0.2

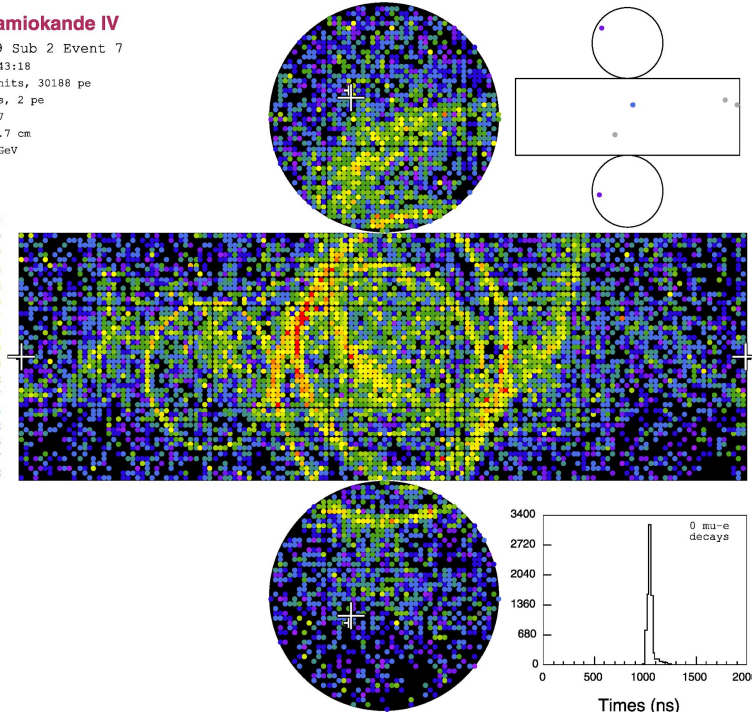


Figure pulled from [Observation of Astrophysical Neutrinos](#)



# Background Tagging @ Super-K

- Careful consideration of radiopurity/shielding already taken
  - There's no shielding/tagging neutrinos...
- $p \rightarrow e^+ \pi^0$  has characteristic 3-ring decay
  - Pion decays to 2 gammas
  - Most backgrounds can be automatically vetoed
- Main background is:  $\nu_e p \rightarrow n e \gamma \gamma$ 
  - Neutron does not shower, so 3-rings...
  - Neutron can thermalize; be captured by H:
    - $p + n \rightarrow d + \gamma(2.2 \text{ MeV})$
    - 2.2 MeV is technically below threshold
    - Reconstructed w/ MC @ ~25% efficiency

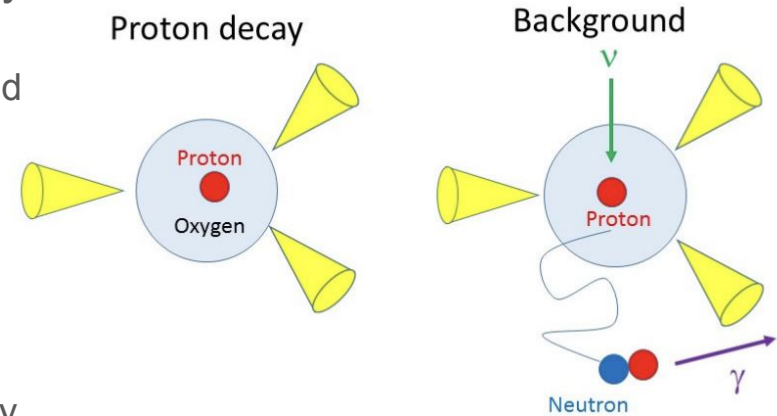


Figure pulled from [2306.02401](https://arxiv.org/abs/2306.02401)



# How do our Theories Hold up?

- Many theories have been ruled out experimentally
  - Others are currently being squeezed...

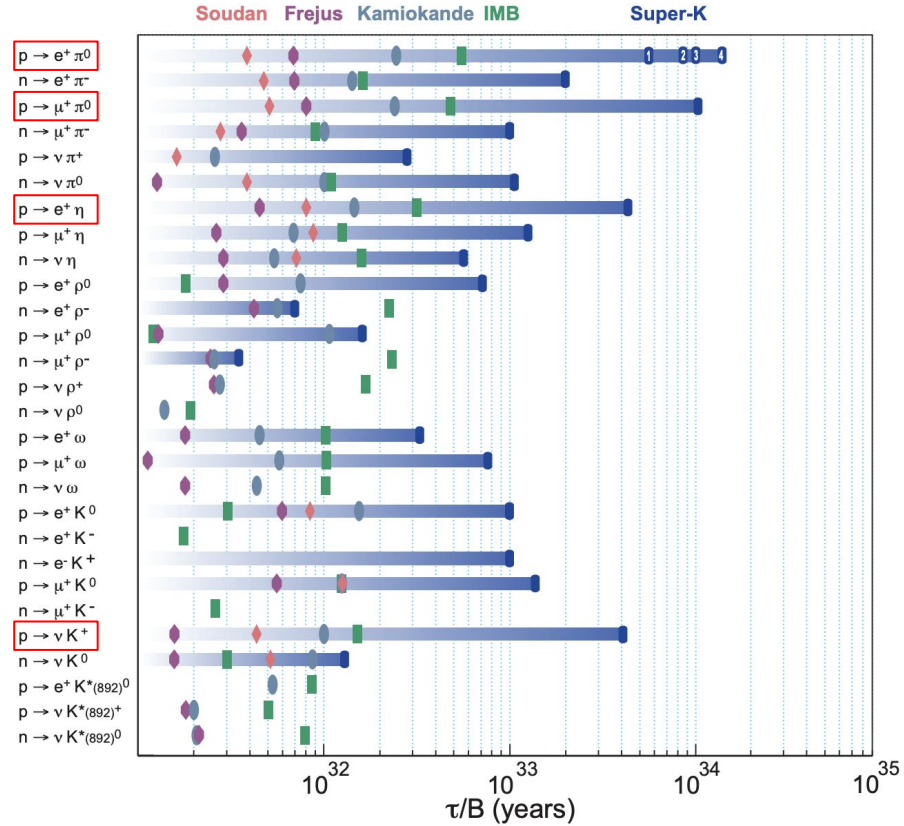
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# How do our Theories Hold up?

- Cherenkov-based impose strongest limits

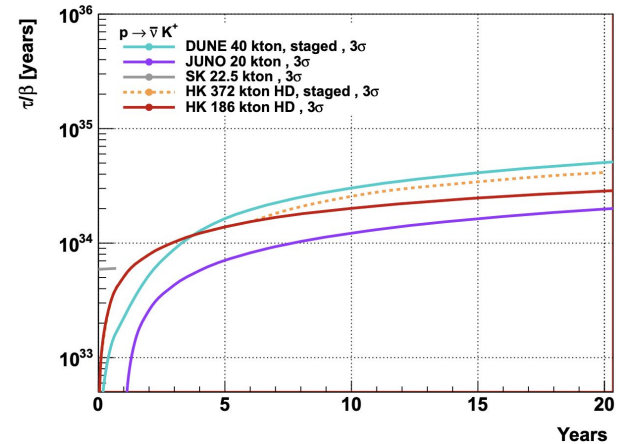
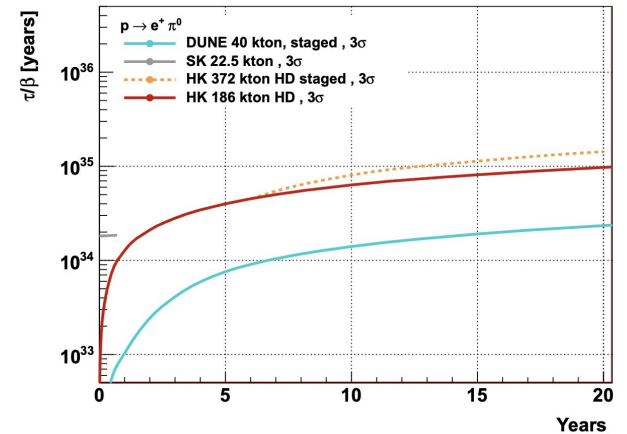
- Note four branches with highest limits:

- $p \rightarrow e^+ \pi^0$
- $p \rightarrow \mu^+ \pi^0$
- $p \rightarrow \nu K^+$
- $p \rightarrow e^+ \eta$



# Future Experiments

- Hyper-KamiokaNDE
  - ~10 Super-KamiokaNDE's in mass!
  - Data taking in 2027
- Using DUNE far detector (LAr-TPCs)
  - $K^+$  is too massive; above cherenkov threshold in water!
  - LAr-TPCs can image  $K^+$  directly, for better efficiency!
- EssnuB far detector
  - Long-baseline neutrino experiment
  - Data taking in ~2037 (?)
- JUNO detector
  - Water-based far detector for med. baseline  $\nu$  experiment
  - WBLS



Plots taken from [1805.04163](https://arxiv.org/abs/1805.04163)

# Citations

- General Proton Decay
  - <https://arxiv.org/pdf/2306.02401.pdf>
- Frejus experiment
  - <https://ntrs.nasa.gov/api/citations/19850027771/downloads/19850027771.pdf>
- Soudan Experiment
  - <https://inspirehep.net/literature/277568>
- IMB Experiment
  - <https://www.sciencedirect.com/science/article/pii/016890029390998W>
- Super-Kamiokande Experiment
  - <https://arxiv.org/abs/2010.16098>